

# 20694 Vorlesung mit Übungen Strukturgeologie und Tektonik

Module:

Fachkompetenz Geologie  
Wahlmodul Geologie

Voraussetzung:

System Erde I und II  
Übungen System Erde I und II

Leistungsüberprüfung:

8 Übungen PASS/FAIL  
I Schlussprüfung benotet

2KP

Bestehen = Voraussetzung für ↗

## 14358 Exkursion Geländepraktikum II (Geologie)

Modul:

Methodenkompetenz Geologie

Voraussetzung:

Kartenlesen und Profilzeichnen  
Strukturgeologie und Tektonik

Leistungsüberprüfung:

Kartierung, Exkursionsbericht (PASS-FAIL)  
(3KP)

# Semesterplan FS 2016

	Datum		Thema		Übungen	abgeben
1	26. Feb.	1	Druck, Spannung, Mohr Kreis, Spannungsfeld	1	Stress	29. 3.
2	4. März	2	Deformation, Strainellipse, strain marker, Strainmessung	2	Strain	29. 3.
3	11. März		fällt aus (Tromsø workshop)			
4	18. März		fällt aus (Tromsø workshop)			
5	25. März		fällt aus (Ostern)			
6	1. April	3	Mohr-Coulomb, Reibung, Klüfte und Brüche	3	Mohr-Coulomb	6. 4.
7	8. April	4	Bruchsysteme, Stereonetz Verwerfungen	4	Stereonetz	13. 4.
8	15. April	5	Scherzonen, Foliation, Lineation	5	Trajektorien	27. 4.
9	22. April		fällt aus (EGU)			
10	29. April	6	Falten, Geometrie, Faltenbildung	6	Devils Island	11. 5.
11	6. Mai		fällt aus (Himmelfahrt)			
12	13. Mai	7	Mikrostrukturen, Deformationsmechanismen, Rheologie	7	Inverse SURFOR	18. 5.
13	20. Mai	8	Subduktion, Gebirgsbildung, Transformstörungen	8	Critical taper	25. 5.
14	27. Mai	9	Extensionstektonik, rifting, MOR, MCC, LANF			
15	3. Juni	10	Test			



# Strukturgeologie und Tektonik

## Literatur

- Fossen, H. (2012) Structural Geology, Cambridge University Press.
- Twiss, R.J., Moores, E.M. (2007) Structural Geology. W.H. Freeman.
- Passchier, C.W., Trouw, R.A.J. (2005) Microtectonics. Springer Verlag.

### Deutsch:

- Frisch, W., Meschede, M. (2009) Plattentektonik. Primus Verlag.
- Eisbacher, G.H. (1991) Einführung in die Tektonik, Enke Verlag.

### Klassiker:

- Moores, E.M., Twiss, R.J. (1996) Tectonics. W.H. Freeman.
- Hobbs, B.E., Means, W.D., Williams, P.F. (1976) An Outline of Structural Geology. Wiley International.
- Ramsay, J.G., Huber, M.I. (1987) Modern Structural Geology I & II. Academic Press.

### Theoretisch-mathematisch:

- Pollard, D.D., Fletcher, R.C. (2005) Structural geology. Cambridge University Press.
- Means, W.D. (1976) Stress and Strain. Springer Verlag.
- Nye, J.F. (1985) Physical properties of crystals, Clarendon Press.

# Strukturgeologie und Tektonik

## Internet

E-modules by H. Fossen (<http://folk.uib.no/nglhe/>):

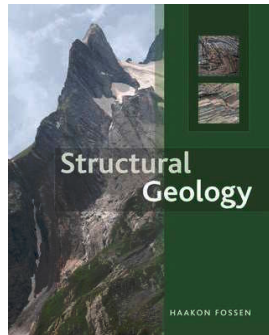
- To go with book:
  - <http://folk.uib.no/nglhe/StructuralGeoBookEmodules.html>
- Structural Geology Primer:
  - <http://folk.uib.no/nglhe/Emodules/Structure%20intro%20module.swf>

Twiss and Moores (Figures only - 47 MB download):

- <http://www.whfreeman.com/Catalog/product/structuralgeology-secondedition-twiss>

Burg, ETH Zürich (PDF):

- Einführung in die Strukturgeologie:
  - <http://e-collection.library.ethz.ch/eserv/eth:24456/eth-24456-01.pdf>



## Table of Contents

diese Vorlesung

Preface

List of symbols

1. Structural geology and structural analysis	
2. Deformation	2
3. Strain in rocks	2
4. Stress	1
5. Stress in the lithosphere	1
6. Rheology	7
7. Fracture and brittle deformation	3
8. Faults	4
9. Kinematics and paleostress in the brittle regime	-
10. Deformation at the microscale	7
11. Folds and folding	5
12. Foliation and cleavage	6
13. Lineations	6
14. Boudinage	5
15. Shear zones and mylonites	5
16. Contractional regimes	9
17. Extensional regimes	8
18. Strike-slip, transpression and transtension	10
19. Salt tectonics	-
20. Balancing and restoration	-

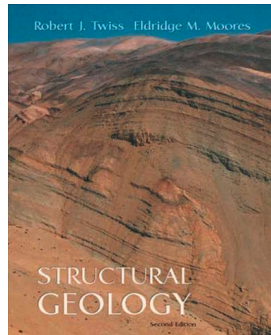
Appendix A. More about the deformation matrix

Appendix B. Stereographic projection

Glossary

References

Index



## Table of Contents

diese Vorlesung

### I. Introduction

#### Part I. Brittle Deformation

2. Fractures and Joints	3
3. Introduction to Faults	4
4. Normal Faults	4
5. Thrust Faults	4
6. Strike Slip Faults	4
7. Stress	1
8. Mechanics of Fracturing and Faulting: Experiment and Theory	3
9. Mechanics of Natural Fractures and Faults	4

#### Part II. Ductile Deformation

10. The Description of Folds	5
11. Foliations and Lineations in Deformed Rocks	6
12. Geometry of Homogeneous Strain	2
13. Kinematic Analysis of Folds	5
14. Analysis of Foliations and Lineations	6
15. Observations of Strain in Deformed Rocks	5

#### Part III. Rheology

16. Macroscopic Aspects of Rock Deformation: Rheology and Experiment	-
17. Microscopic Aspects of Ductile Deformation: Mechanisms and Fabrics	7
18. Scale Models and Quantitative Models of Rock Deformation	-

#### Part IV. Regional Associations of Structures

19. Development of Structures at Active Plate Margins	8, 9, 10
20. Anatomy and Tectonics of Orogenic Belts	9



# I Druck - Spannung - Mohrkreis - Spannungsfeld

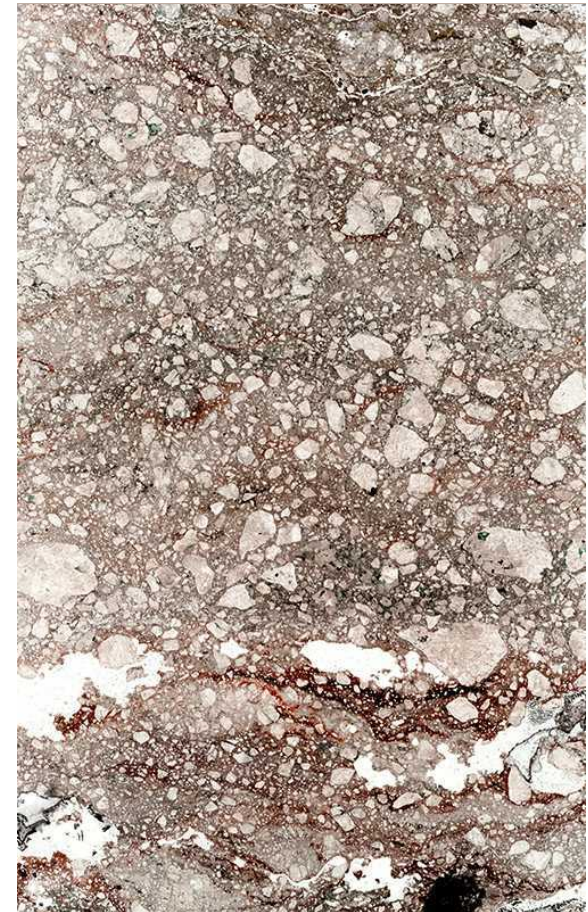
- VL-Themen:
- Druck und Spannung in der Erdkruste
  - Spannungsellipse
  - Spannungstensor
  - Hauptspannungen
  
  - Mohr-Kreis
  - Mohr'sche Brüche
  - Spannungszustände (Experiment & Natur)
  
  - Spannungsmessungen
  - Spannungsfeld

# Druck und Spannung

# Kontinuumsmechanik

Material ist

- kontinuierlich (keine Diskontinuitäten, keine Partikel etc.)
- homogen
- isotrop





# Druck

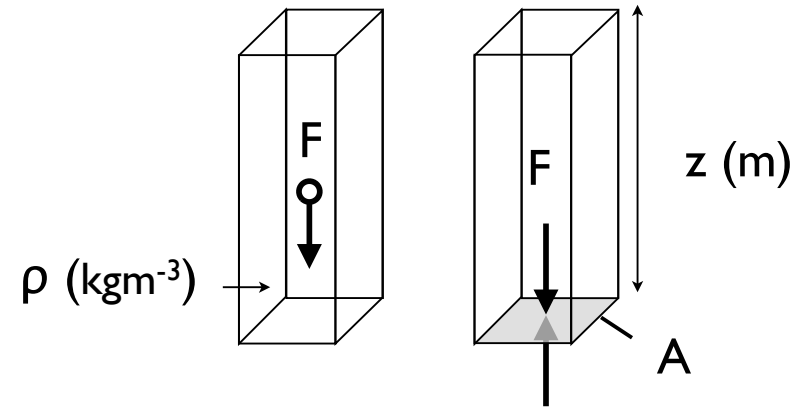
## Gravitation (Kraft)

$$F = m \cdot g = \rho \cdot V \cdot g = \rho \cdot z \cdot A \cdot g$$

Kraft = Masse · Beschleunigung  
1 Newton (N) = 1 kg · m / s<sup>2</sup> = 1 kgms<sup>-2</sup>

$$g = 9.81 \text{ ms}^{-2}$$

$$\rho = 2.85 \cdot 10^3 \text{ kgm}^{-3}$$



$$\begin{aligned} \text{Druck} &= p = F/A \\ \text{Spannung} &= \sigma = F/A \end{aligned}$$

## Lithostatischer Druck (= Gravitation / Fläche)

$$\sigma_{\text{lich}} = \rho \cdot g \cdot V / A = \rho \cdot g \cdot (z \cdot A) / A = \rho \cdot g \cdot z$$

Spannung = Kraft / Fläche

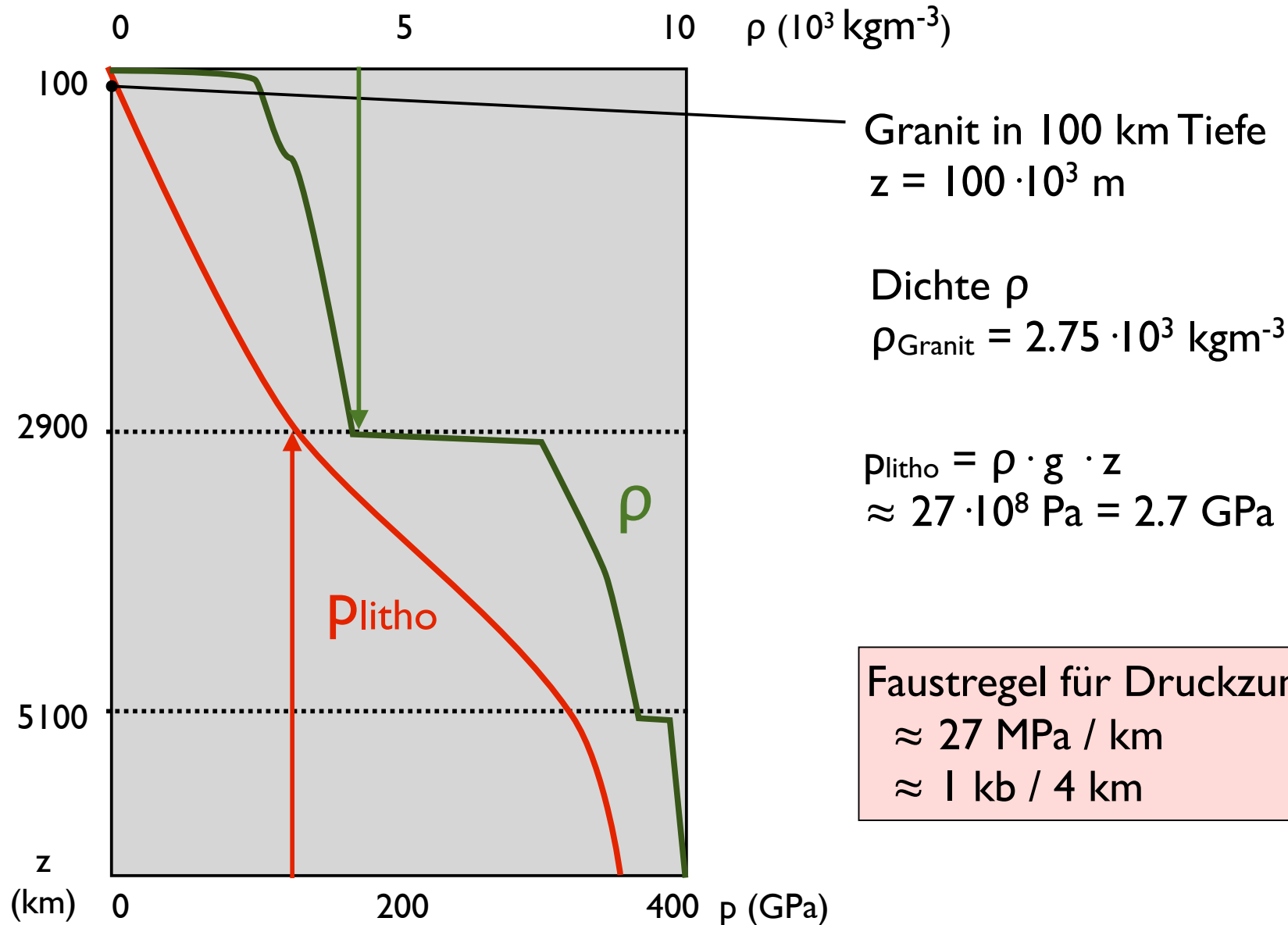
$$1 \text{ Pascal (Pa)} = 1 \text{ N} / \text{m}^2 = 1 \text{ Nm}^{-2}$$

Beispiel:

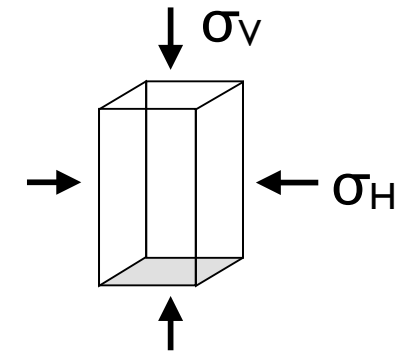
$$\sigma_{\text{lich}} = f(z) = 27'959 \text{ Pa} / \text{m} = 28 \text{ MPa} / \text{km}$$

Pascal	andere Einheiten
10 <sup>2</sup> Pa (1 Hektopascal)	1 mbar
10 <sup>5</sup> Pa	1 bar
100 MPa	1 kb
1 GPa	10 kb
10 <sup>5</sup> Pa	14.5 psi (pound / inch <sup>2</sup> )
1 MPa	0.145 kpsi

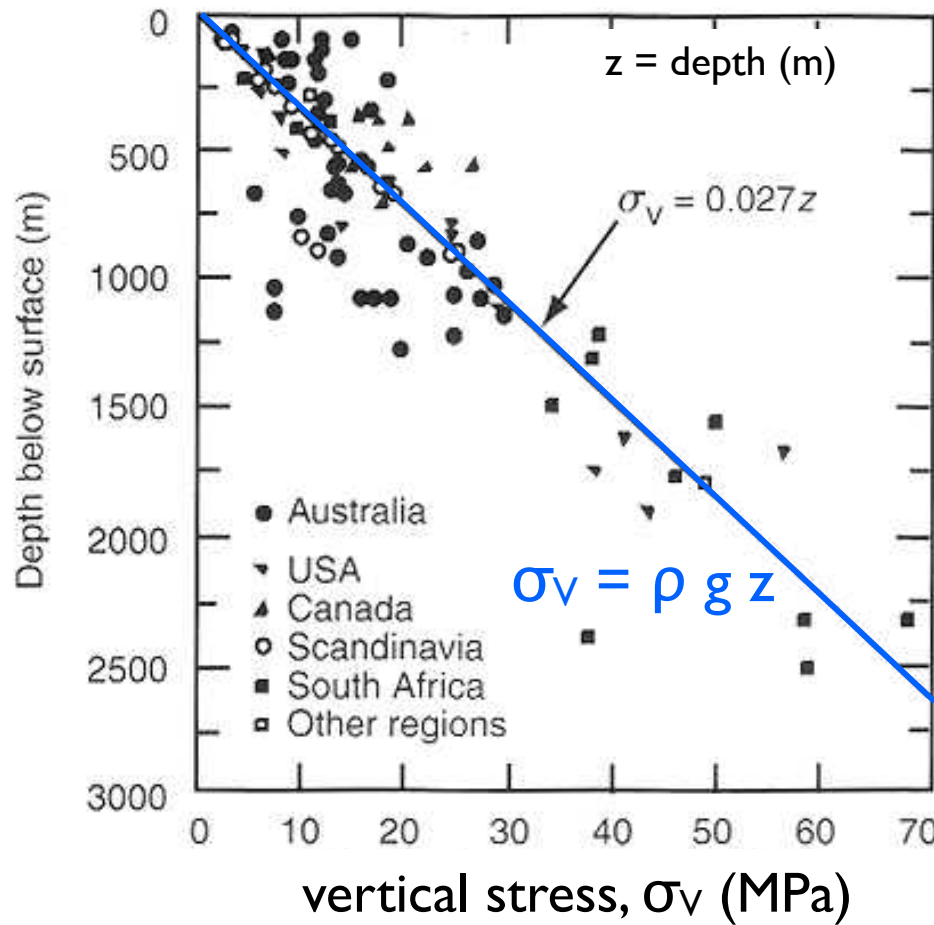
# Lithostatischer Druck



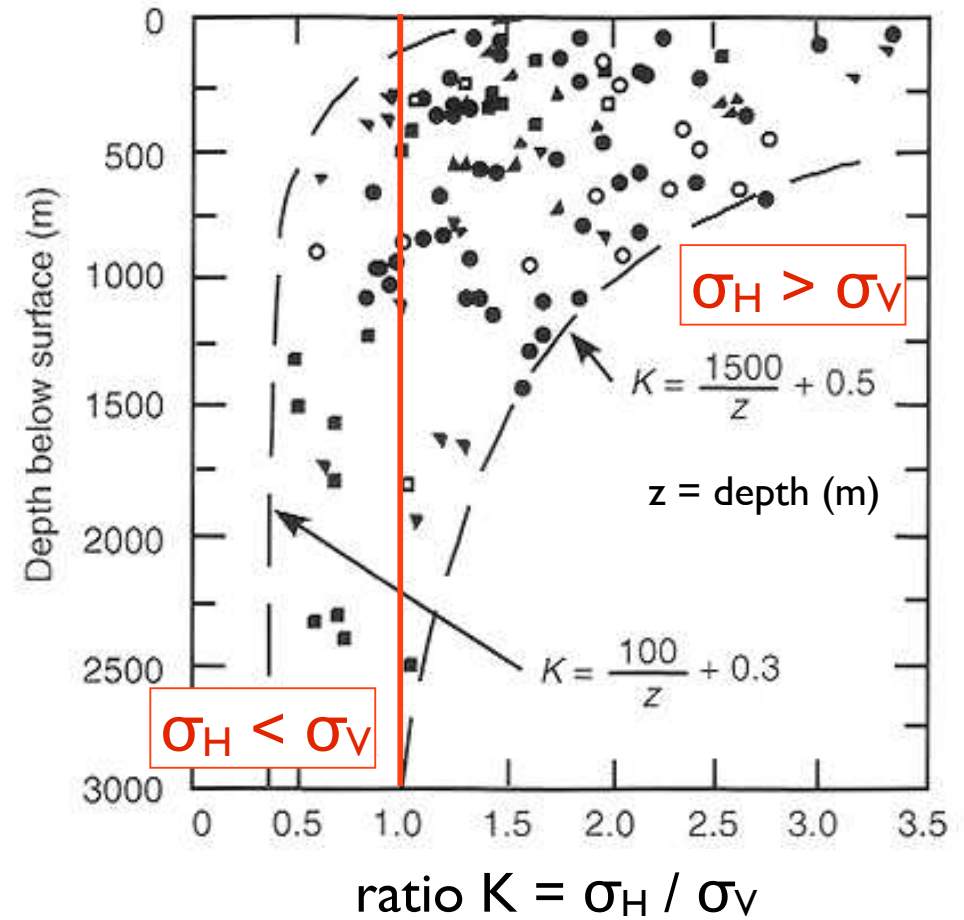
# Spannungsmessungen



$\sigma_H = \sigma_v$

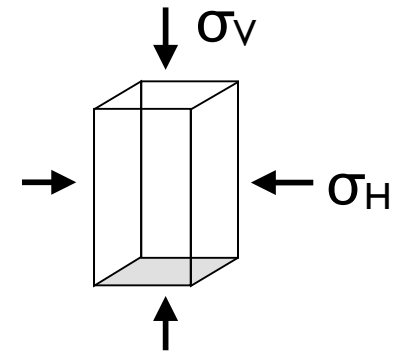


Fletcher & Pollard (2005)



mit zunehmender Tiefe:  $\sigma_H \leq \sigma_v$

# Spannungsmessungen



$$\rho \cdot g \cdot z$$

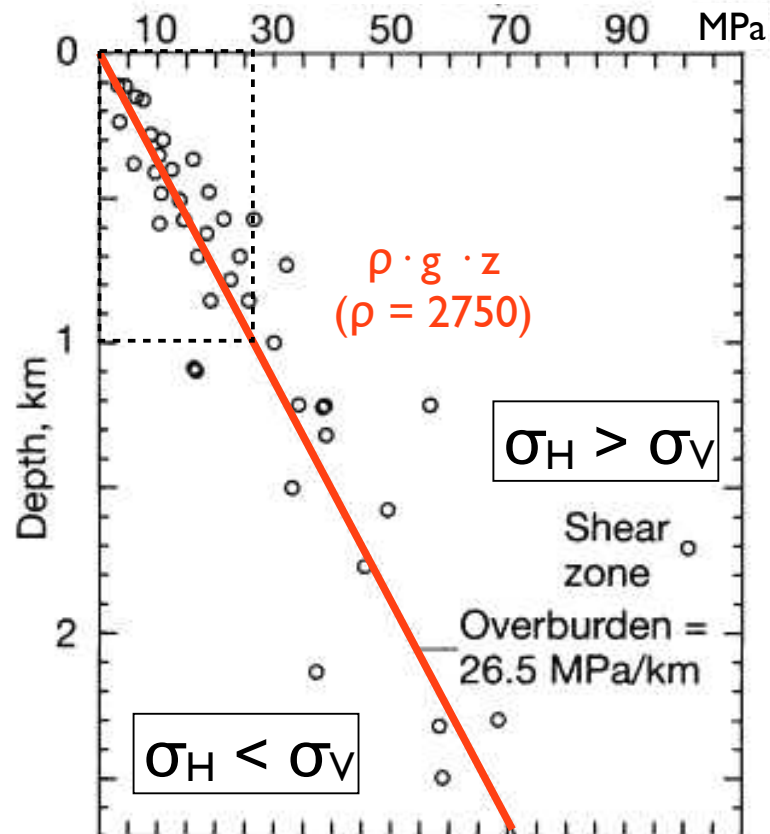
= lithostatic pressure

= overburden pressure

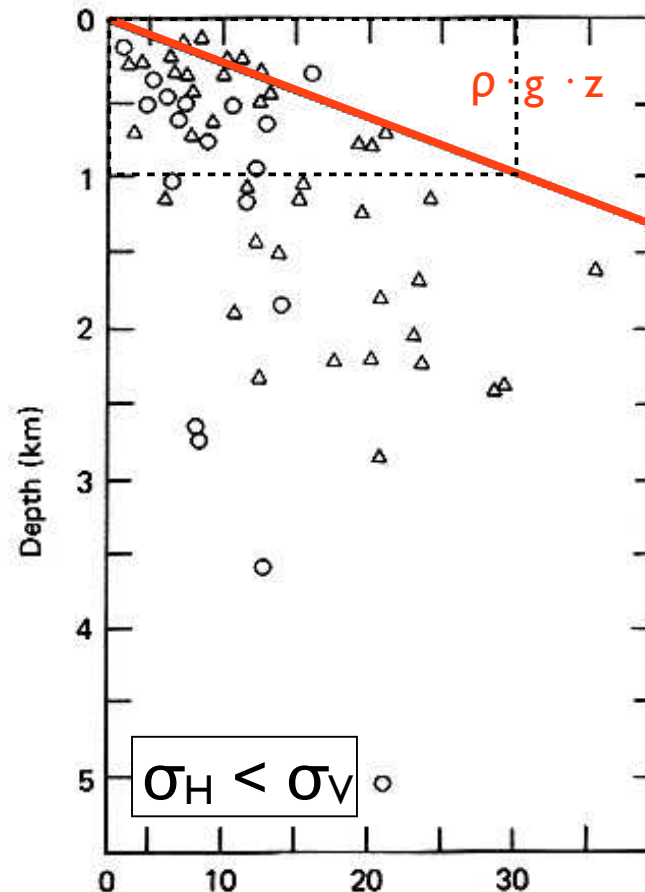
$$\tau = \frac{1}{2} (\sigma_V - \sigma_H)$$

wenn  $\sigma_V \approx \sigma_H$

$$\tau \rightarrow 0$$

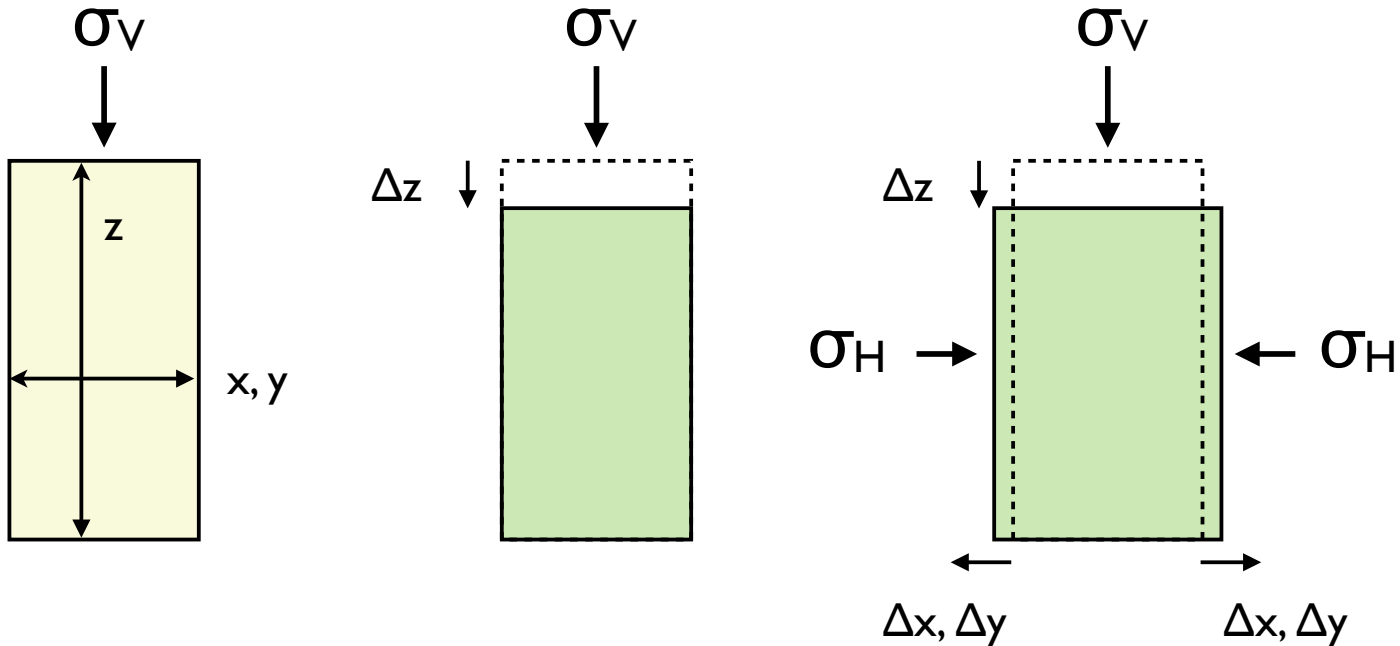


measured vertical stress,  $\sigma_V$   
Twiss & Moores (2007)



Turcotte & Schubert (1982)

# Elastizitätskonstante $E, \nu$



$$e_z = \sigma_v / E = \sigma_z / E$$

$$e_z = \Delta z / z$$

$$e_x = e_y = \nu \cdot \sigma_z / E$$

$$e_x = \Delta x / x \quad e_y = \Delta y / y$$

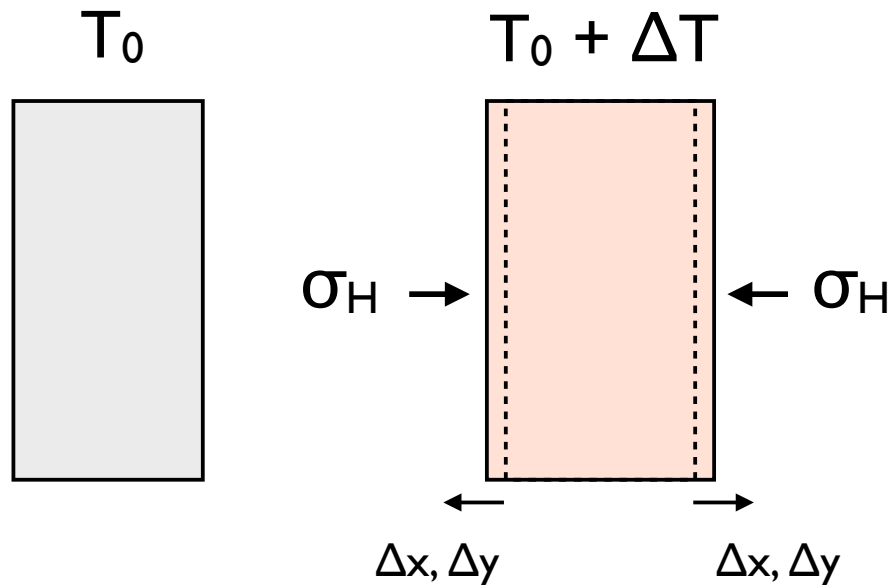
$E$  = Elastizitätsmodul  
(Young's module)

$\nu$  = Poissonzahl  
(Querdehnungszahl)

$$\sigma_H = K \cdot \sigma_v = \frac{\nu}{(1-\nu)} \cdot \sigma_v$$

$$\sigma_H = \sigma_x = \sigma_y$$

# Wärmeausdehnung $\alpha$



$$\Delta x = \Delta y = \alpha \cdot x_0 \cdot \Delta T$$

$\alpha$  = Längenausdehnungskoeffizient  
(thermal expansion coefficient)

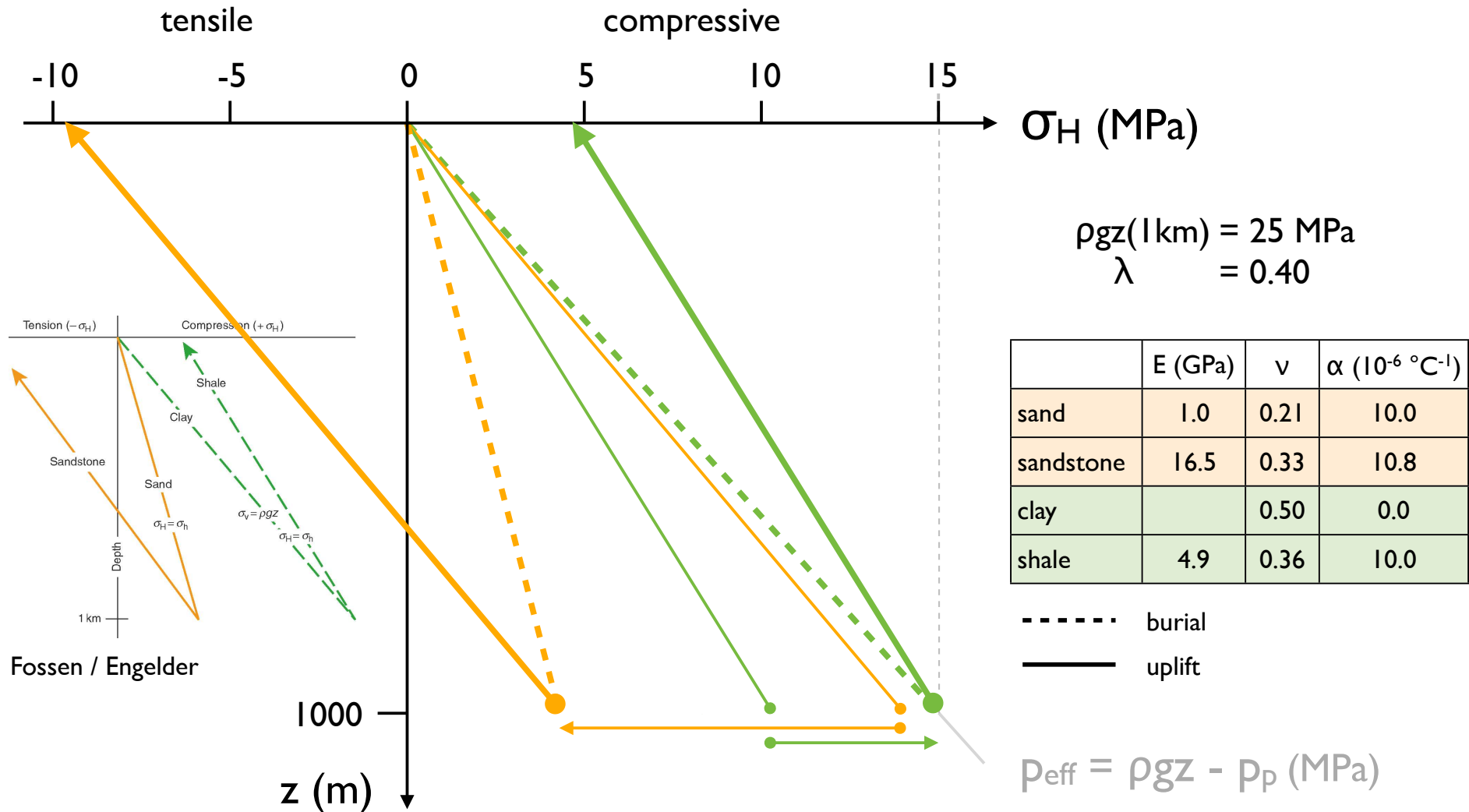
$$\sigma_H = \frac{E}{(1-\nu)} \cdot \alpha \cdot \Delta T$$

$$\sigma_H = \sigma_x = \sigma_y$$

zusammen:

$$\sigma_H = \kappa \cdot \sigma_V = \frac{\nu}{(1-\nu)} \cdot \sigma_V + \frac{E}{(1-\nu)} \cdot \alpha \cdot \Delta T$$

# Stress during burial and uplift



$$\sigma_H = \frac{\nu}{(1-\nu)} \cdot \sigma_V + \frac{\alpha \cdot E}{(1-\nu)} \Delta T$$



# Beispiele



Fossen Structural Geology: Colorado River



<http://www.igilt.com/petroleum-geology.html>



<http://written-in-stone-seen-through-my-lens.blogspot.ch/2011/09/flight-plan-part-ii-geology-of-circle.html>



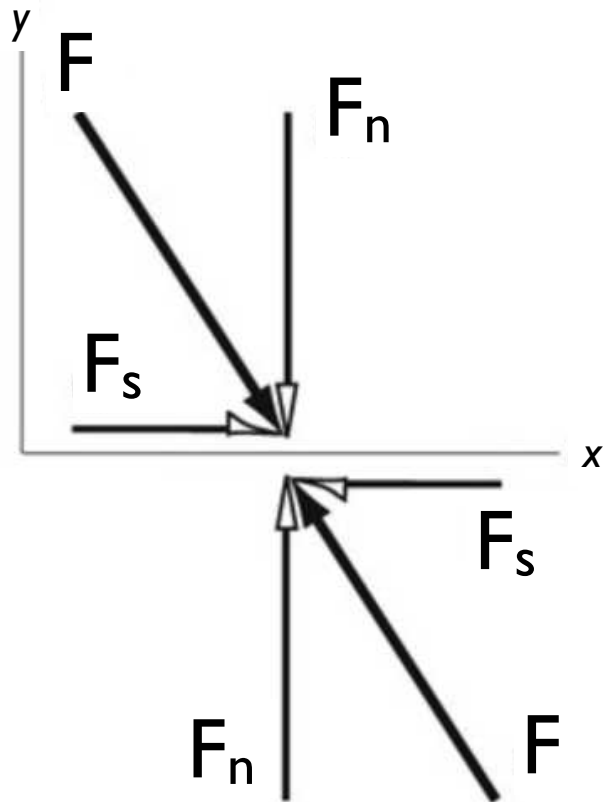
[http://academic.brooklyn.cuny.edu/geology/leveson/core/topics/weathering/picture\\_gallery/display/yorkshire\\_27.html](http://academic.brooklyn.cuny.edu/geology/leveson/core/topics/weathering/picture_gallery/display/yorkshire_27.html)



# Spannungsellipse

# Gleichgewicht der Kräfte

Kräfte

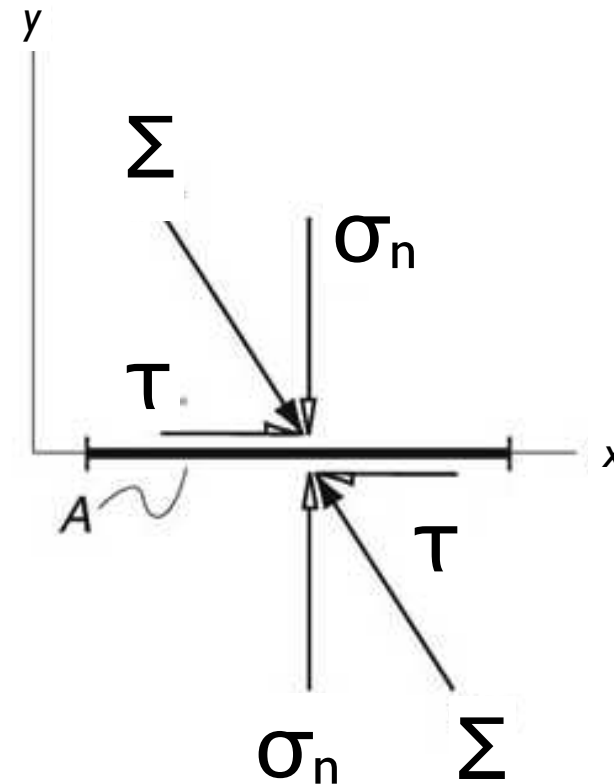


$F_n, F_s$ : Normal- und Scherkomponenten

Kräftegleichgewicht

$$F_{\text{oben}} = F_{\text{unten}}$$

Kräfte = Spannung · Fläche

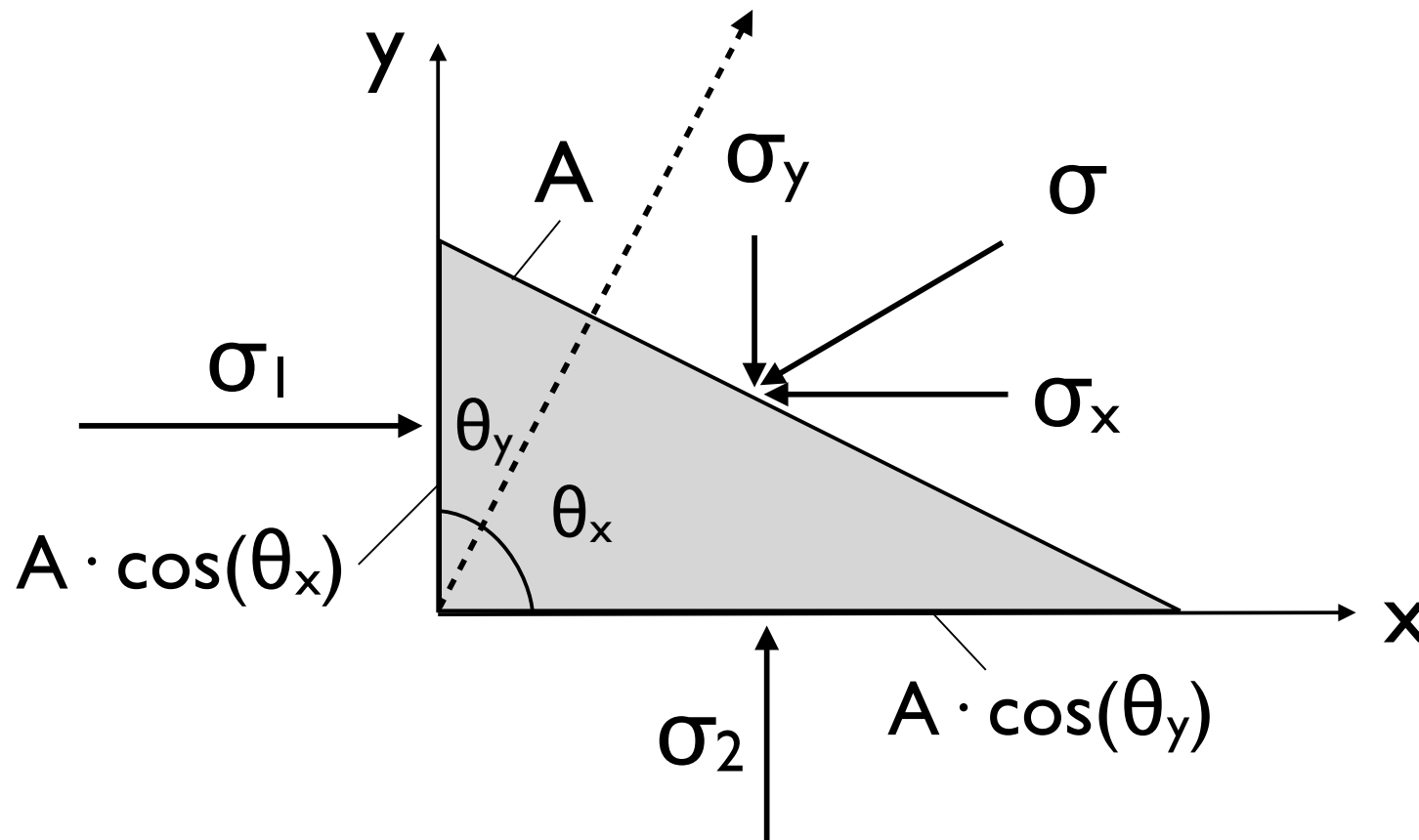


$\sigma, \tau$ : Normal- und Scherkomponenten

'traction' - Gleichgewicht

$$\Sigma_{\text{oben}} = \Sigma_{\text{unten}}$$

# Gleichgewicht der Kräfte



## Kräftegleichgewicht

$$F_{x\text{-Richtung}} = F_{-x\text{-Richtung}}$$

$$F_{y\text{-Richtung}} = F_{-y\text{-Richtung}}$$

$$\sigma_1 \cdot A \cdot \cos(\theta_x) = \sigma_x \cdot A$$

$$\sigma_2 \cdot A \cdot \cos(\theta_y) = \sigma_y \cdot A$$

# Spannungsellipse / - ellipsoid

Gleichgewicht:

$$\sigma_1 \cdot A \cdot \cos(\theta_x) = \sigma_x \cdot A$$

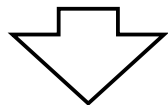
$$\sigma_2 \cdot A \cdot \cos(\theta_y) = \sigma_y \cdot A$$

$$l_x = \cos(\theta_x)$$

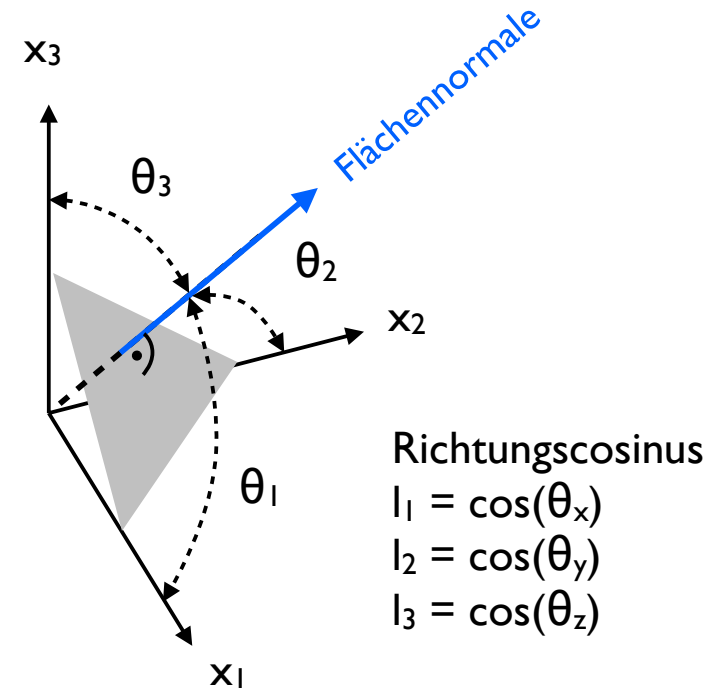
$$l_y = \cos(\theta_y) = \sin(\theta_x)$$

$$l_x = \sigma_x / \sigma_1 \quad \cos^2\theta + \sin^2\theta = 1$$

$$l_y = \sigma_y / \sigma_2$$



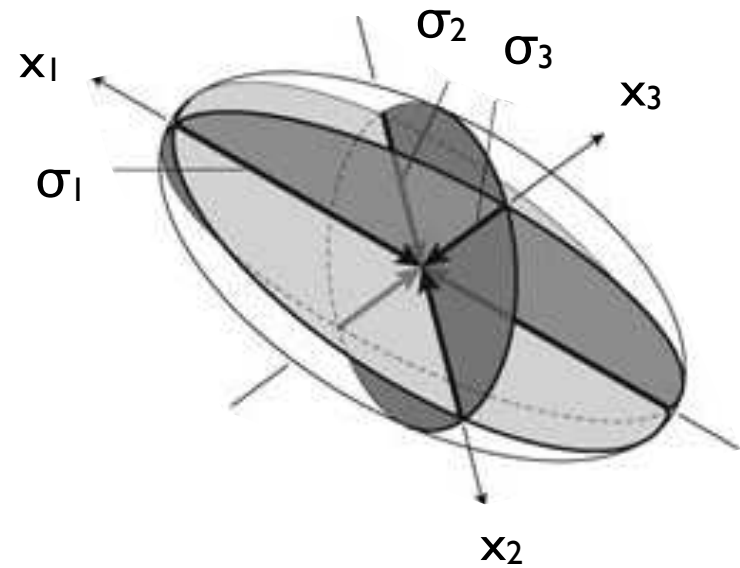
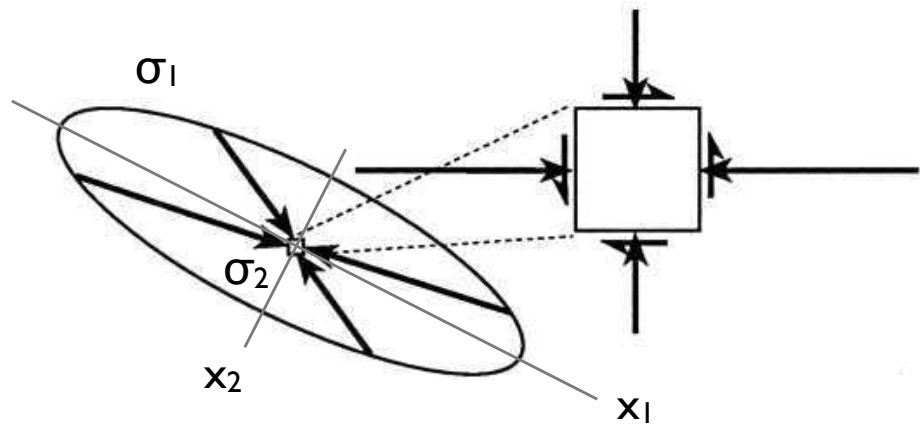
$$\frac{\sigma_x^2}{\sigma_1^2} + \frac{\sigma_y^2}{\sigma_2^2} = 1$$



analog in 3D:

$$\frac{\sigma_x^2}{\sigma_1^2} + \frac{\sigma_y^2}{\sigma_2^2} + \frac{\sigma_z^2}{\sigma_3^2} = 1$$

# Spannungsellipse / - ellipsoid



Hauptkomponenten

$$\sigma_1 > \sigma_2 > \sigma_3$$

= Achsen des Spannungsellipsoids

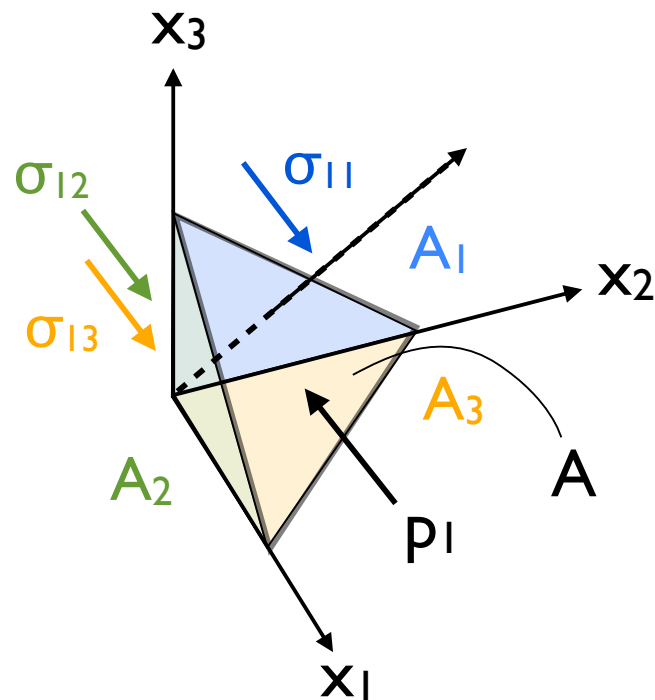
$$\frac{\sigma_x^2}{\sigma_1^2} + \frac{\sigma_y^2}{\sigma_2^2} = 1$$

$$\frac{\sigma_x^2}{\sigma_1^2} + \frac{\sigma_y^2}{\sigma_2^2} + \frac{\sigma_z^2}{\sigma_3^2} = 1$$

# Spannungstensor

# Spannungstensor

der Spannungstensor verknüpft zwei Vektoren:  
den 'Spannungsvektor' (traction) bzw. die Kraft,  $p_i$ , und die  
Flächennormale,  $l_j$ , auf welche die Kraft wirkt.



Schreibweise:

$\sigma_{12}$  1 in Richtung 2 auf Fläche

Gleichgewicht:

$$p_1 = l_1 \sigma_{11} + l_2 \sigma_{12} + l_3 \sigma_{13}$$

$$p_2 = l_1 \sigma_{21} + l_2 \sigma_{22} + l_3 \sigma_{23}$$

$$p_3 = l_1 \sigma_{31} + l_2 \sigma_{32} + l_3 \sigma_{33}$$

$$p_i = \sigma_{ij} \cdot l_j$$

$p_i$  = Kraftkomponenten

$\sigma_{ij}$  = Spannungstensor

$l_j$  = Richtungscosinus

# Spannungstensor - Symmetrie

der 3-dimensionale Spannungstensor beschreibt den Spannungszustand auf drei orthogonalen Flächen.

$$\sigma_{ij} = \begin{bmatrix} \sigma_{11} & \sigma_{12} & \sigma_{13} \\ \sigma_{21} & \sigma_{22} & \sigma_{23} \\ \sigma_{31} & \sigma_{32} & \sigma_{33} \end{bmatrix}$$

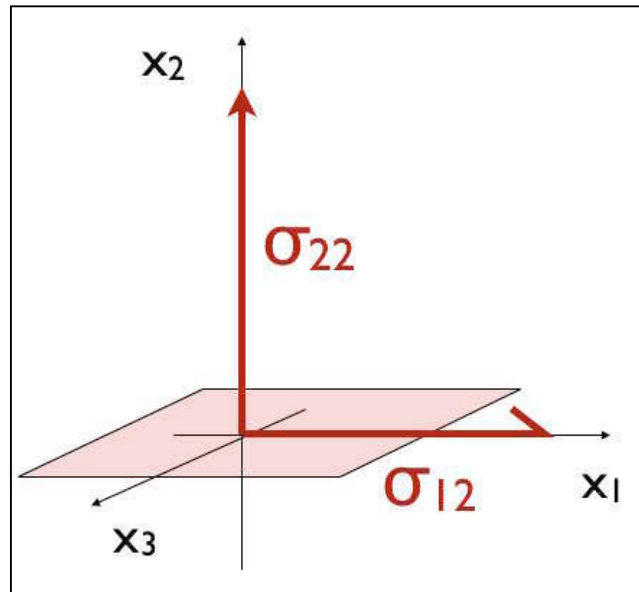
Im Gleichgewicht muss der 3-dimensionale Spannungstensor symmetrisch sein.

$$\sigma_{ij} = \sigma_{ji}$$

wo  $i=j$ : Normalspannungen  
wo  $i \neq j$ : Scherspannungen



# Spannungskomponenten, -vorzeichen



Bezeichnungen:

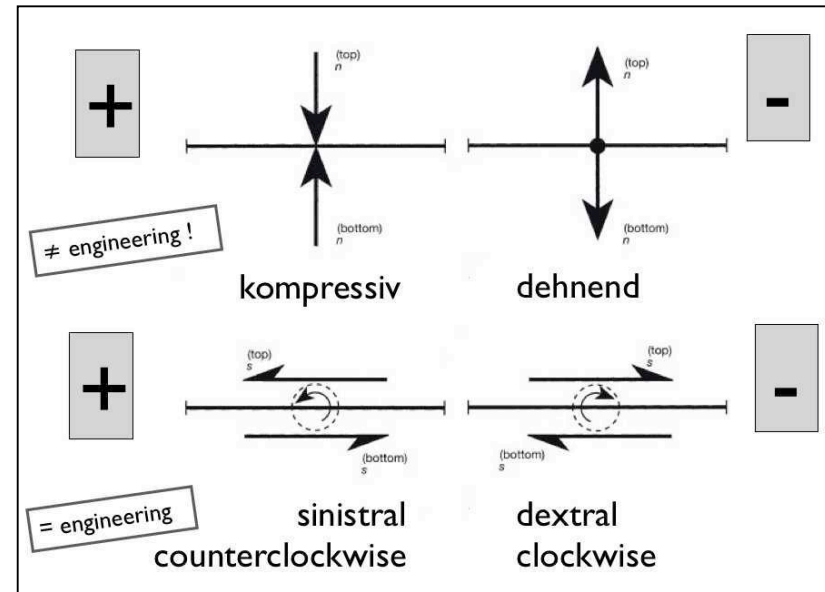
Normalkomponenten

$\sigma_{11}$   $\sigma_{22}$   $\sigma_{33}$

Scherkomponenten oder

$\sigma_{12}$   $\sigma_{13}$   $\sigma_{21}$   $\tau_{12}$   $\tau_{13}$   $\tau_{21}$

$\sigma_{23}$   $\sigma_{31}$   $\sigma_{32}$   $\tau_{23}$   $\tau_{31}$   $\tau_{32}$



Vorzeichen:

Normalkomponenten

kompressiv = positiv

Scherkomponenten

sinistral = positiv

# Hauptspannungen

# Hauptspannungen und Invariante

Die Hauptspannungen gewinnt man aus den Eigenwerten des Spannungstensors. In Richtung der Hauptspannungen sind die Scherspannungen = 0

$$\begin{array}{l} \text{Hauptspannungen} \\ \sigma_1 > \sigma_2 > \sigma_3 \end{array} \quad \begin{bmatrix} \sigma_1 & 0 & 0 \\ 0 & \sigma_2 & 0 \\ 0 & 0 & \sigma_3 \end{bmatrix}$$

1. Invariante  $I_1$     Spur     $(\sigma_{11} + \sigma_{22} + \sigma_{33})$   
=  $\sigma_{ii}$

2. Invariante  $I_2$

$$\sigma_{11}\sigma_{22} + \sigma_{22}\sigma_{33} + \sigma_{33}\sigma_{11} - \sigma_{12}^2 + \sigma_{23}^2 + \sigma_{31}^2$$
$$= \frac{1}{2} (\sigma_{ii}\sigma_{jj} - \sigma_{ij}\sigma_{ji})$$

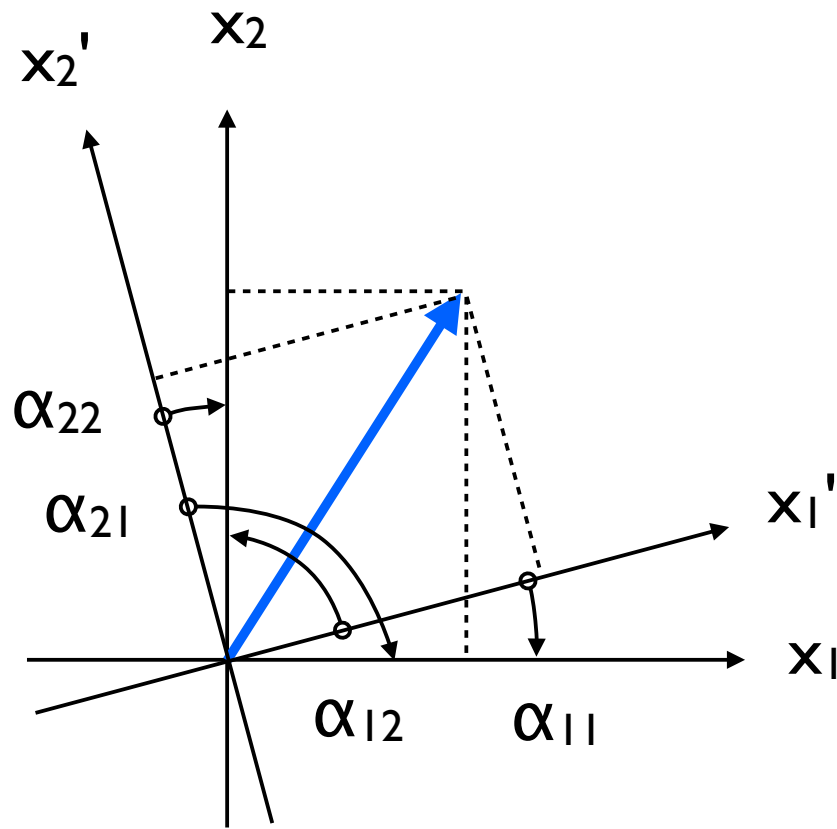
3. Invariante  $I_3$     Determinante    =  $\det(\sigma_{ij})$

Invariante sind gegenüber Koordinatentransformationen invariant...

Praktisch, denn die Grösse der Spannung sollte nicht vom Koordinatensystem abhängen, in welchem sie beschrieben wird.

# Koordinatentransformation

## Koordinatentransformation 2 Dimensionen



$$a_{ij} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix}$$

$$a_{11} = \cos(\alpha_{11}) = \cos(\alpha)$$

$$a_{12} = \cos(\alpha_{12}) = \sin(\alpha)$$

$$a_{21} = \cos(\alpha_{21}) = -\sin(\alpha)$$

$$a_{22} = \cos(\alpha_{22}) = \cos(\alpha)$$

$$a_{ij} = \begin{bmatrix} \cos(\alpha) & \sin(\alpha) \\ -\sin(\alpha) & \cos(\alpha) \end{bmatrix}$$

# Koordinatentransformation

Transformation des Spannungstensors

$$\sigma'_{ij} = a_{ip} a_{jq} \sigma_{pq} \quad \sigma' = A \sigma A^T \quad a_{ij} = \begin{bmatrix} \cos(\alpha) & \sin(\alpha) \\ -\sin(\alpha) & \cos(\alpha) \end{bmatrix}$$

Beispiel für 2D - Expansion:

$$\sigma'_{11} = a_{1p} a_{1q} \sigma_{pq} \quad i=1 \quad j=1 \quad p=1\dots 2 \quad q=1\dots 2$$

$$\sigma'_{11} = a_{11} a_{1q} \sigma_{1q} + a_{12} a_{1q} \sigma_{2q}$$

$$\sigma'_{11} = a_{11} a_{11} \sigma_{11} + a_{11} a_{12} \sigma_{12} + a_{12} a_{11} \sigma_{21} + a_{12} a_{12} \sigma_{22}$$

sei  $\sigma_1 = 100 \text{ MPa}$ ,  $\sigma_2 = 50 \text{ MPa}$ ,  $\alpha = 90^\circ, 45^\circ, 30^\circ$

$$\sigma = \begin{bmatrix} 100 & 0 \\ 0 & 50 \end{bmatrix}$$

$$\sigma' = \begin{bmatrix} 50 & 0 \\ 0 & 100 \end{bmatrix} \begin{bmatrix} 75 & -25 \\ -25 & 75 \end{bmatrix} \begin{bmatrix} 87.5 & -22 \\ -22 & 62.5 \end{bmatrix}$$

$$a = \begin{bmatrix} 0 & 1 \\ -1 & 0 \end{bmatrix} \begin{bmatrix} .7 & .7 \\ -.7 & .7 \end{bmatrix} \begin{bmatrix} .87 & .5 \\ -.5 & .87 \end{bmatrix}$$

Test:  $I_1 = 150$

# Spannungsdeviator (deviatoric stress)

Deviator

$$\begin{bmatrix} s_{11} & s_{12} & s_{13} \\ s_{21} & s_{22} & s_{23} \\ s_{31} & s_{32} & s_{33} \end{bmatrix} =$$

Spannung

Spannung

Deviator

$$\begin{bmatrix} \sigma_x & \tau_{xy} & \tau_{xz} \\ \tau_{yx} & \sigma_y & \tau_{yz} \\ \tau_{zx} & \tau_{zy} & \sigma_z \end{bmatrix} - \begin{bmatrix} p & 0 & 0 \\ 0 & p & 0 \\ 0 & 0 & p \end{bmatrix} = \begin{bmatrix} \sigma_x - p & \tau_{xy} & \tau_{xz} \\ \tau_{yx} & \sigma_y - p & \tau_{yz} \\ \tau_{zx} & \tau_{zy} & \sigma_z - p \end{bmatrix}$$

$$p = \frac{\sigma_x + \sigma_y + \sigma_z}{3}$$

$p = 1/3 \sigma_{ii} = 1/3 (\sigma_1 + \sigma_2 + \sigma_3) = \text{mean stress}$   
 $= \text{hydrostatischer (lithostatischer) Druck}$

Spur von  $S = 0$

# Spannungen $\sigma_n$ und $\tau$ aus $\sigma_1$ und $\sigma_2$

geg:

Fläche  $F$ ,

Normale  $n$ ,

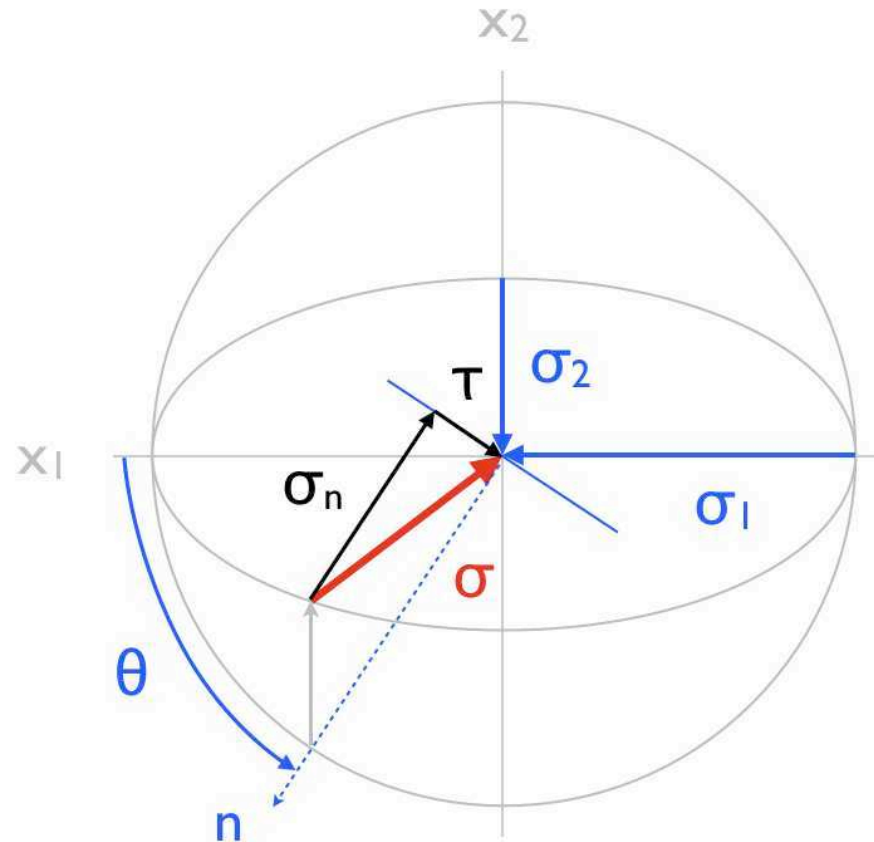
Spannungsellipse ( $\sigma_1, \sigma_2$ )

ges:

Spannung  $\sigma$  mit

Komponenten  $\sigma_n, \tau$

auf Fläche  $F$



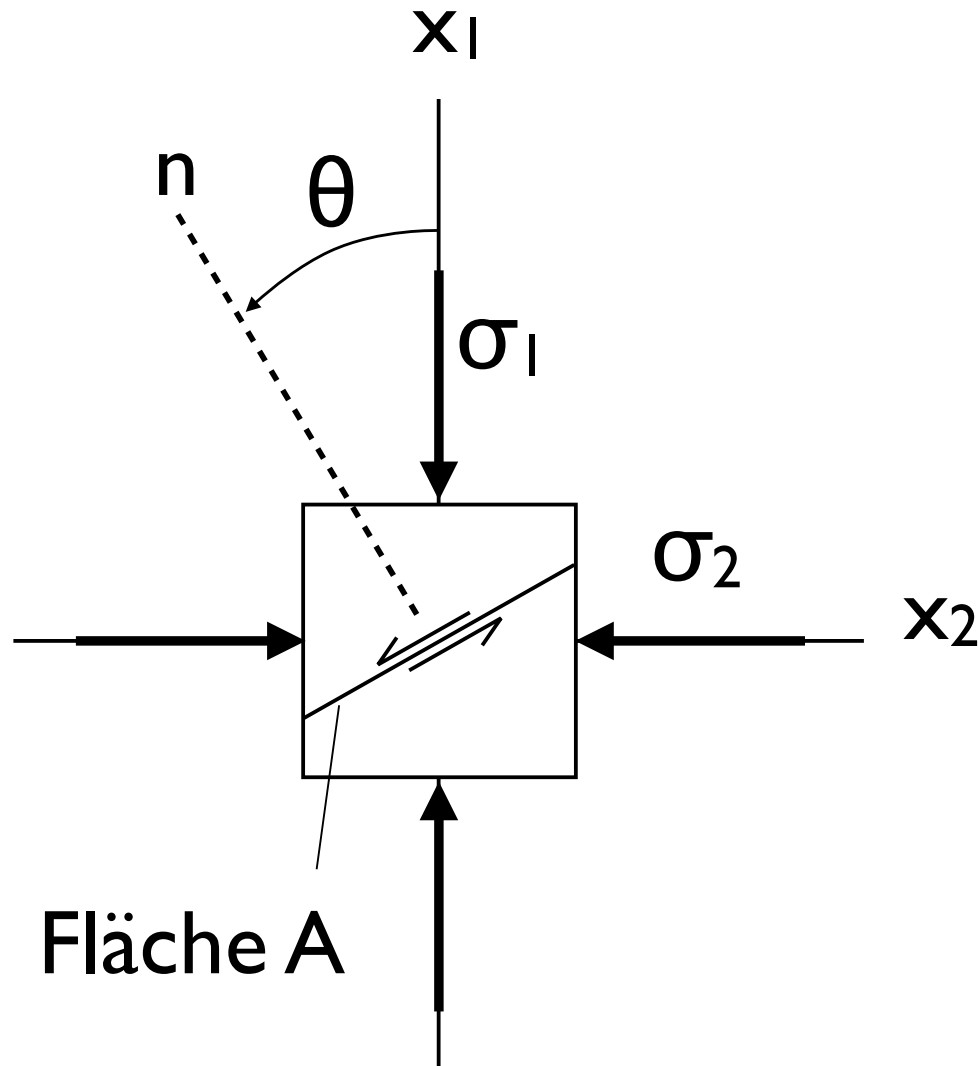
Möglichkeiten:

- über Spannungsellipse
- über Mohrkreis

# Mohr-Kreis



# Mohr Kreis in 2 Dimensionen



geg:  
Hauptspannungen

$$\begin{pmatrix} \sigma_1 & 0 \\ 0 & \sigma_2 \end{pmatrix}$$

ges:  
Normal- und  
Scherspannung auf  
Fläche  $A$ ,  
Flächennormale  $n$

# Mohr Kreis in 2 Dimensionen

Koordinatentransformation:  $\sigma_{ij}' = a_{ik} a_{jl} \sigma_{kl}$

$$\sigma_{11}' = a_{11} a_{11} \sigma_{11} + a_{11} a_{12} \sigma_{12} + a_{12} a_{11} \sigma_{21} + a_{12} a_{12} \sigma_{22}$$

$$\sigma_{12}' = a_{11} a_{21} \sigma_{11} + a_{11} a_{22} \sigma_{12} + a_{12} a_{21} \sigma_{21} + a_{12} a_{22} \sigma_{22}$$

$$\sigma_{21}' = a_{21} a_{11} \sigma_{11} + a_{21} a_{12} \sigma_{12} + a_{22} a_{11} \sigma_{21} + a_{22} a_{12} \sigma_{22}$$

$$\sigma_{22}' = a_{21} a_{21} \sigma_{11} + a_{21} a_{22} \sigma_{12} + a_{22} a_{21} \sigma_{21} + a_{22} a_{22} \sigma_{22}$$

Beispiel:

$$\sigma_{11}' = \cos(\theta)\cos(\theta) \sigma_{11} + \cos(\theta)\sin(\theta) \sigma_{12} + \sin(\theta)\cos(\theta) \sigma_{21} + \sin(\theta)\sin(\theta) \sigma_{22}$$

weil  $\sigma_{12} = \sigma_{21} = 0$ :

$$\sigma_{11}' = \cos(\theta)^2 \sigma_{11} + \sin(\theta)^2 \sigma_{22}$$

# Normalspannung

neu ( $\sigma'$ ) als Funktion von alt ( $\sigma$ ) geschrieben:

$$\sigma_{11}' = \sigma_n$$

$$\sigma_{11} = \sigma_1$$

$$\sigma_{22} = \sigma_2$$

$$\sigma_n = \cos(\theta)^2 \sigma_1 + \sin(\theta)^2 \sigma_2$$

umgeformt:

$$\sin(\theta)^2 = 1/2 (1 - \cos(2\theta))$$

$$\cos(\theta)^2 = 1/2 (1 + \cos(2\theta))$$

$$\sigma_n = 1/2 (1 + \cos(2\theta)) \sigma_1 + 1/2 (1 - \cos(2\theta)) \sigma_2$$

$$\sigma_n = 1/2 (\sigma_1 + \sigma_2) + 1/2 (\cos(2\theta) \sigma_1 - \cos(2\theta) \sigma_2)$$

$$\sigma_n = 1/2 (\sigma_1 + \sigma_2) + 1/2 (\sigma_1 - \sigma_2) \cos(2\theta)$$

# Scherspannung

$$\sigma_{12}' = -\tau$$

$$\sigma_{11} = \sigma_1$$

$$\sigma_{22} = \sigma_2$$

$$\begin{aligned}\sigma_{12}' &= a_{11} a_{21} \sigma_{11} + a_{11} a_{22} \sigma_{12} + a_{12} a_{21} \sigma_{21} + a_{12} a_{22} \sigma_{22} \\ &= \cos(\theta)(-\sin(\theta)) \sigma_{11} + \sin(\theta)\cos(\theta) \sigma_{22} \\ &\quad (\text{da } \sigma_{12} = \sigma_{21} = 0)\end{aligned}$$

$$-\tau = \cos(\theta)(-\sin(\theta)) \sigma_1 + \sin(\theta)\cos(\theta) \sigma_2$$

umgeformt:  $\sin(\theta)\cos(\theta) = \frac{1}{2} \sin(2\theta)$

$$-\tau = -\frac{1}{2} \sin(2\theta) \sigma_1 + \frac{1}{2} \sin(2\theta) \sigma_2$$

$$\tau = \frac{1}{2} (\sigma_1 - \sigma_2) \sin(2\theta)$$

# $\sigma_n$ - $\tau$ - Koordinatensystem

sei  $\sigma_1 = 55 \text{ MPa}$ ,  $\sigma_2 = 15 \text{ MPa}$ , und  $\theta = 30^\circ$

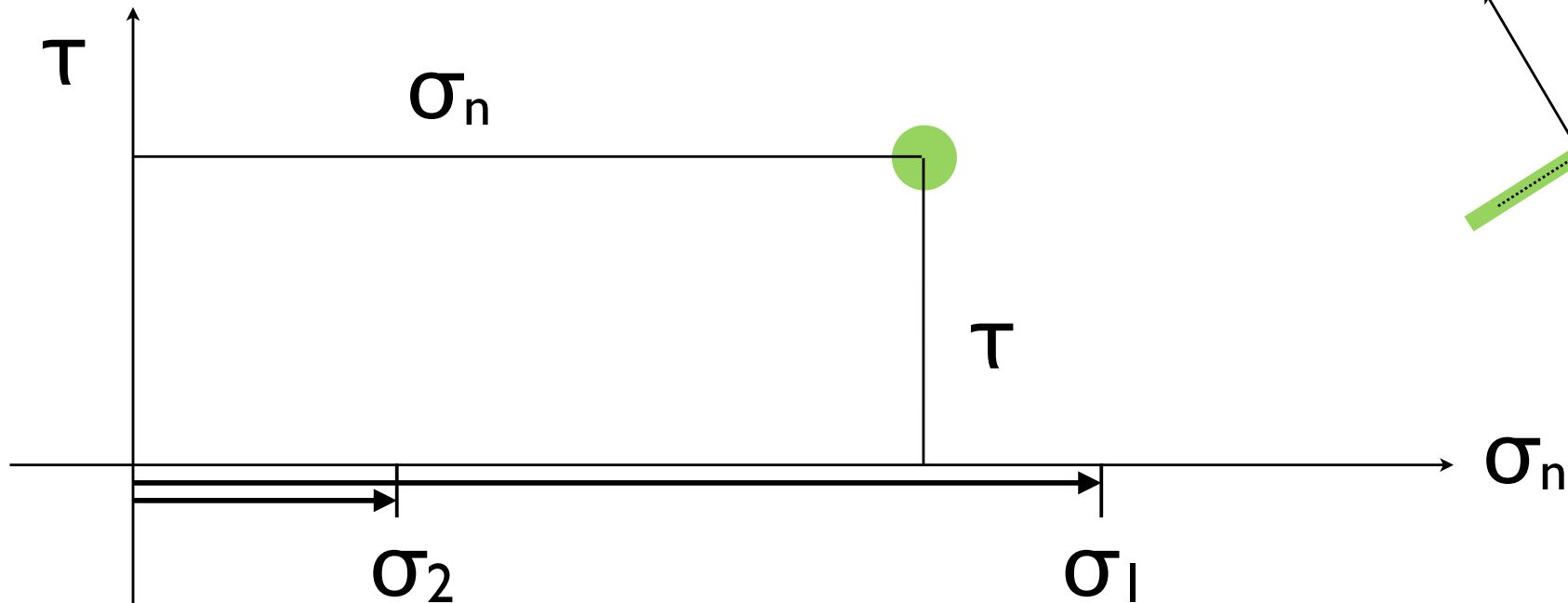


$$\sigma_n = \frac{1}{2} (\sigma_1 + \sigma_2) + \frac{1}{2} (\sigma_1 - \sigma_2) \cos(2\theta)$$

$$\tau = \frac{1}{2} (\sigma_1 - \sigma_2) \sin(2\theta)$$

# Beispiel

sei  $\sigma_1 = 55 \text{ MPa}$ ,  $\sigma_2 = 15 \text{ MPa}$ , und  $\theta = 30^\circ$   
berechne  $\sigma$  und  $\tau$

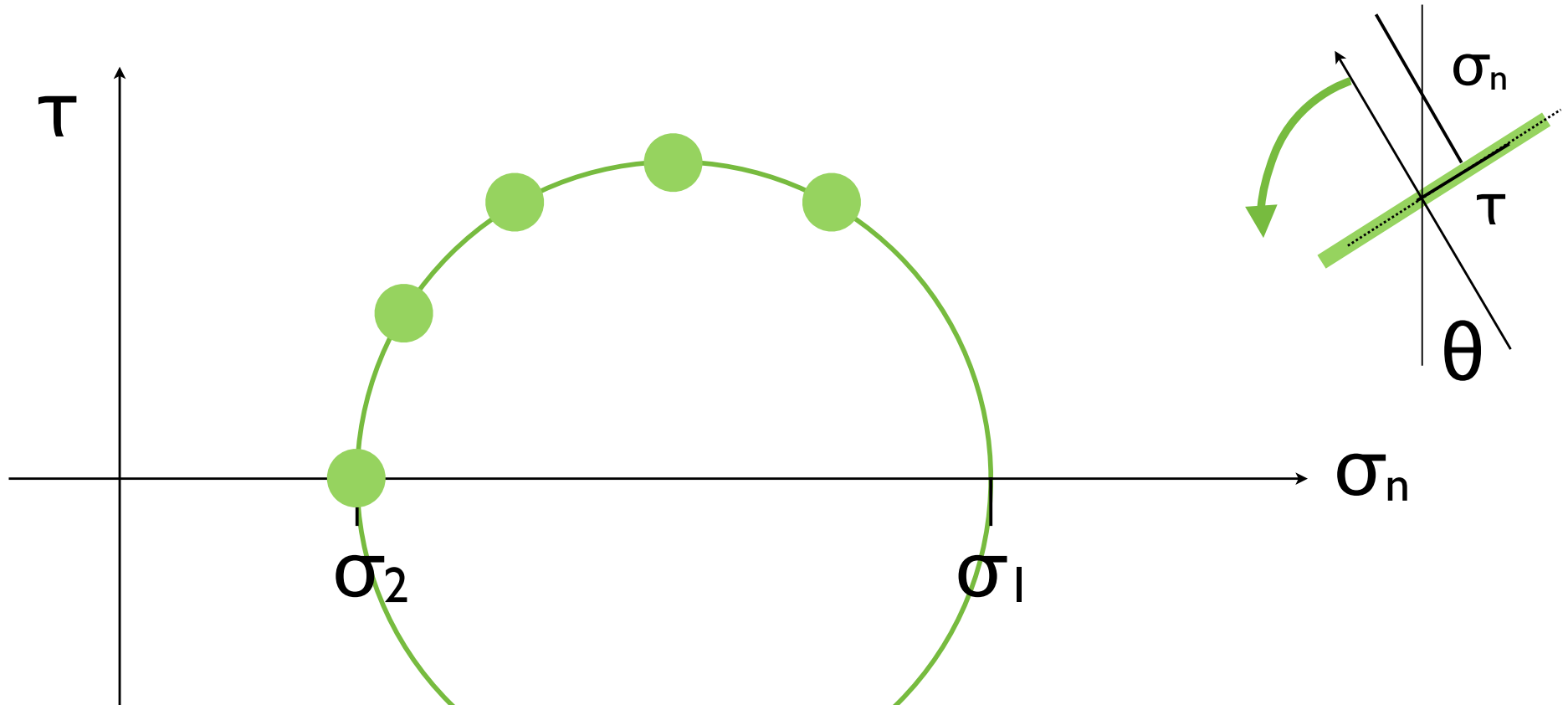


$$\sigma_n = \frac{1}{2} (\sigma_1 + \sigma_2) + \frac{1}{2} (\sigma_1 - \sigma_2) \cos(2\theta)$$

$$\tau = \frac{1}{2} (\sigma_1 - \sigma_2) \sin(2\theta)$$

# weitere Orientierungen

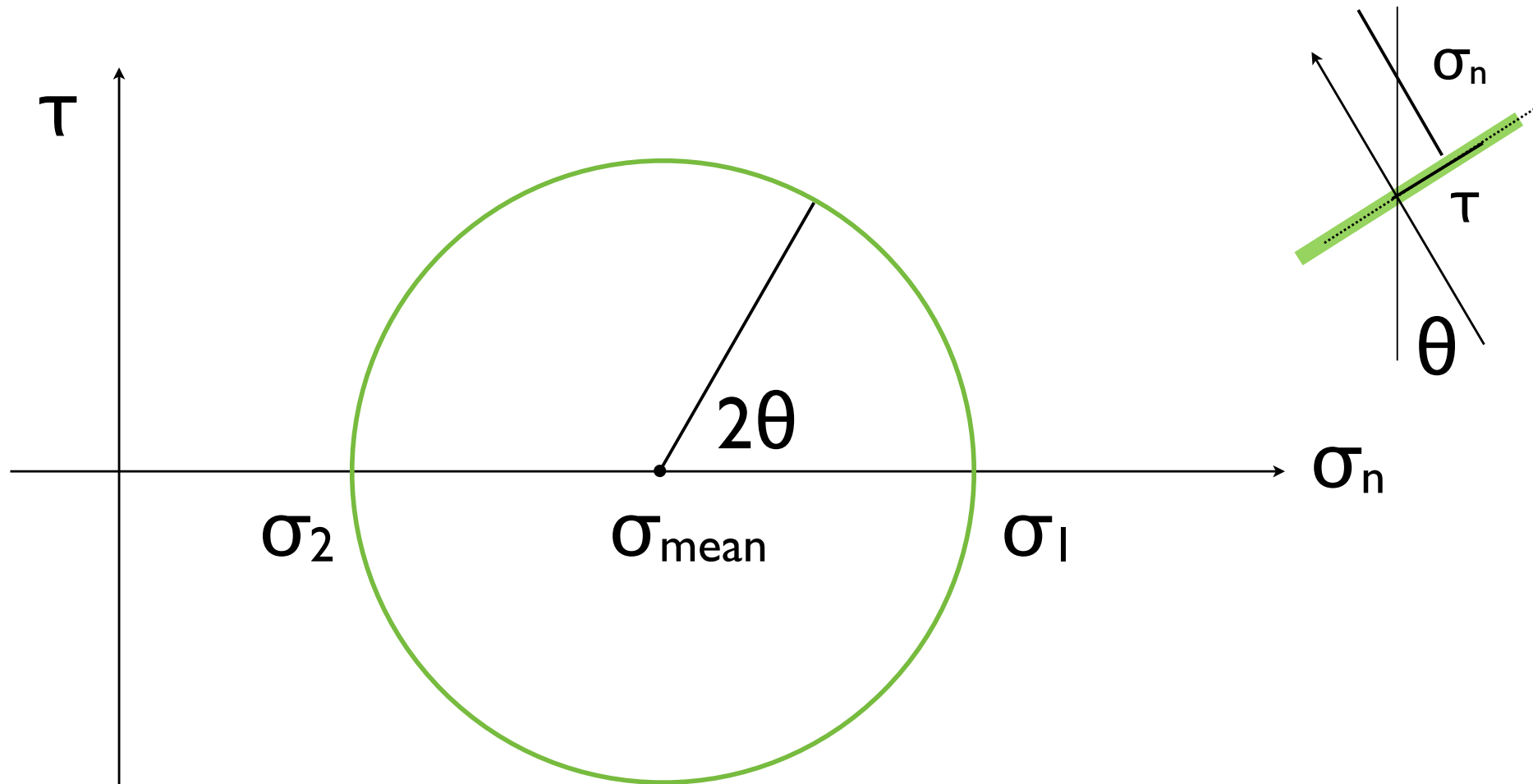
$$\theta = 45^\circ, 60^\circ, 75^\circ, 90^\circ$$



$$\sigma_n = \frac{1}{2} (\sigma_1 + \sigma_2) + \frac{1}{2} (\sigma_1 - \sigma_2) \cos(2\theta)$$

$$\tau = \frac{1}{2} (\sigma_1 - \sigma_2) \sin(2\theta)$$

# Mohr Kreis in 2 Dimensionen

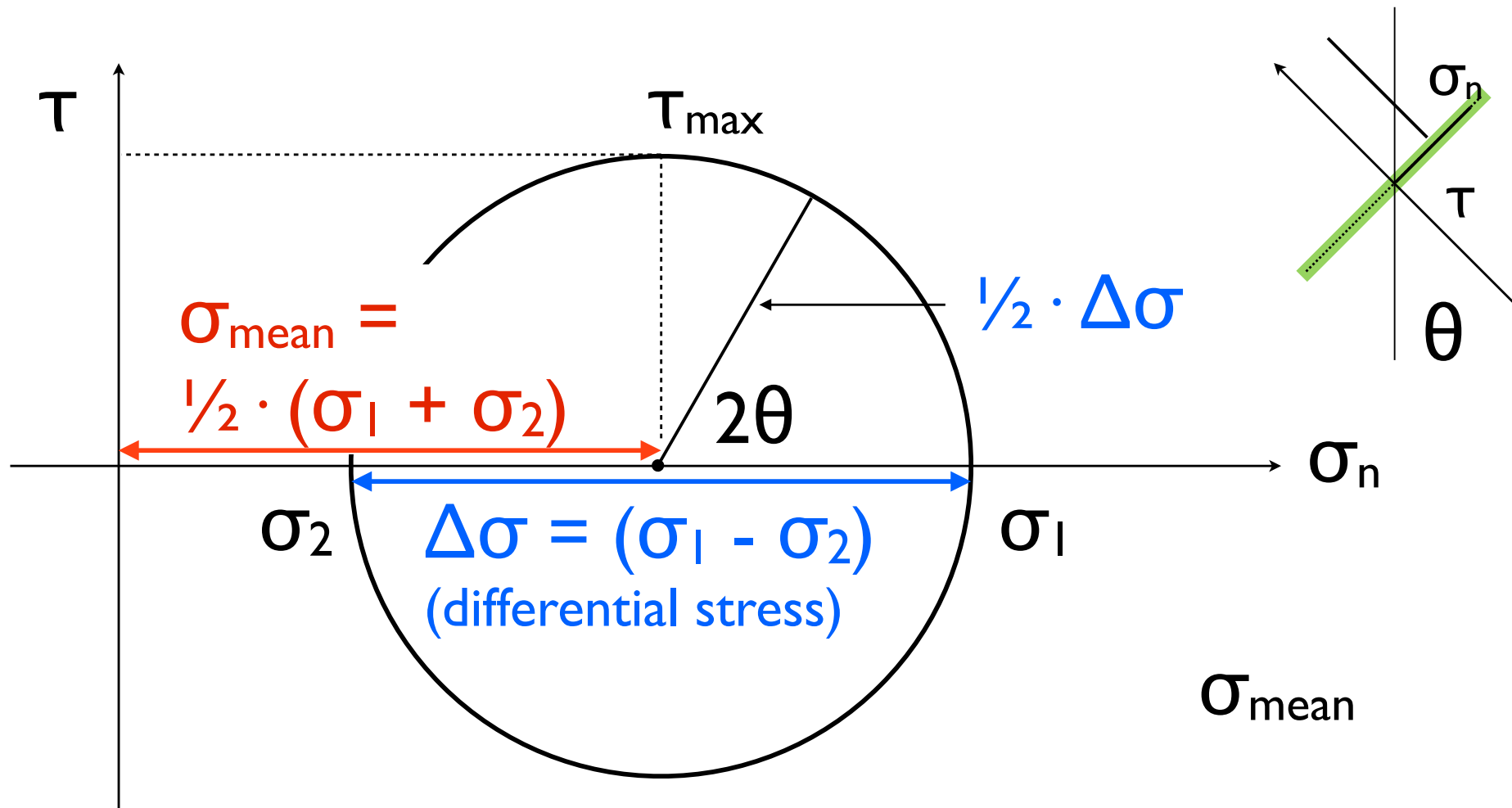


$$\sigma_n = \frac{1}{2} (\sigma_1 + \sigma_2) + \frac{1}{2} (\sigma_1 - \sigma_2) \cos(2\theta)$$

$$\tau = \frac{1}{2} (\sigma_1 - \sigma_2) \sin(2\theta)$$



# Mohr Kreis in 2 Dimensionen



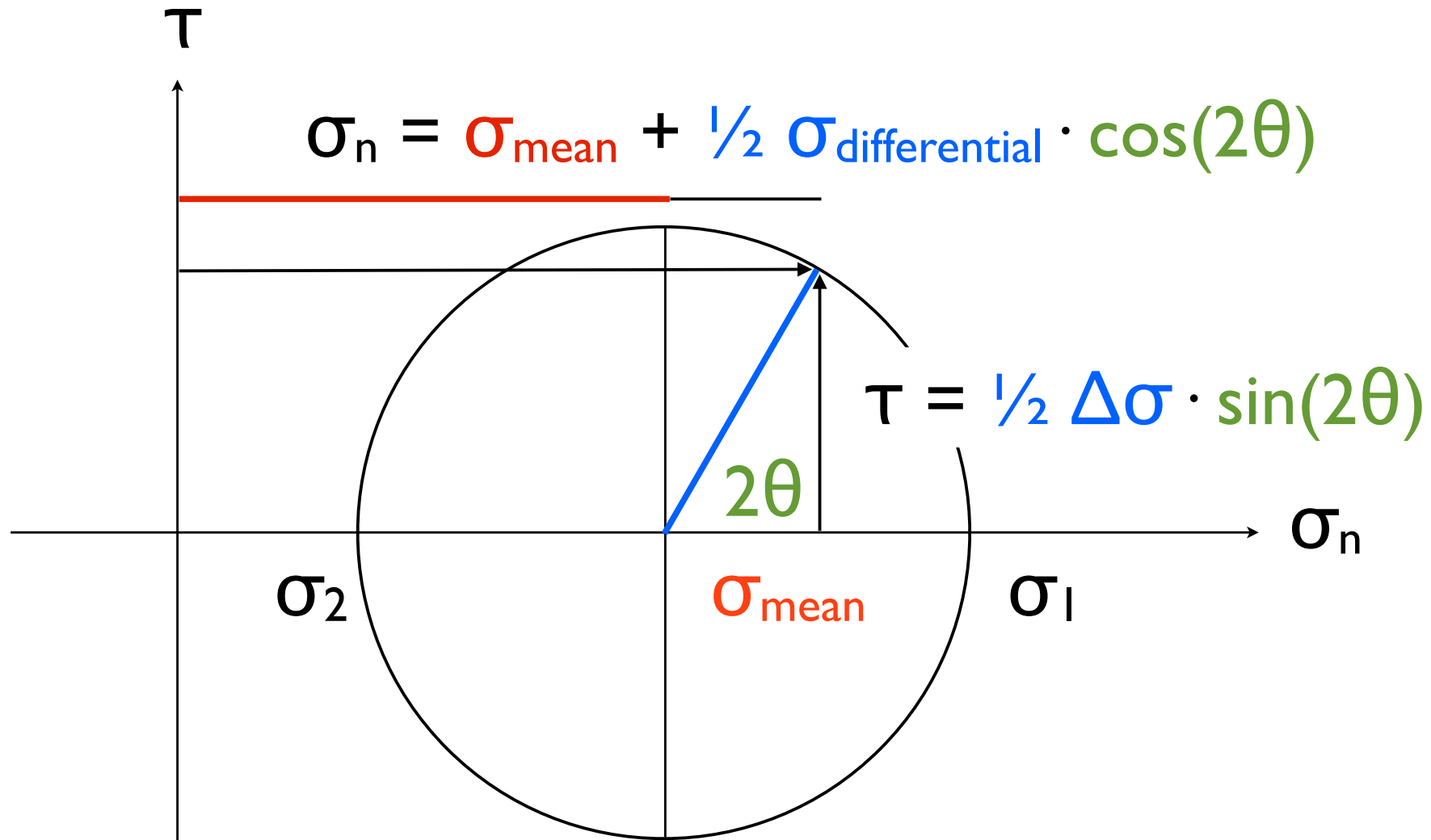
$$\sigma_n = \sigma_{\text{mean}} + \frac{1}{2} \cdot \Delta\sigma \cdot \cos(2\theta)$$

$$\tau = \frac{1}{2} \cdot \Delta\sigma \sin(2\theta)$$

$$\tau_{\text{max}} = \frac{1}{2} \cdot \Delta\sigma$$

bei  $\theta = 45^\circ$

# Mohr Kreis in 2 Dimensionen



$$\sigma_n, \tau = f(\sigma_{\text{mean}}, \Delta\sigma, \theta)$$

$\Delta\sigma$  = differential stress

$$\tau_{\text{max}} = \frac{1}{2} \cdot \Delta\sigma$$

# Spezielle Spannungen

$\sigma > 0$ ,  $\sigma < 0$  compressive stress, tensile stress

$\sigma_1, \sigma_2, \sigma_3$  principal stresses  
 $\sigma_1$  = maximum compressive,  
 $\sigma_3$  = minimum compressive or tensile

$\sigma_{\text{mean}}$   $\frac{1}{3} \sigma_{ii} = \frac{1}{3} (\sigma_1 + \sigma_2 + \sigma_3) = \text{mean stress}$

$\rho \cdot g \cdot h$  lithostatic stress =  $\sigma_{\text{mean}} \neq \sigma_3$

$\Delta\sigma = \sigma_1 - \sigma_3$  differential stress  $\neq$  deviatoric stress

$\tau_{\text{max}}$  =  $\frac{1}{2} \Delta\sigma = \text{maximum shear stress}$

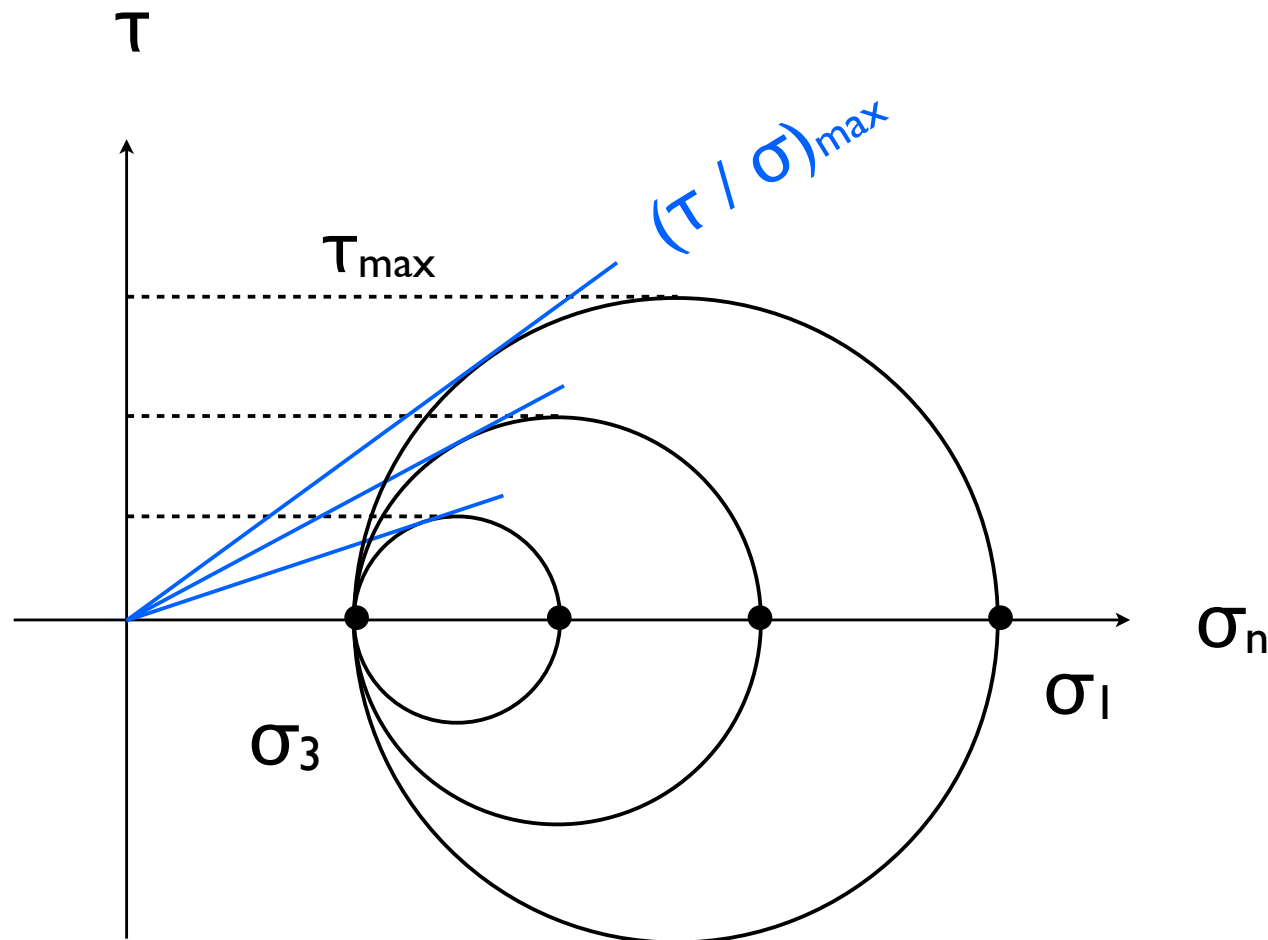
$S_1, S_2, S_3$  deviatoric stress

# Mohr'sche Brüche

# Scher- versus Normalspannung

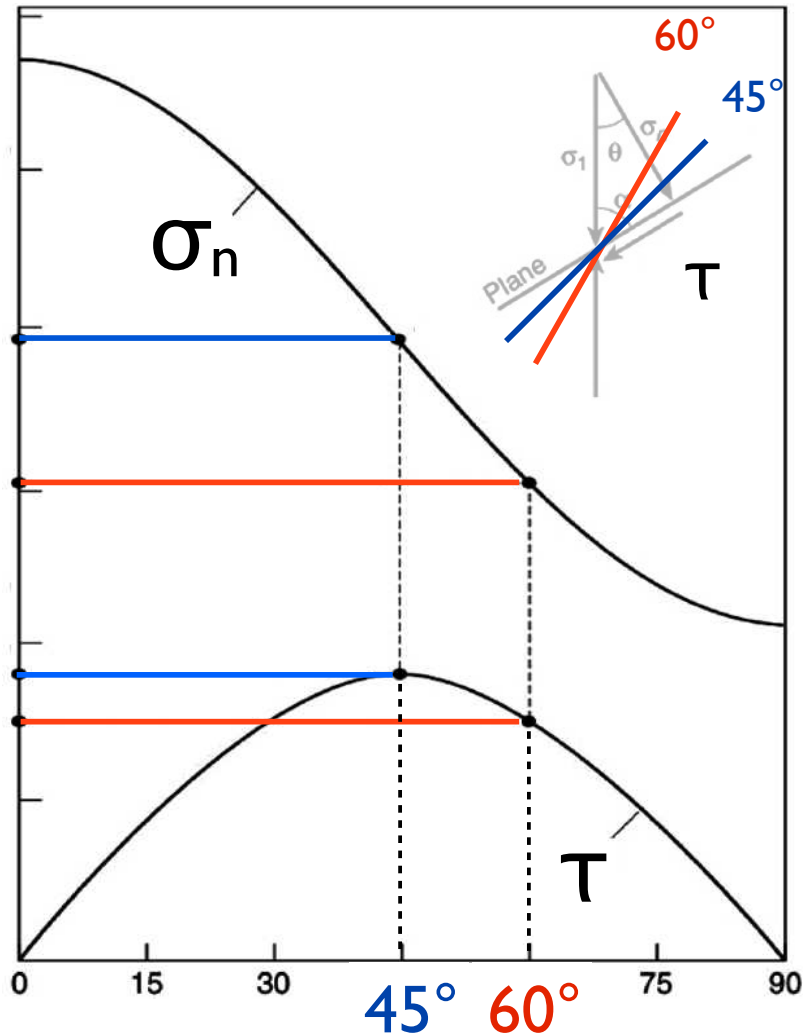
maximale Scherspannung:  $\tau_{\max}$

$\neq$  maximales Verhältnis:  $(\tau / \sigma)_{\max}$



# Scher- versus Normalspannung

$\sigma_1$

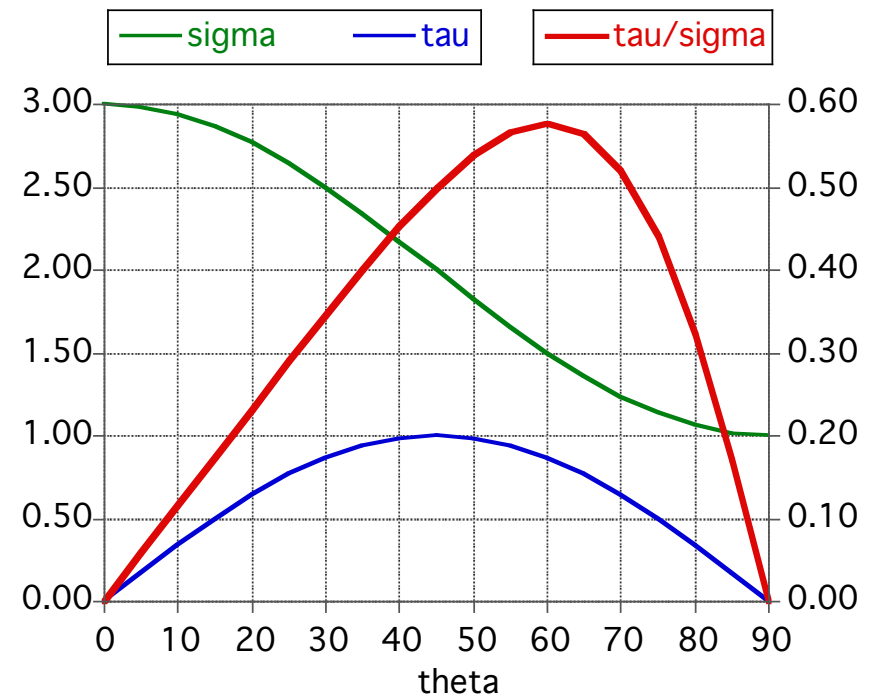


maximum stress ratio  $\tau/\sigma$

$$\sigma = (\sigma_1 + \sigma_3) + (\sigma_1 - \sigma_3) \cdot \cos(\theta)$$

$$\tau = (\sigma_1 - \sigma_3) \cdot \sin(\theta)$$

$\sigma_3$

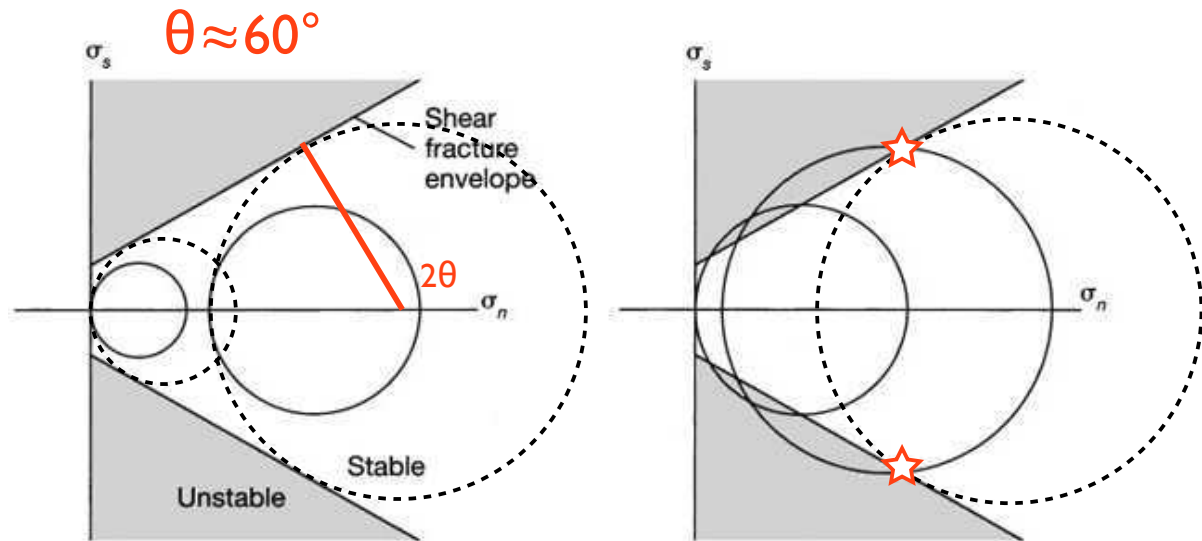


# Stabilitätsbereich

Kompression  $\Rightarrow$

Scherbruch

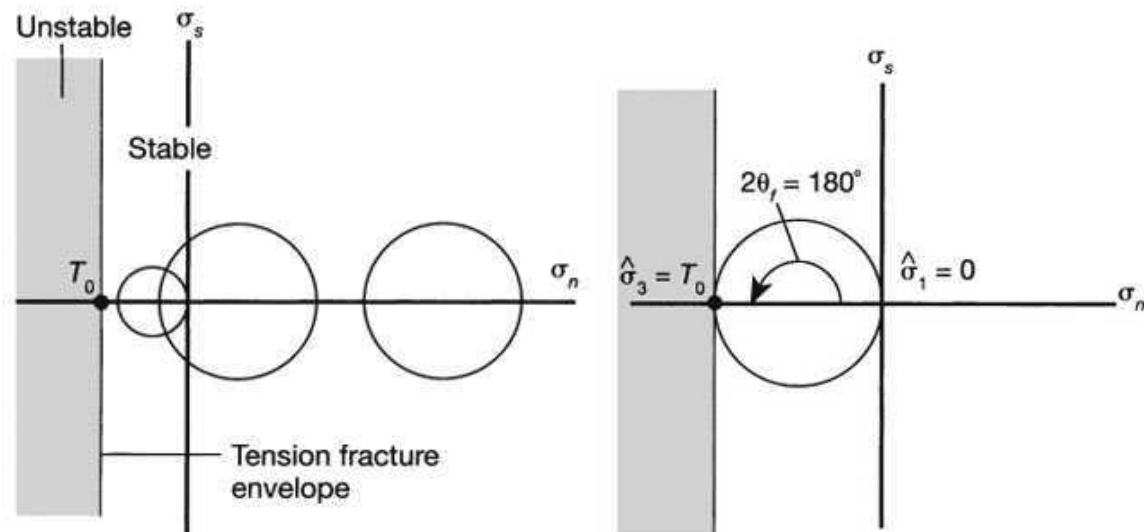
$\theta \approx 60^\circ$  bedeutet  
Bruchfläche  $30^\circ$  zu  $\sigma_1$



Tension  $\Rightarrow$

Extensionsions-  
bruch (Kluft)

$\theta \approx 90^\circ$  bedeutet  
Bruchfläche  $// \sigma_1$

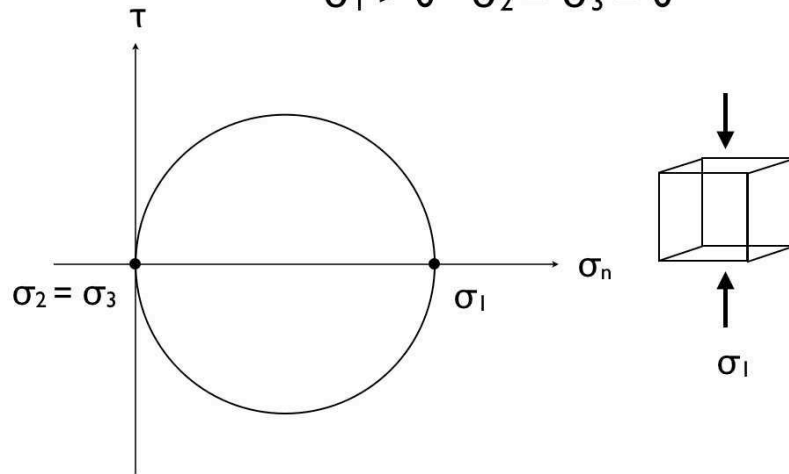


# Spannungszustände

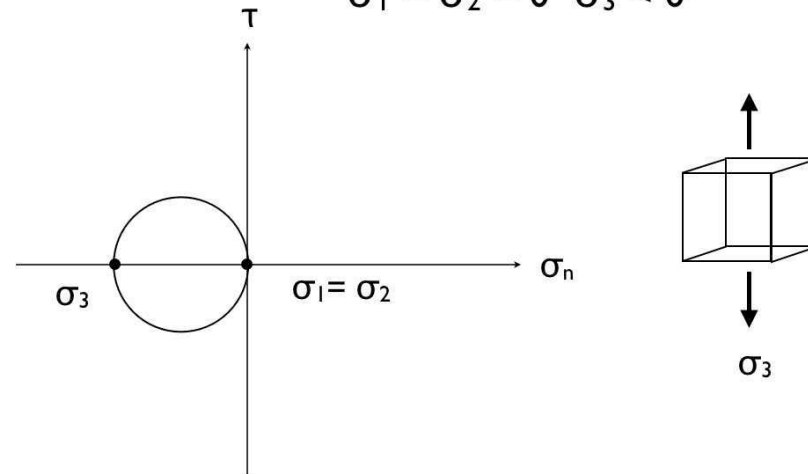


# Spannungszustände

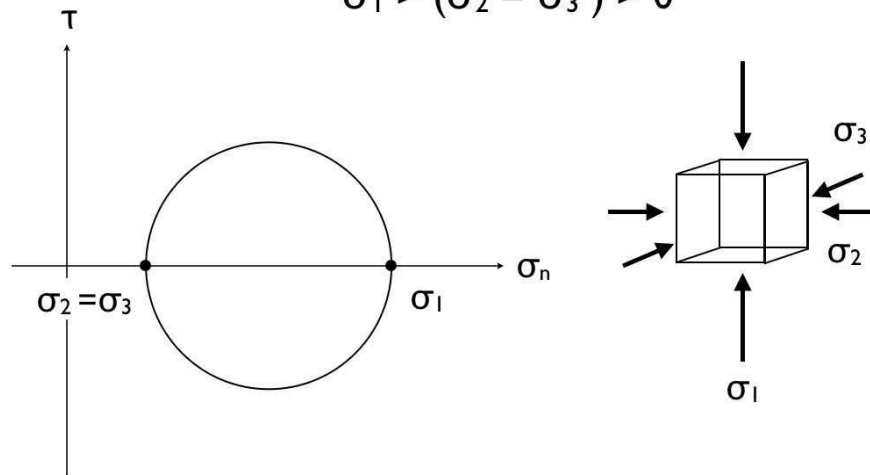
uniaxiale Kompression:  
 $\sigma_1 > 0 \quad \sigma_2 = \sigma_3 = 0$



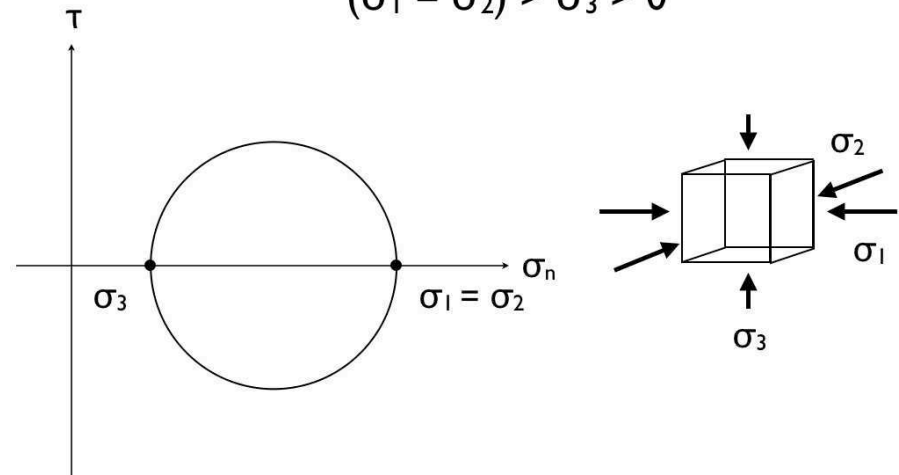
uniaxiale Tension:  
 $\sigma_1 = \sigma_2 = 0 \quad \sigma_3 < 0$



axiale Kompression:  
 $\sigma_1 > (\sigma_2 = \sigma_3) > 0$



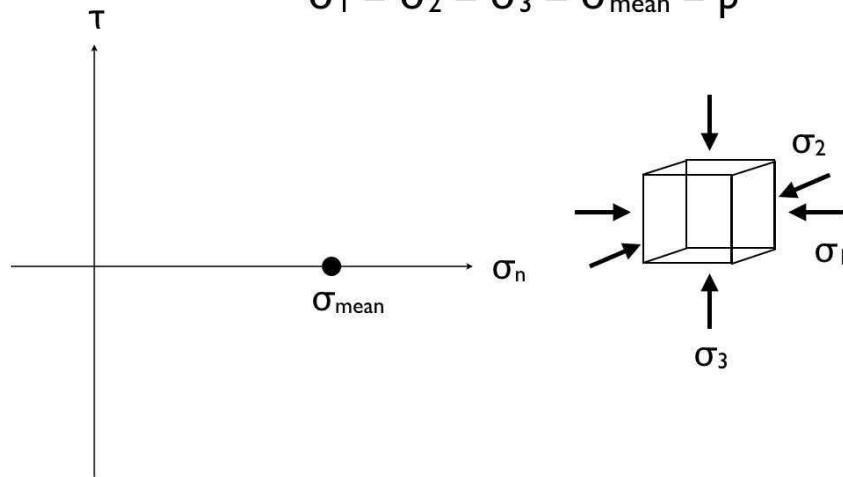
axiale Extension ( $\neq$  Tension):  
 $(\sigma_1 = \sigma_2) > \sigma_3 > 0$



# Spannungszustände

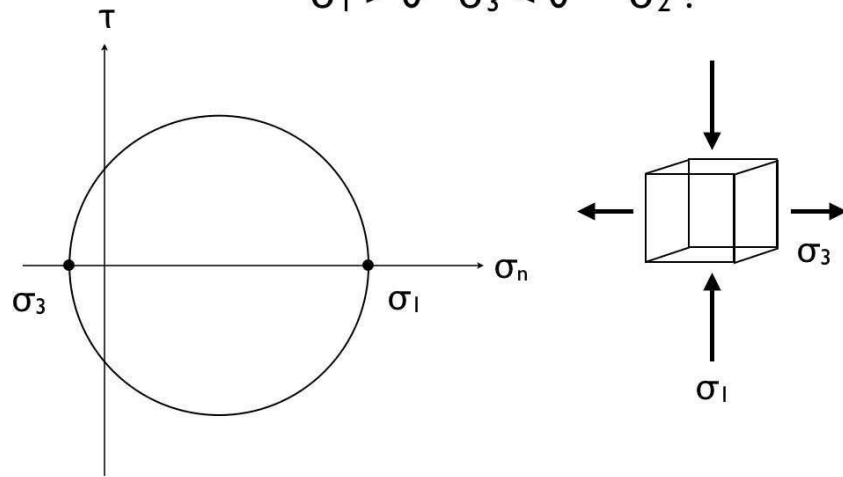
hydrostatischer Druck:

$$\sigma_1 = \sigma_2 = \sigma_3 = \sigma_{\text{mean}} = p$$



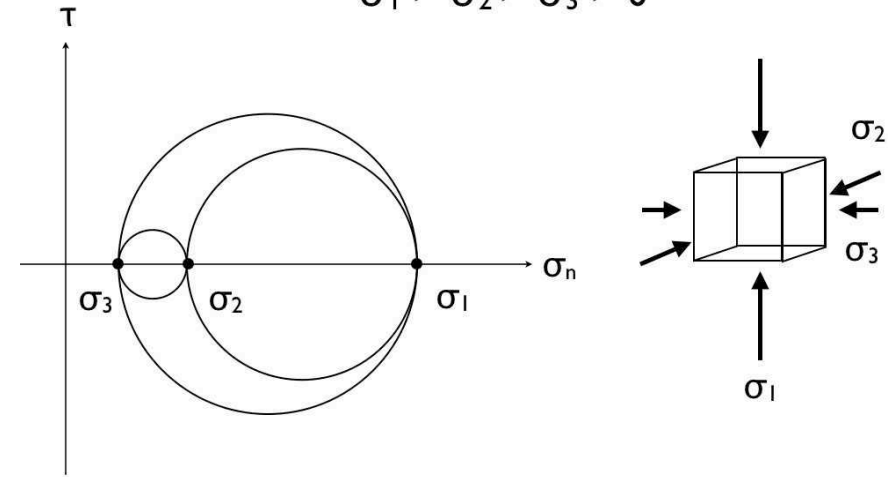
allgemeiner Spannungszustand:

$$\sigma_1 > 0 \quad \sigma_3 < 0 \quad \sigma_2 ?$$

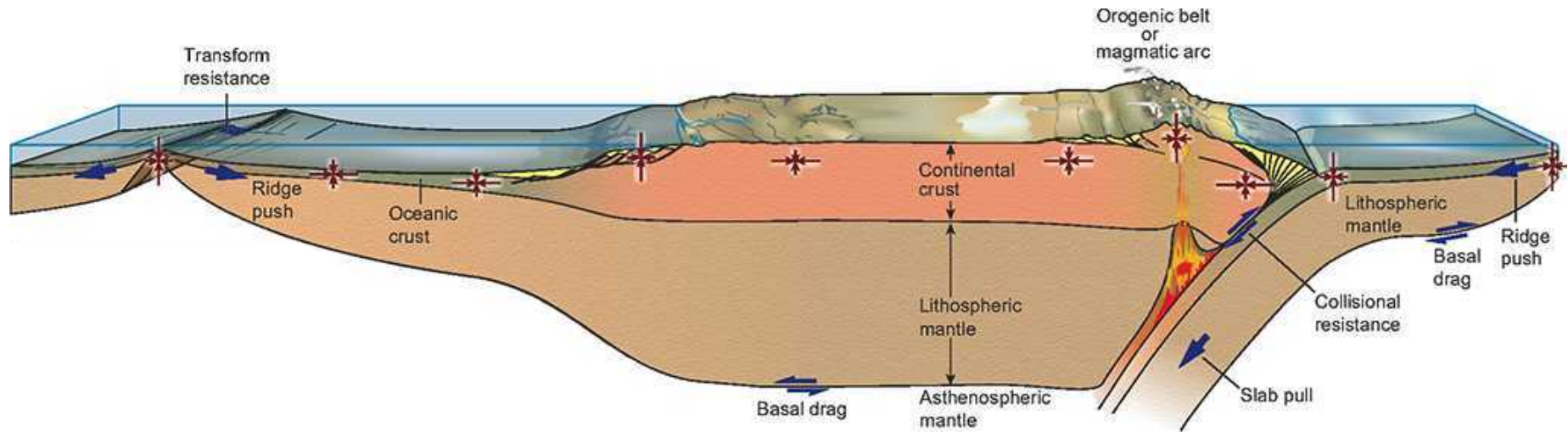


allgemeiner Spannungszustand:

$$\sigma_1 > \sigma_2 > \sigma_3 > 0$$



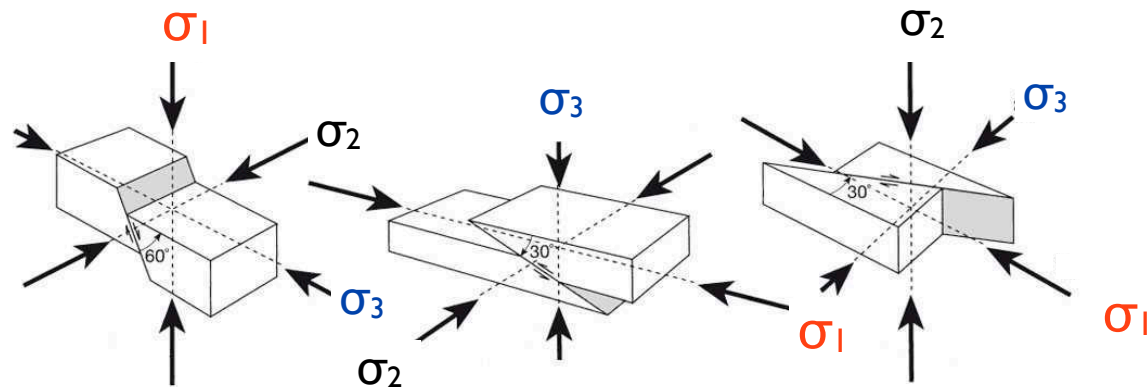
# Spannungszustände



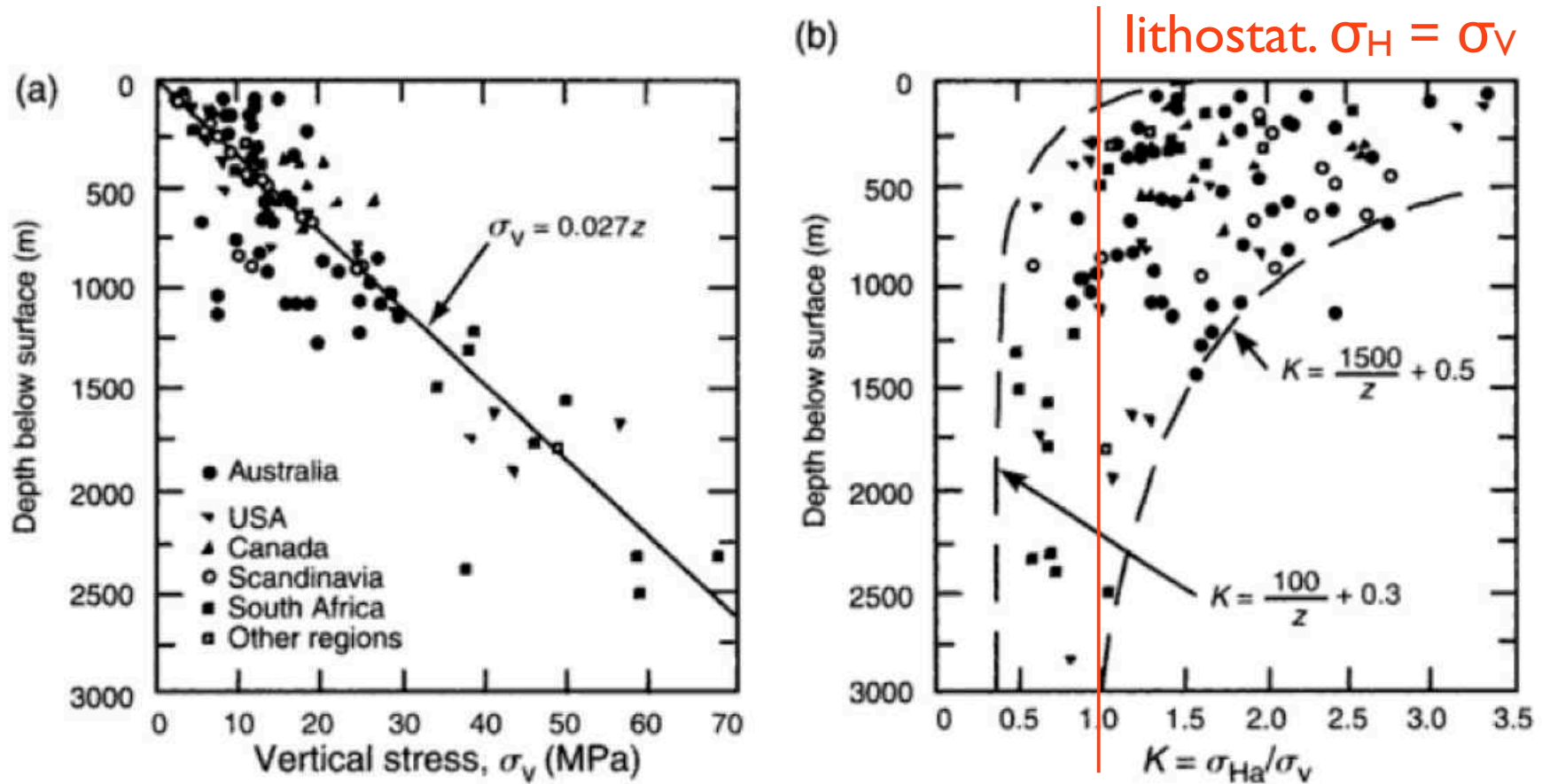
normal - fault  
stress regime  
 $\sigma_1 = \text{vertikal}$

reverse - fault  
stress regime  
 $\sigma_1 = \text{horizontal}$

strike slip  
stress regime  
 $\sigma_1 = \text{horizontal}$



# Horizontale / vertikale Spannung



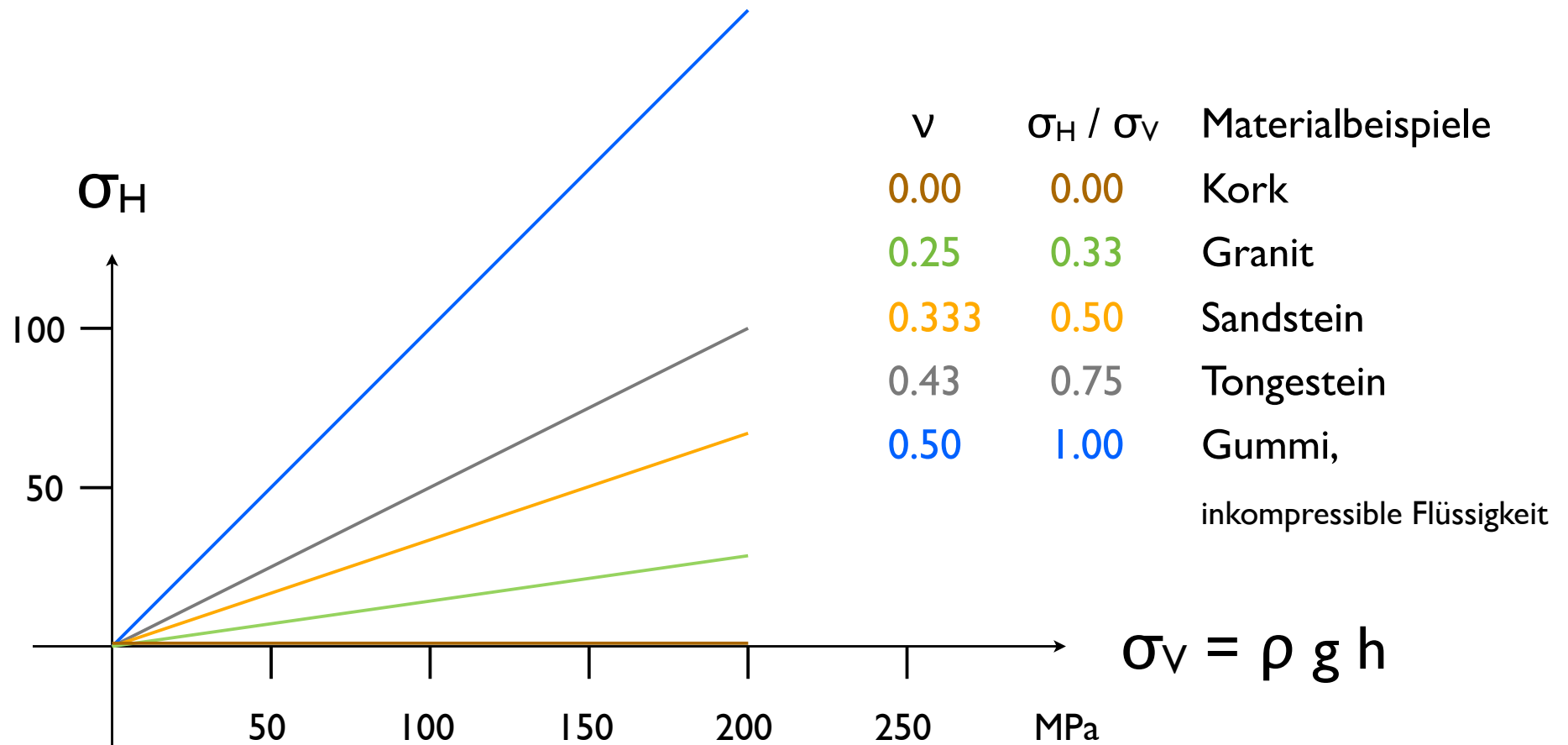
$$\sigma_v = \rho g h$$

$$\sigma_H = K \cdot \sigma_v$$

**Fig 6.26** Variation of the stress components to depths of 3 km from *in-situ* measurements. (a) Vertical normal stress. (b) Horizontal normal stress normalized by vertical stress. Reprinted from Brown and Hoek (1978) with permission of Elsevier.

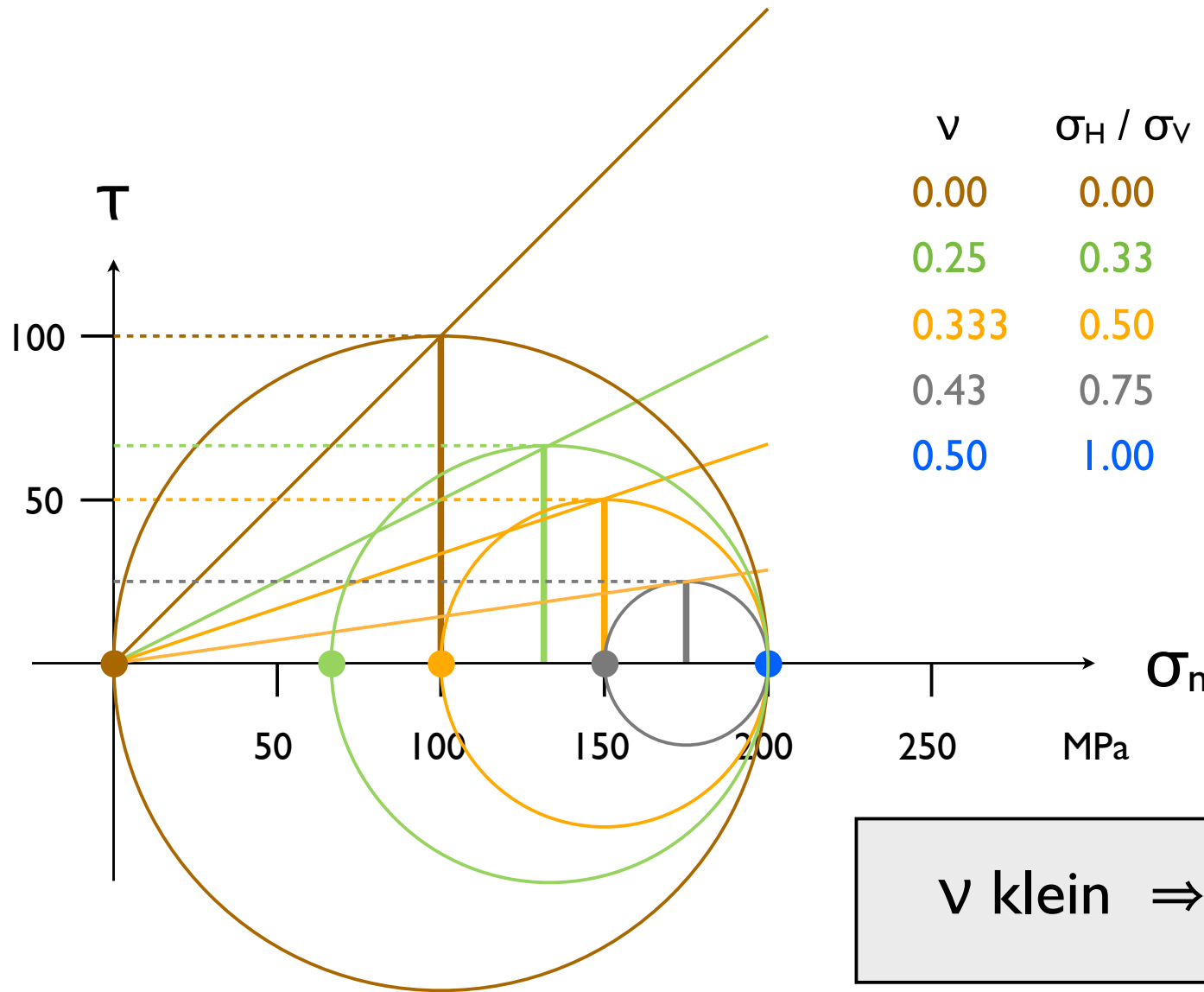
Pollard & Fletcher (2005)

# Einfluss der Poissonzahl



$$\sigma_H = K \cdot \sigma_V = \frac{\nu}{(1-\nu)} \cdot \sigma_V$$

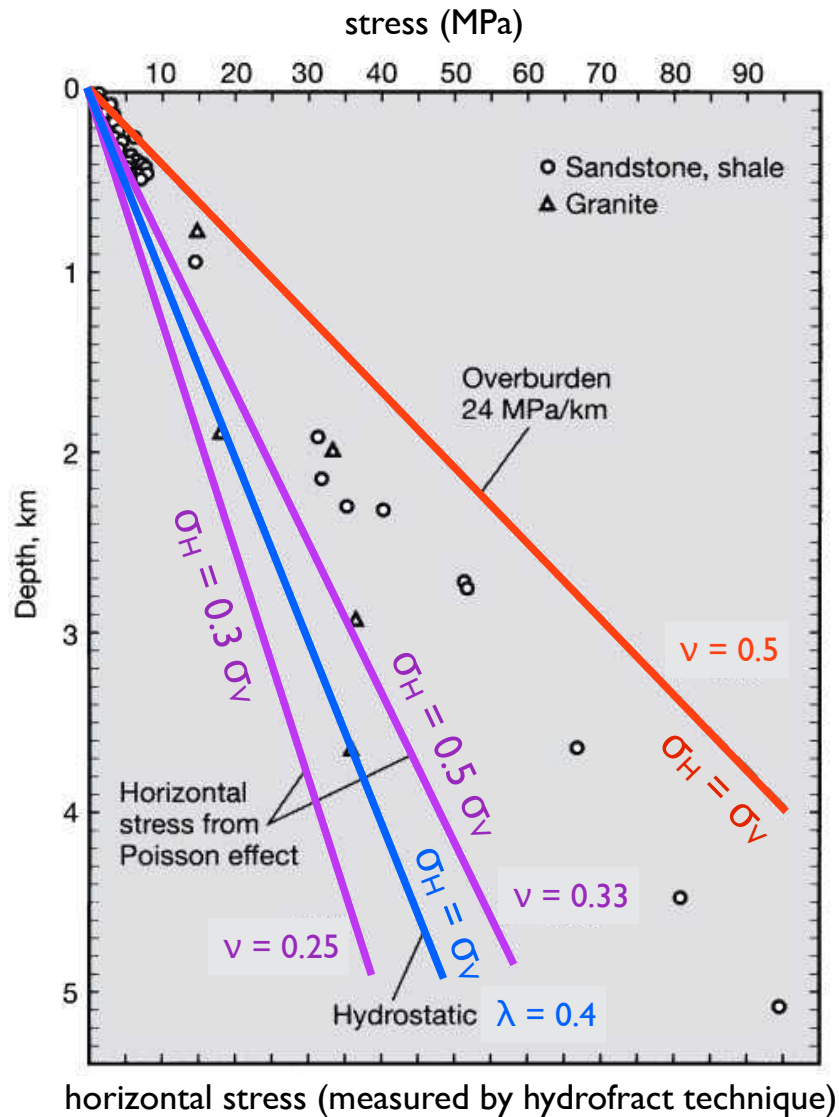
# Effekt der Poissonzahl im Mohr Kreis



$\nu$	$\sigma_H / \sigma_V$	$\Delta\sigma / \sigma_V$	$\tau_{\max} / \sigma_V$
0.00	0.00	1.00	0.50
0.25	0.33	0.67	0.33
0.333	0.50	0.50	0.25
0.43	0.75	0.25	0.125
0.50	1.00	0.00	0.00

$\nu$  klein  $\Rightarrow \Delta\sigma$  gross

# Standard State



Twiss & Moores (2007)

standard state

$$\sigma_V = \rho \cdot g \cdot z$$

$$\sigma_H = K \cdot \rho \cdot g \cdot z = K \cdot \sigma_V$$

$$\sigma_H \neq \sigma_V$$

= state of perfect confinement:

$$\sigma_H = K \cdot \sigma_V$$

$$K = \frac{\nu}{(1-\nu)}$$

$\nu$	$\sigma_H / \sigma_V$	
0.333	0.50	sandstone
0.25	0.33	granite

$\neq$  lithostatic pressure =  $\rho \cdot g \cdot z$  ( $\rho = 2500$ )

$$\sigma_H = \sigma_V$$

hydrostatic pressure =  $g \cdot z$  ( $\rho = 1000$ )

$$\lambda = g z / \rho g z \approx 0.40$$

$$\sigma_H = \sigma_V$$

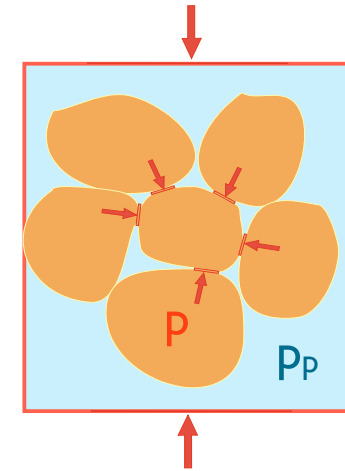
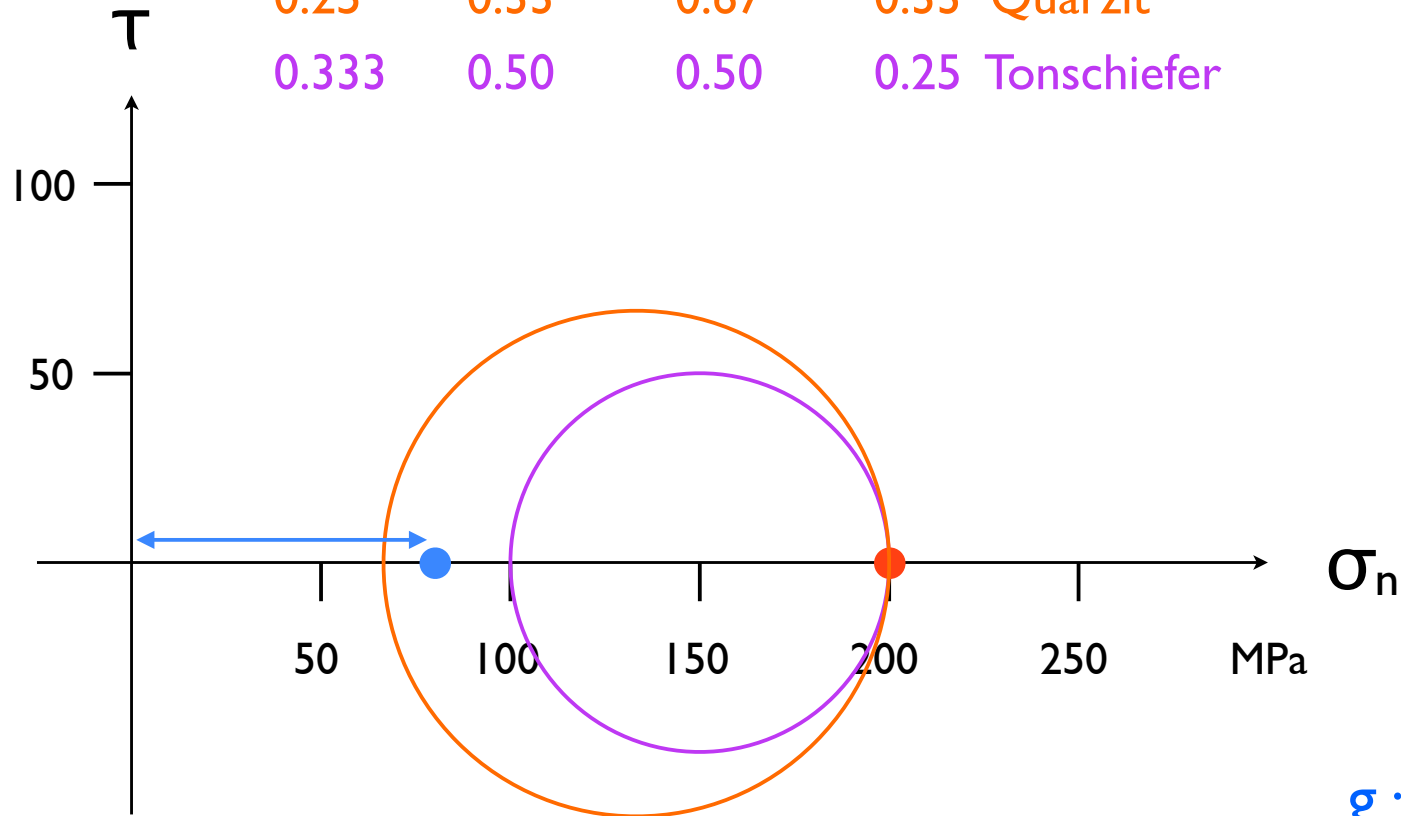
# Porendruckeffekt

$$(\sigma_1)_{\text{eff}} = \sigma_1 - p_p$$

$$(\sigma_3)_{\text{eff}} = \sigma_3 - p_p$$

$$\rho_{\text{eff}} = \rho - \rho_p$$

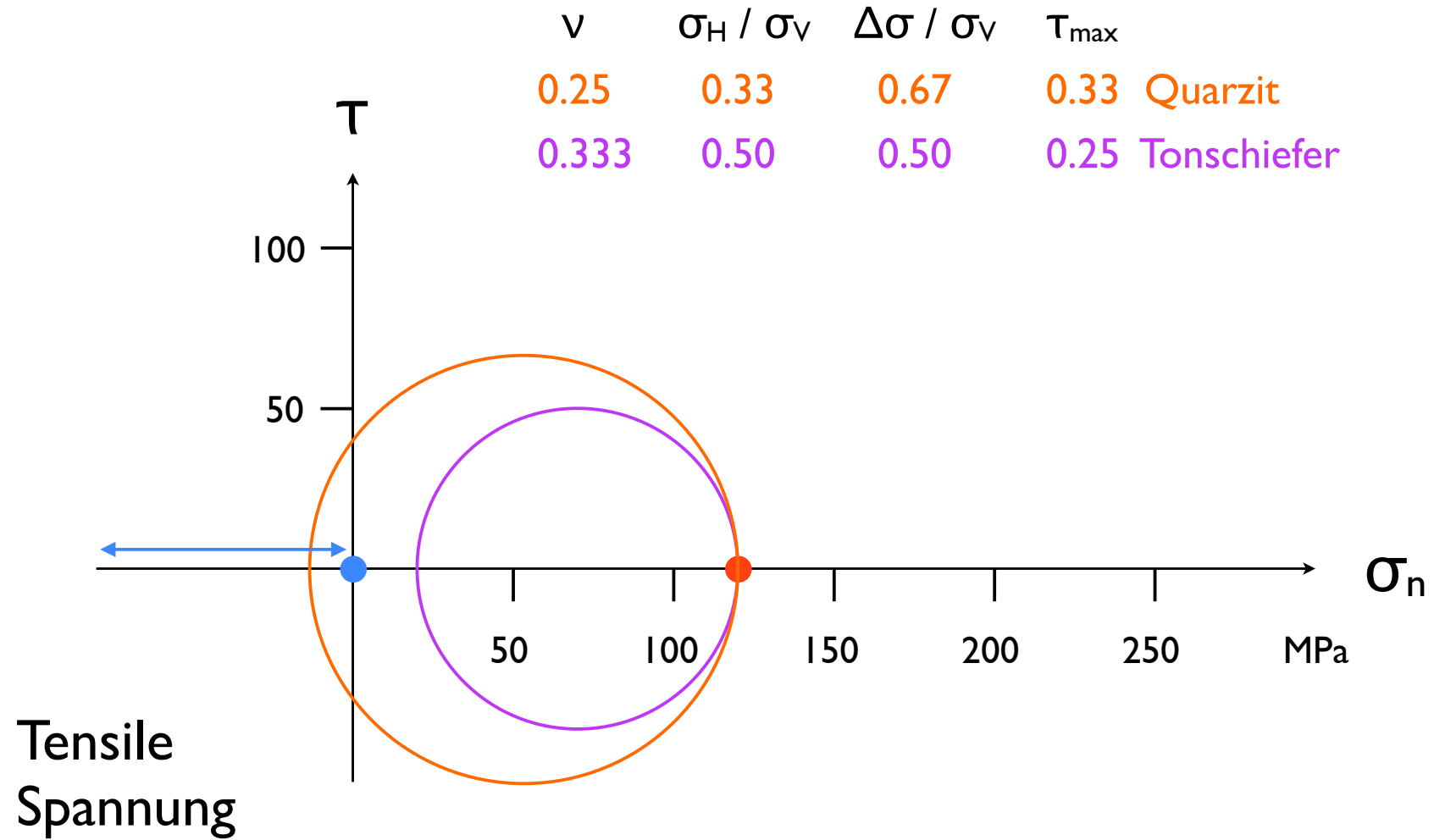
$\nu$	$\sigma_H / \sigma_V$	$\Delta\sigma / \sigma_V$	$\tau_{\text{max}}$	
0.25	0.33	0.67	0.33	Quarzit
0.333	0.50	0.50	0.25	Tonschiefer



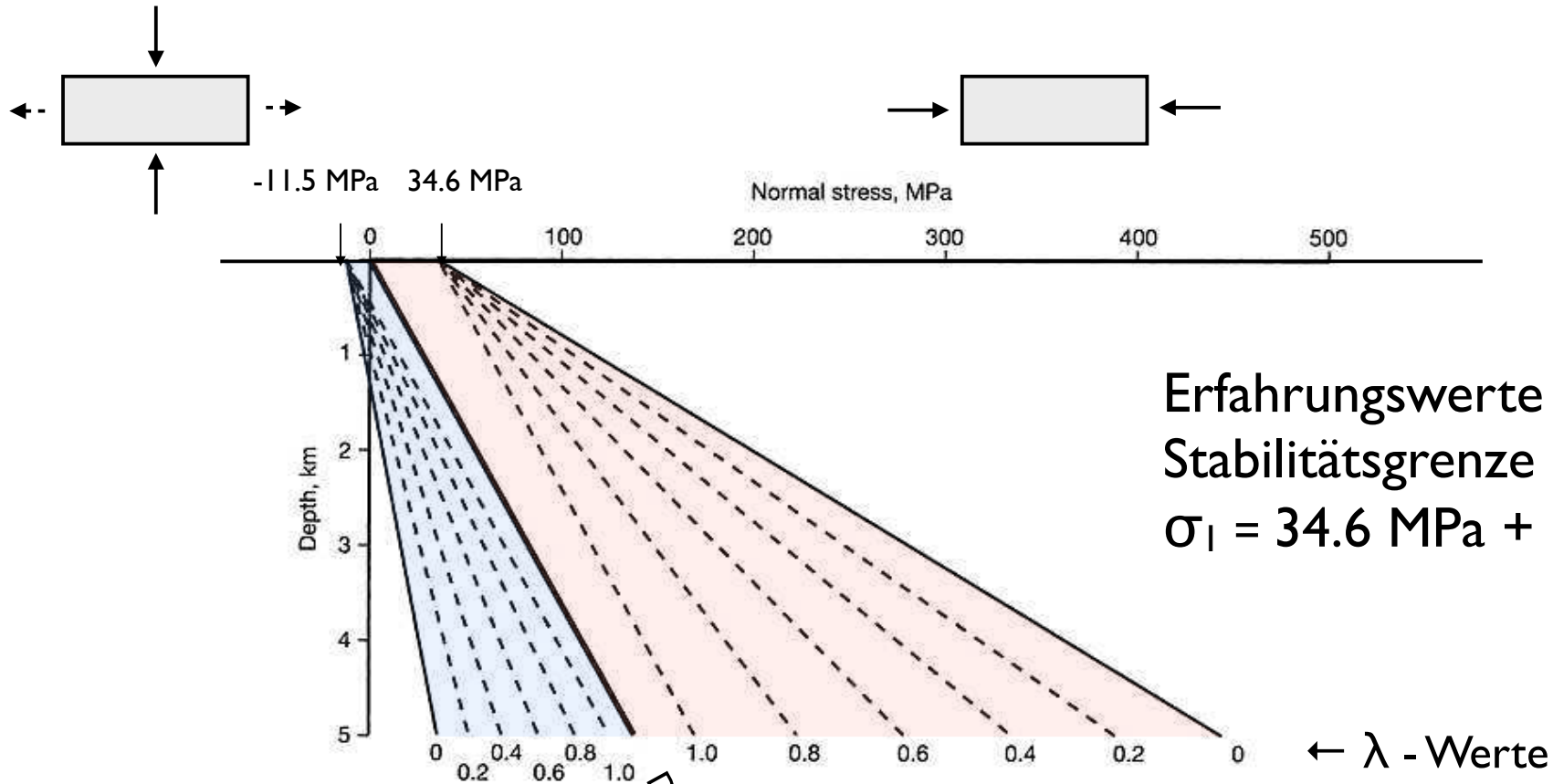
$$\lambda = \frac{g \cdot z}{\rho \cdot g \cdot z} = 0.40$$



# Porendruckeffekt



# Porendruck - Stabilitätsgrenze



Erfahrungswerte für  
Stabilitätsgrenze  
 $\sigma_1 = 34.6 \text{ MPa} + 3 \cdot \sigma_3$

horizontale Extension  
minimale Spannung  $\sigma_H (= \sigma_3)$   
für  $\sigma_V = \sigma_1 = \sigma_{\max}$

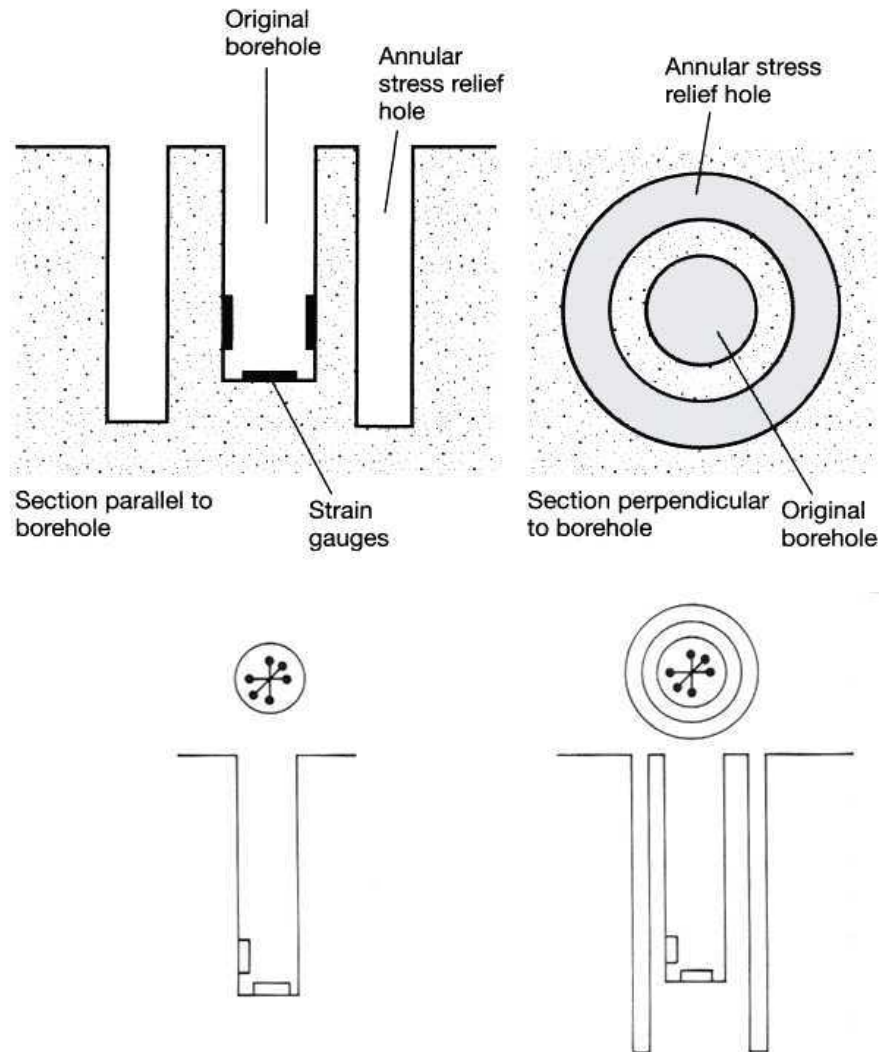
horizontale Kompression  
maximale Spannung  $\sigma_H (= \sigma_1)$   
für  $\sigma_H = \sigma_1 = \sigma_{\max}$

Twiss & Moores (2007)

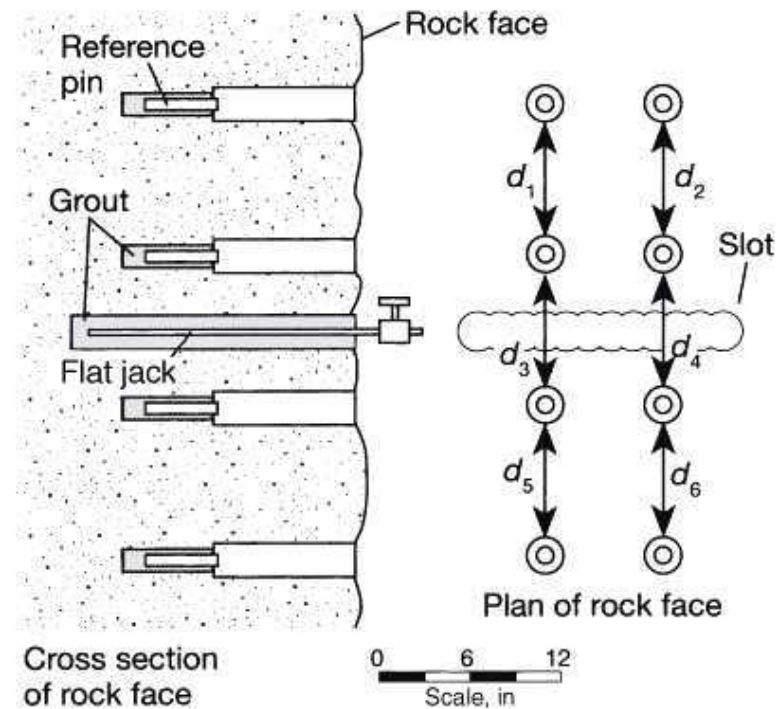
# Spannungsmessungen

# Spannungsmessung

## Overcoring

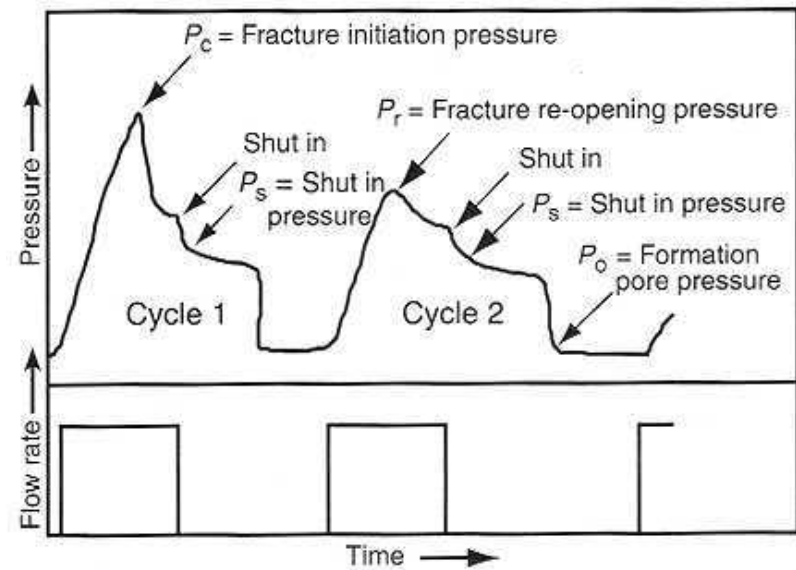
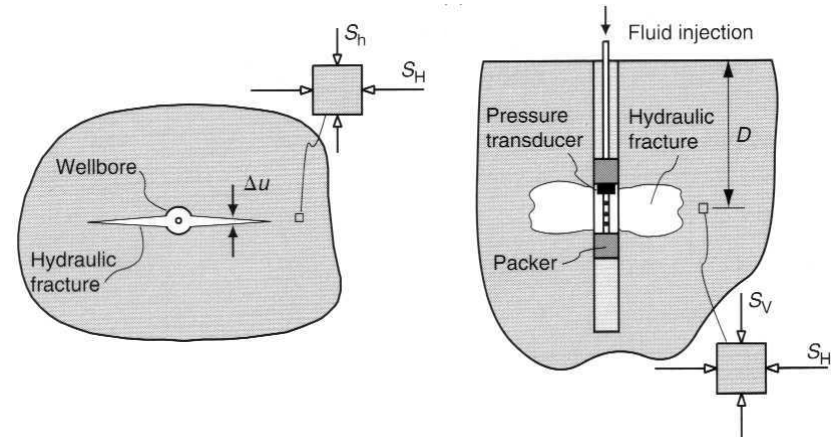
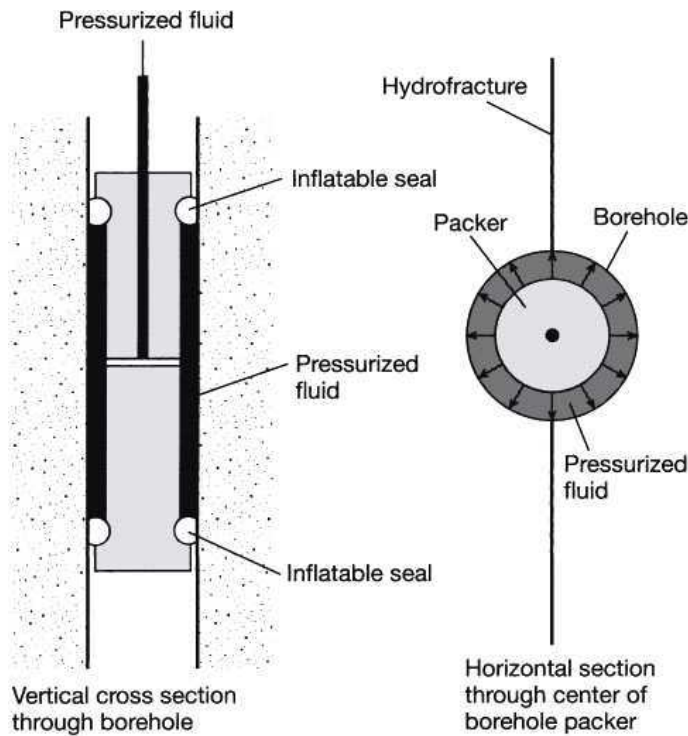


## Flatjack technique



# Spannungsmessung

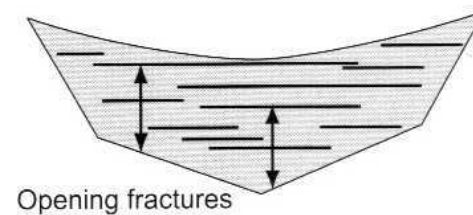
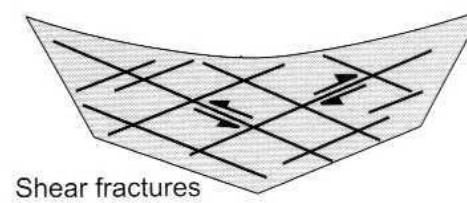
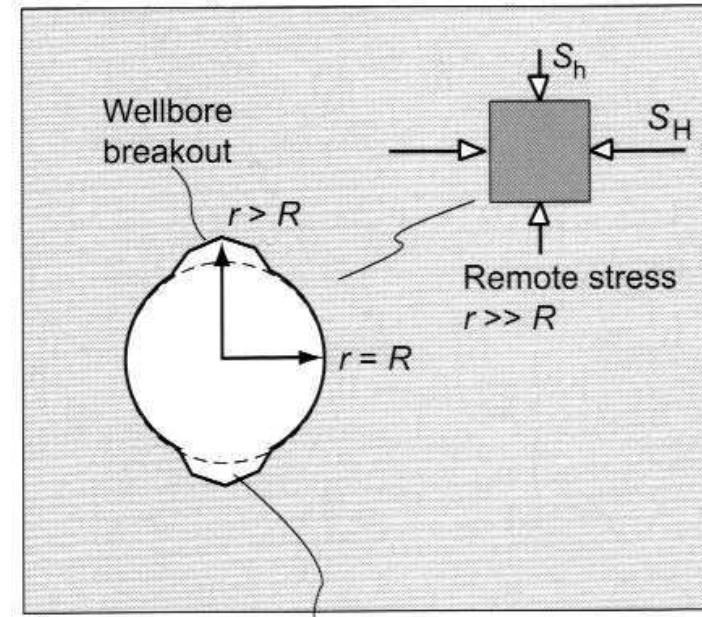
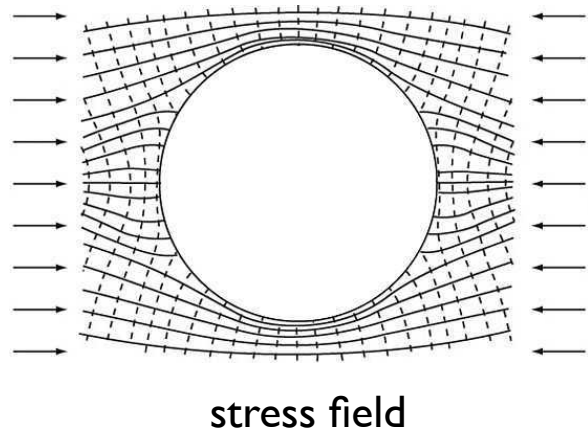
## Hydraulic fracturing (hydrofracturing)



# Spannungsmessung

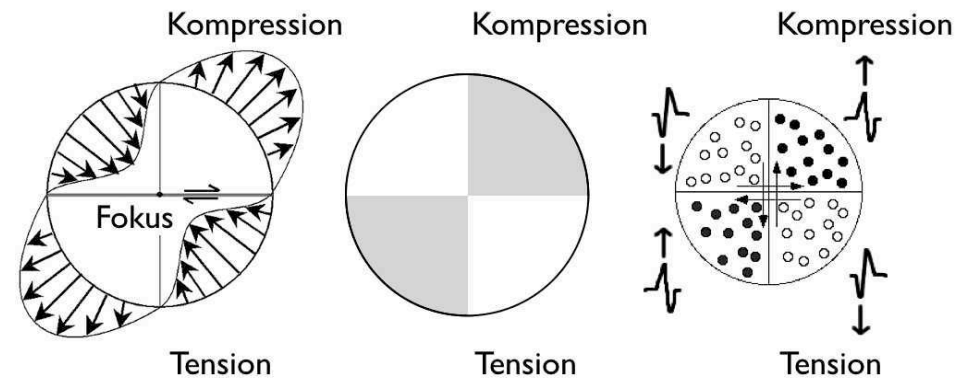
## Borehole breakout

$S_H$  max. horizontal  
 $S_h$  min. horizontal

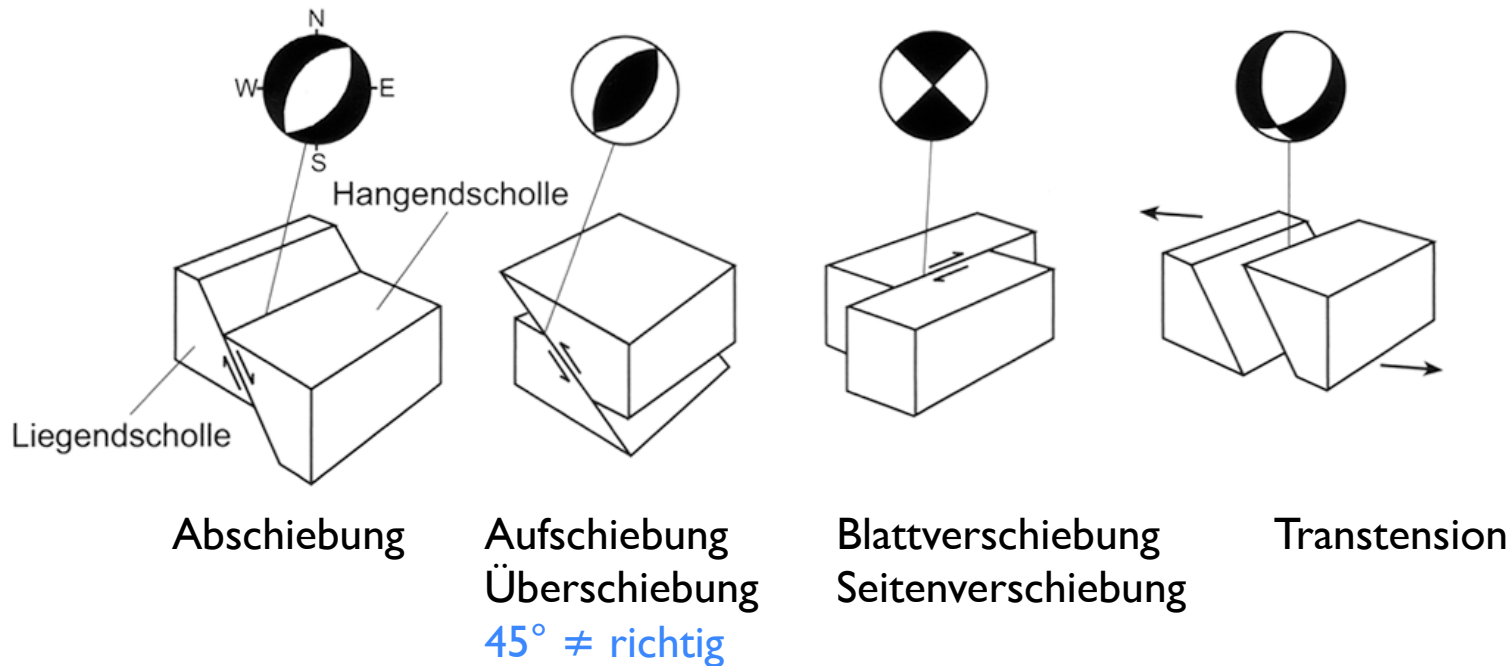


# Spannungsmessung

## First motion analysis

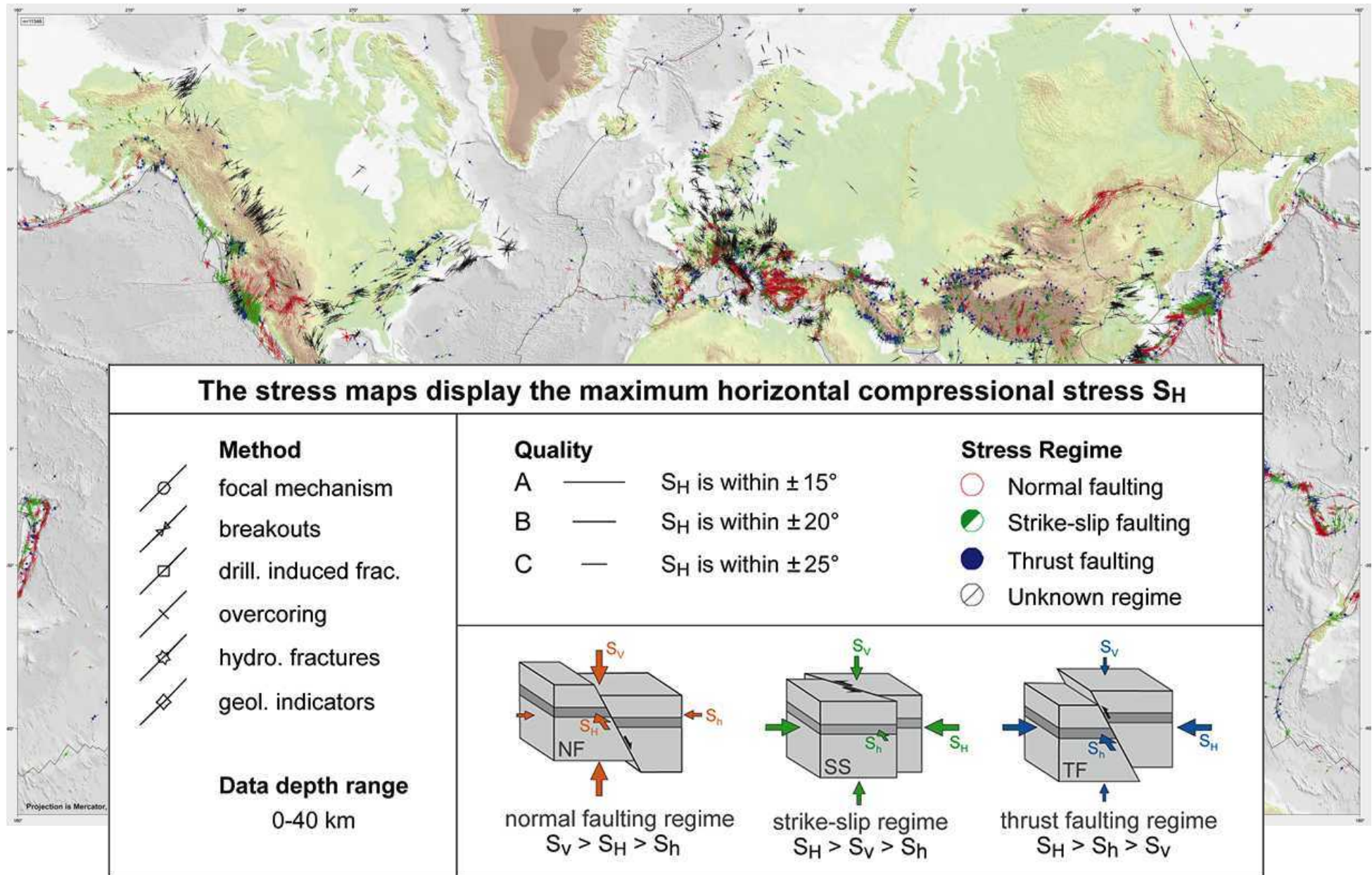


## Erdbeben - Herdflächenlösung



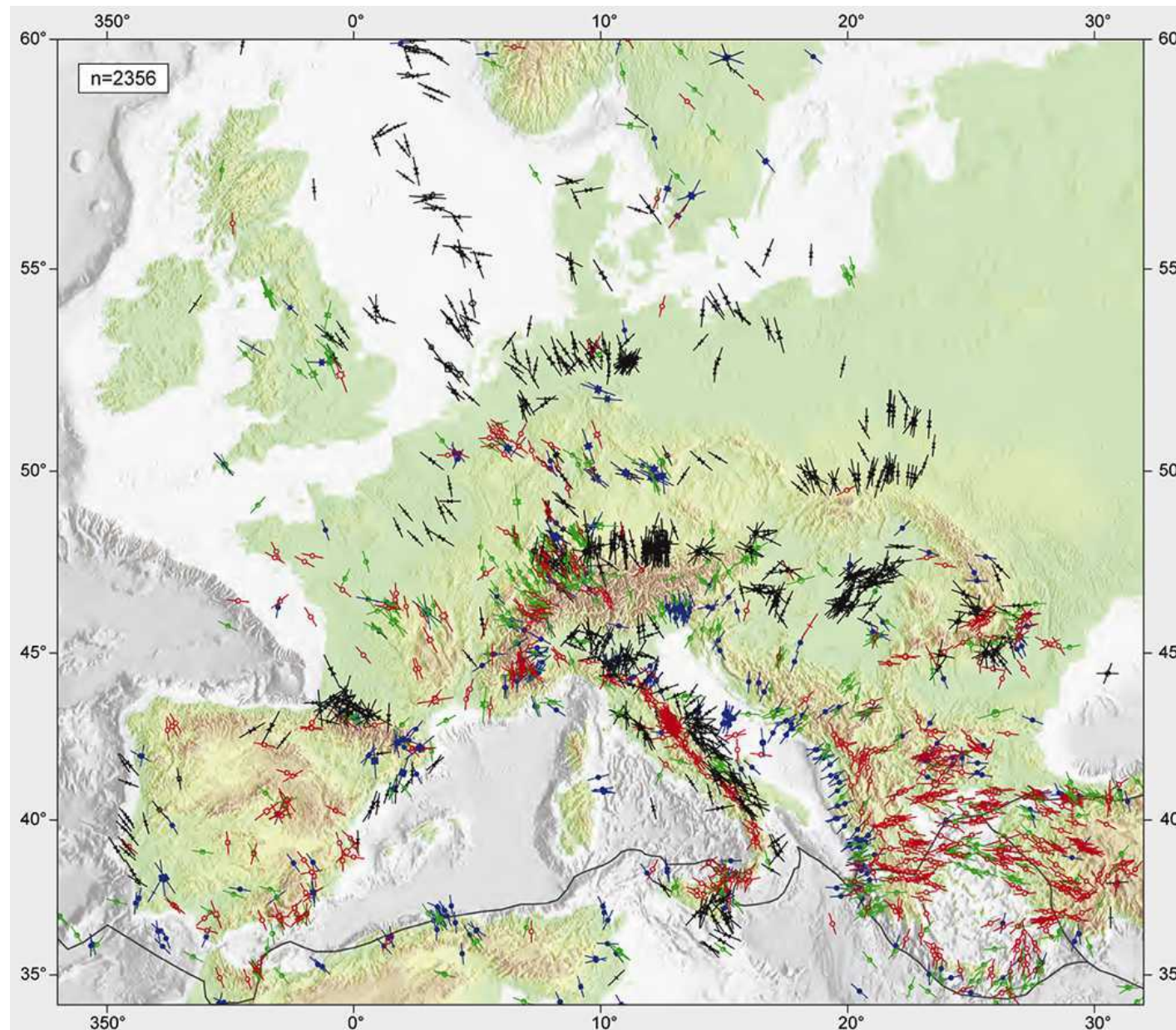


# World Stress Map (GFZ Potsdam)





# World Stress Map (GFZ Potsdam)



$\sigma_{hor_{max}}$

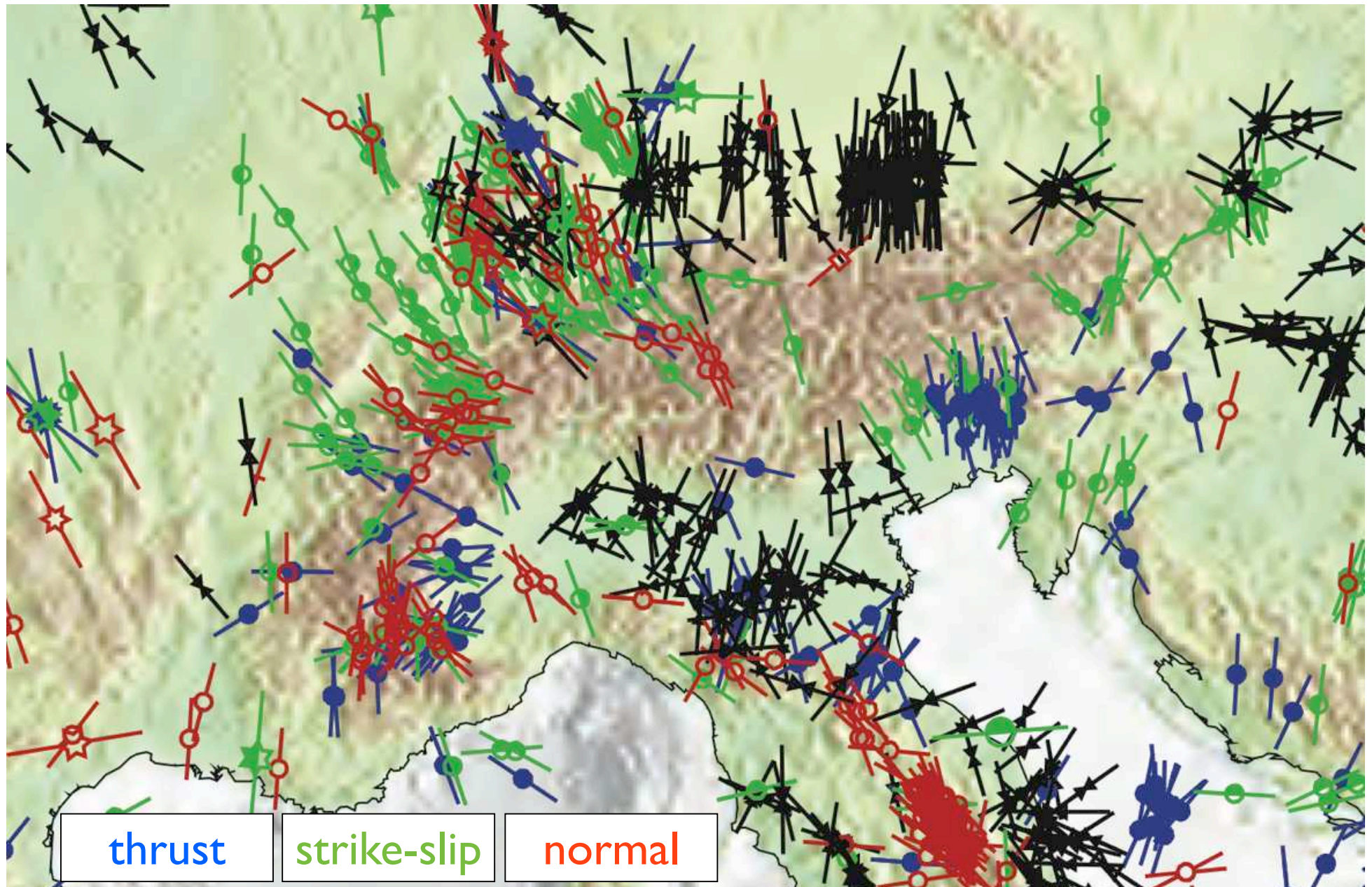
normal

strike-slip

thrust

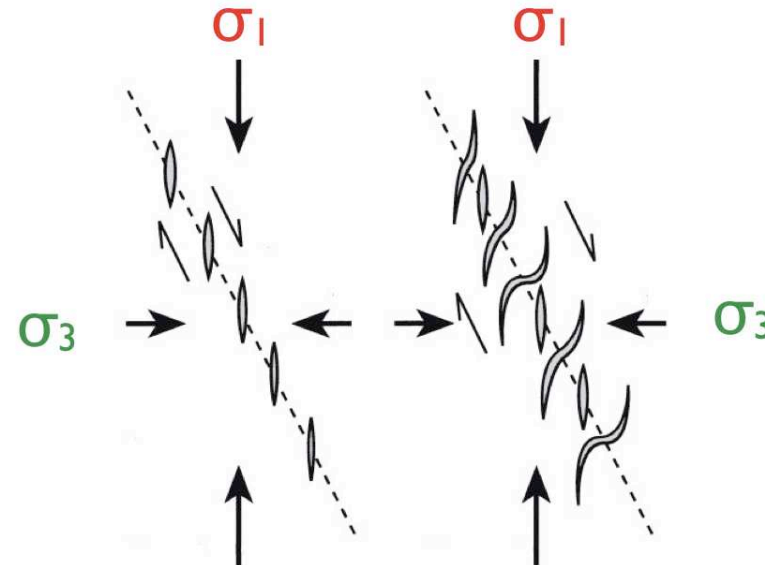
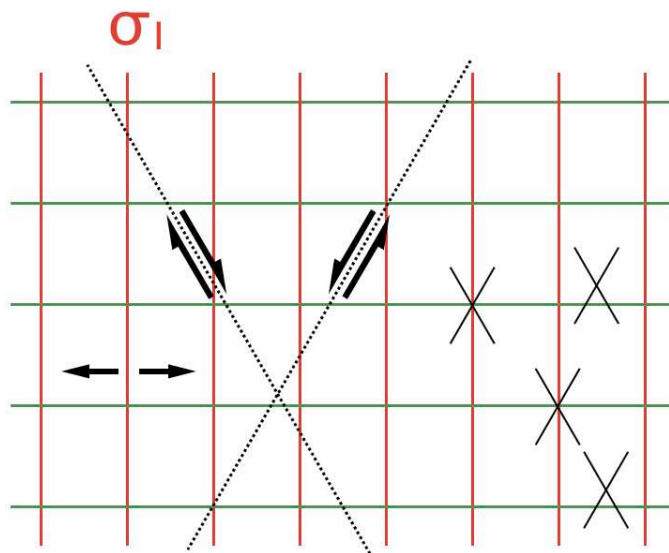
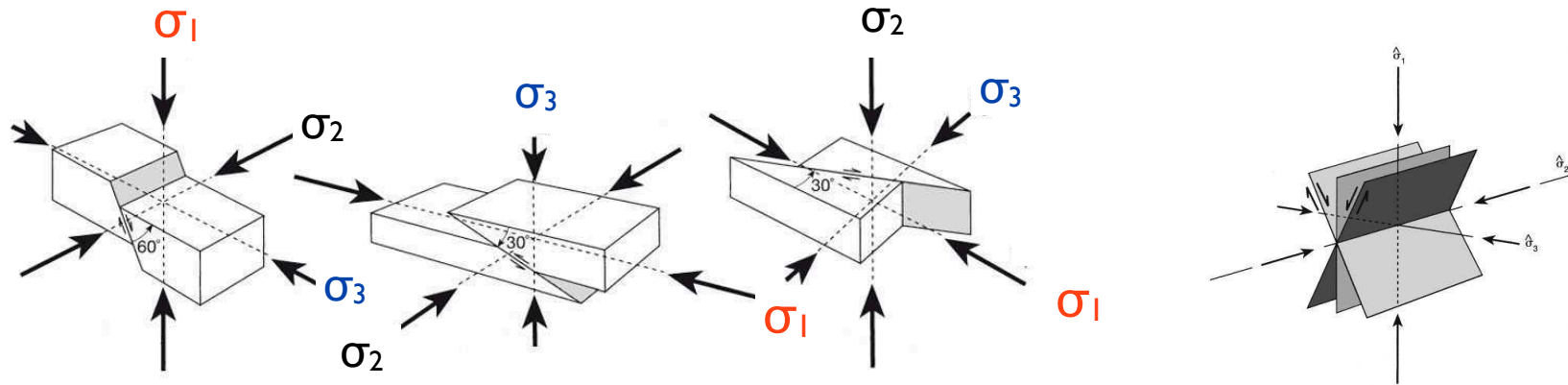


# World Stress Map (GFZ Potsdam)



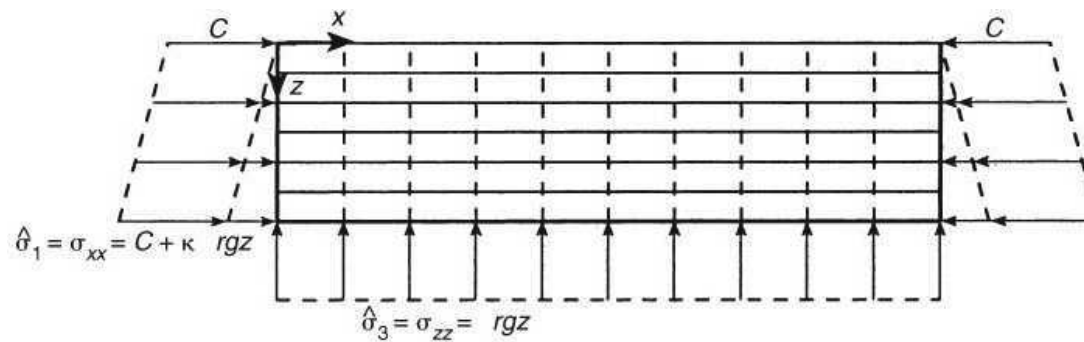
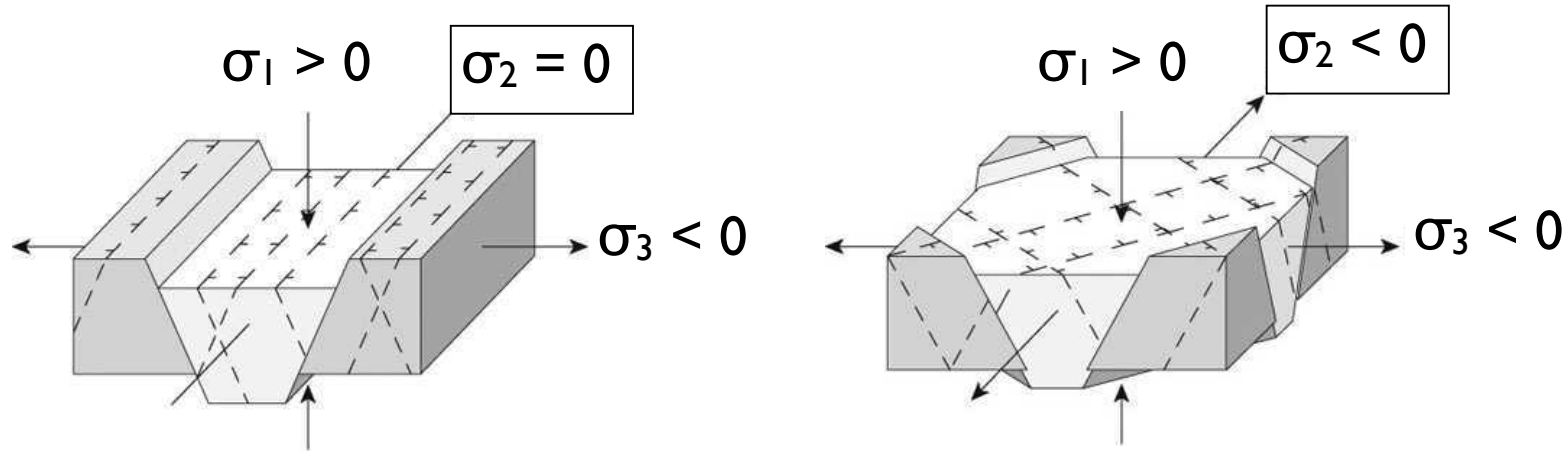
# Spannungsfeld

# Spannungsfeld an der Oberfläche

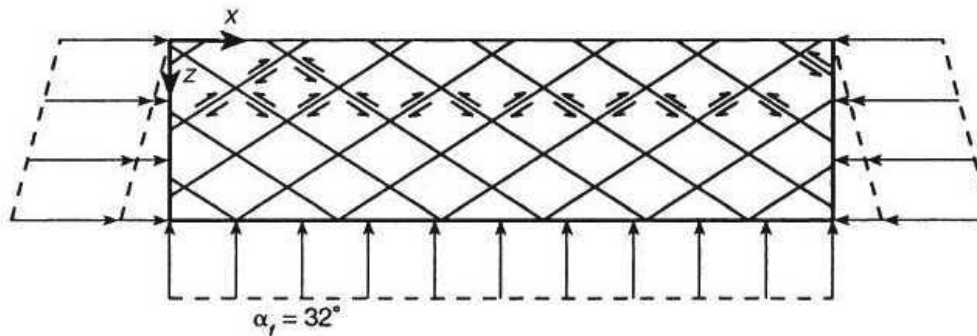


- ..... = Richtung von  $(\tau/\sigma)_{\max}$  = maximum stress ratio
- = Orientierung der Brüche gegenüber Hauptspannungen

# Spannungsfeld in der Tiefe



$\sigma_{\max}, \sigma_{\min}$

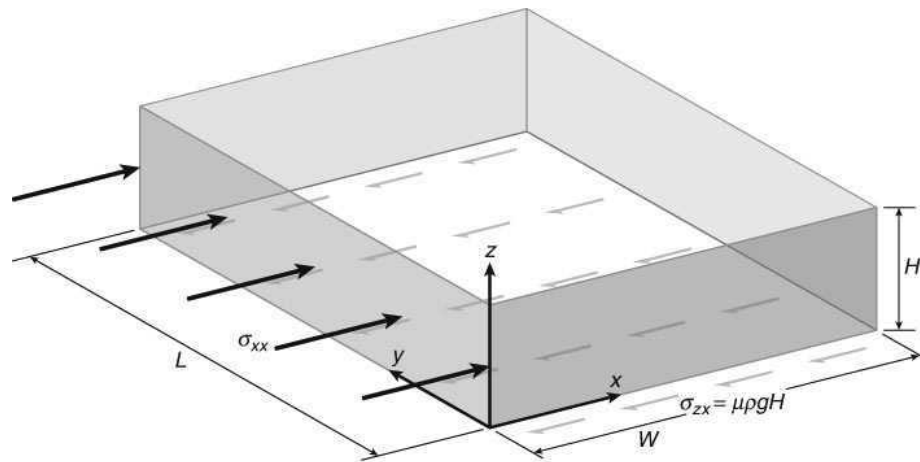


$(\tau/\sigma)_{\max}$

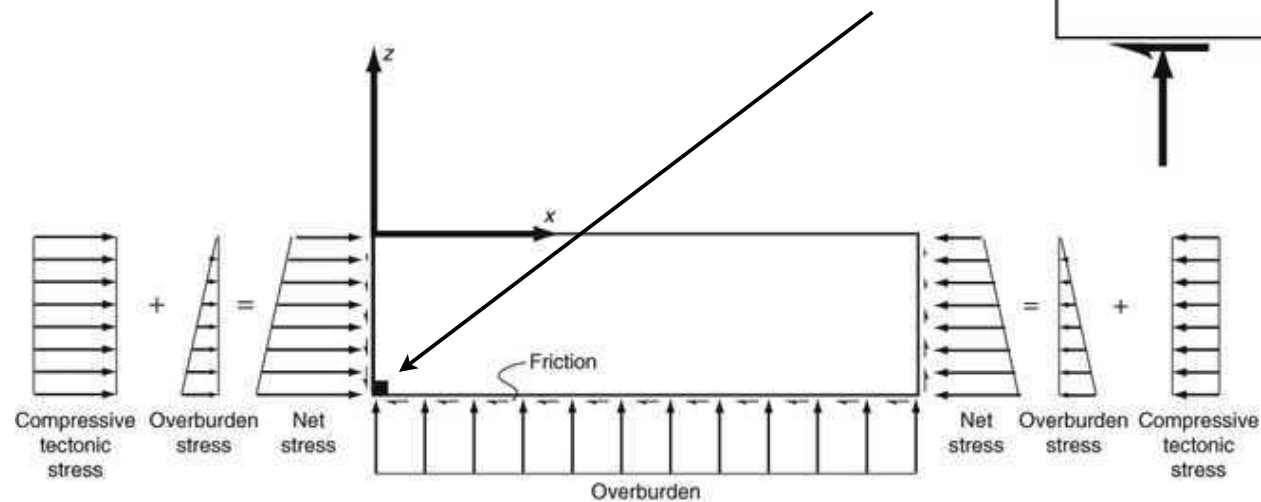
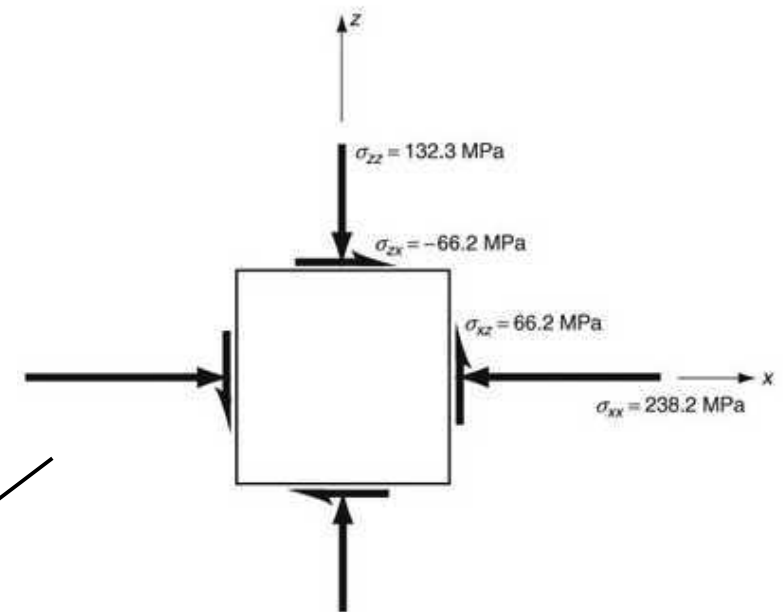


# Spannungsfeld bei Überschiebung

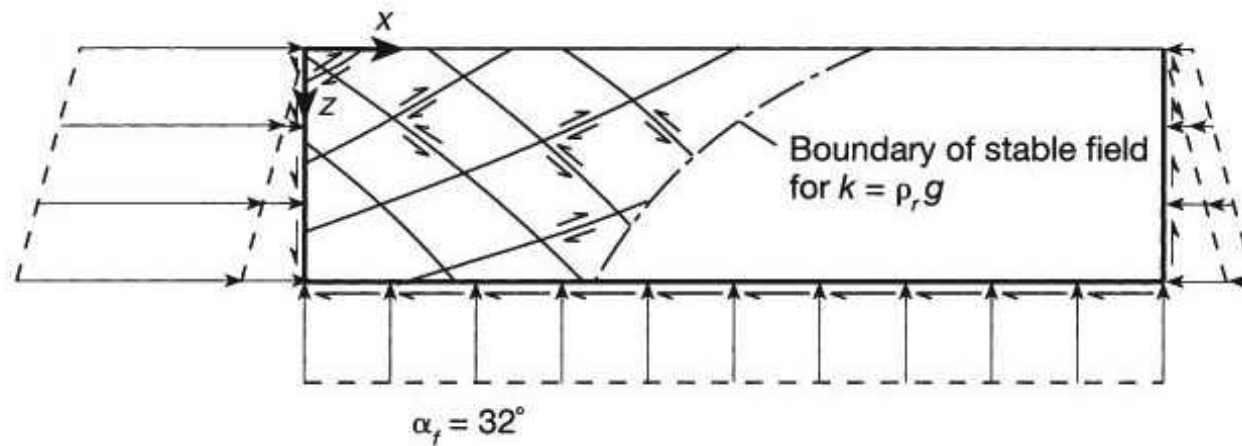
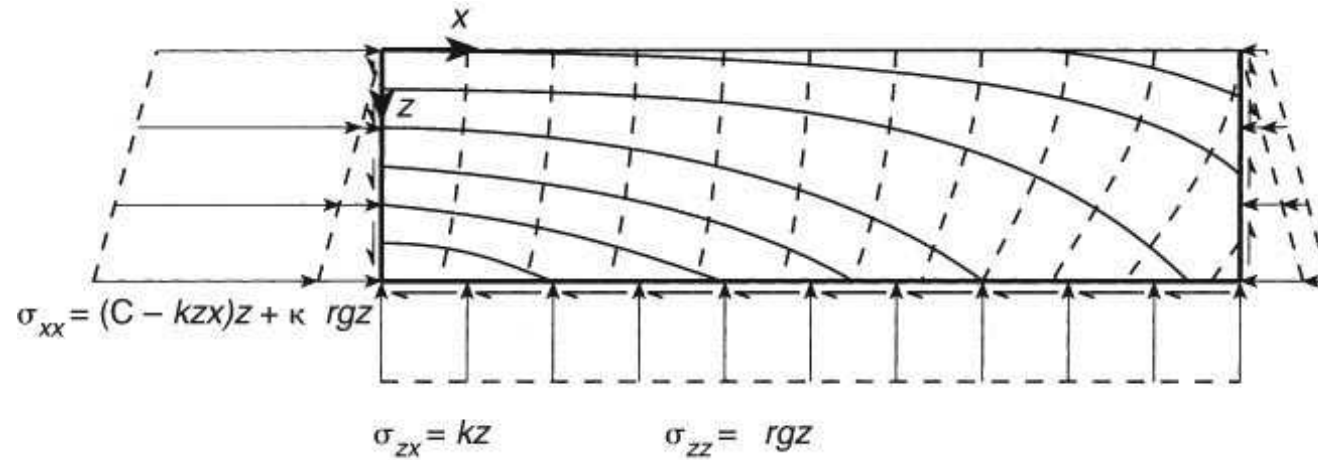
Seitlicher Schub



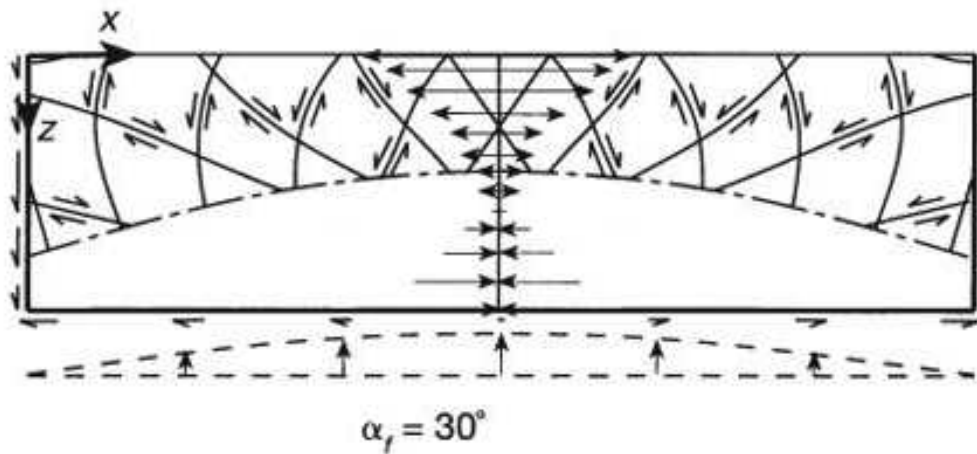
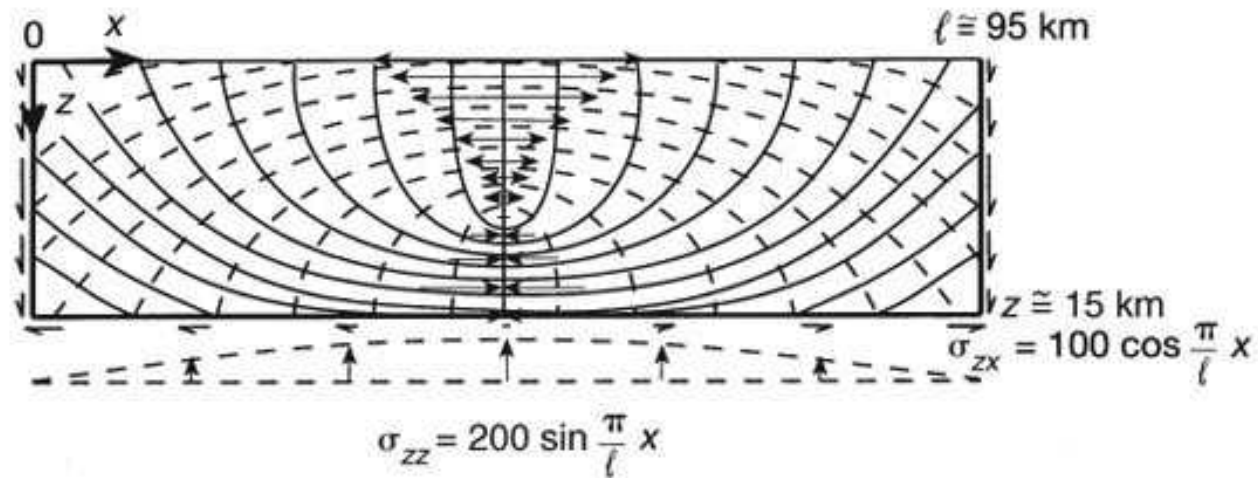
+ Reibungswiderstand



# Spannungsfeld und Stabilitätsbereich



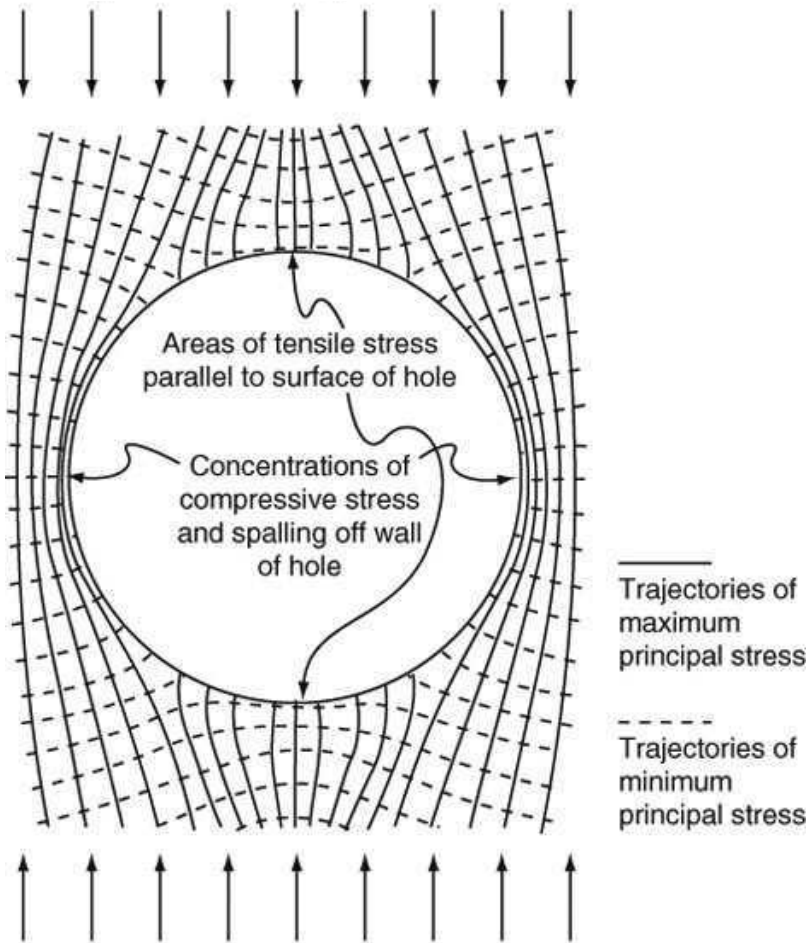
# Spannungsfeld bei Krustendehnung



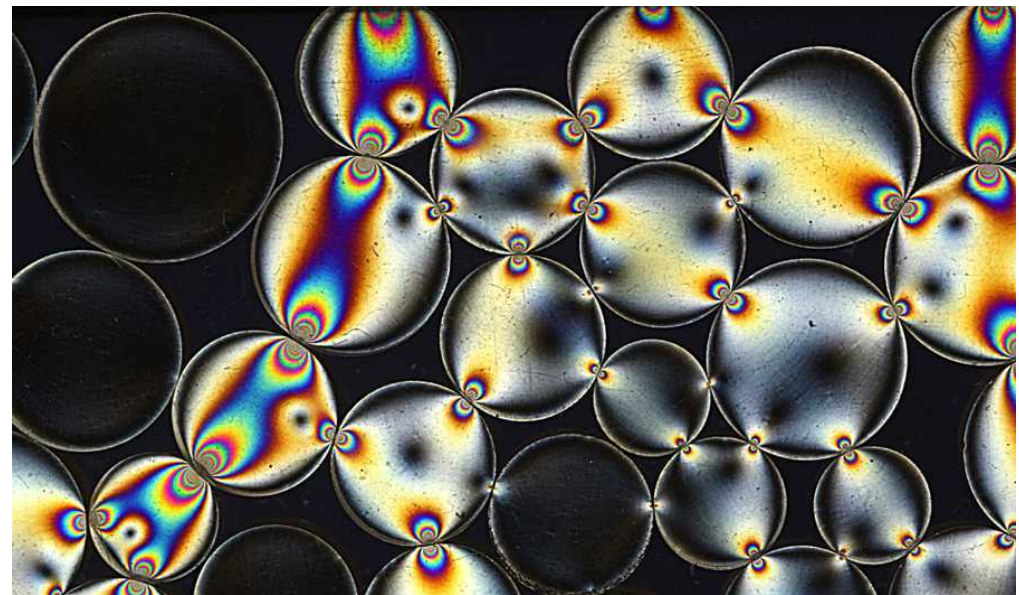


# Hauptspannungstrajektorien

Spannungsverteilung  
um einen Hohlraum



Spannungsverteilung  
an Punkt-zu-Punkt-Kontakten



Visualisierung durch Spannungsoptik  
(<http://dutcgeo.ct.tudelft.nl/allersma/fotoelast/fotelast.htm>)

2

# 2 Deformation - Strain - Strainmessung

- VL-Themen:
- Deformation und Verformung
  - Strain ellipse
  - Progressive Deformation
  - Flinn Diagramm
  
  - Verkürzung - Falten
  - Streckung - Boudinage
  - Scherung - Scherzonen
  
  - Verformungsmarker (strain marker)
  - Strainmessung

# Deformation und Verformung (deformation and strain)

# Deformations - Zustand

Deformation = Geometrie  
als Abweichung relativ zu unverformtem Zustand

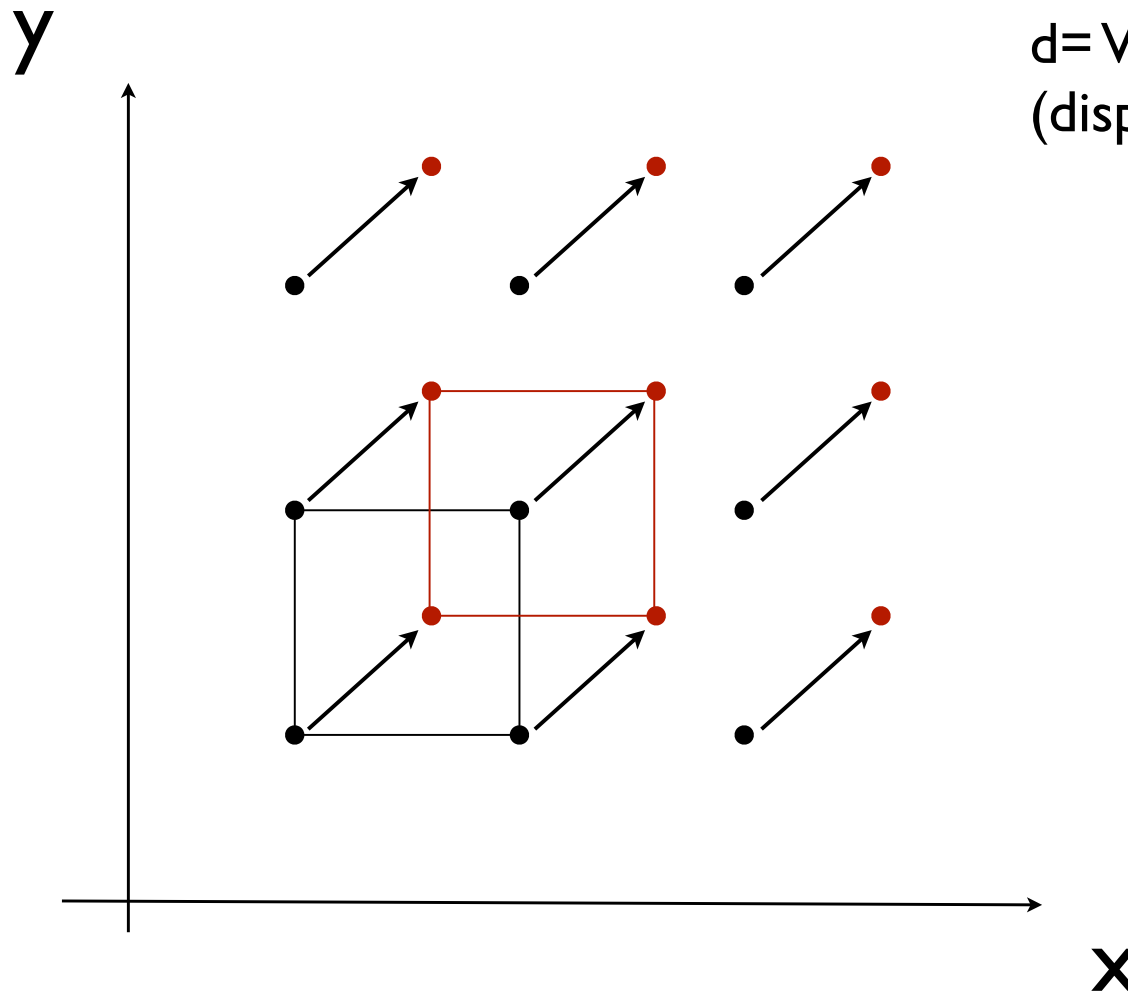
Deformation:  
= Verschiebung (displacement) von Punkten  
= Translation + Verformung (translation + strain)

Strain (Verformung):  
Streckung - Elongation  
Scherung (sinistral - dextral)  
Rotation (CLW - CCLW)

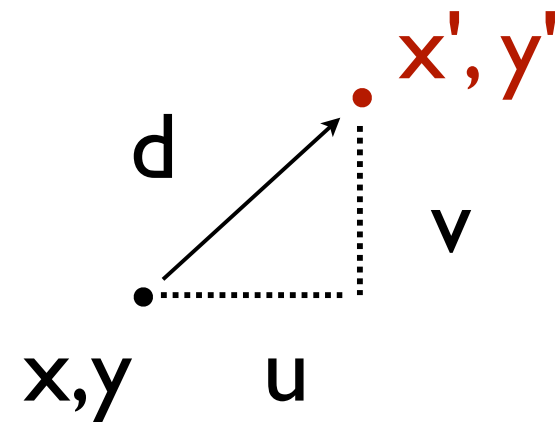
Dimension: Länge / Länge = dimensionslos

# Translation (translation)

= Spezialfall der Verschiebung



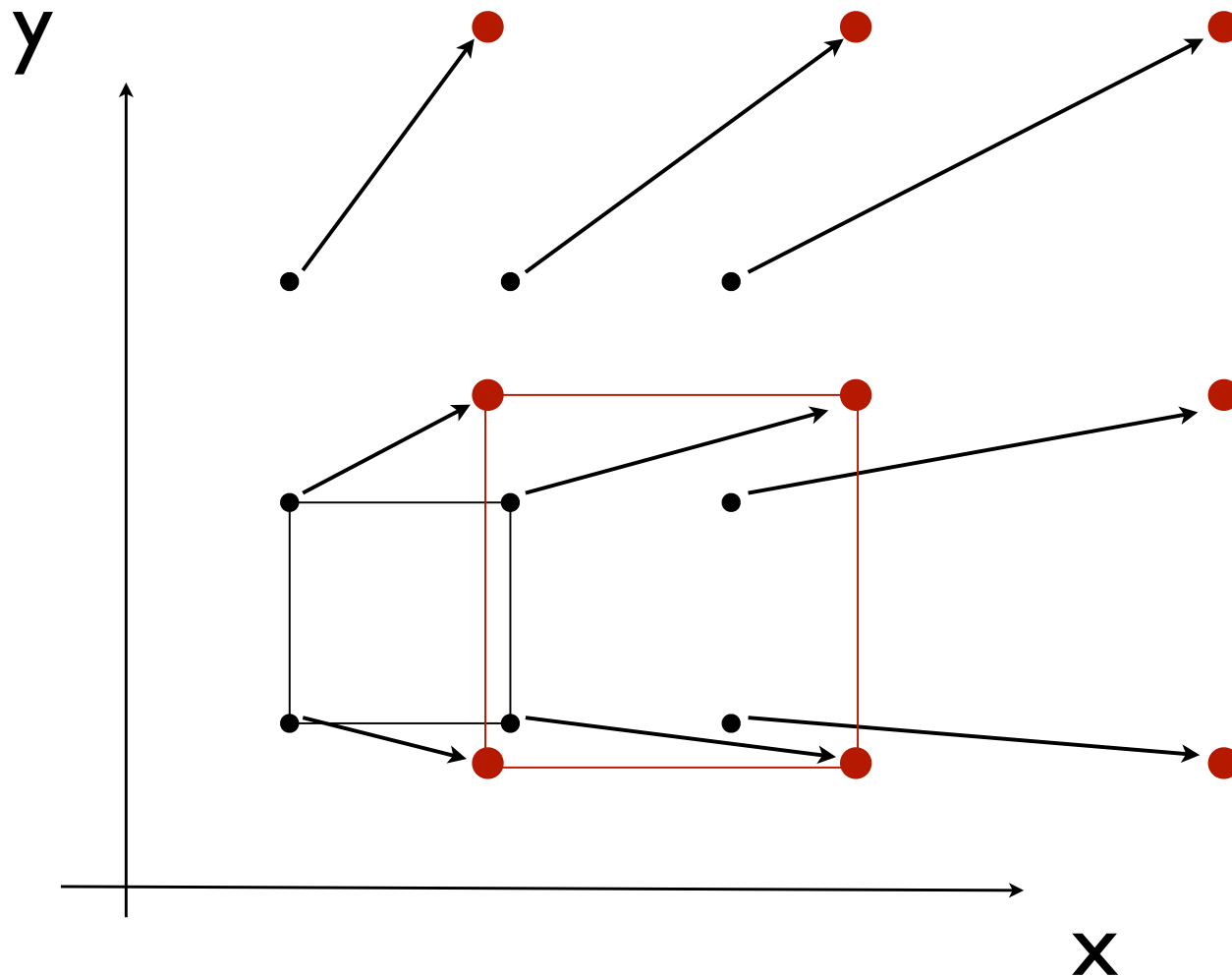
$d$  = Verschiebungsvektor  
(displacement vector)



$u, v$  = Komponenten  
der Verschiebung  
Wenn alle  $u, v$  gleich  
 $\Rightarrow$  Translation

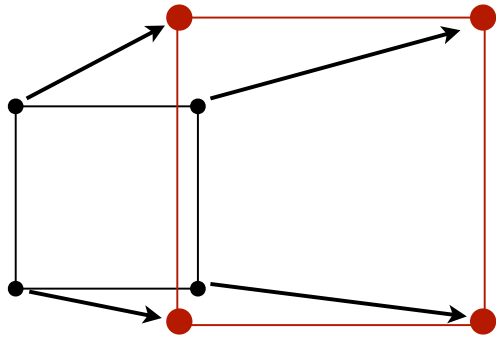
# Verschiebung

= allgemeiner Fall



Alle  $u, v$  sind verschieden

# Koordinaten - Transformation



$$x' = f(x, y)$$

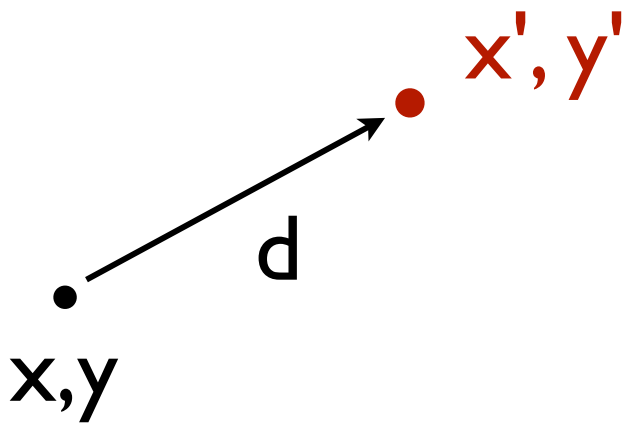
$$y' = f(x, y)$$

$$x' = A \cdot x + B \cdot y$$

$$y' = C \cdot x + D \cdot y$$

$$x'_1 = a_{11} \cdot x_1 + a_{12} \cdot x_2$$

$$x'_2 = a_{21} \cdot x_1 + a_{22} \cdot x_2$$

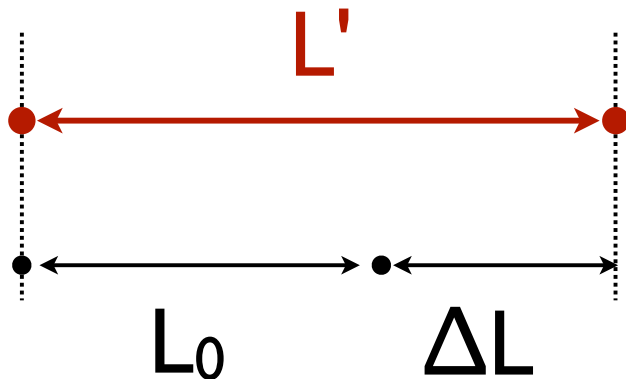
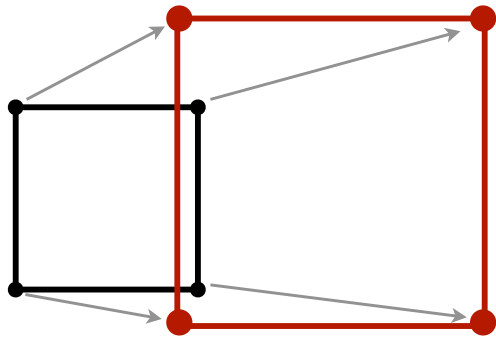


$$\begin{bmatrix} x'_1 \\ x'_2 \end{bmatrix} = \begin{bmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{bmatrix} \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}$$

$$x'_i = a_{ij} \cdot x_j$$



# Strain ID



$L_0$  unverformt  
 $L'$  verformt

$$\Delta L = L' - L_0$$

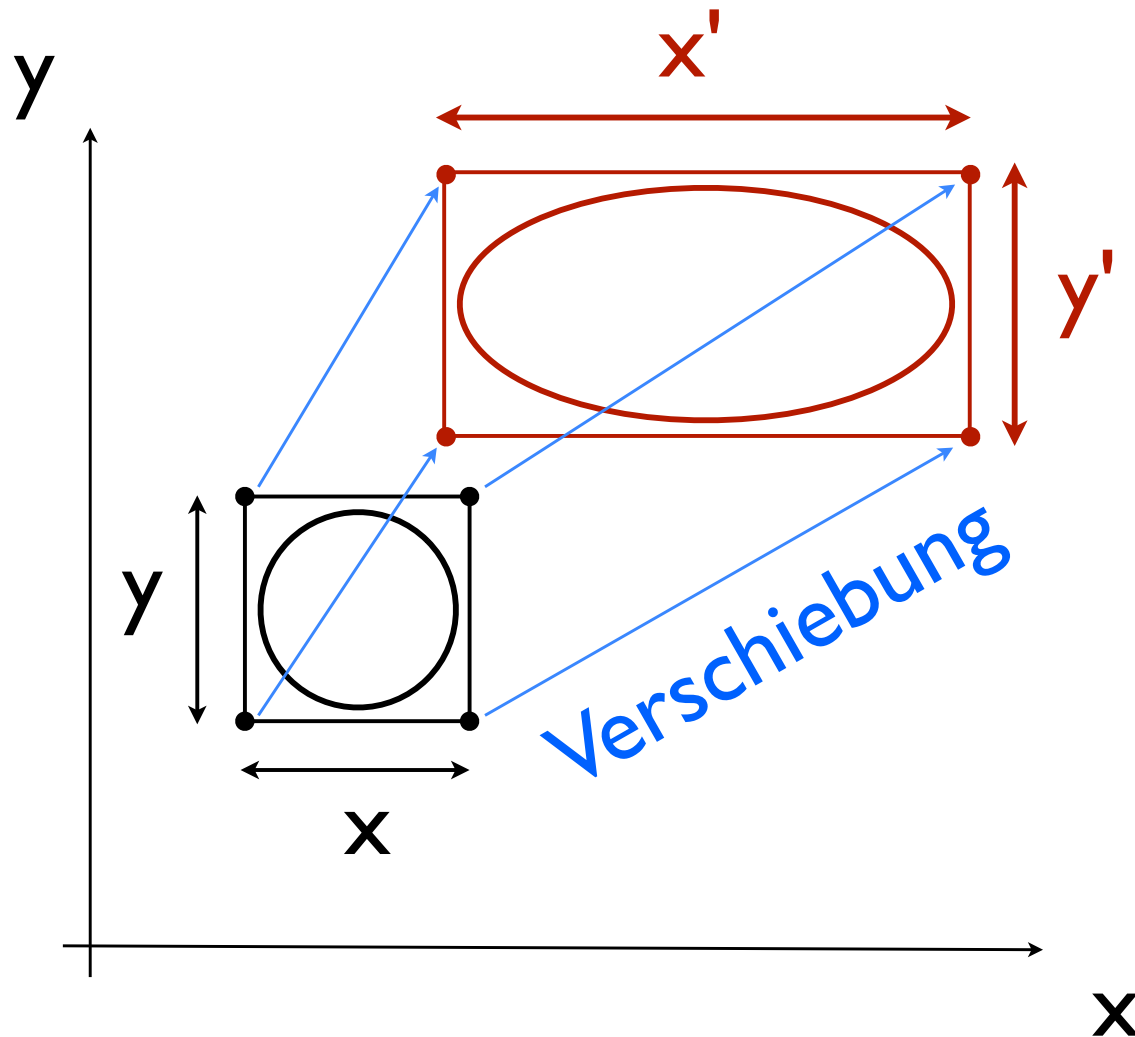
$$e = \Delta L / L_0$$

(dimensionslos)

$e > 0$  Streckung

$e < 0$  Verkürzung

# Strain 2D



$x, y$  unverformt  
 $x', y'$  verformt

$$e_x = \Delta x / x$$

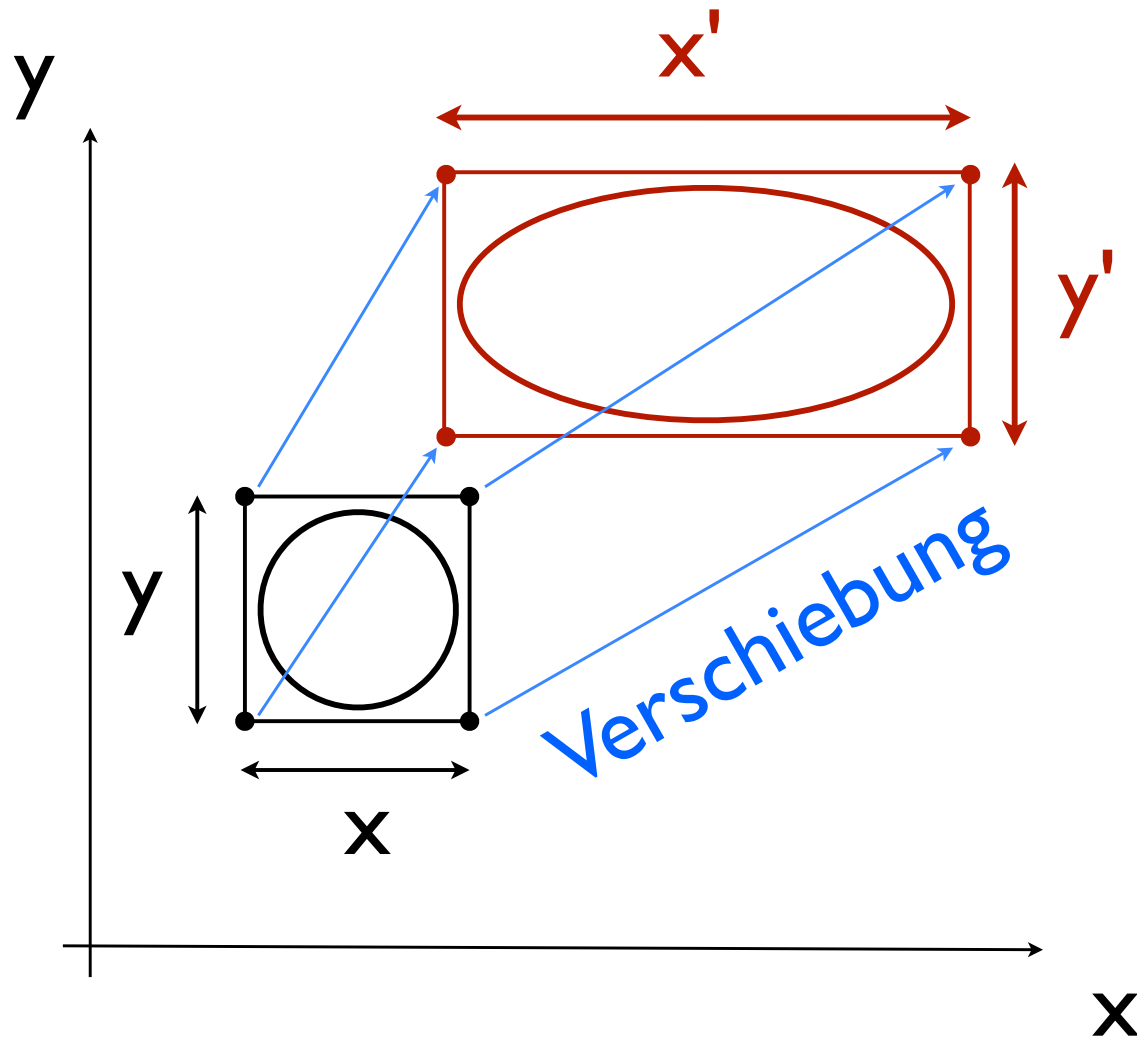
$$e_y = \Delta y / y$$

(dimensionslos)

$e > 0$  Streckung

$e < 0$  Verkürzung

# stretch 2D



$x, y$  unverformt  
 $x', y'$  verformt

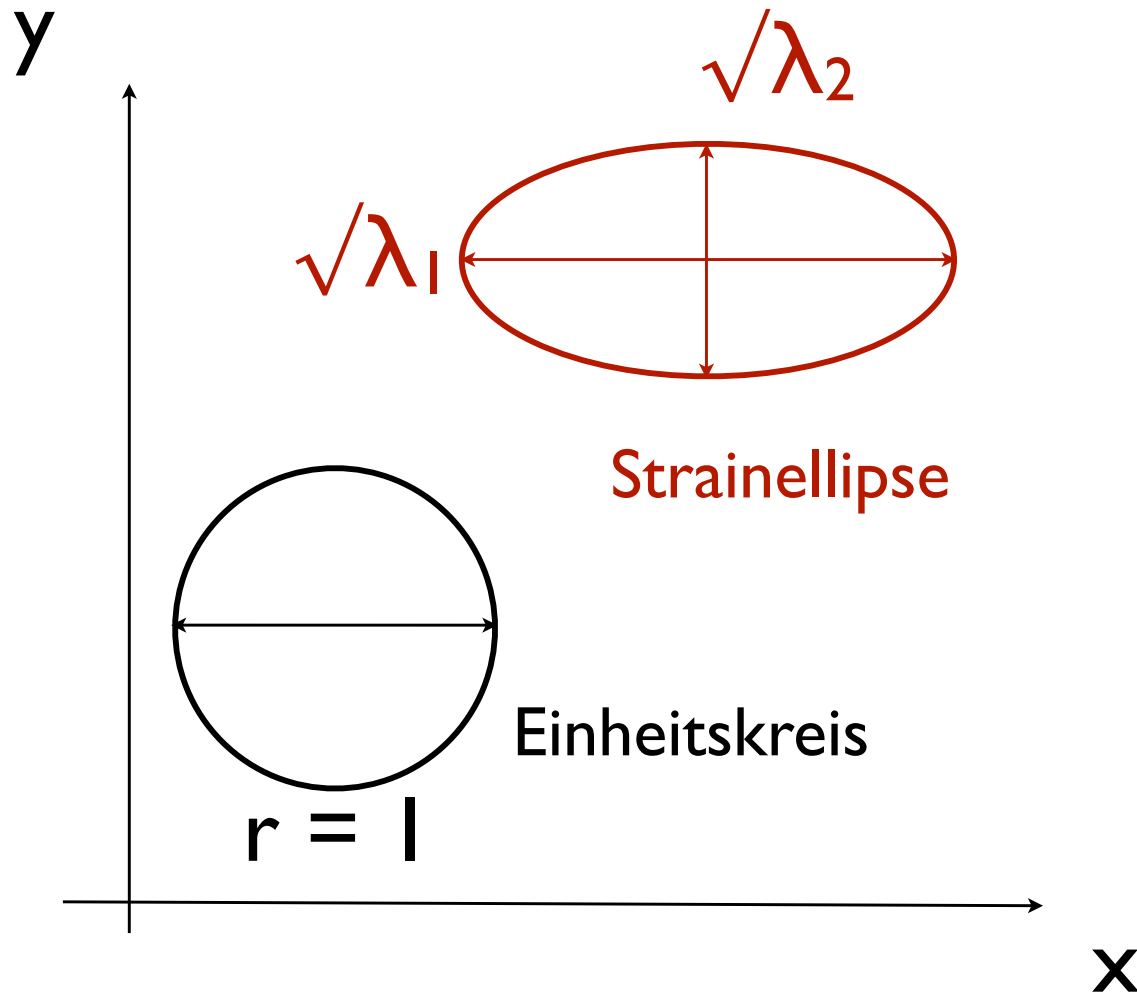
$$s_x = L_{x'} / L_x$$

$$s_x = (1 + e_x)$$

$s > 1$  Streckung

$s < 1$  Verkürzung

# Strain 2D - strain ellipse



$\lambda = \text{quadratische Elongation}$

$$e = \Delta L / L_0$$

$$L' = L_0 \cdot (1 + e)$$

$$\lambda_1 = (1 + e_1)^2$$

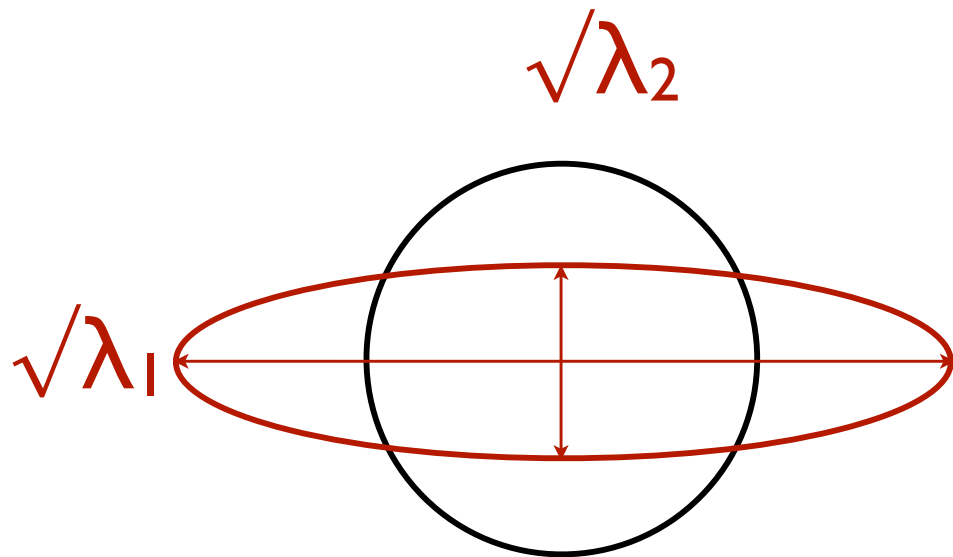
$$\lambda_2 = (1 + e_2)^2$$

$$\lambda_1 > \lambda_2$$

$\lambda > 1$  Streckung

$\lambda < 1$  Verkürzung

# Strainellipse 2D



Beispiel:

$$\sqrt{\lambda_1} = 2.0$$

$$\sqrt{\lambda_2} = 0.5$$

$$R = 4.00$$

Ellipsenachsen

$$\sqrt{\lambda_1}, \sqrt{\lambda_2}$$

Achsenverhältnis

$$R = \sqrt{\lambda_1} / \sqrt{\lambda_2}$$

$$R \geq 1.00$$

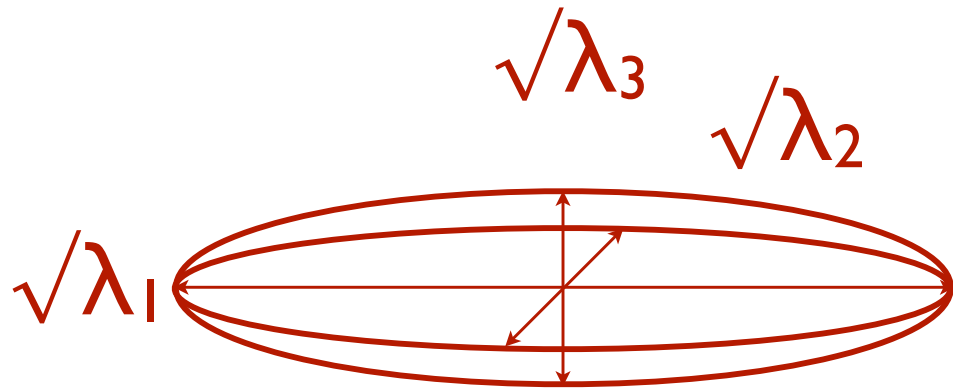
bei  $\Delta A = 0$ :

$$\sqrt{\lambda_1} \cdot \sqrt{\lambda_2} = 1$$

$$\sqrt{\lambda_2} = 1 / \sqrt{\lambda_1}$$

$$R = (\sqrt{\lambda_1})^2 = \lambda_1$$

# Strainellipsoid 3D



Beispiel:

$$\sqrt{\lambda_1} = 2.0 \quad \sqrt{\lambda_2} = 1.0$$

$$\sqrt{\lambda_3} = 0.5$$

$$R_{13} = 4.00$$

Ellipsenachsen

$$\sqrt{\lambda_1}, \sqrt{\lambda_2}, \sqrt{\lambda_3}$$

bei  $\Delta V = 0$ ,  $\sqrt{\lambda_2} = 1$   
(plane strain):

$$R_{13} = \sqrt{\lambda_1} / \sqrt{\lambda_3}$$

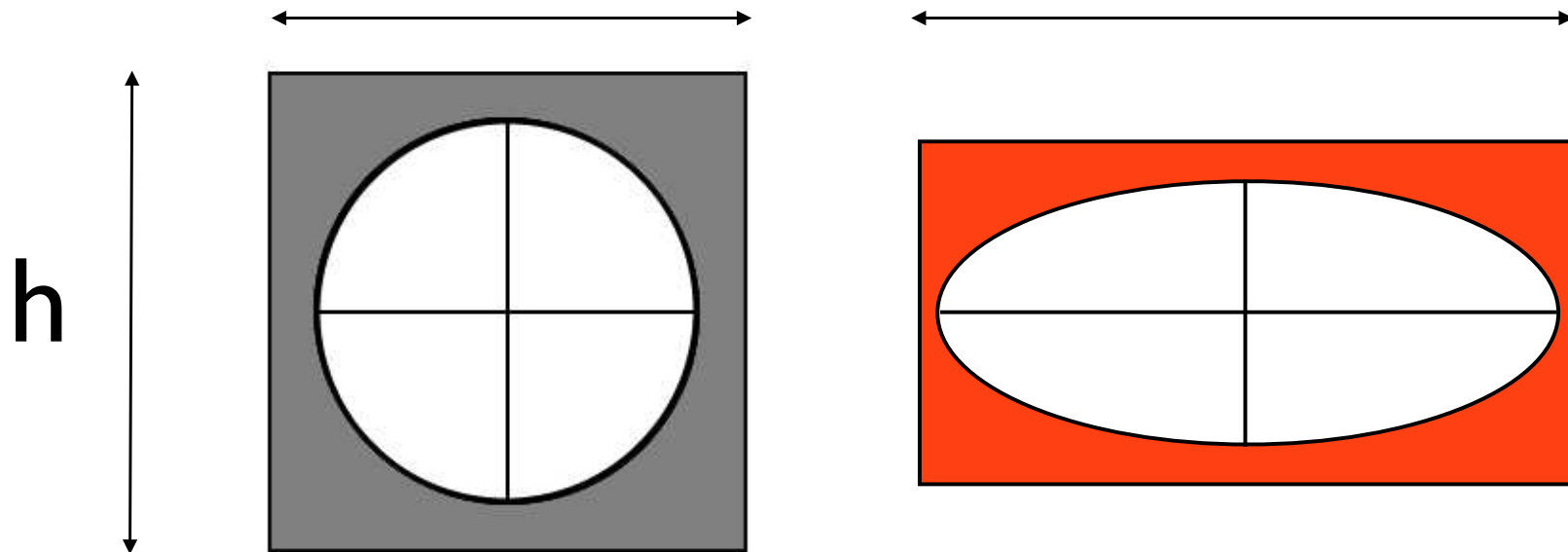
$$\sqrt{\lambda_1} \cdot \sqrt{\lambda_3} = 1$$

$$\sqrt{\lambda_3} = 1 / \sqrt{\lambda_1}$$

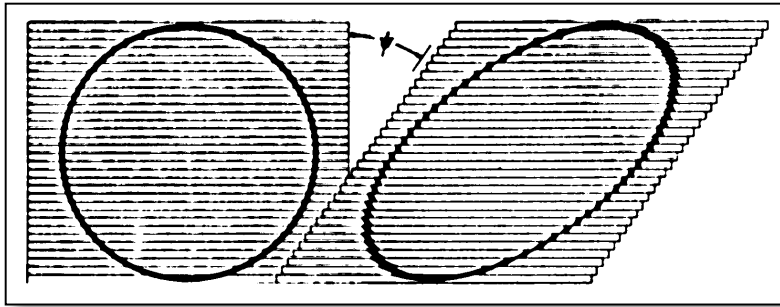
$$R_{13} = (\sqrt{\lambda_1})^2 = \lambda_1$$

# Reine Scherung - koaxial

keine Rotation  
der Achsen



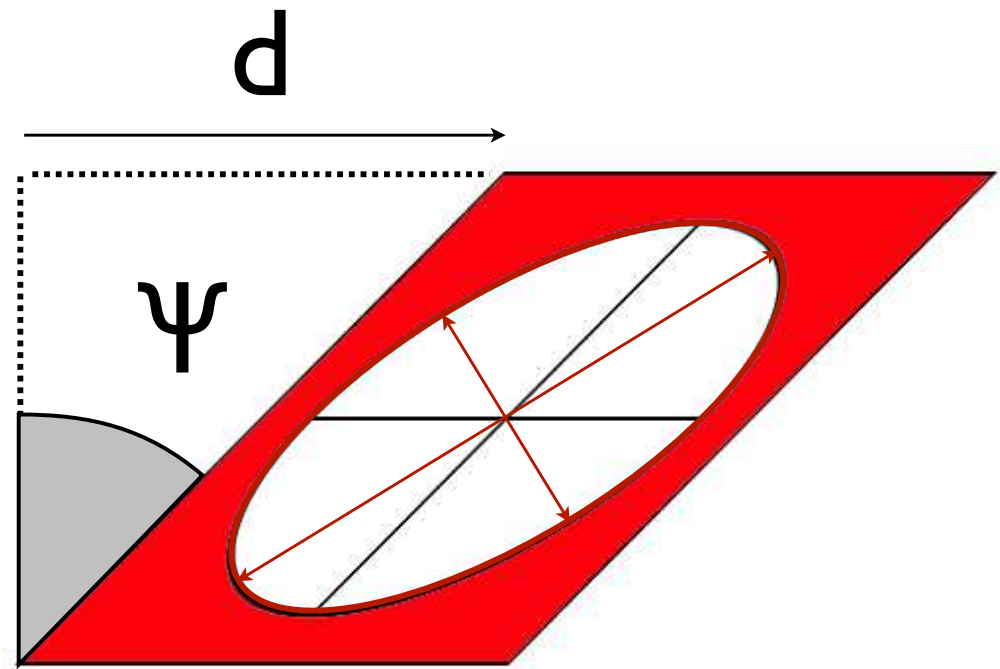
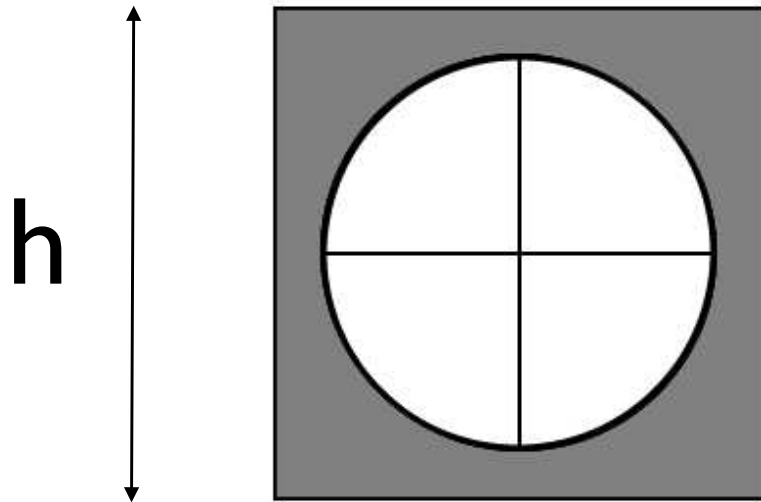
# Einfache Scherung - rotational



shear strain

$$\gamma = \tan(\Psi)$$

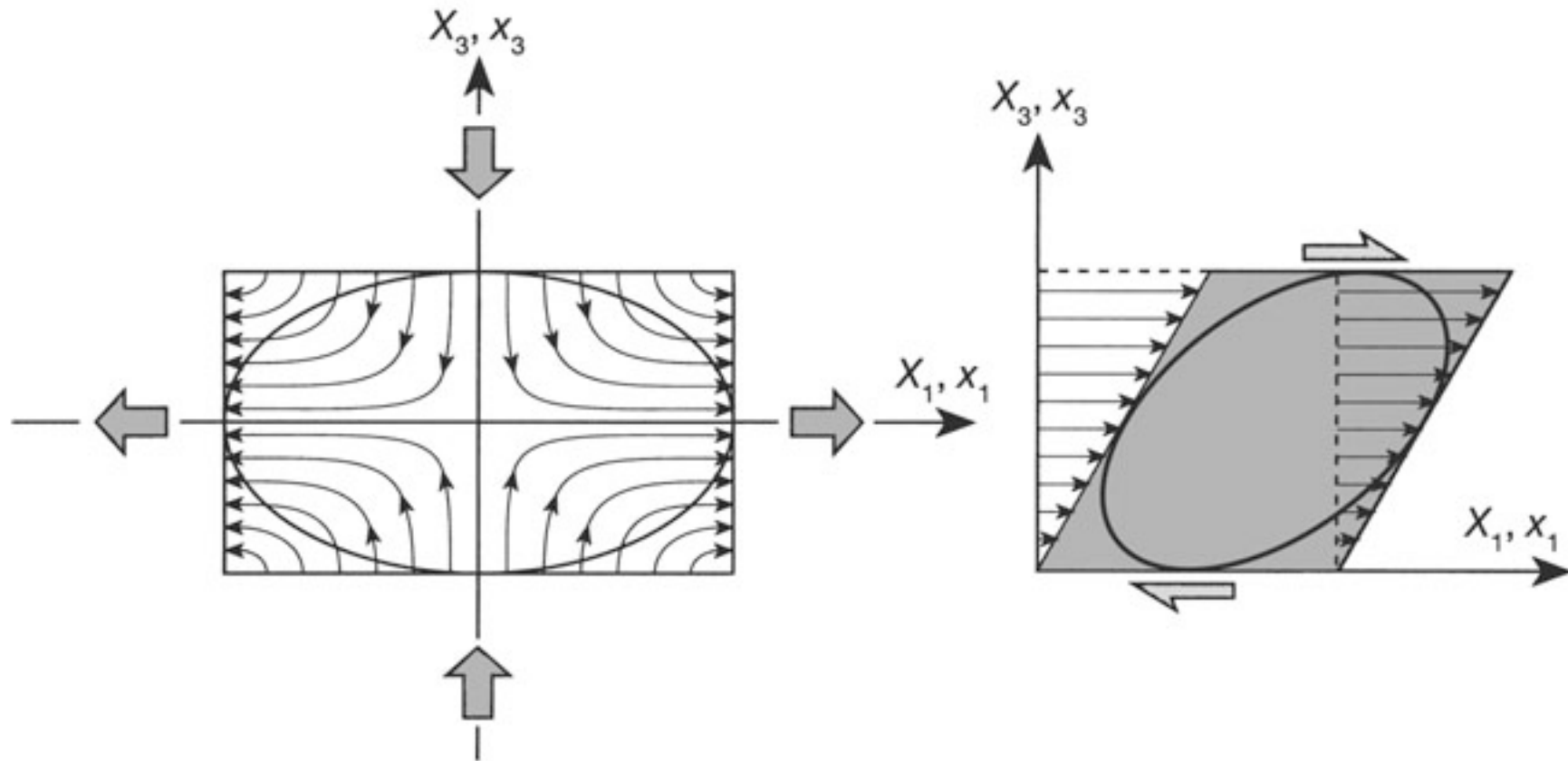
$$\gamma = d / h$$





# Progressive Deformation (progressive deformation)

# Progressive Deformation



**A.** Progressive pure shear

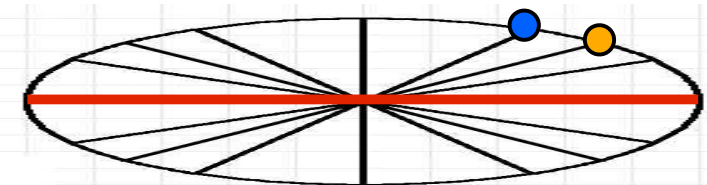
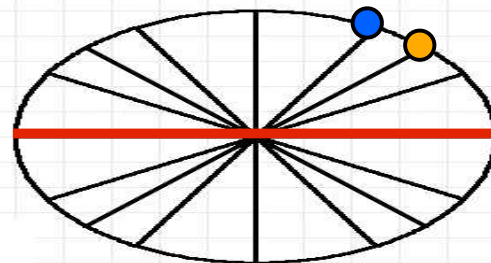
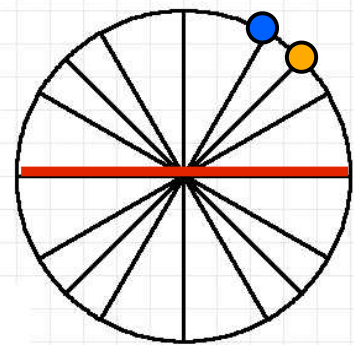
**B.** Progressive simple shear

# Reine Scherung - pure shear

Koachsiale progressive Deformation  
(coaxial progressive deformation)

Achsen der Strainellipse

- rotieren nicht
- sind Materiallinien

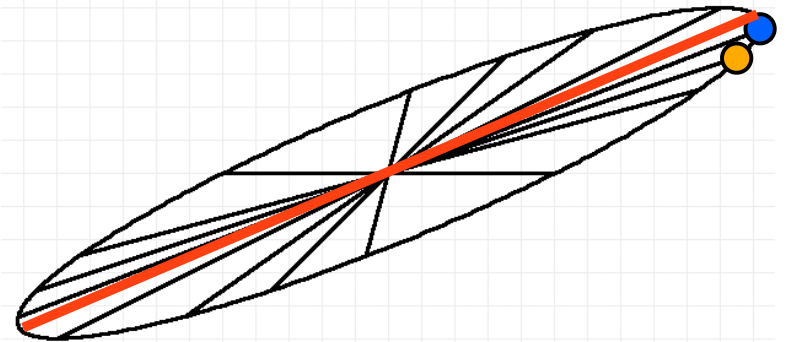
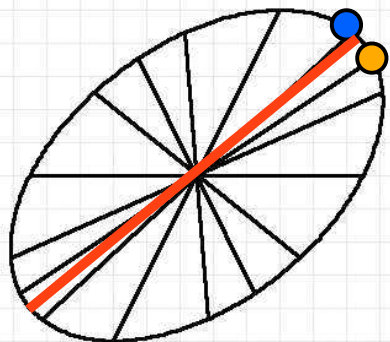
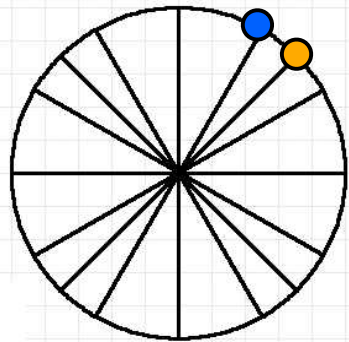


# Einfache Scherung - simple shear

Nicht-koachsiale progressive Deformation  
(non-coaxial progressive deformation)

Achsen der Strainellipse

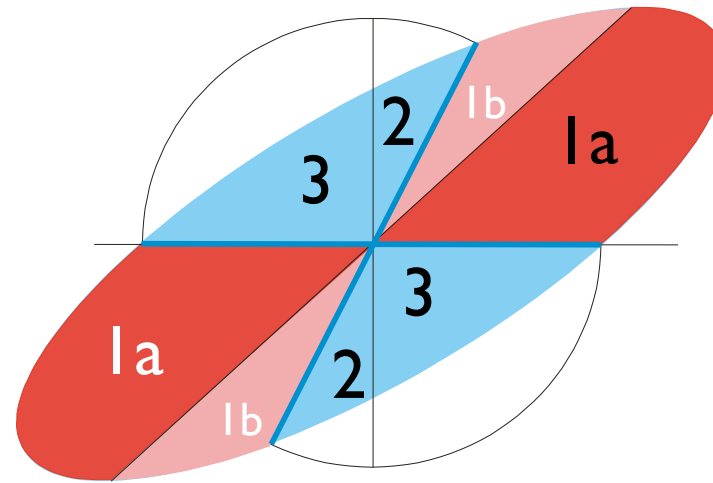
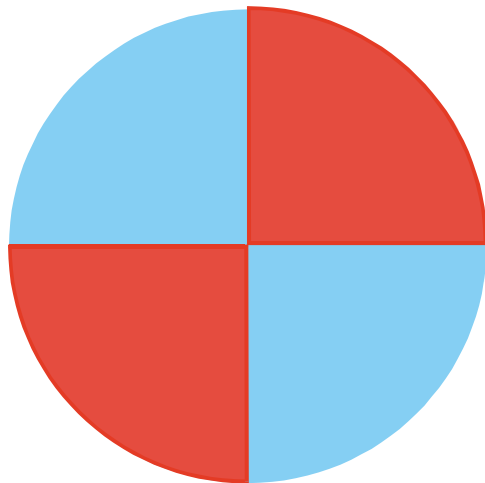
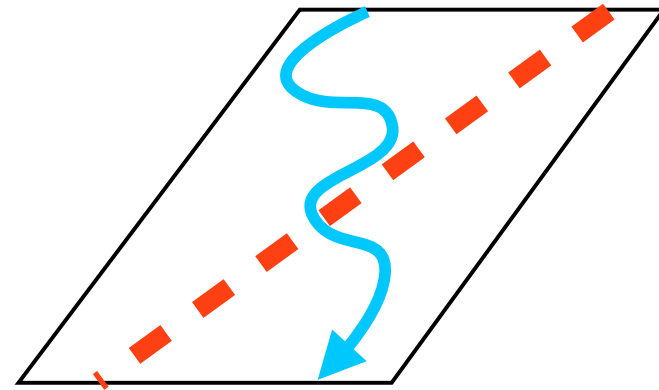
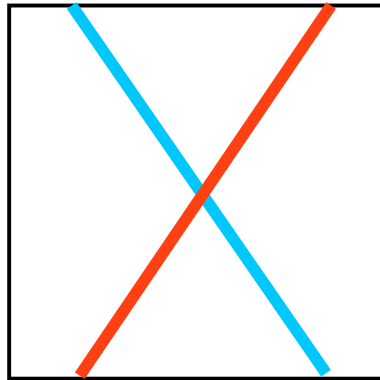
- rotieren
- sind keine Materiallinien





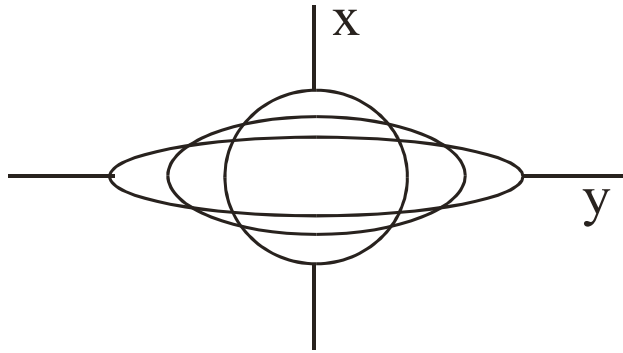
# progressive simple shear

finite strain



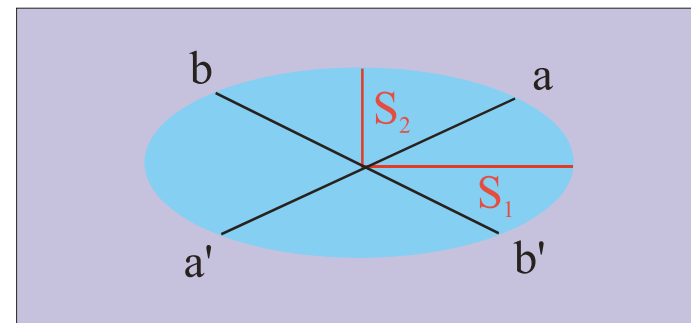
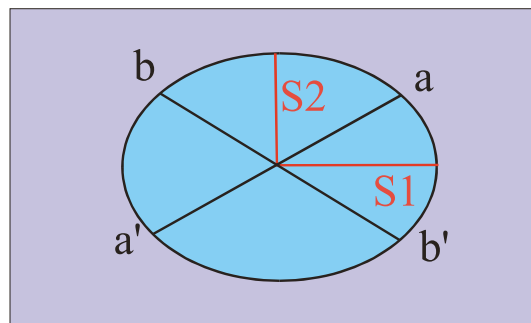
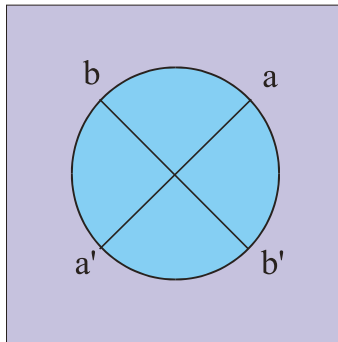
Feld 1a & 1b: Streckung (Boudinage)  
Feld 2 & 3 : Stauchung (Falten)

# Reine Scherung - pure shear



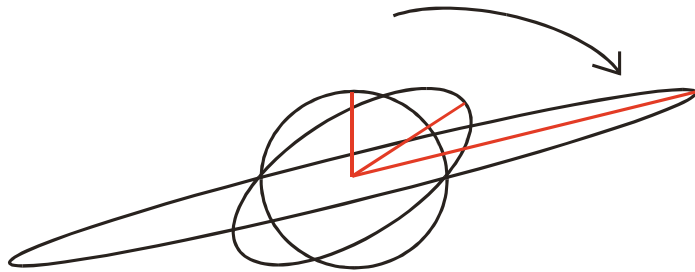
I.) Plättung (flattening)  
reine Scherung  
(pure shear)

koachsiale progressive Deformation  
(coaxial progressive deformation)



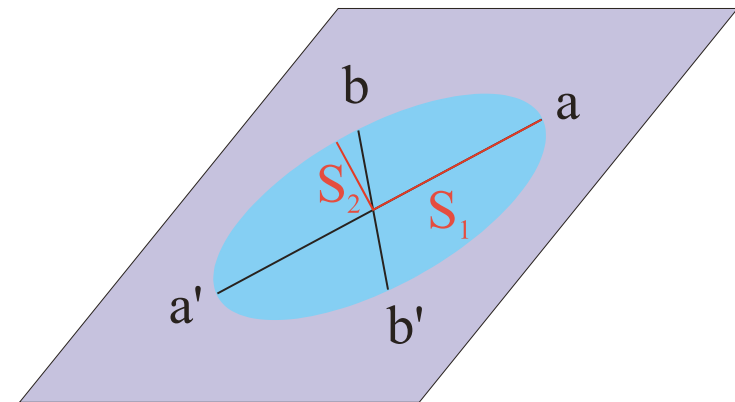
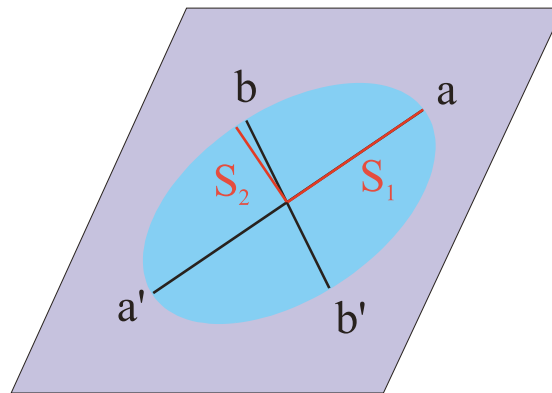
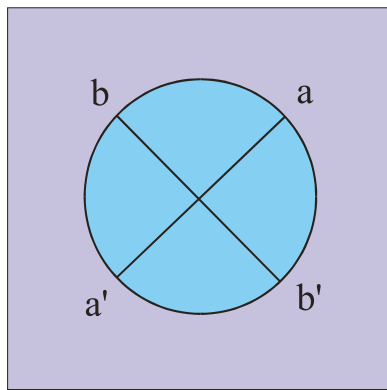
a und b sind Materiallinien, sie bewegen sich in Richtung  $S_1$ .  
Diese Richtung ist der fabric attractor

# Einfache Scherung - simple shear



2.) Scherung  
einfache Scherung  
(simple shear)

Nicht-koachsiale progressive Deformation  
non-coaxial progressive deformation

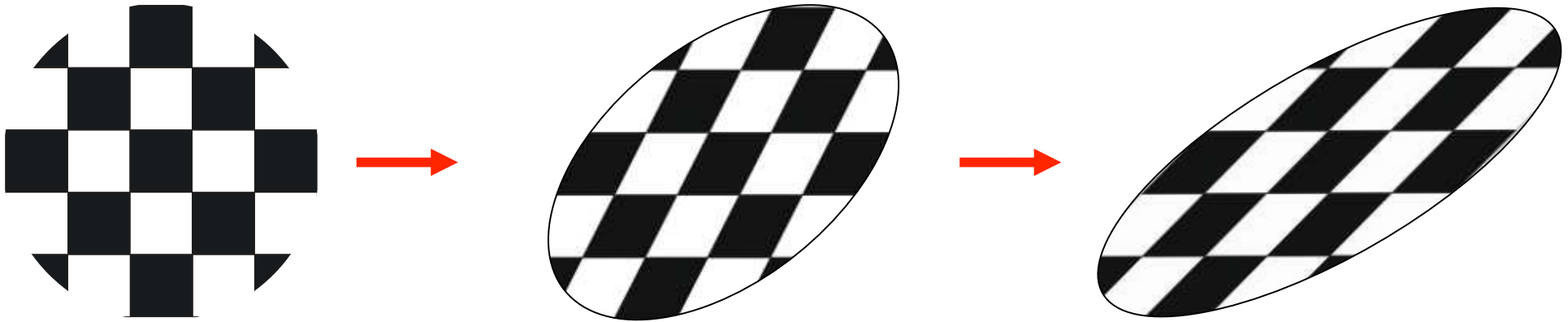


Materiallinie  $aa'$  wird immer gelängt.  
 $bb'$  wird zuerst verkürzt, dann gelängt

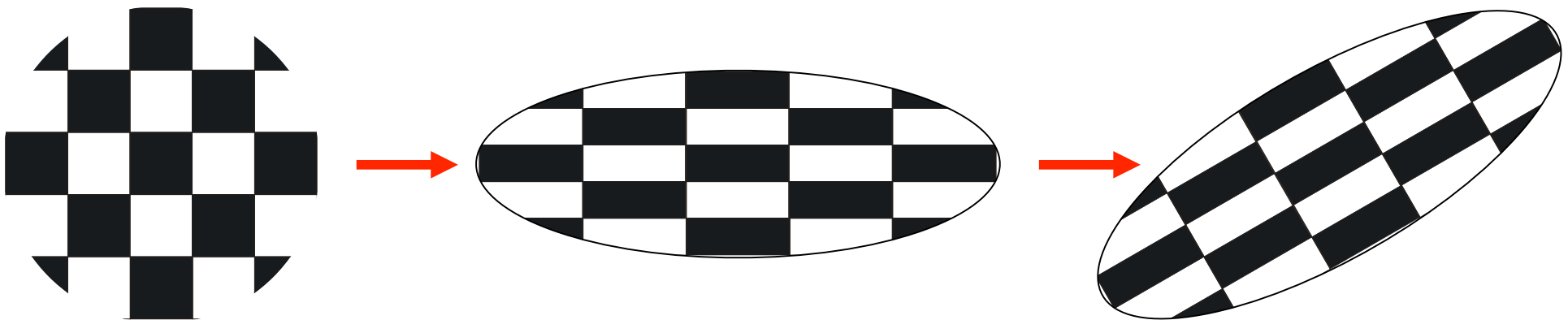


# strain $\neq$ strain history

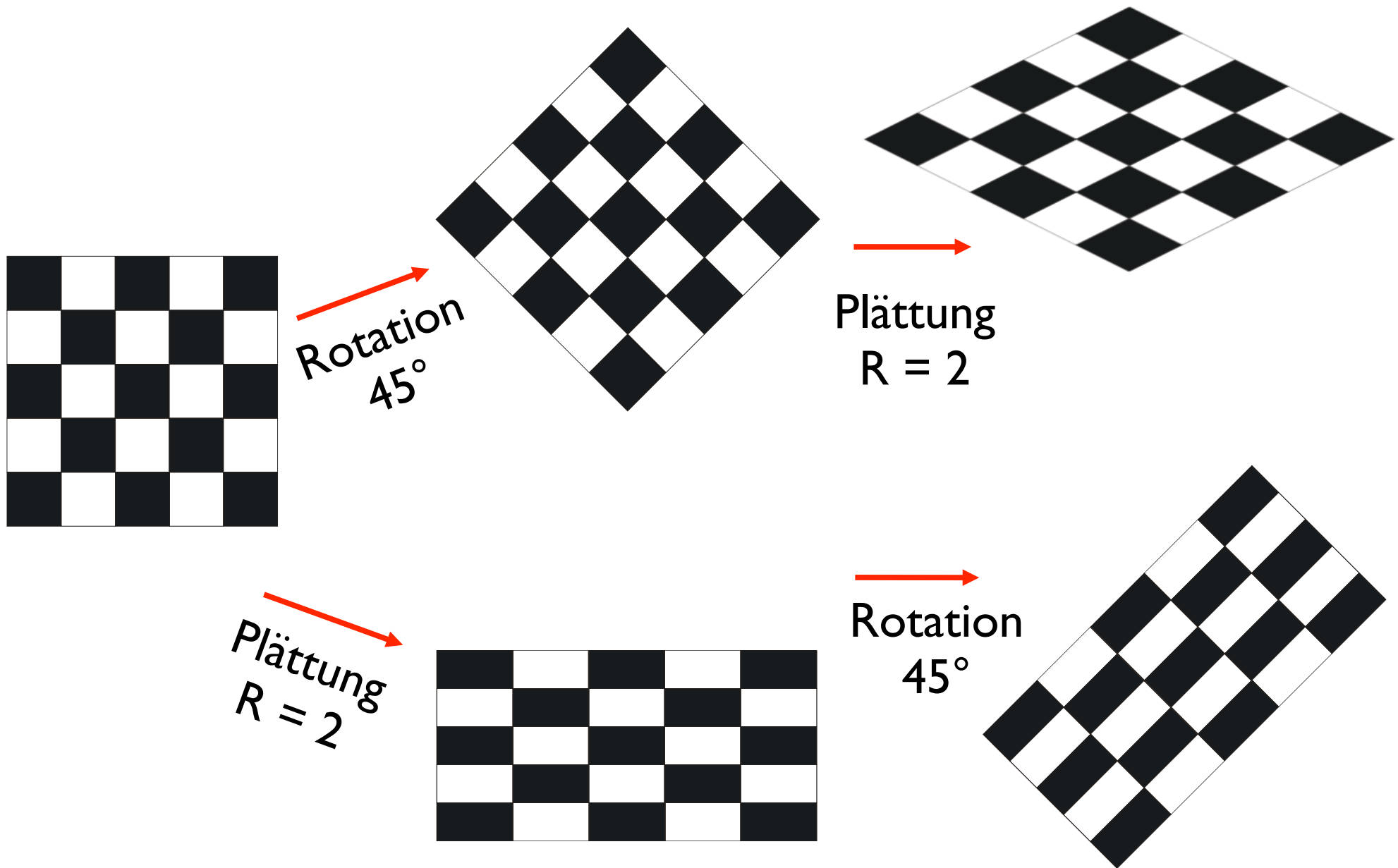
continued simple shear



flattening and rotation



# Reihenfolge der Verformung



# Übung 2

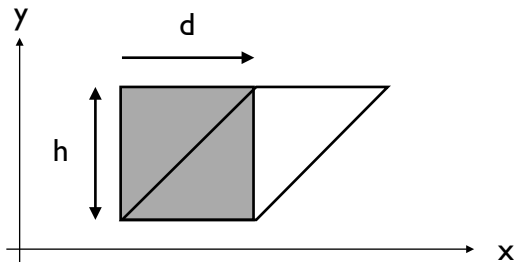
## strain

## Übung 2

### Scherverformung in der Scherbox (simple shear in 2 D)

Ziel dieser Übung ist es, die verschiedenen geometrischen Aspekte der einfachen Scherung (in 2 Dimensionen) kennenzulernen und quantitativ beschreiben zu können. Die Übung kann auf zwei Arten gelöst werden: durch Messen oder durch Rechnen.

Einfache Scherung wird wie folgt beschrieben:



$$\gamma = d / h$$

wo  $d$  = Versetzungsbetrag und  $h$  = Höhe des gescherten Körpers.

### Das Experiment

Ein Stapel Computerkarten wird geschert (diese Karten existieren in der Tat immer noch - sie sind im Übungsraum zusammen mit einer real existierenden Scherbox zu finden).

Auf den Karten ist seitlich ein Einheitskreis (Radius = 1.00) aufgemalt, sowie 8 Durchmesser in den Orientierungen  $0^\circ, 30^\circ, 45^\circ, 60^\circ, 90^\circ, 120^\circ, 135^\circ, 150^\circ$ .

Das Resultat des Scherexperimentes ist auf der beigelegten Abbildung dargestellt:

- 1 - Unverformter Zustand
- 2 -  $\gamma = 0.5$
- 3 -  $\gamma = 1.0$
- 4 -  $\gamma = 2.0$
- 5 -  $\gamma = 3.0$

Der Kreis verformt sich zu zunehmend schlankeren Ellipsen (= Verformungsellipsen), die verschiedenen Durchmesser werden länger oder kürzer und ändern die Orientierung.

### Aufgaben

1. Bestimmen Sie den Scherwinkel,  $\psi$ , in den 4 Verformungsschritten. Beschreiben Sie das Vorgehen.
2. Schreiben Sie die Gleichungen der Koordinaten-Transformation für  $\gamma = 0.5, 1.0, 2.0, 3.0$ .
3. Bestimmen Sie die Extension,  $e$ , und die Orientierung,  $\phi$ , der eingezeichneten Durchmesser (A-A', B-B', etc.) bzw. der Radien (0-A', 0-B', etc.) in den 4 Verformungsschritten.

$$\Delta L = L - L_0$$

$$e = \Delta L / L_0$$

wo  $L_0$  = ursprüngliche Länge und  $L$  = verformte Länge

Die Radius des ursprünglichen Kreises ist = 1.00. Sie können nun entweder alle Durchmesser oder Radien messen oder die Koordinaten der verformten Radiusvektoren berechnen und daraus die verformte Länge gewinnen. Dazu nehmen Sie am besten an, dass sich der Koordinatenursprung immer im Mittelpunkt der Ellipsen befindet. Welches Vorgehen wählen Sie? Beschreiben Sie es.

4. Stellen Sie die Extension,  $e$ , und die Orientierung,  $\phi$ , der Linien A-A', B-B' etc. als Funktion von  $\gamma$  dar (2 separate Diagramme) und kommentieren Sie. Welche Linien, d.h. welche ursprünglichen Orientierungen, werden kürzer, welche werden länger? Wie schnell rotieren sie?
- 4\*. Finden Sie die mathematische Gleichung, welche die Extension,  $e$ , und die Orientierung,  $\phi$ , einer gescherten Geraden in Abhängigkeit der Scherung,  $\gamma$ , und der ursprünglichen Orientierung,  $\phi_0$ , der Geraden beschreibt.

5. Zeichnen Sie die lange Achse,  $a$ , und die kurze Achse,  $b$ , der Ellipsen ein, messen Sie die Längen  $a$  und  $b$ , berechnen Sie das Achsenverhältnis,  $Rr$  ( $Rr = a/b$ ), und bestimmen Sie die Orientierung,  $\phi$ , der langen Achse.

Tragen Sie die Resultate in den entsprechenden Diagramme der Aufgabe 4 ein.

6. Vergleichen Sie die Sie Rotation der langen Ellipsenachse mit der Rotation der gescherten Durchmesser. Zeichnen Sie die Lagen der langen und kurzen Achsen auf den Verformungsellipsen im ursprünglichen Einheitskreis ein. Kommentieren sie. Sind zusammengehörige Achsen senkrecht aufeinander? Sind die Ellipsenachsen Materiallinien?

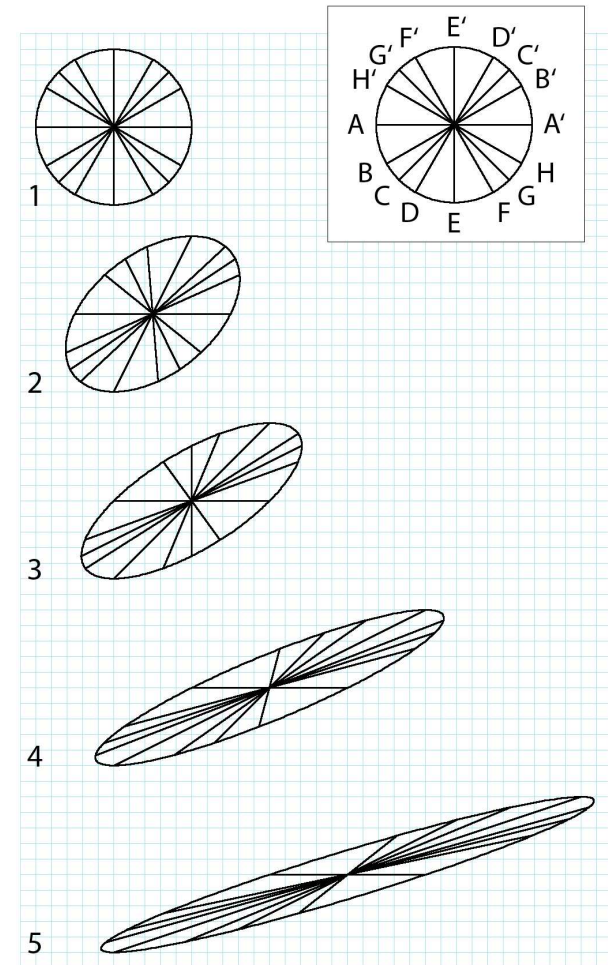
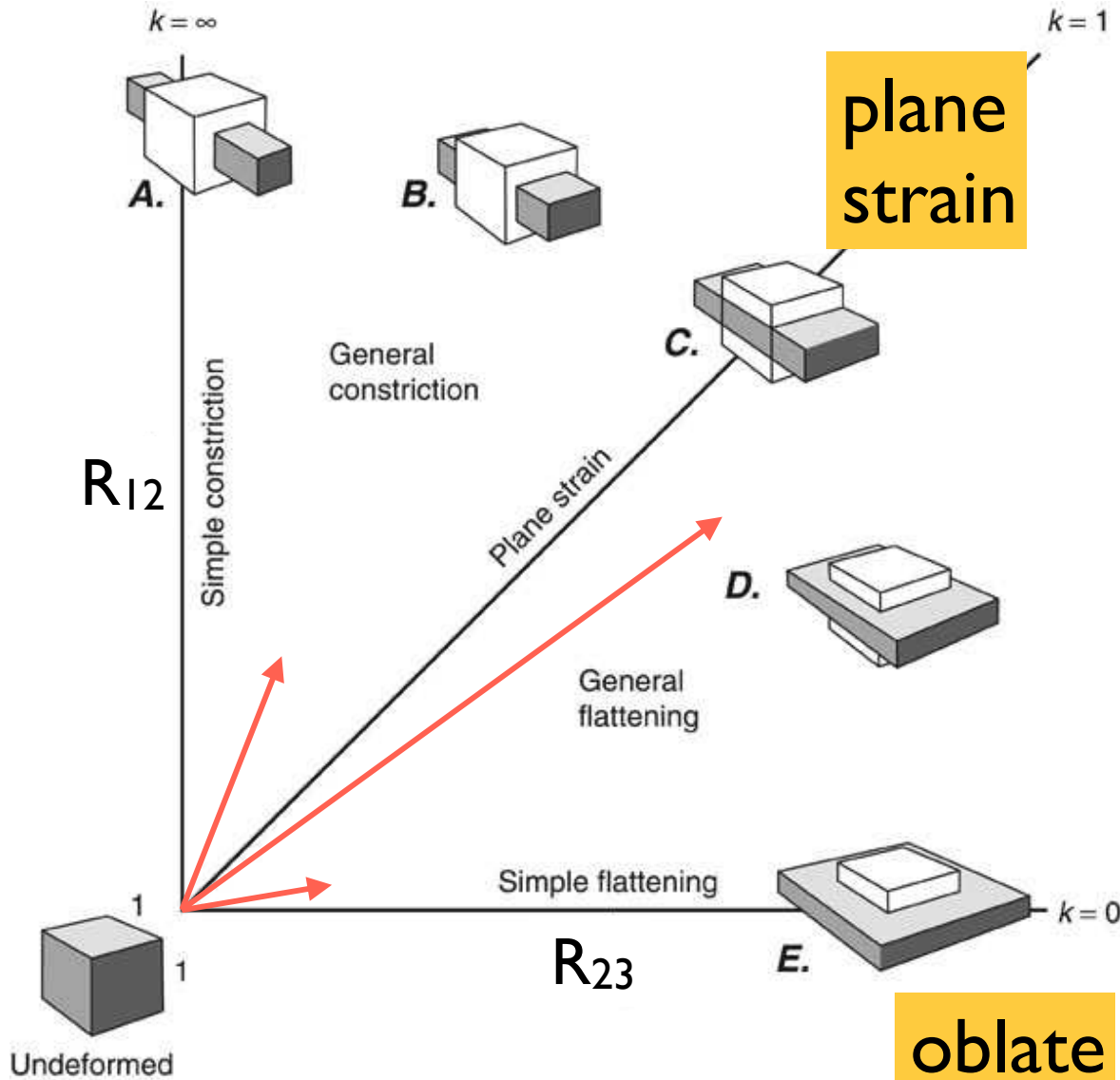


Abbildung: Resultat des Scherexperimentes

# Flinn Diagramm (strain types)

# Flinn - Diagramm

prolate



$$k = (R_{12} - 1) / (R_{23} - 1)$$

$$R_{12} = (1 + e_1) / (1 + e_2)$$

$$R_{23} = (1 + e_2) / (1 + e_3)$$

symmetrische Streckung  
constrictional strain

$$k = \infty \Rightarrow e_2 = e_3 < e_1$$

plane strain

$$k = 1 \Rightarrow e_2 = 0$$

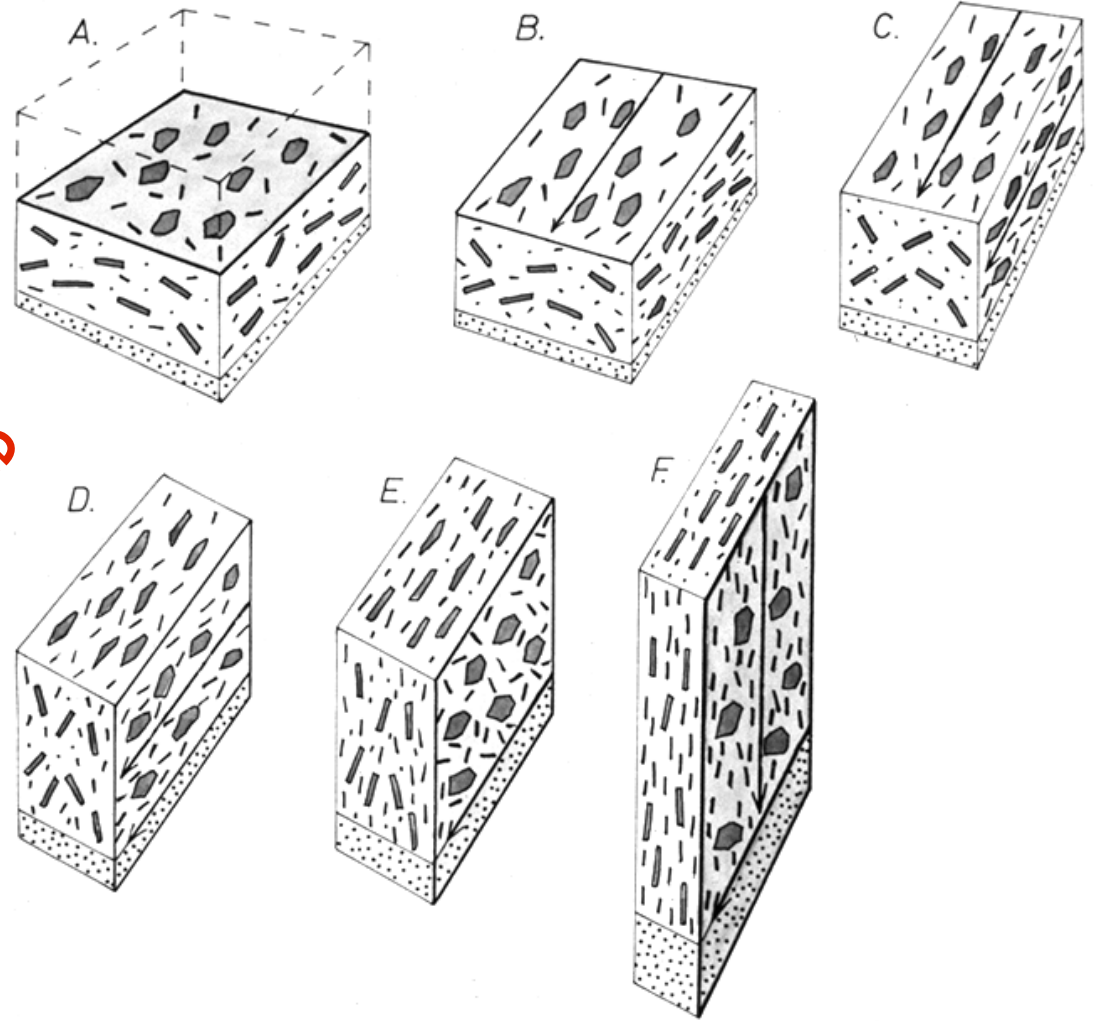
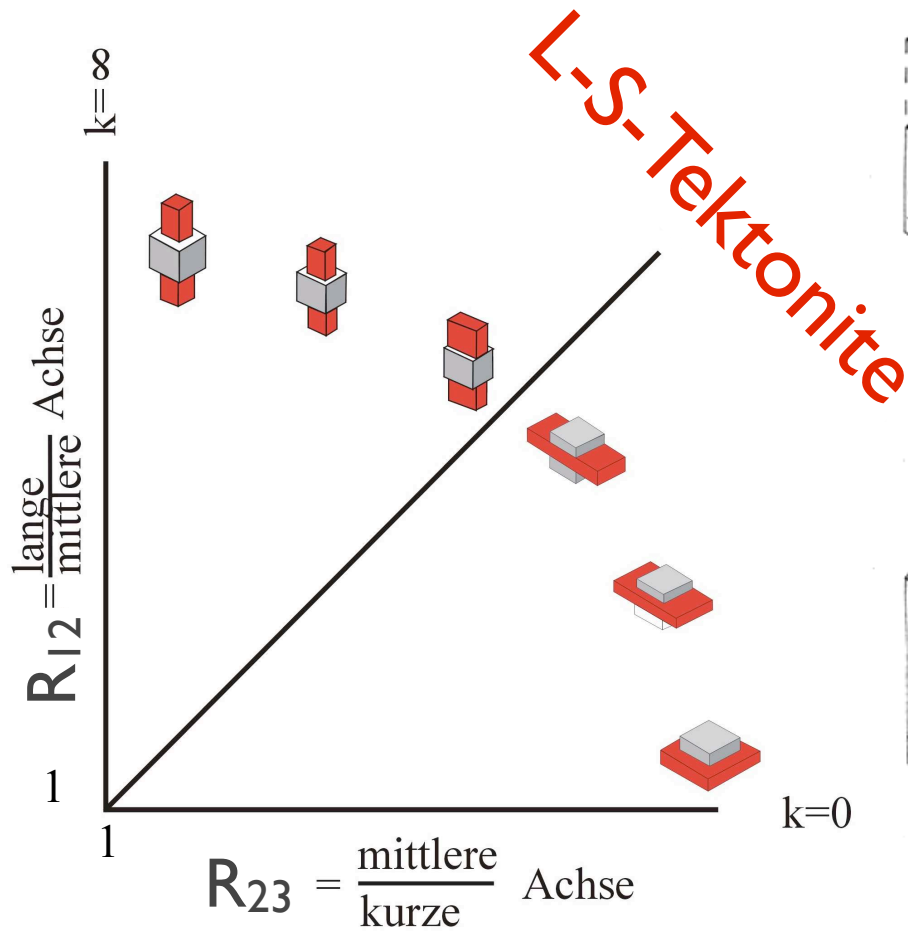
symmetrische Plättung  
flattening strain

$$k = 0 \Rightarrow e_1 = e_2 > e_3$$

$\epsilon_s$  = strain magnitude

# Flinn - Diagramm

L-Tektonite



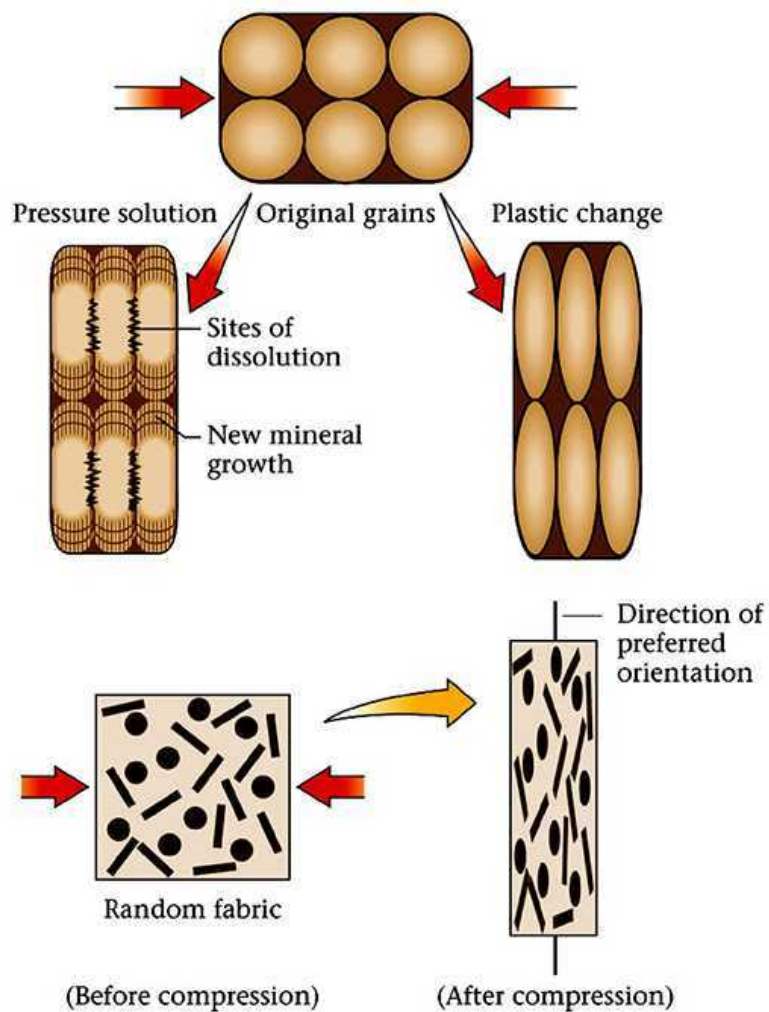
S-Tektonite



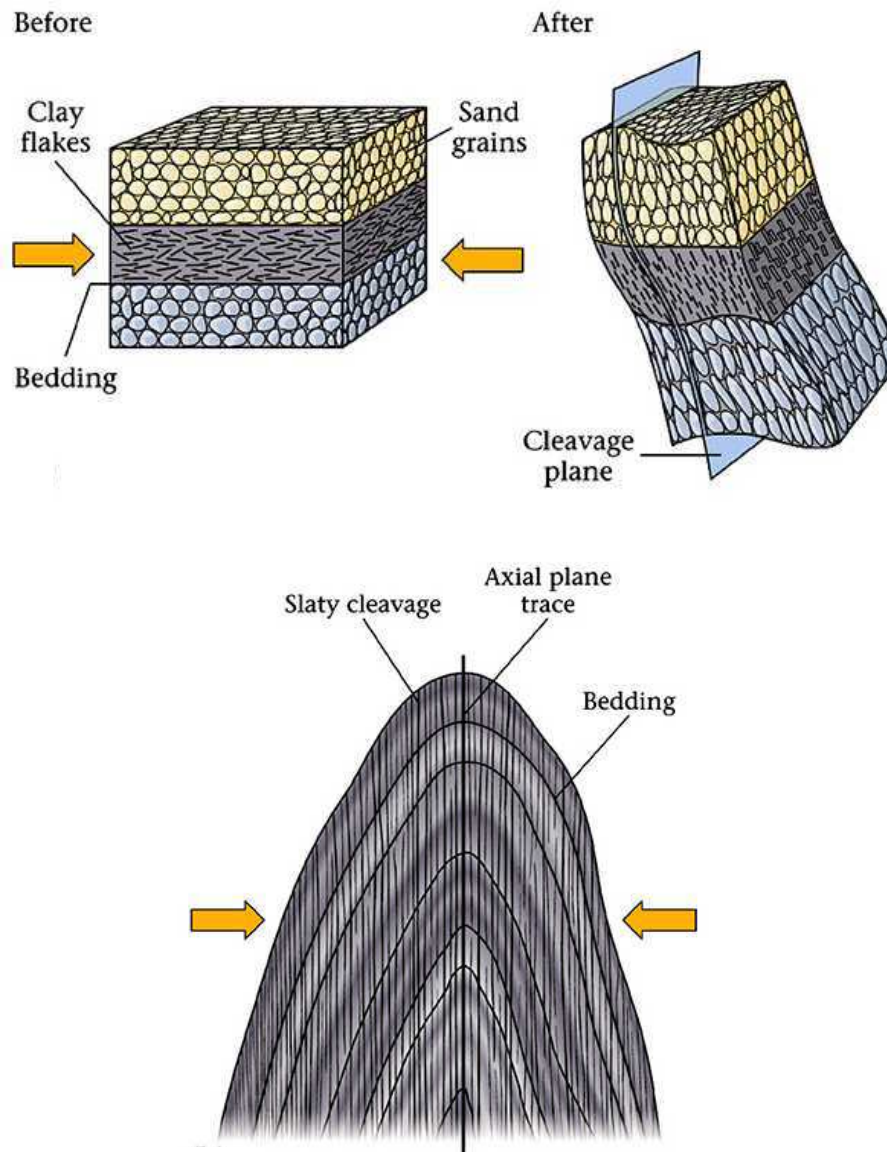
# Deformation:

▣▣▣➔ strain

(strain marker !)

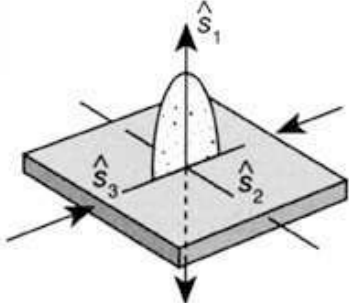
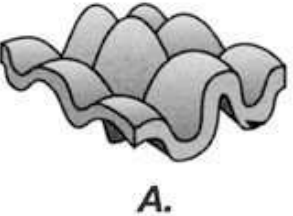
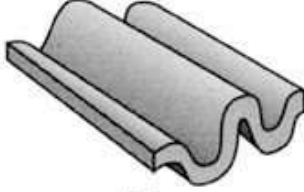

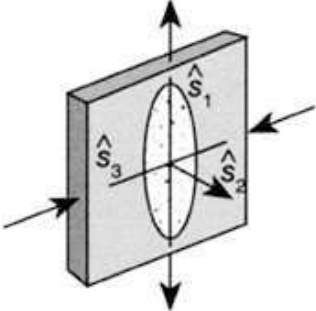
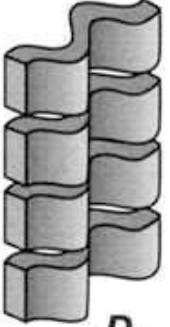
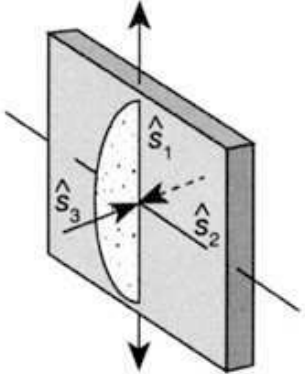
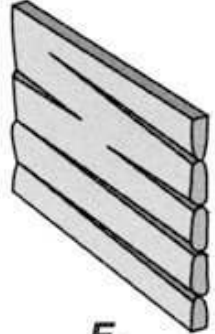
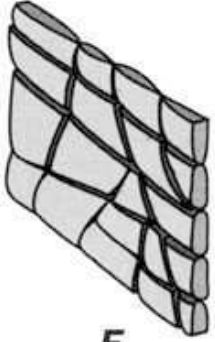


▣▣▣➔ foliation





# shortening and extension in layers

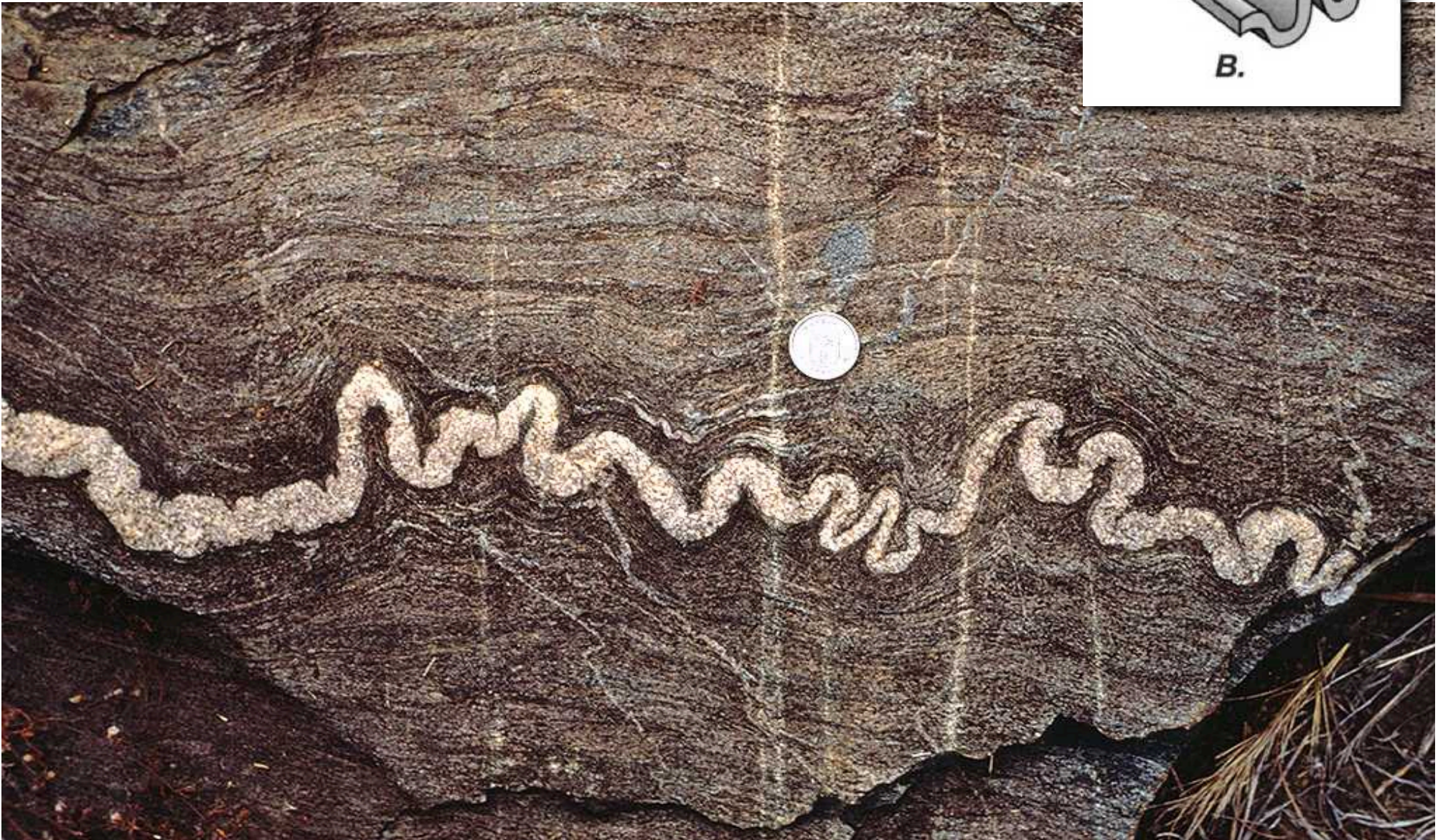
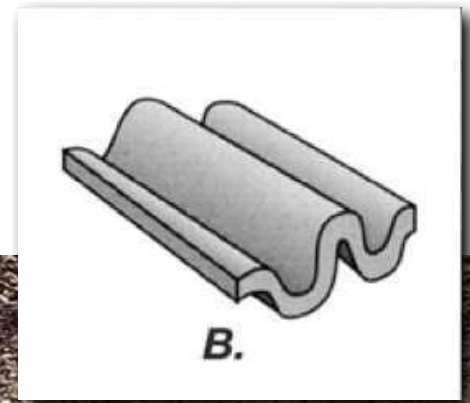
		$\hat{s}_2 < 1$	$\hat{s}_2 = 1$	$\hat{s}_2 > 1$
$\hat{s}_1$ perpendicular to layer		 A.	 B.	 C.
$\hat{s}_2$ perpendicular to layer				 D.
$\hat{s}_3$ perpendicular to layer			 E.	 F.

Verkürzung - Falten  
Streckung - Boudinage



# Verkürzung:

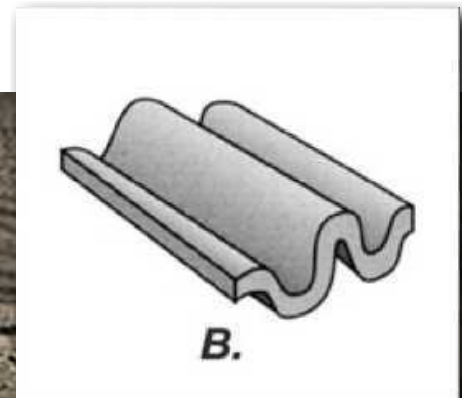
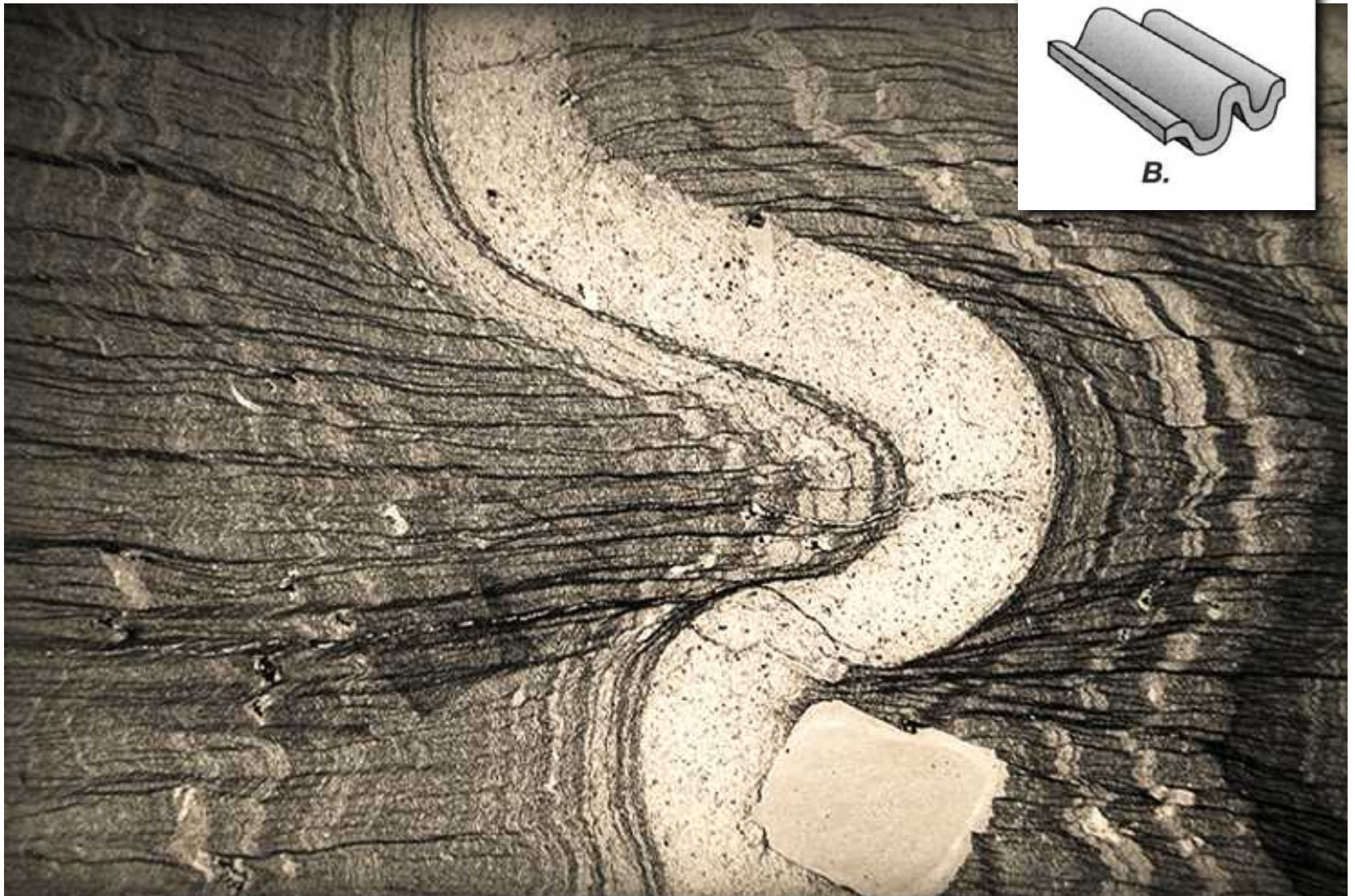
## ⇒ Ptygmatische Faltung





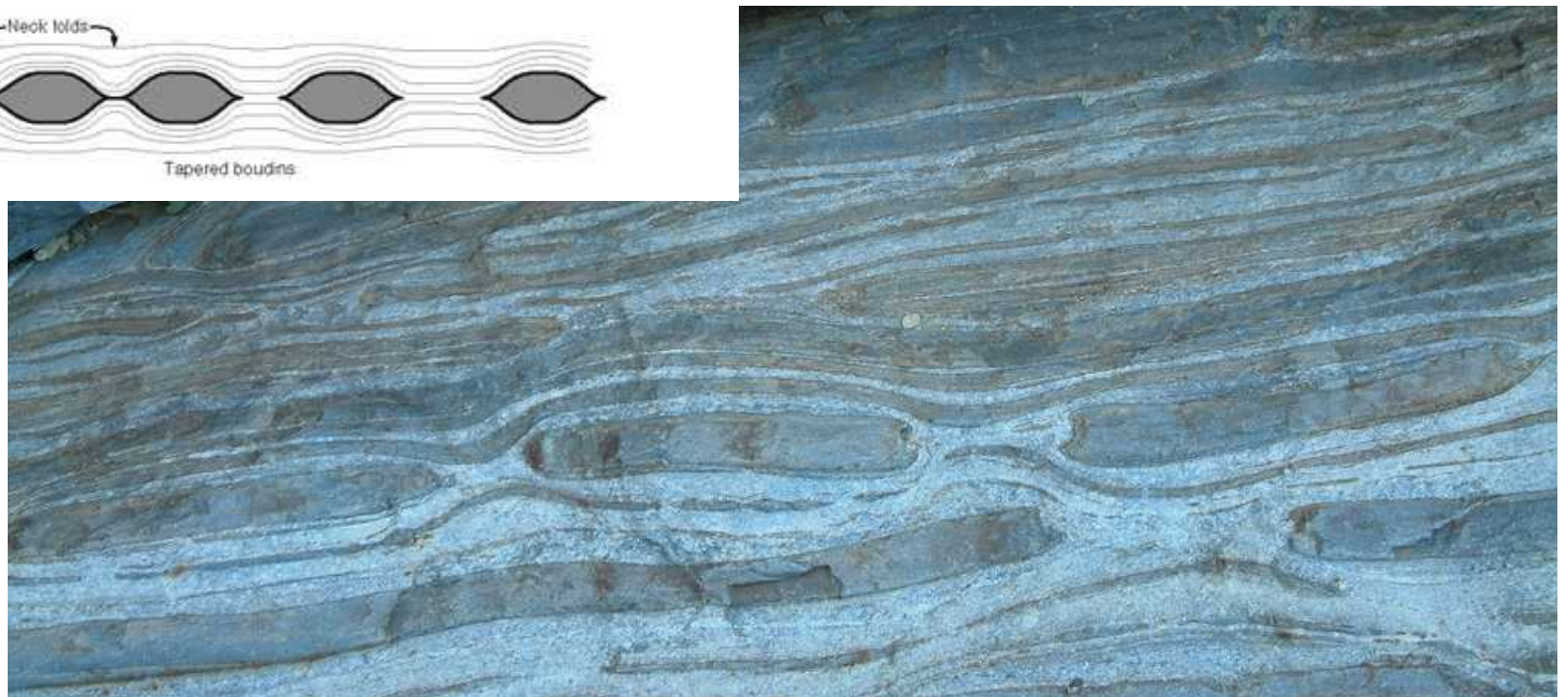
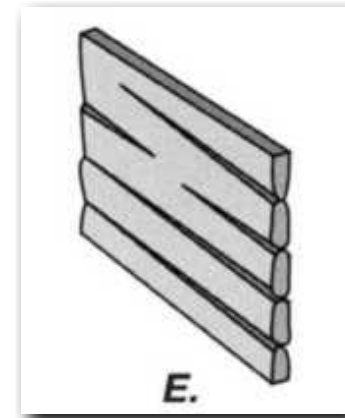
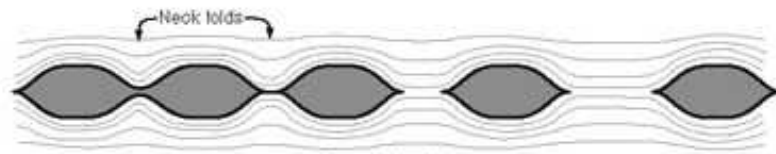
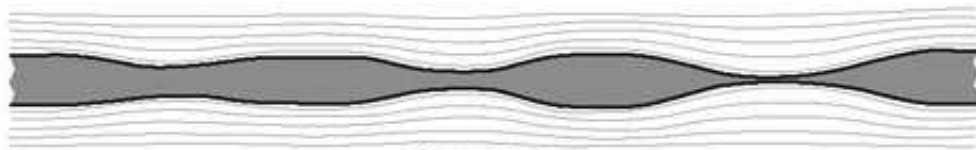
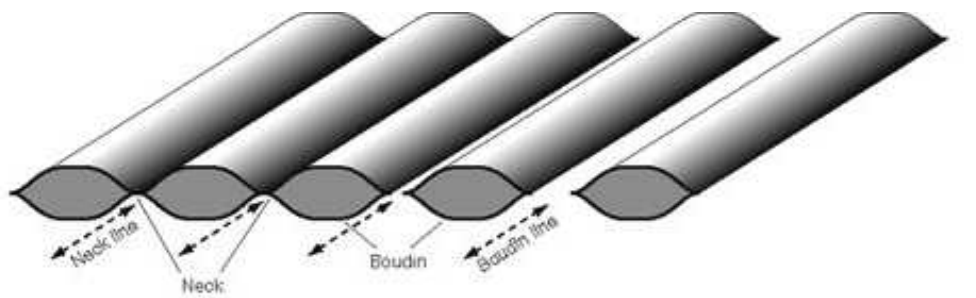
➡ Faltung

➡ Schieferung

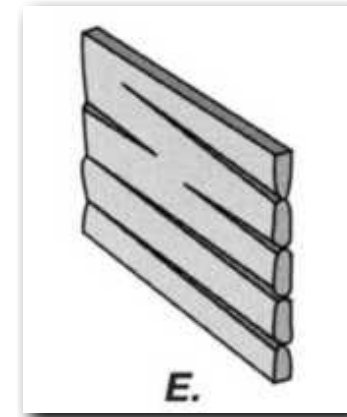
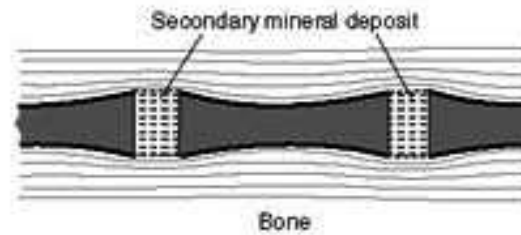
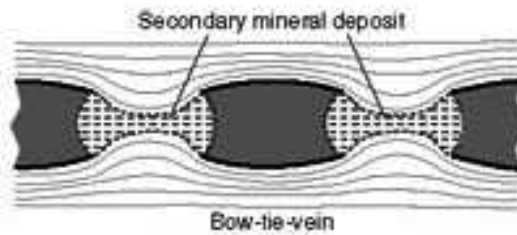
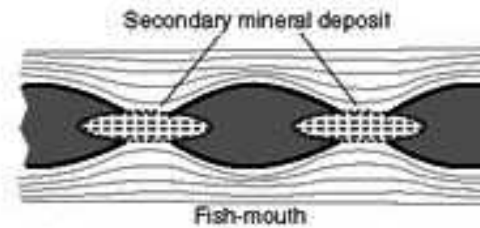
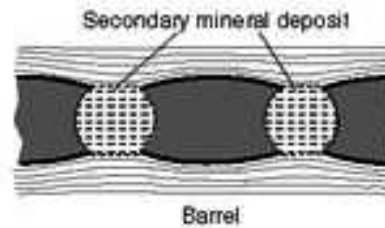
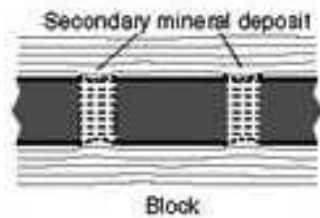
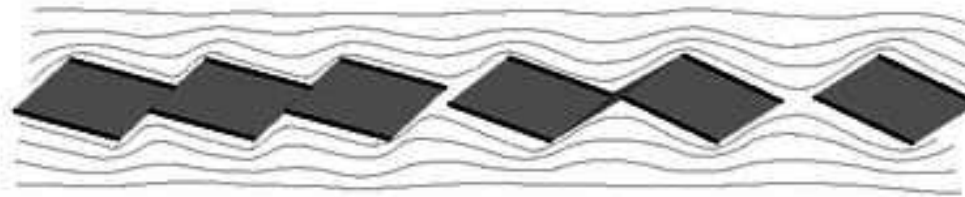
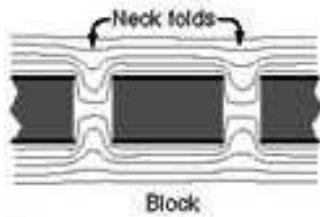
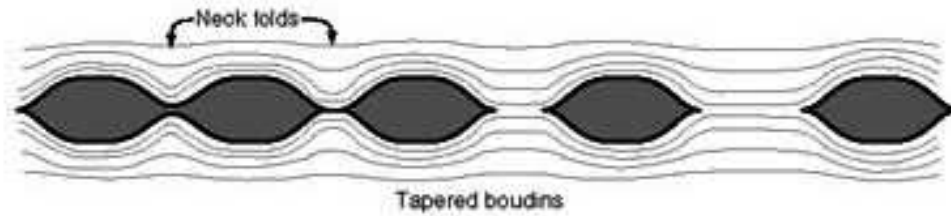




# Boudinage

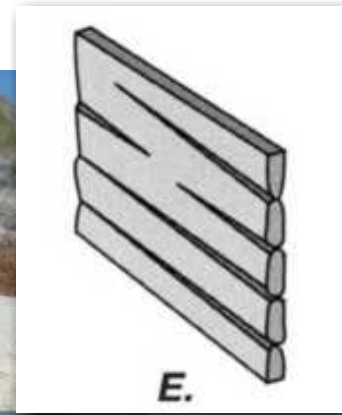


# Boudinage



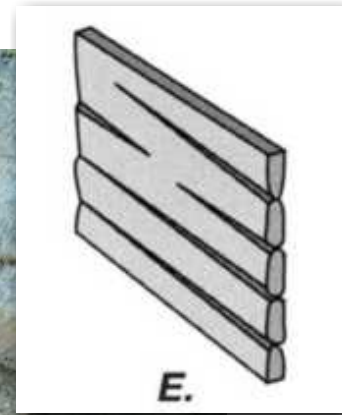


# Boudinage



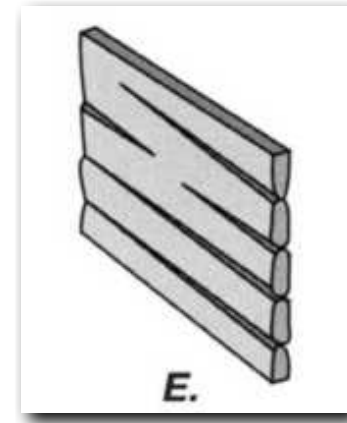


# Boudinage



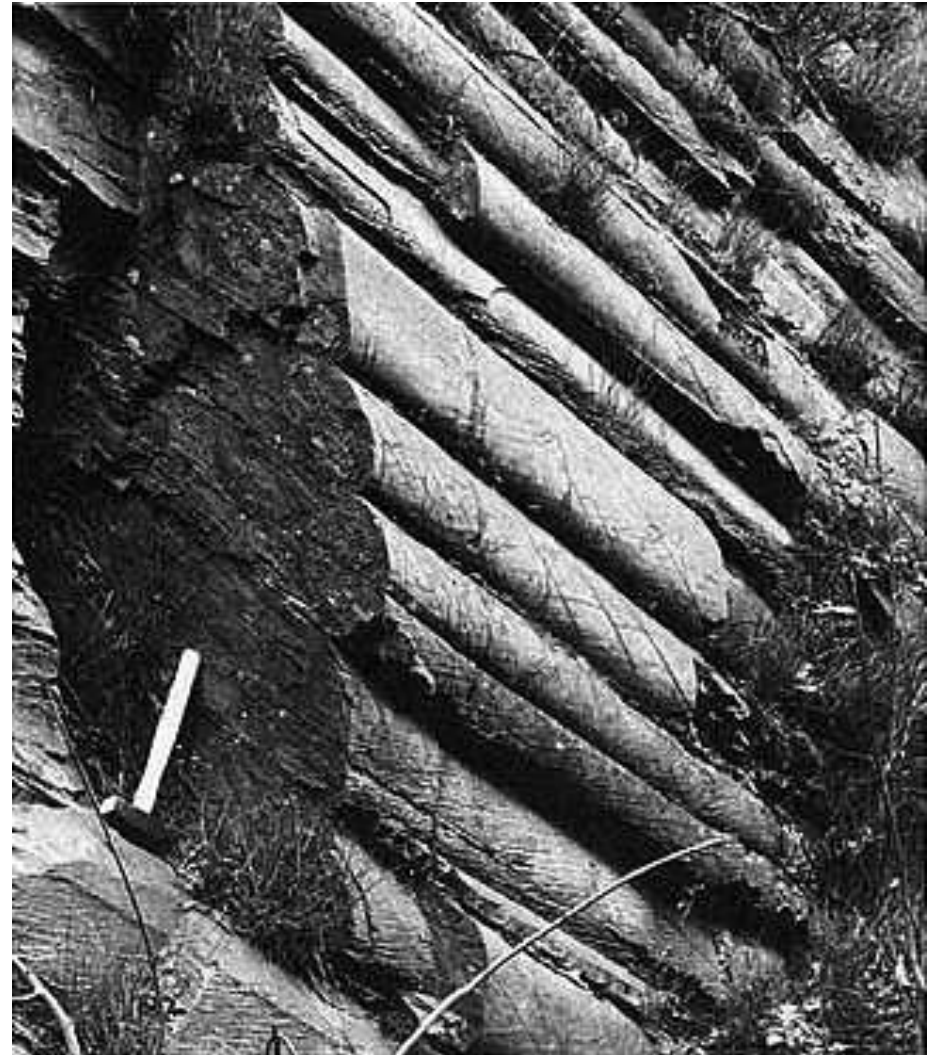
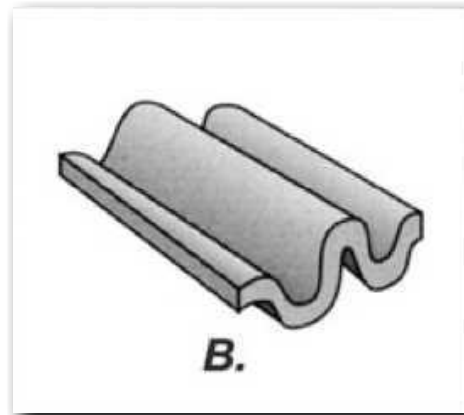
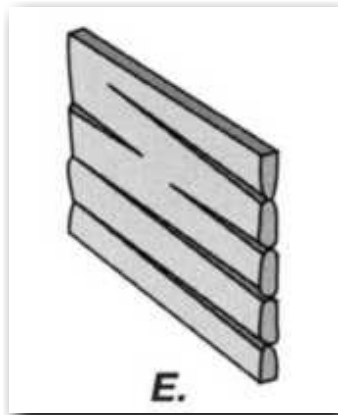


# Boudinage



# Boudinage

## Mullionstrukturen (mullions)





# 'chocolate tablet structure'

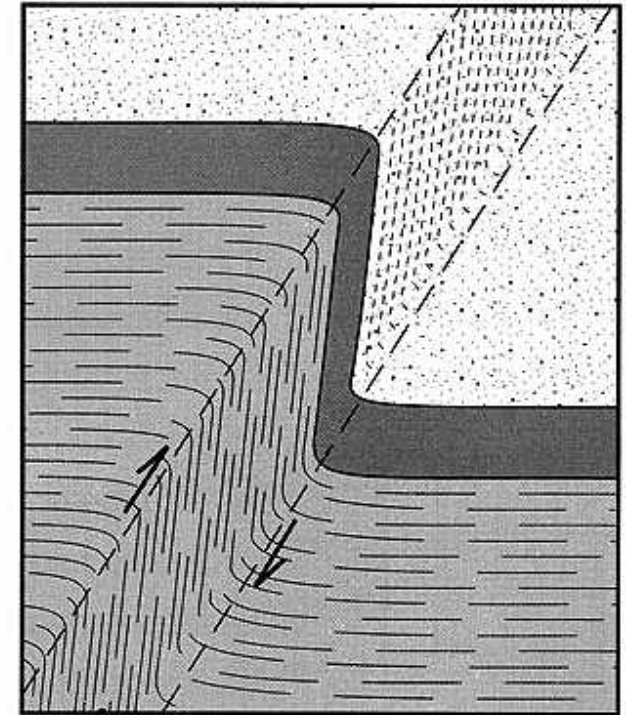
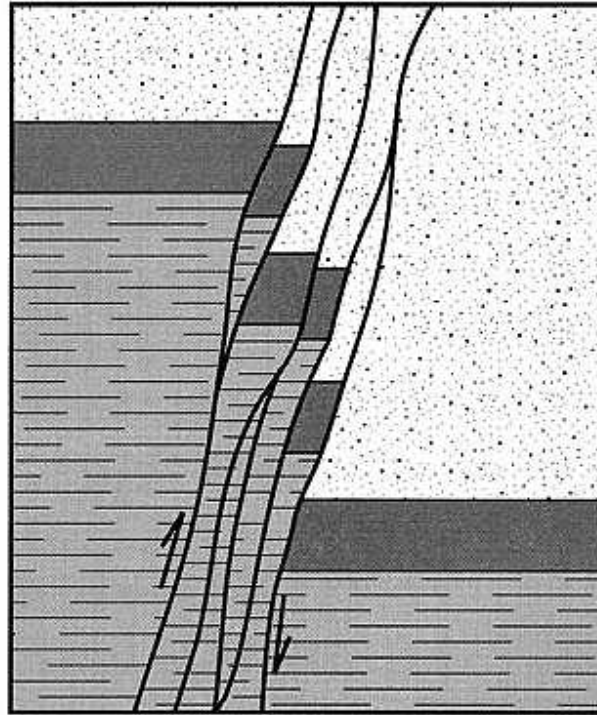
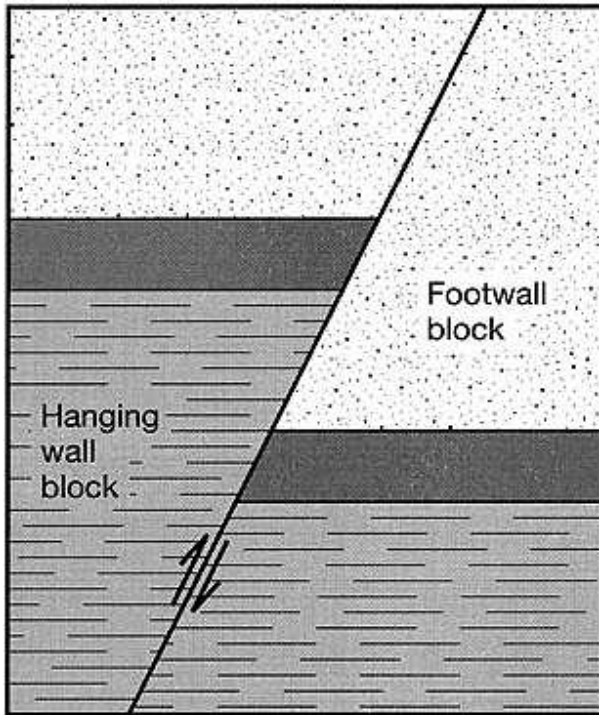


# Scherung - Scherzonen

# Scherverformung

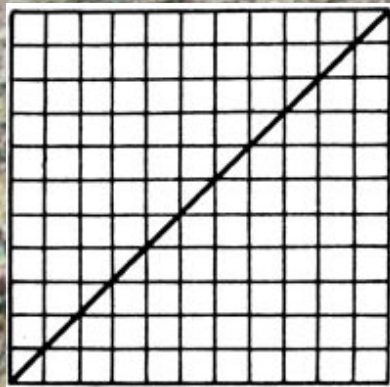
spröd  
duktil

lokalisiert  
homogen

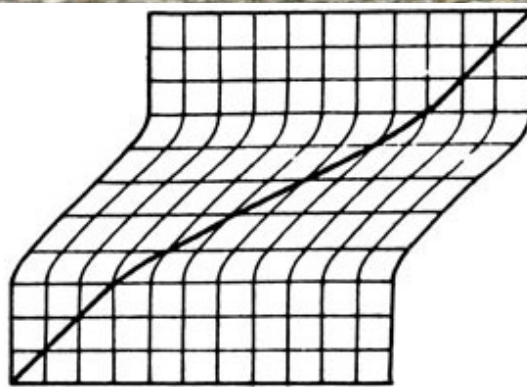




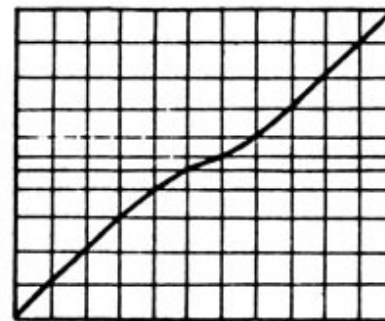
# Schersinn: Duktile Scherzonen



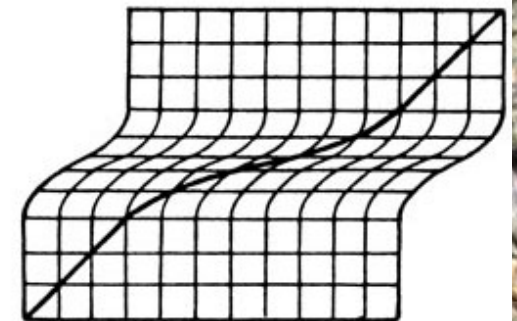
**A.** Original



**B.** Heterogeneous simple shear



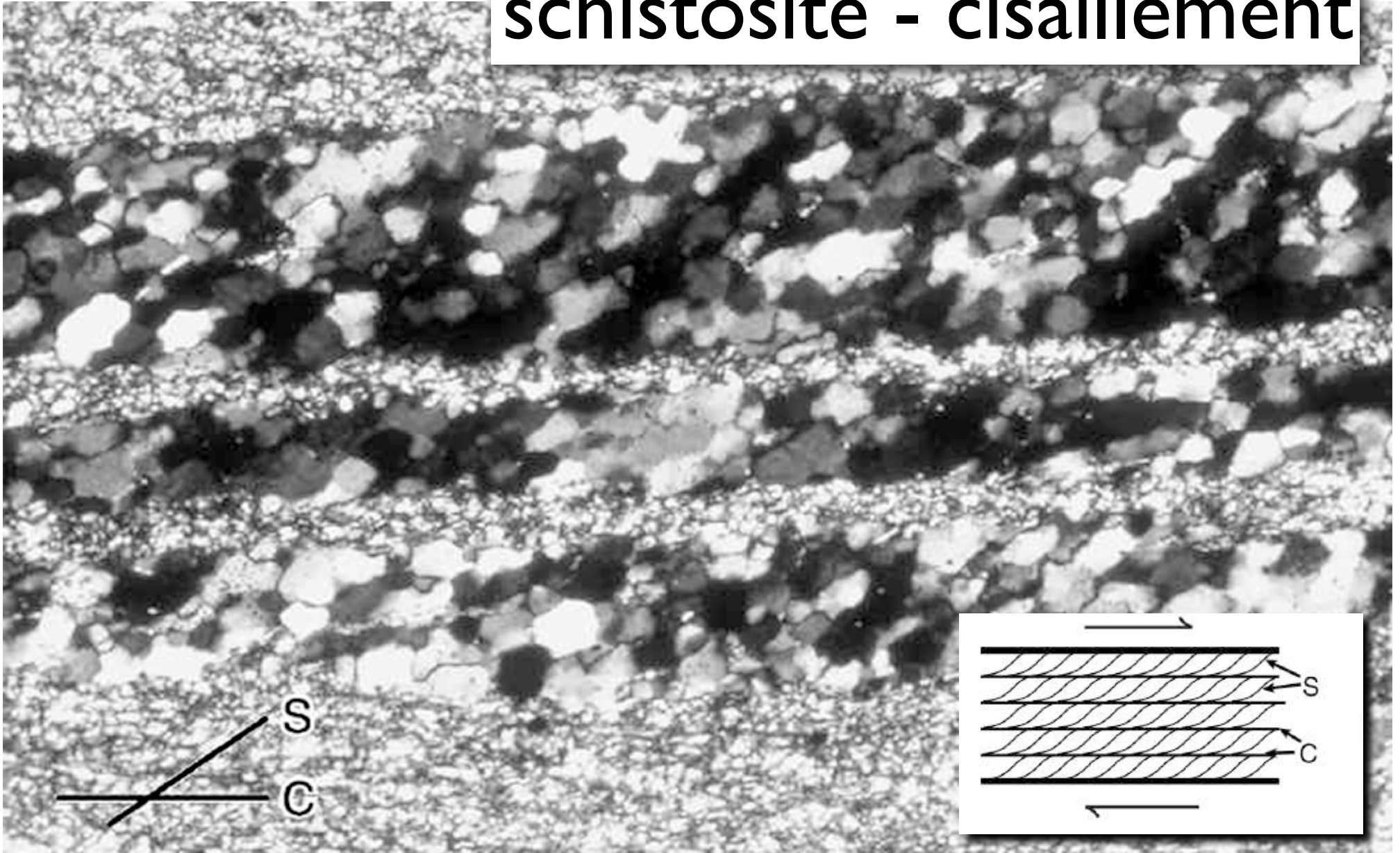
**C.** Heterog. volume change



**D.** Heterogeneous simple shear plus heterogeneous volume change

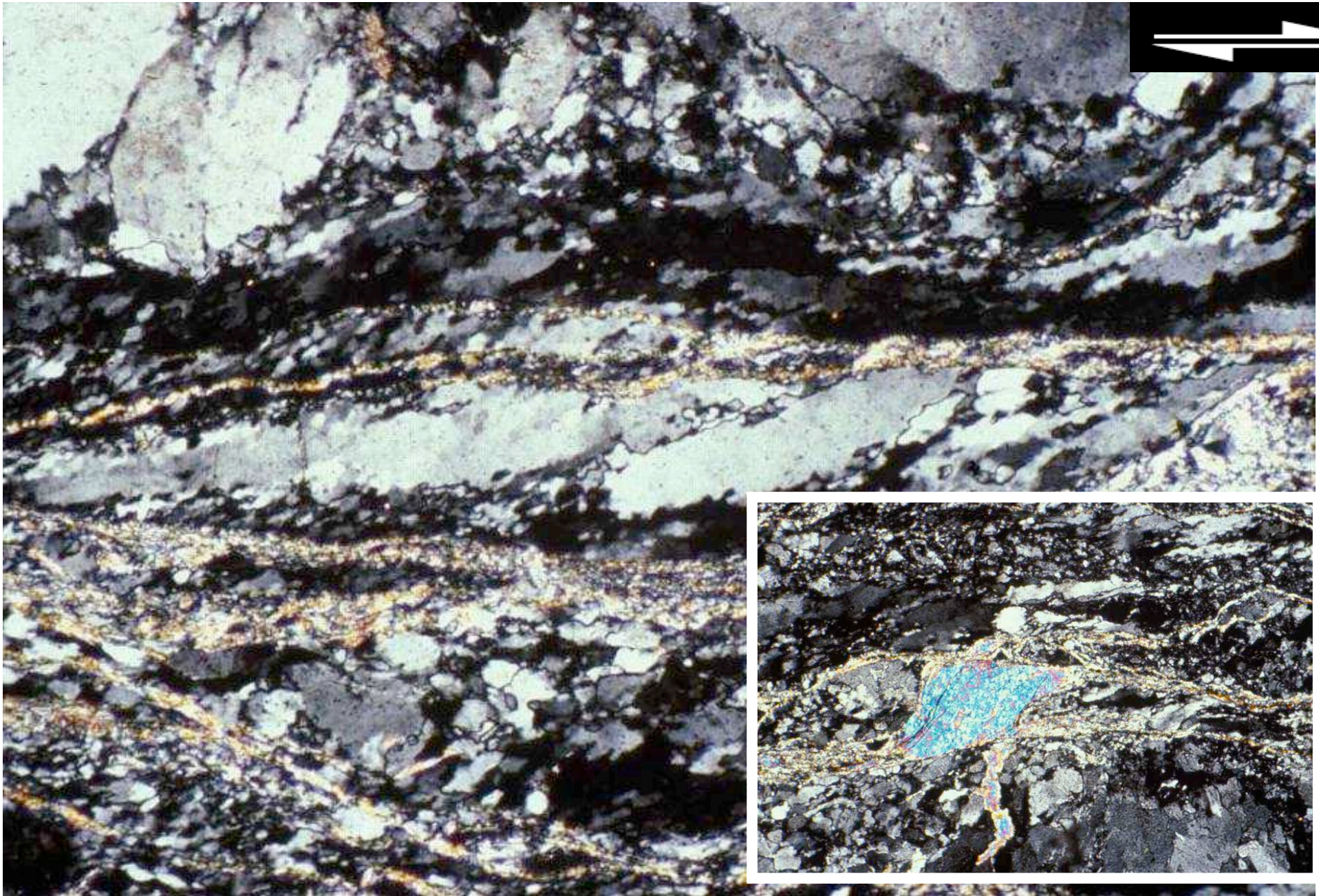
# Schersinn: S - C - Gefüge

schistosité - cisaillement



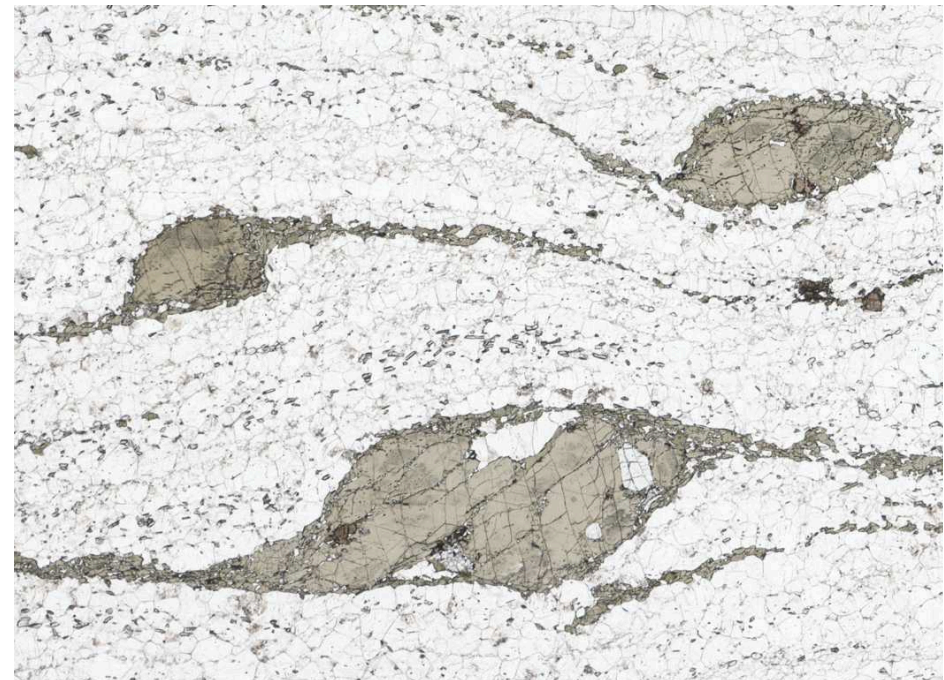
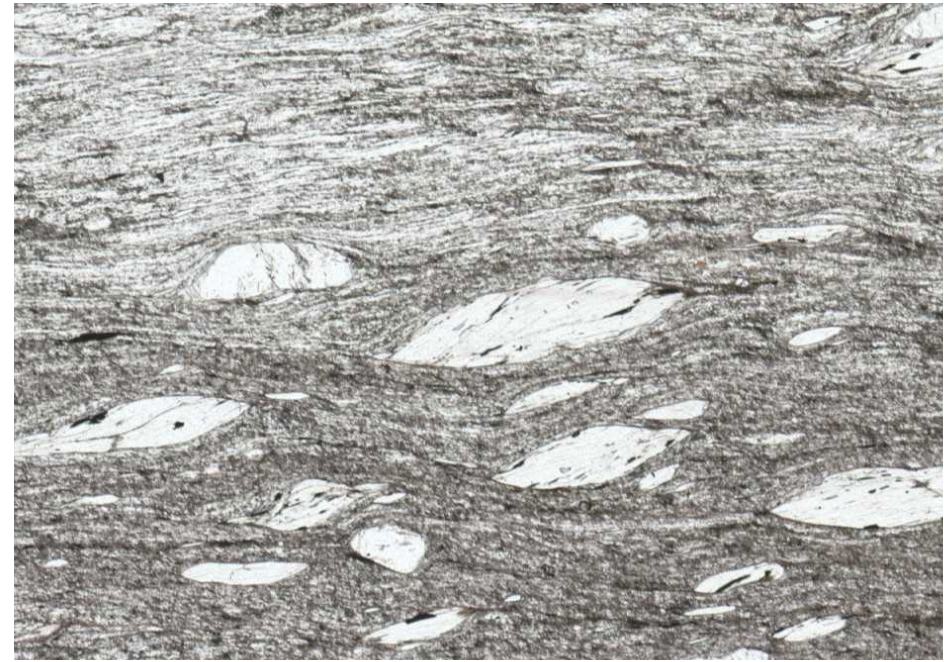


# Schersinn - Kriterien





# Schersinn - Kriterien





**Verformungsmarker  
(strain marker)**



# deformed pebbles





# deformed pebbles





# reduction spots

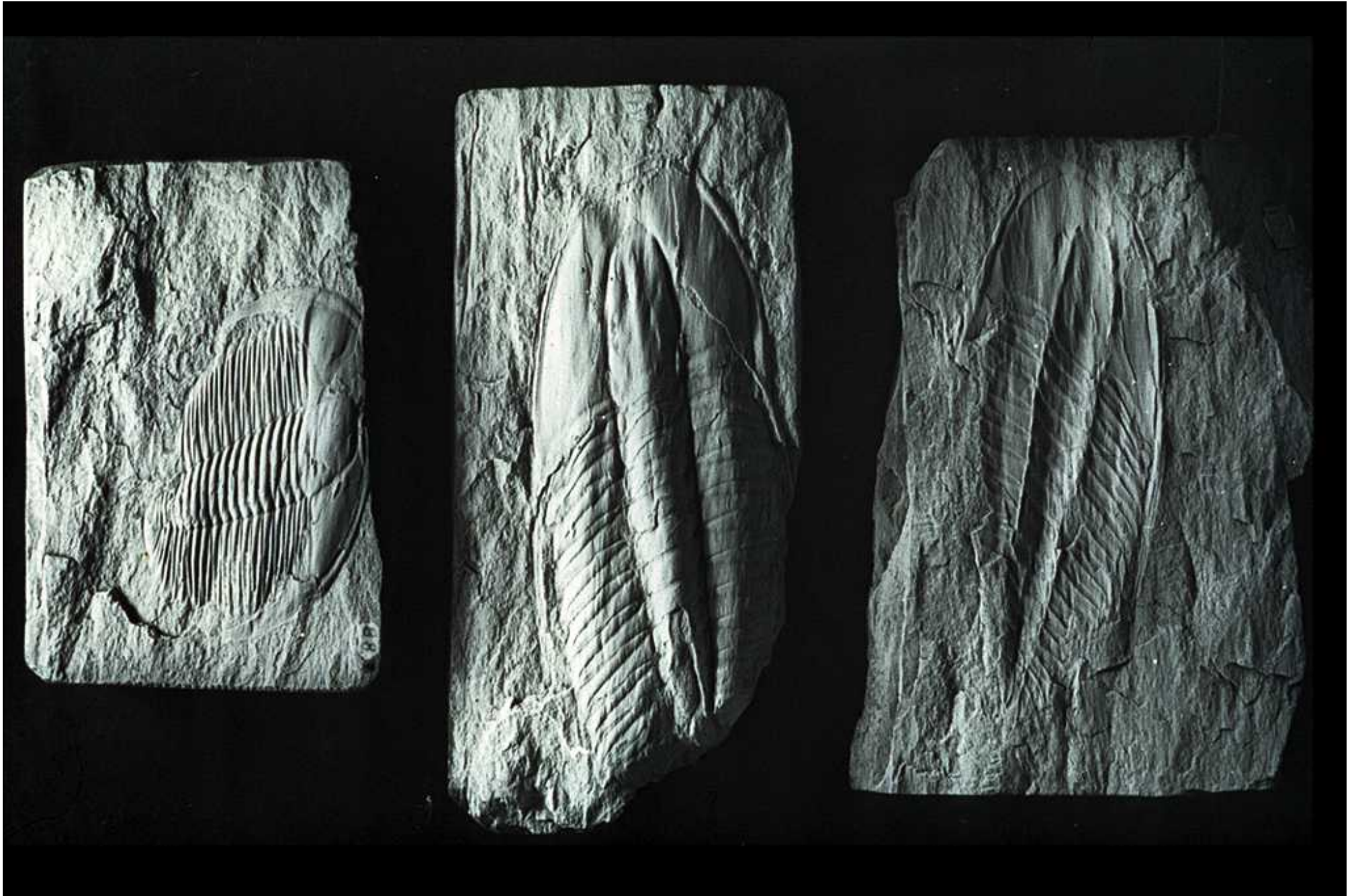


reduction spots



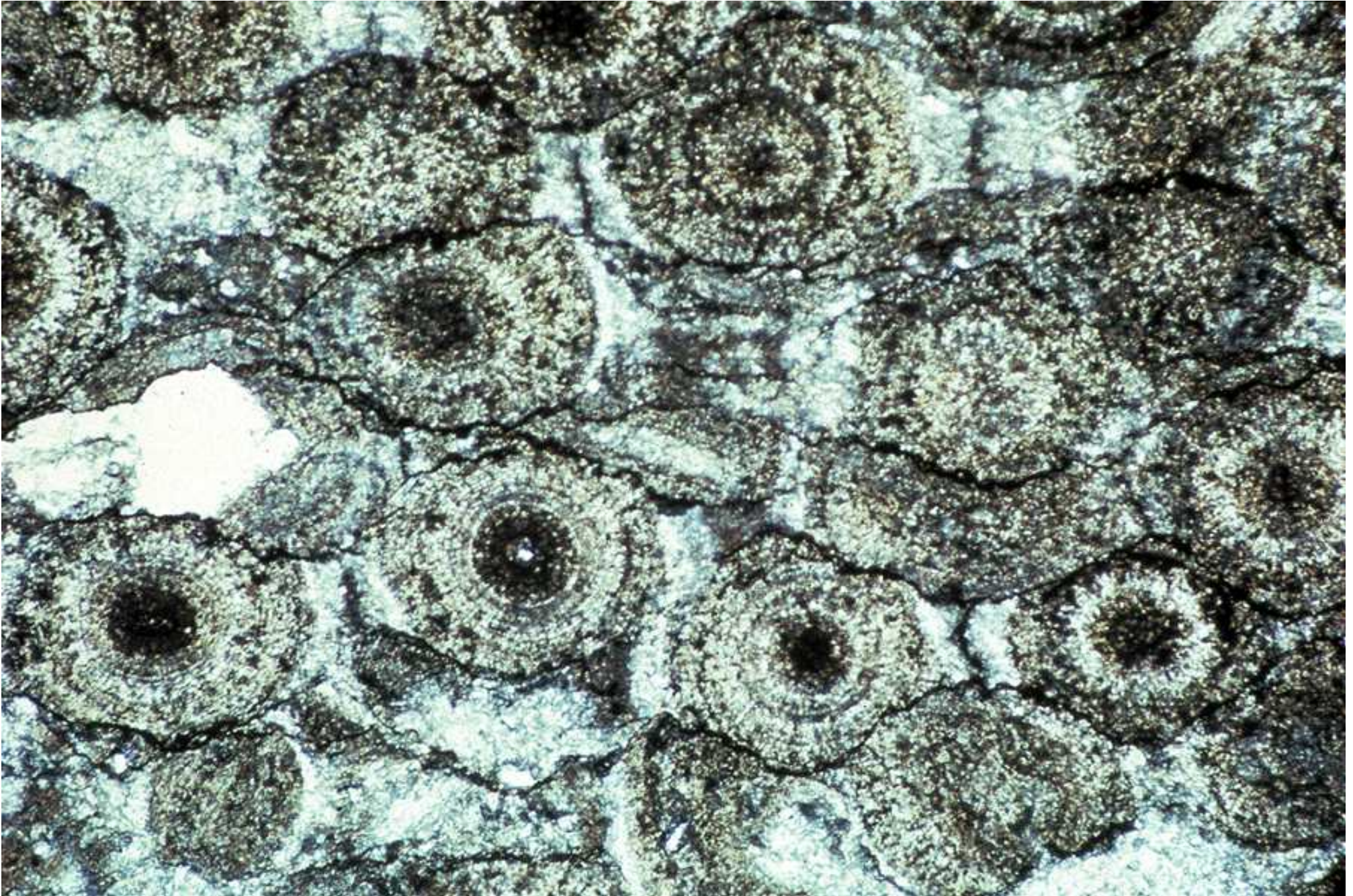


# deformed trilobites





# solution - precipitation





# tectonic stylolites





# strain partitioning



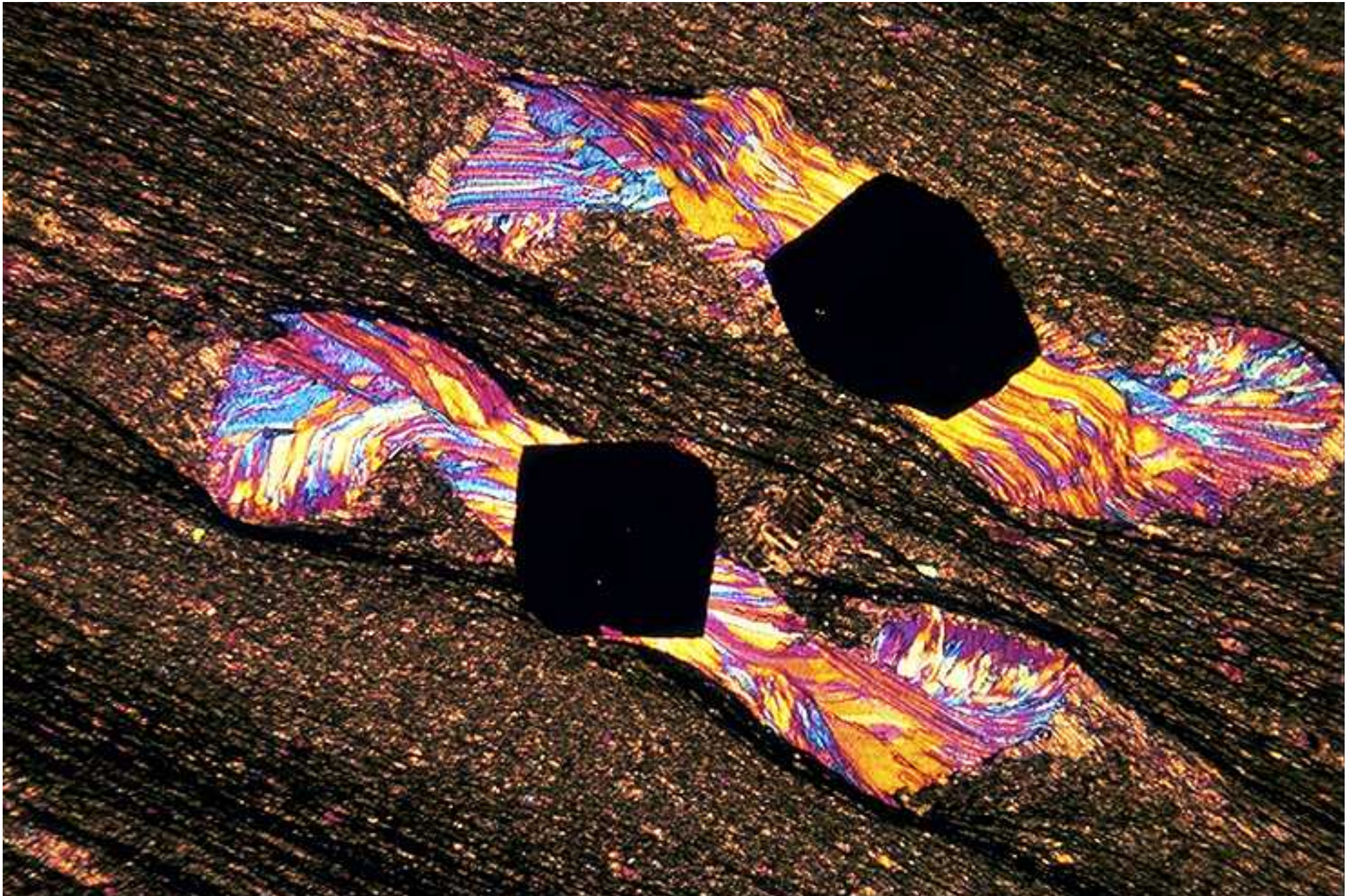


# matrix - particle strain



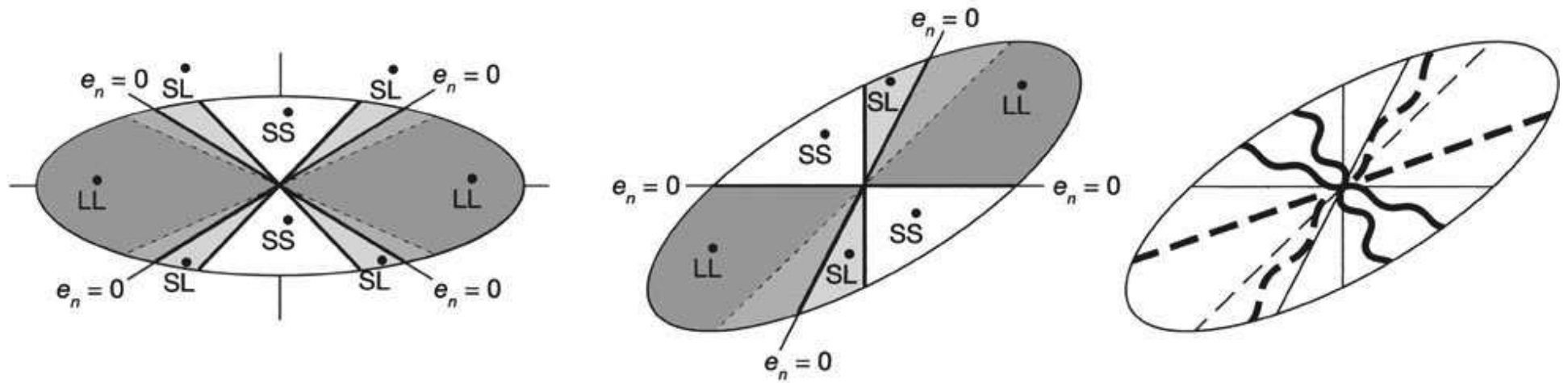


fibres





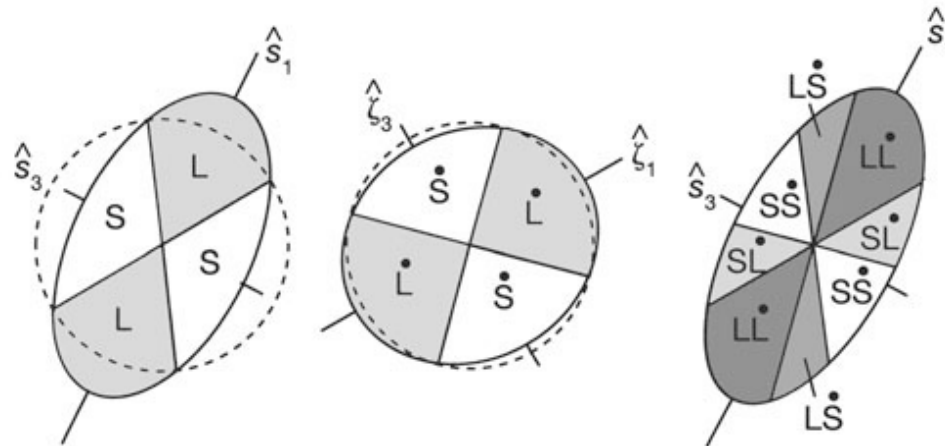
# strain history







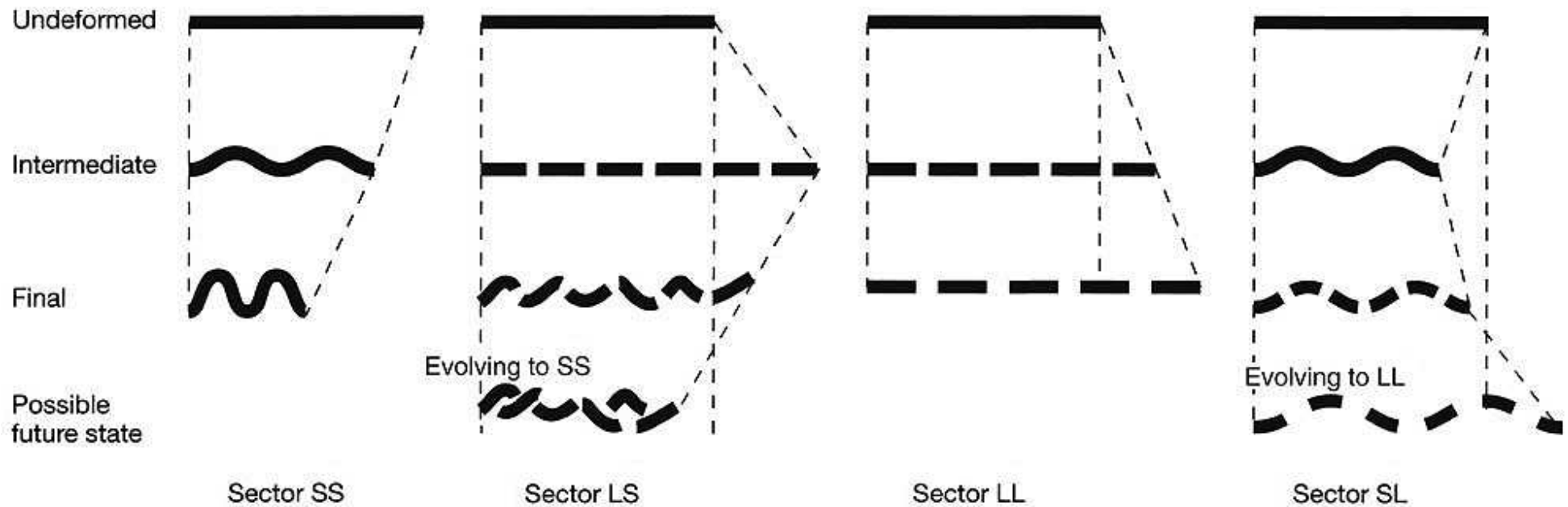
# strain history - superposition



strain ellipse

instantaneous  
strain ellipse

combination



Sector SS

Sector LS

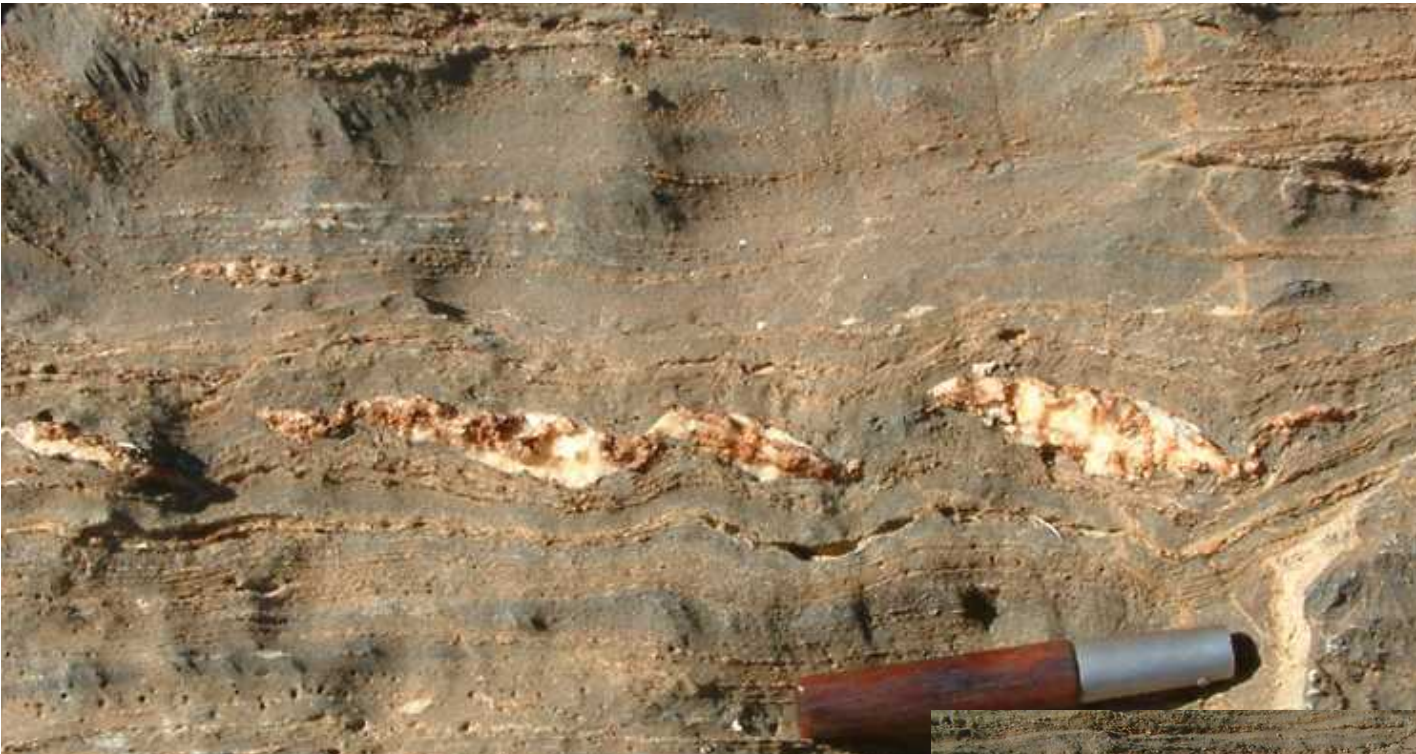
Sector LL

Sector SL

# strain history: LS field



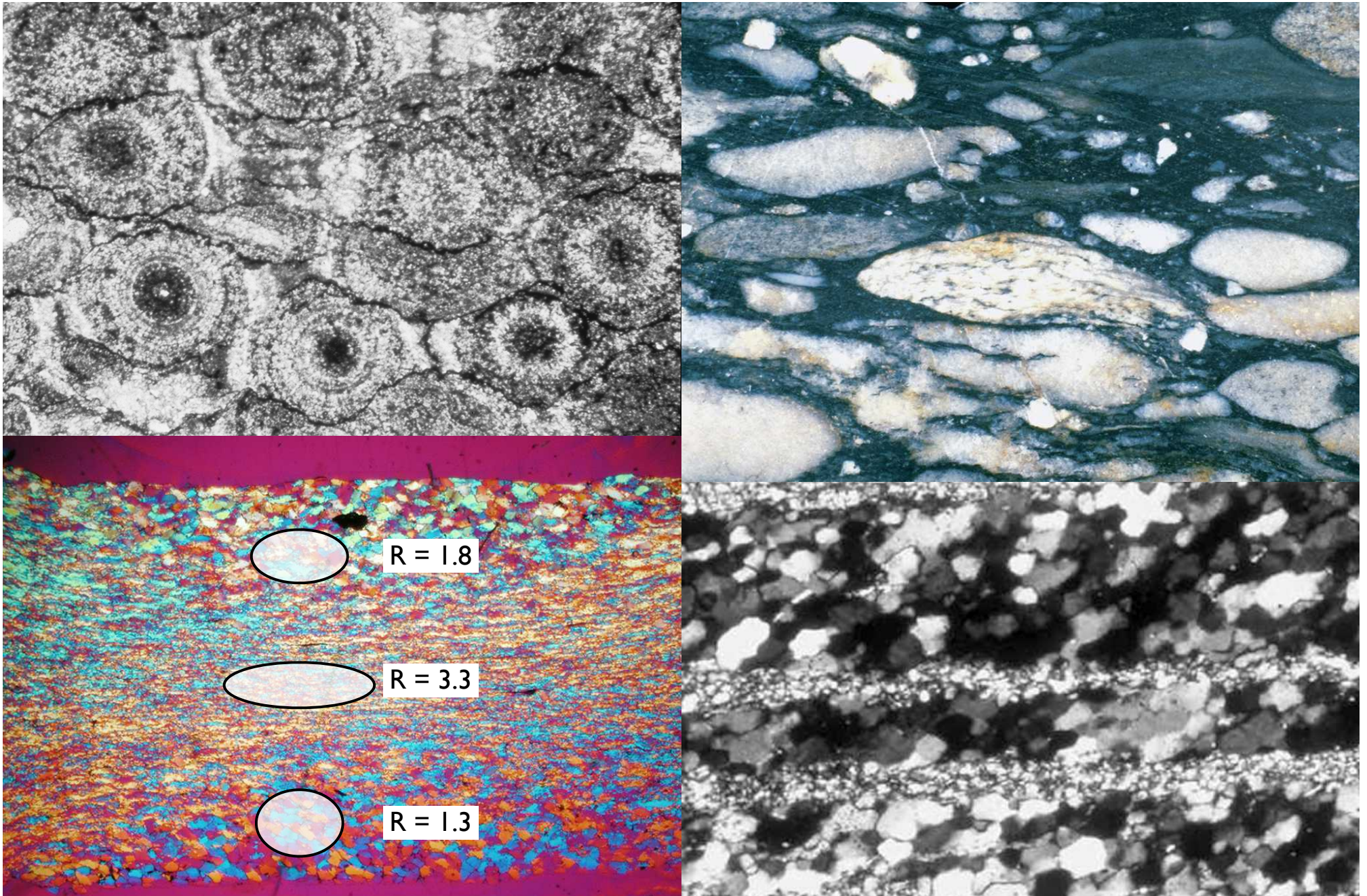




# Strain Messungen

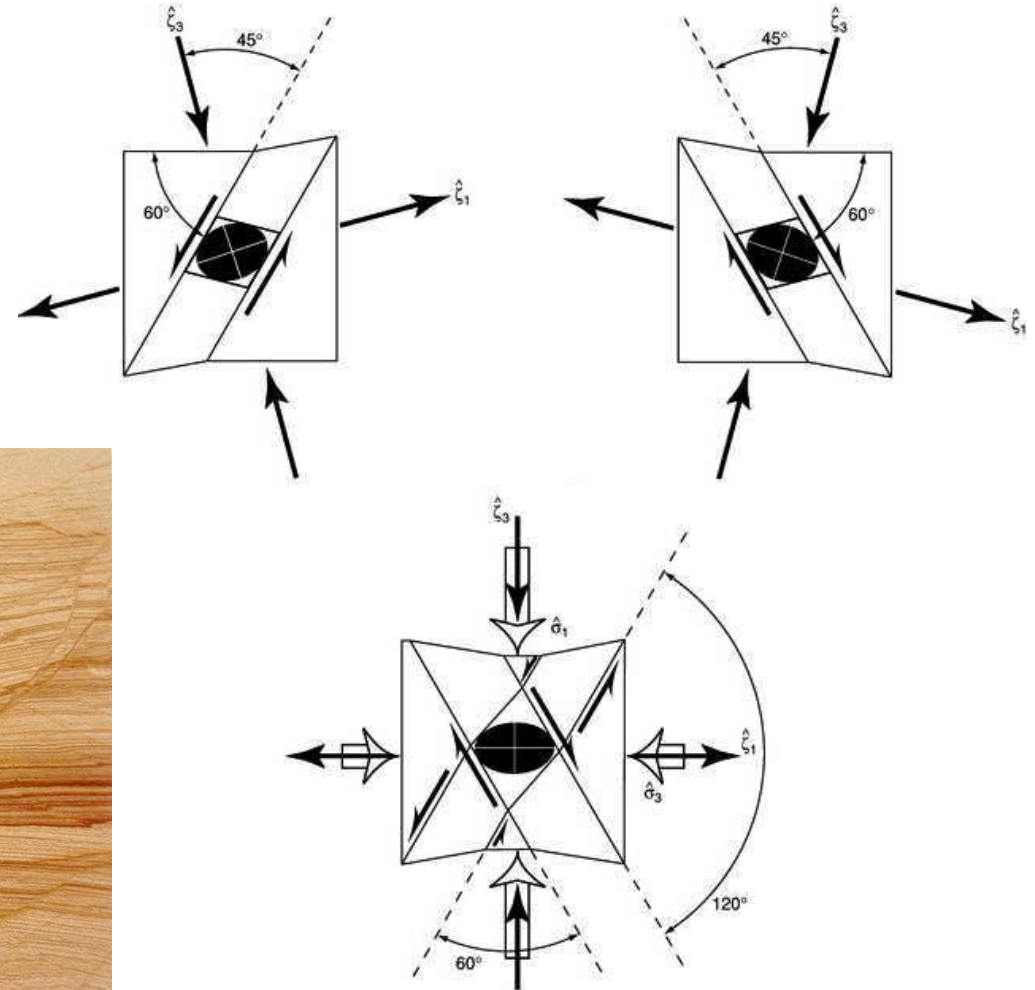
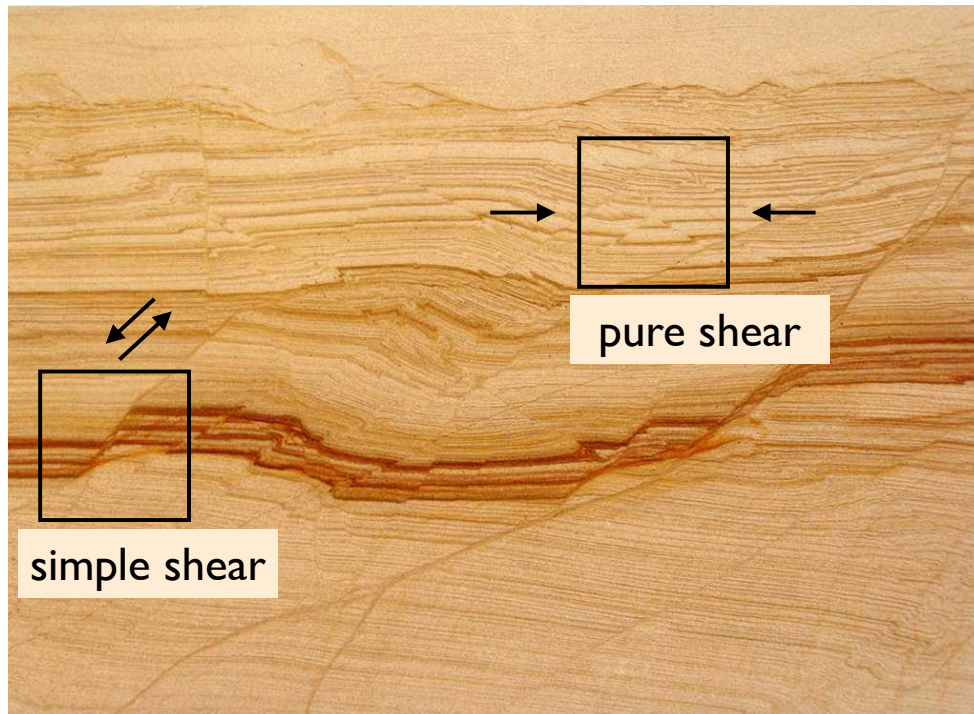


# Strain marker - Homogenitätsbereich

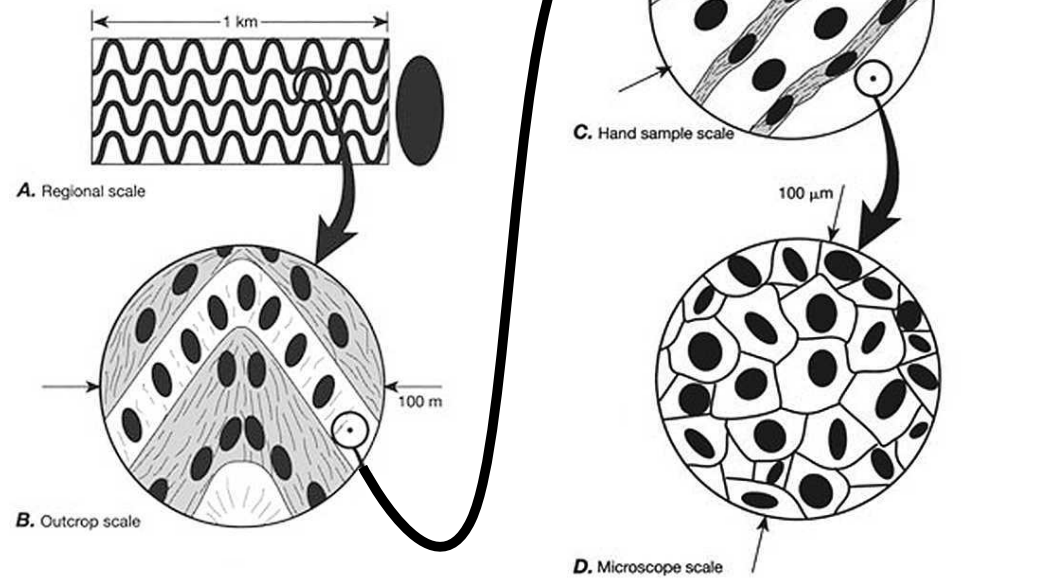
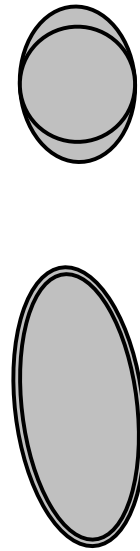
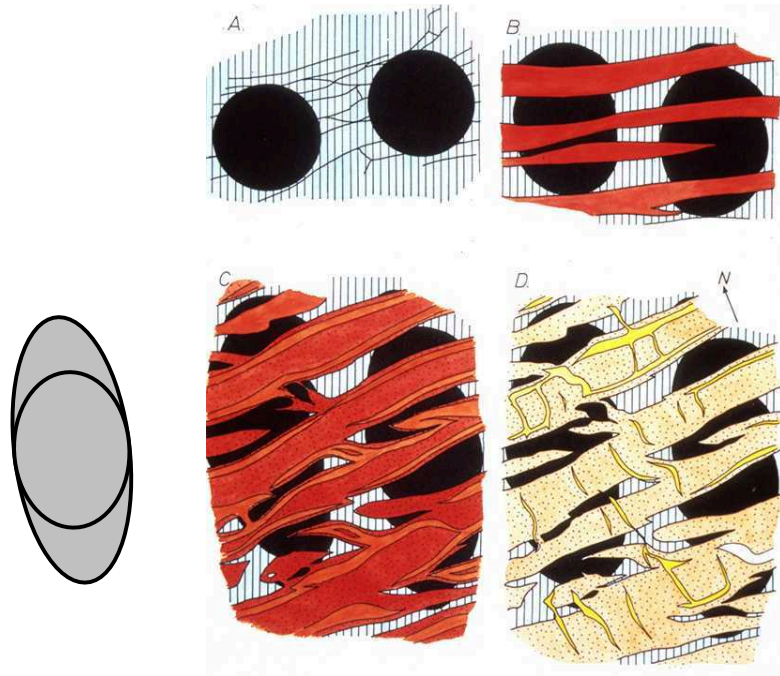




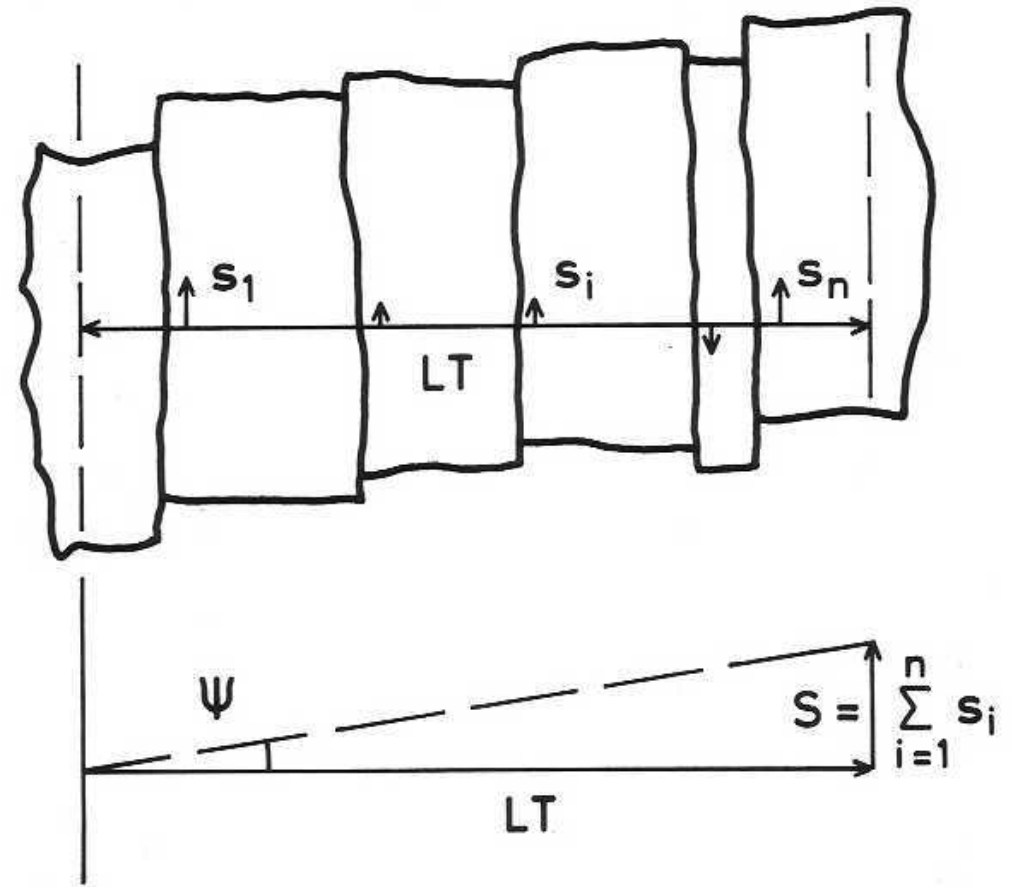
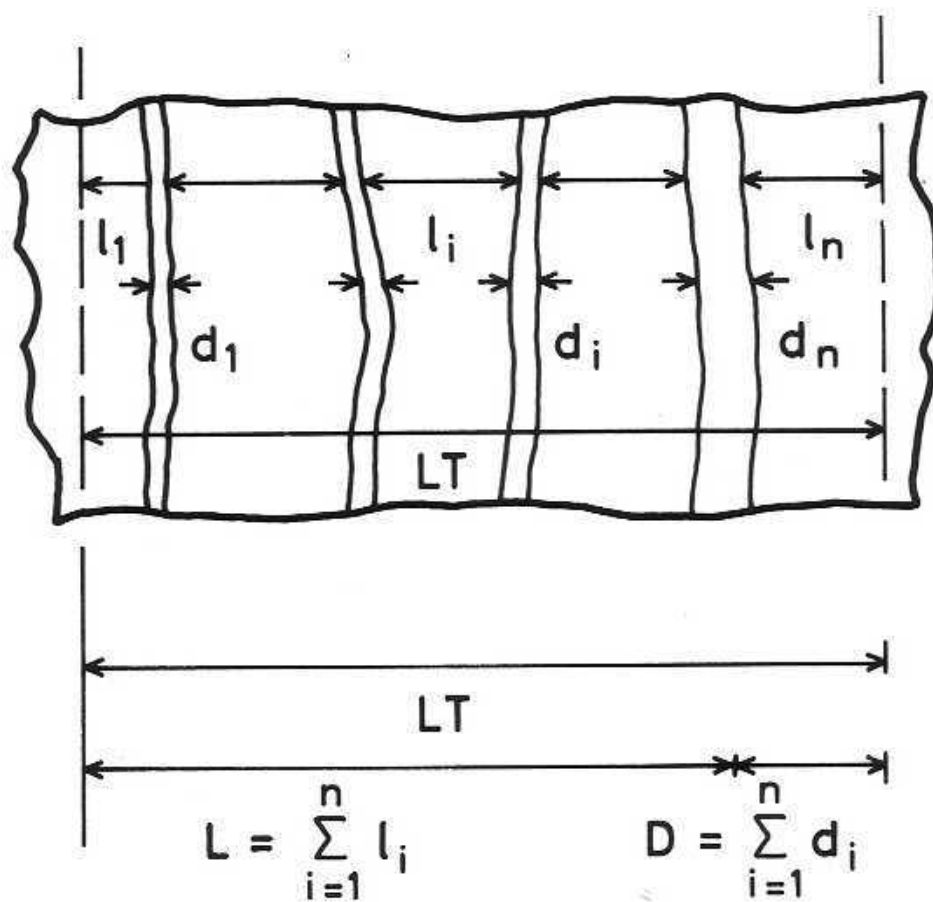
# Lokalisierung und bulk strain



# Massstab

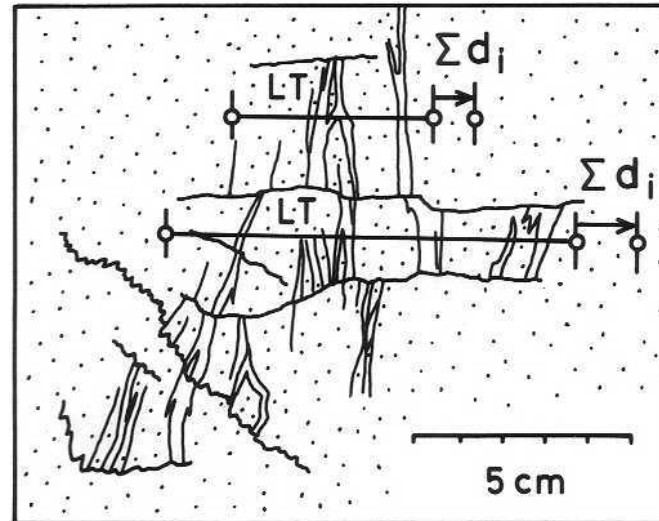
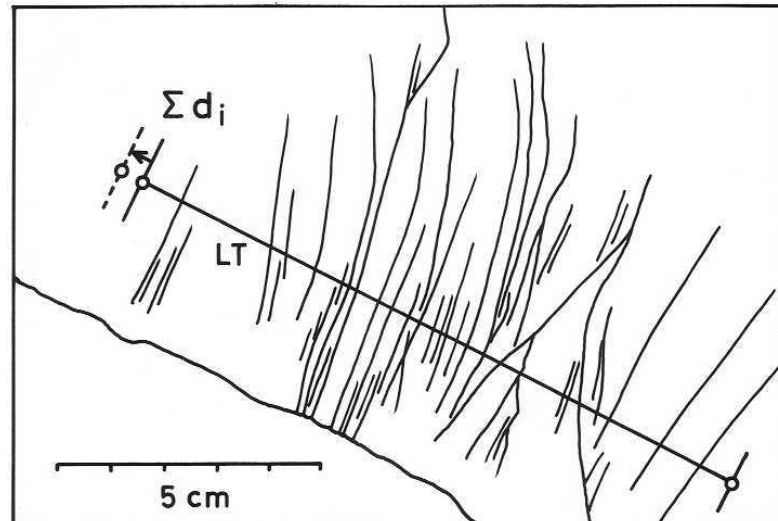
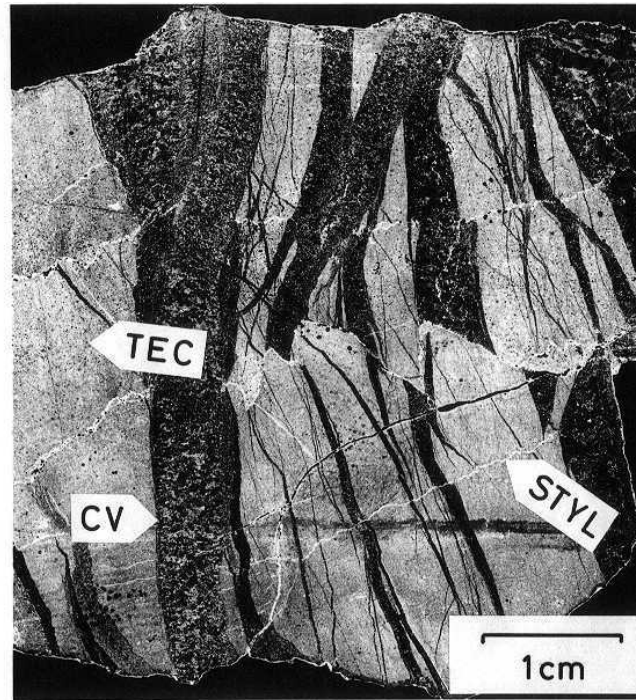


# strain from displacements

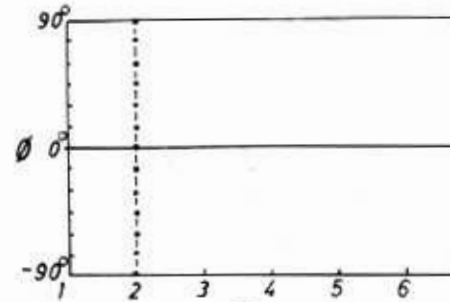
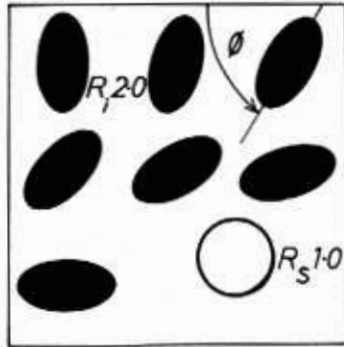




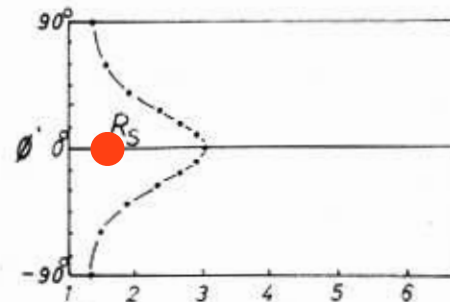
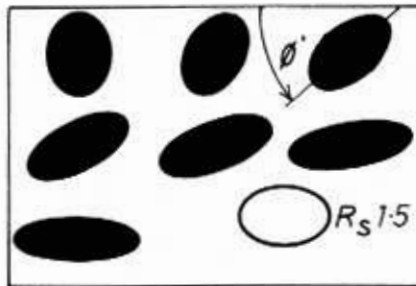
# extensional strain from healed cracks



# Rf - $\varphi$ method (Ramsay)



$R_f$



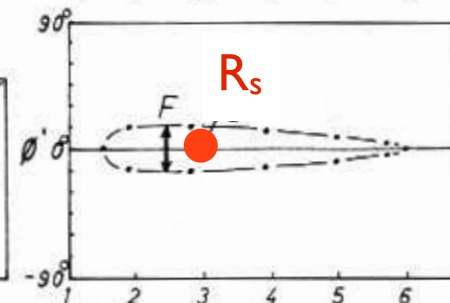
F fluctuation

$R_i$  initial ellipses

ursprüngliches  
Achsenverhältnis der  
unverformten Ellipsen

$R_f$  deformed ellipses

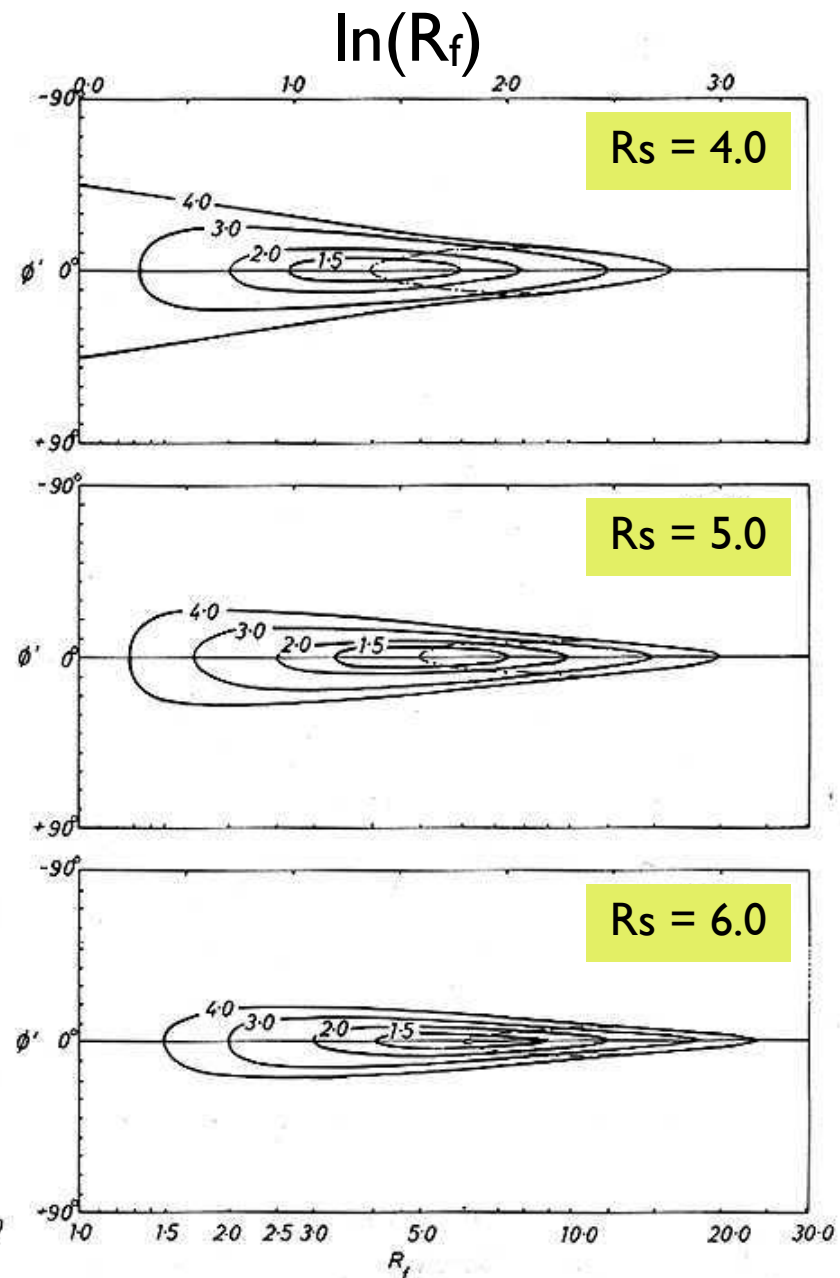
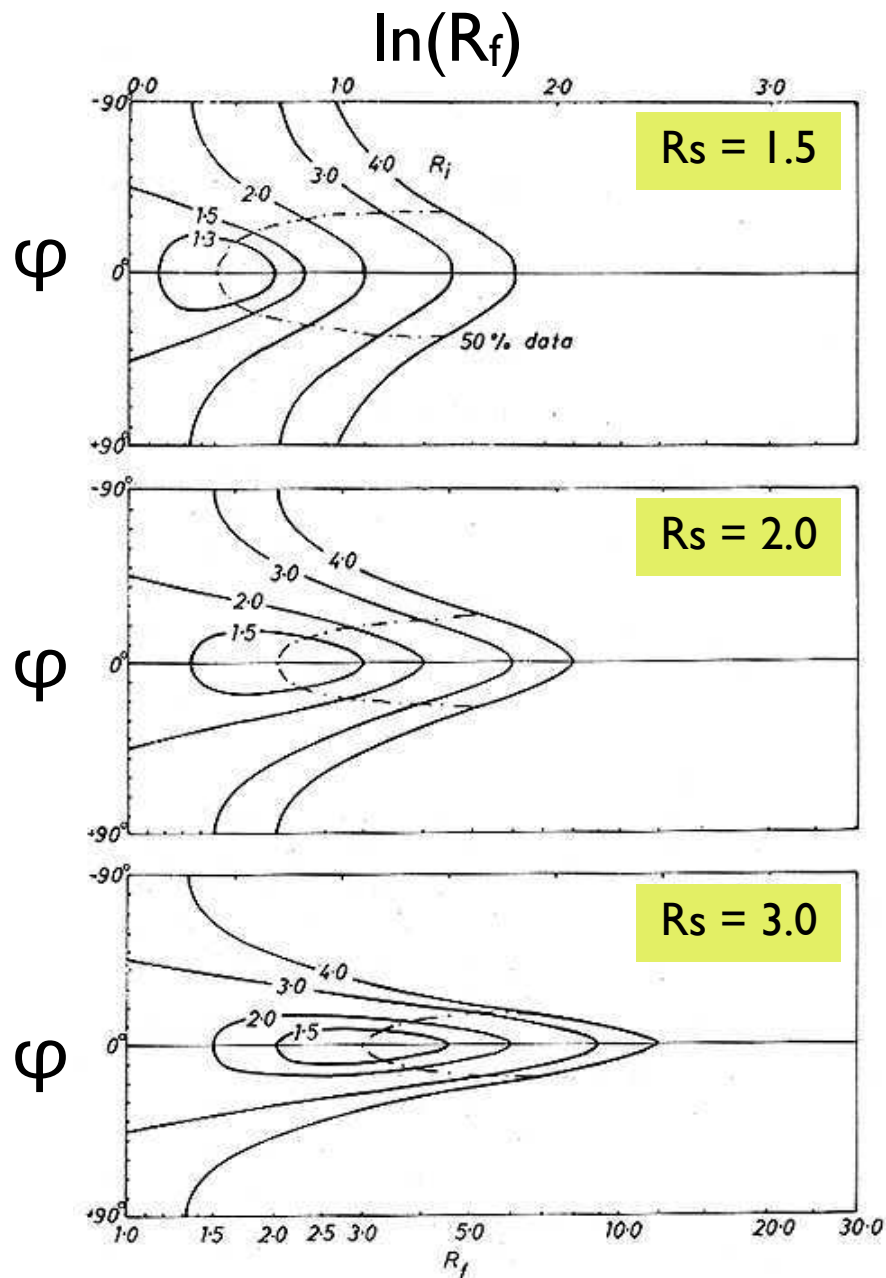
Achsenverhältnis der  
verformten Ellipsen



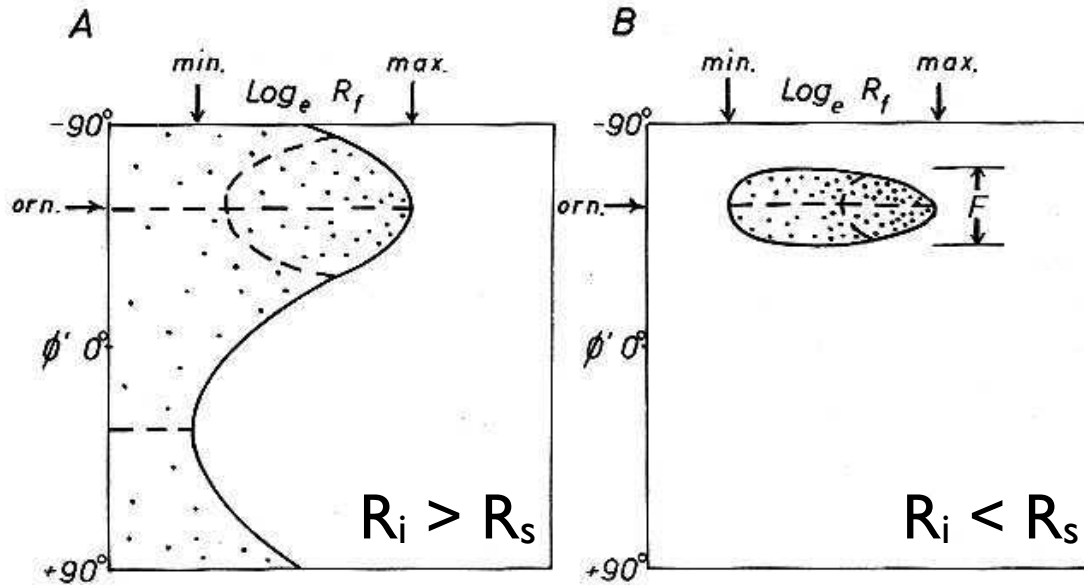
$R_s$  strain ellipse

$R_f$

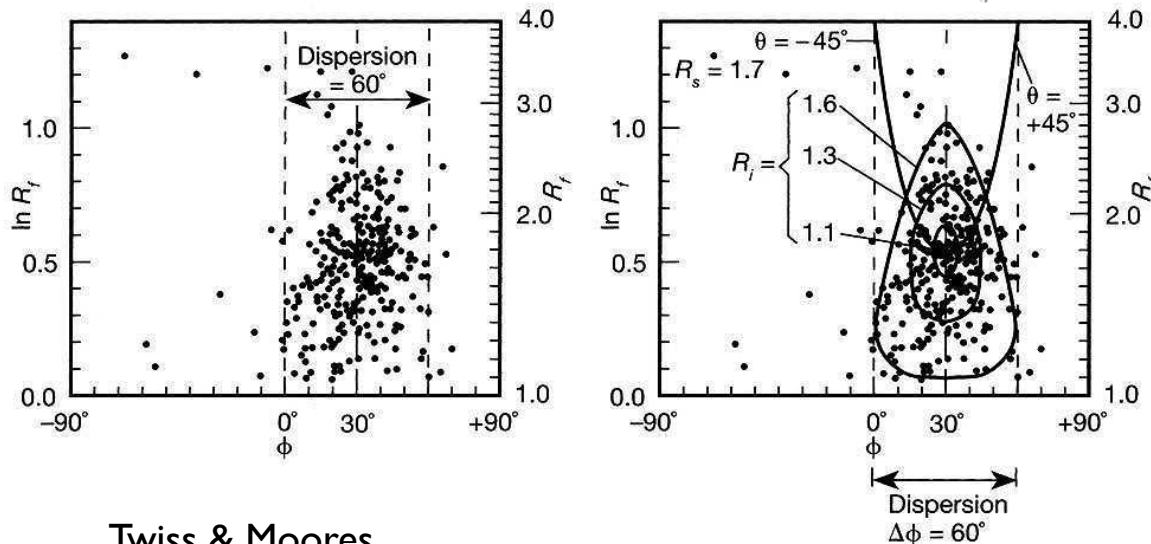
# R<sub>f</sub> - φ method (Ramsay)



# Rf - φ method (Ramsay)



$$F = \frac{R_s (R_i^2 - 1)}{\sqrt{(R_i^2 R_s^2 - 1) (R_s^2 - R_i^2)}}$$

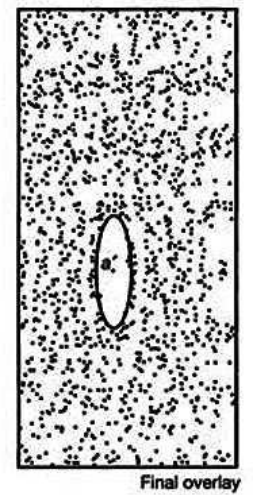
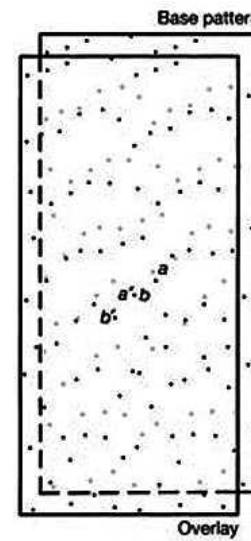
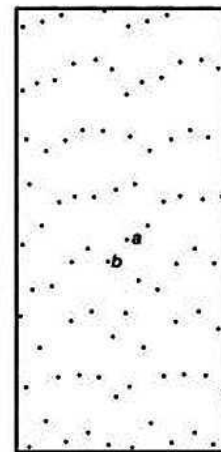
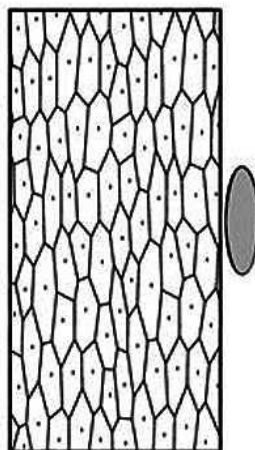
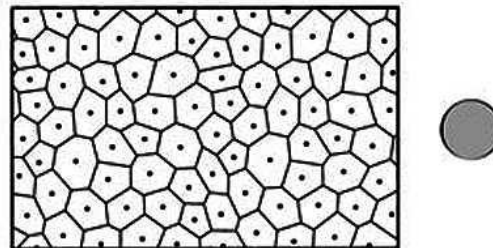
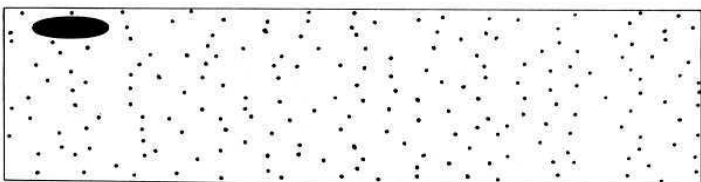
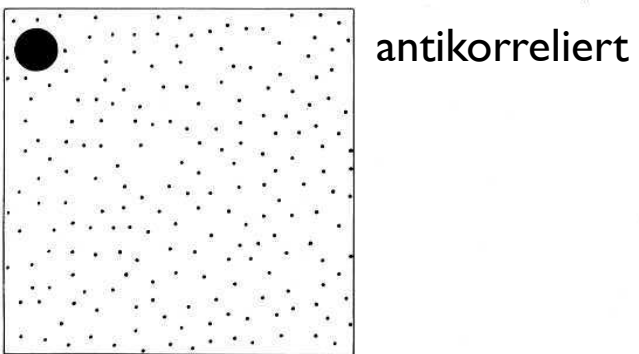
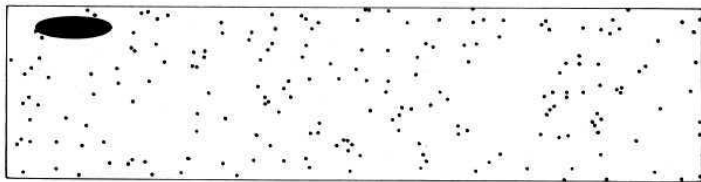
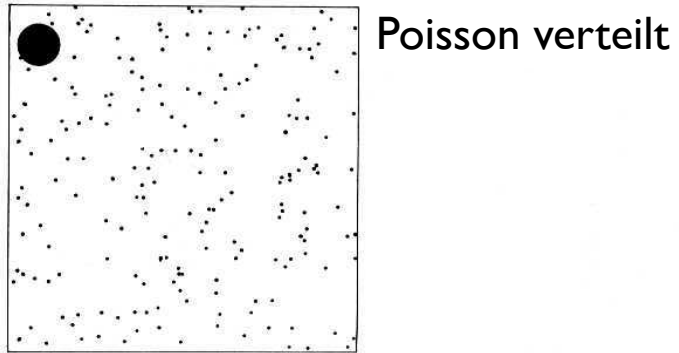


Twiss & Moores

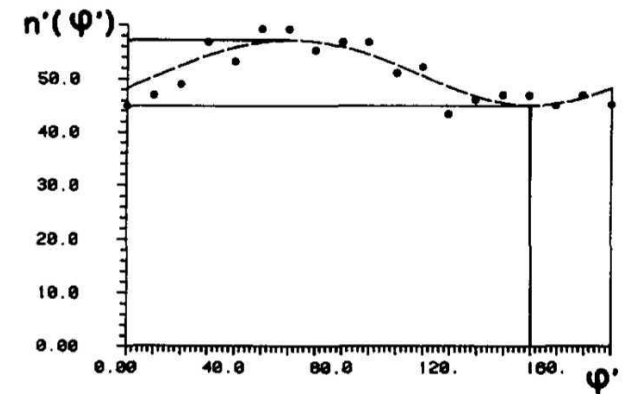
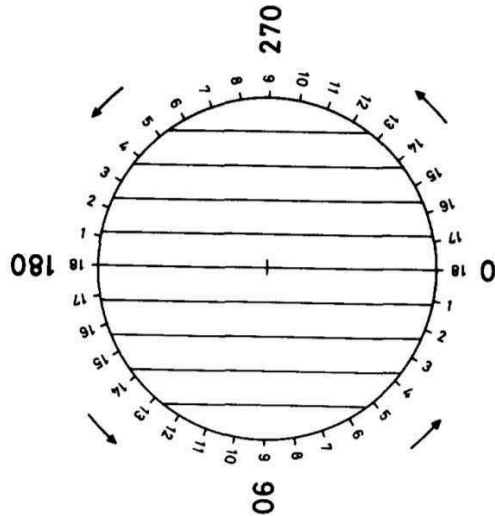
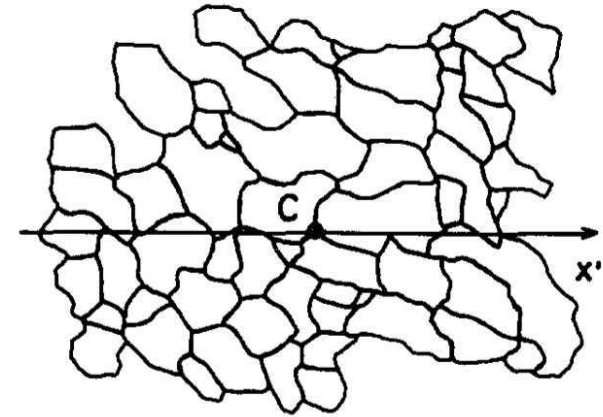
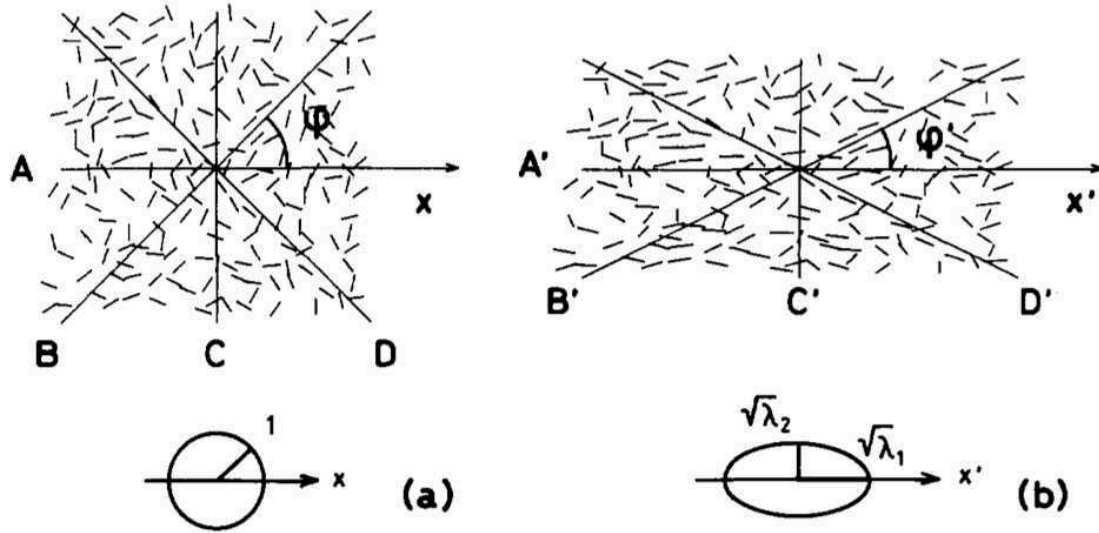
- F** fluctuation
- R<sub>i</sub>** initial ellipses  
ursprüngliches  
Achsenverhältnis der  
unverformten Ellipsen
- R<sub>s</sub>** strain ellipse



# Fry method



# inverse SURFOR (Panozzo)



# PAROR SURFOR (Panozzo)

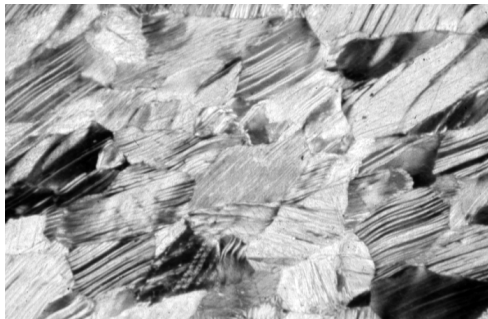
Special Research Paper\*

Simple shear experiments on calcite rocks: rheology and microfabric

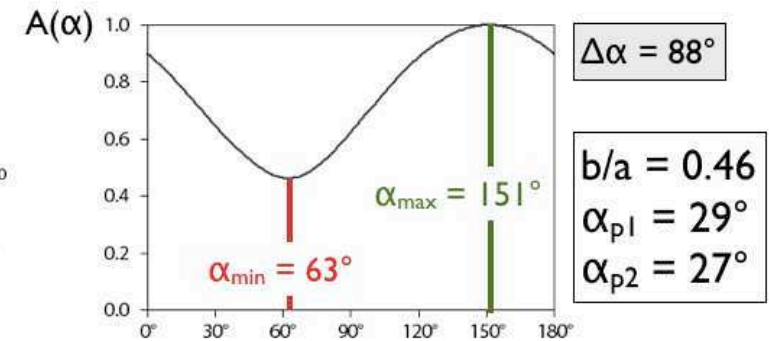
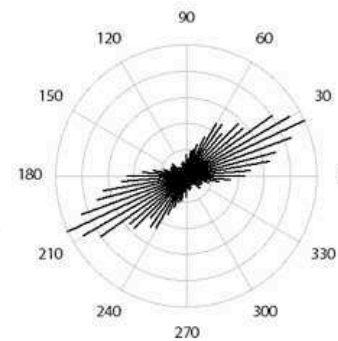
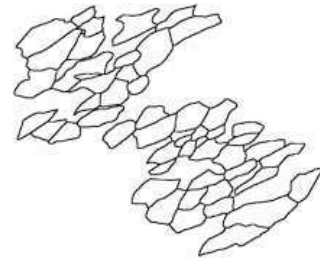
S. M. SCHMID,† R. PANOZZO† and S. BAUER‡

† Geologisches Institut, ETH-Zentrum, 8092 Zürich, Switzerland and ‡ Center for Tectonophysics, Texas A & M University, College Station, Texas 77843. Now at: Sandia National Laboratories, Div. 6314, Albuquerque, NM 87185, U.S.A.

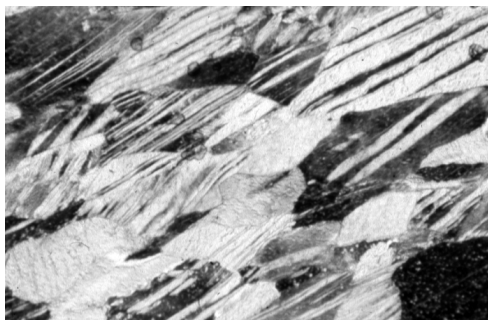
CT5 500°C



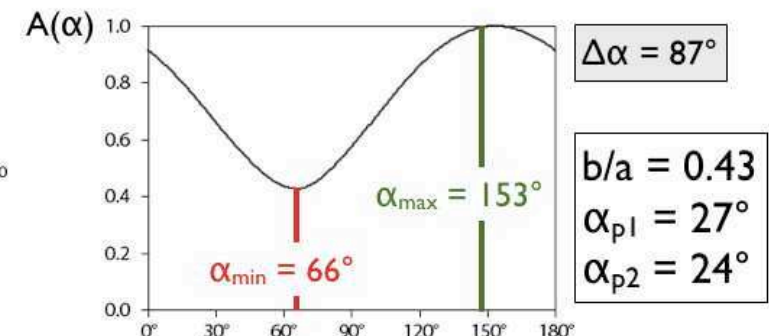
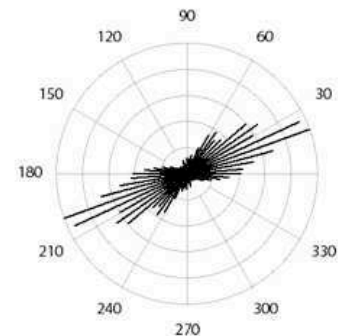
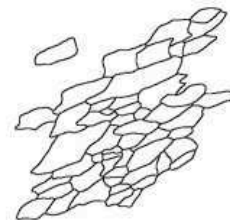
$\gamma = 1.08$



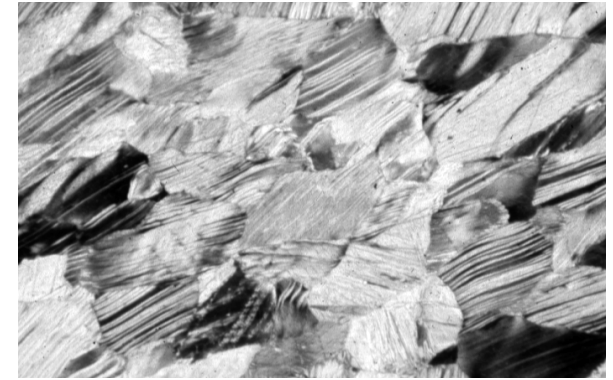
CT1 600°C



$\gamma = 1.22$



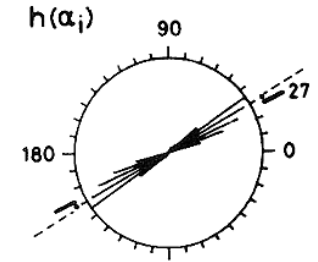
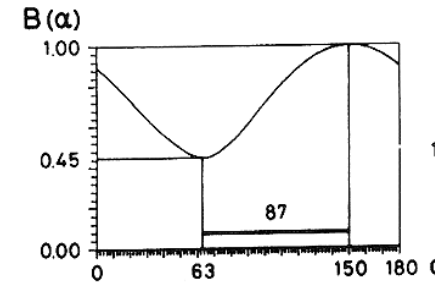
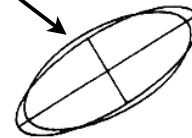
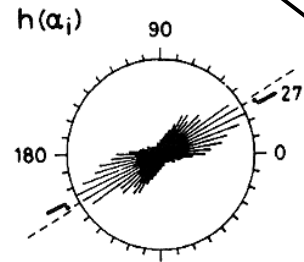
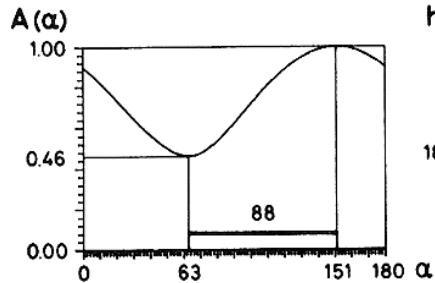
# strain test



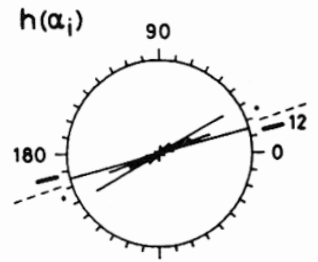
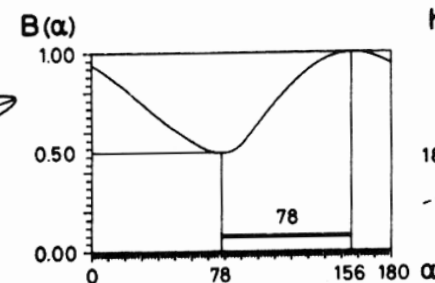
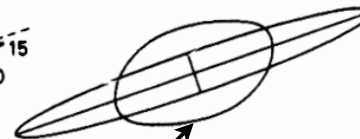
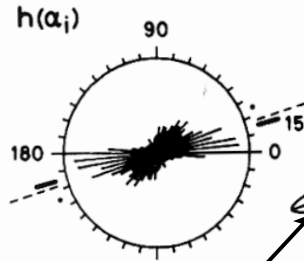
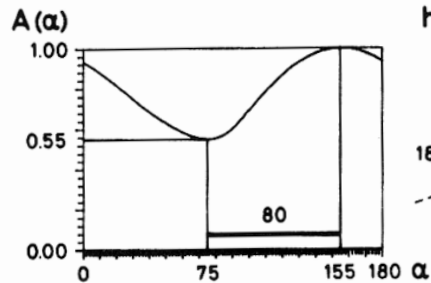
CT5 500°C

characteristic shape  
(= ellipse)  
= strain ellipse ?

CT5



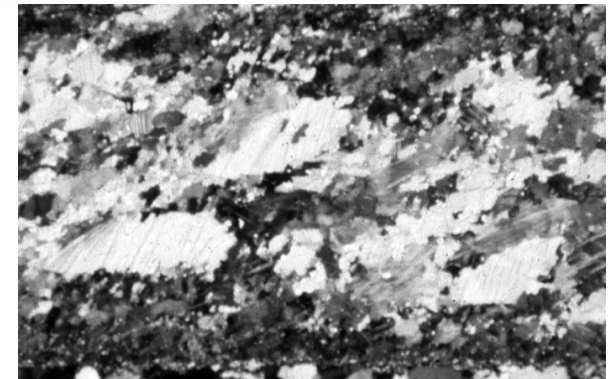
CT7



strain ellipse

characteristic shape  
(≠ ellipse)

CT7 800°C





# Übung 2

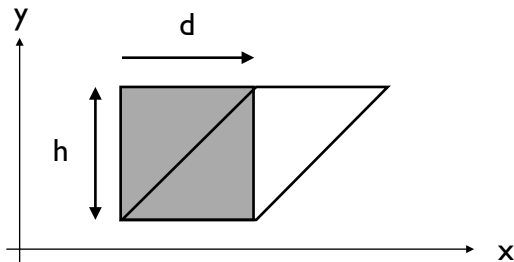
## strain

## Übung 2

### Scherverformung in der Scherbox (simple shear in 2 D)

Ziel dieser Übung ist es, die verschiedenen geometrischen Aspekte der einfachen Scherung (in 2 Dimensionen) kennenzulernen und quantitativ beschreiben zu können. Die Übung kann auf zwei Arten gelöst werden: durch Messen oder durch Rechnen.

Einfache Scherung wird wie folgt beschrieben:



$$\gamma = d / h$$

wo  $d$  = Versetzungsbetrag und  $h$  = Höhe des gescherten Körpers.

### Das Experiment

Ein Stapel Computerkarten wird geschert (diese Karten existieren in der Tat immer noch - sie sind im Übungsraum zusammen mit einer real existierenden Scherbox zu finden).

Auf den Karten ist seitlich ein Einheitskreis (Radius = 1.00) aufgemalt, sowie 8 Durchmesser in den Orientierungen  $0^\circ, 30^\circ, 45^\circ, 60^\circ, 90^\circ, 120^\circ, 135^\circ, 150^\circ$ .

Das Resultat des Scherexperimentes ist auf der beigelegten Abbildung dargestellt:

- 1 - Unverformter Zustand
- 2 -  $\gamma = 0.5$
- 3 -  $\gamma = 1.0$
- 4 -  $\gamma = 2.0$
- 5 -  $\gamma = 3.0$

Der Kreis verformt sich zu zunehmend schlankeren Ellipsen (= Verformungsellipsen), die verschiedenen Durchmesser werden länger oder kürzer und ändern die Orientierung.

### Aufgaben

1. Bestimmen Sie den Scherwinkel,  $\psi$ , in den 4 Verformungsschritten. Beschreiben Sie das Vorgehen.
2. Schreiben Sie die Gleichungen der Koordinaten-Transformation für  $\gamma = 0.5, 1.0, 2.0, 3.0$ .
3. Bestimmen Sie die Extension,  $e$ , und die Orientierung,  $\phi$ , der eingezeichneten Durchmesser (A-A', B-B', etc.) bzw. der Radien (0-A', 0-B', etc.) in den 4 Verformungsschritten.

$$\Delta L = L - L_0$$

$$e = \Delta L / L_0$$

wo  $L_0$  = ursprüngliche Länge und  $L$  = verformte Länge

Die Radius des ursprünglichen Kreises ist = 1.00. Sie können nun entweder alle Durchmesser oder Radien messen oder die Koordinaten der verformten Radiusvektoren berechnen und daraus die verformte Länge gewinnen. Dazu nehmen Sie am besten an, dass sich der Koordinatenursprung immer im Mittelpunkt der Ellipsen befindet. Welches Vorgehen wählen Sie? Beschreiben Sie es.

4. Stellen Sie die Extension,  $e$ , und die Orientierung,  $\phi$ , der Linien A-A', B-B' etc. als Funktion von  $\gamma$  dar (2 separate Diagramme) und kommentieren Sie. Welche Linien, d.h. welche ursprünglichen Orientierungen, werden kürzer, welche werden länger? Wie schnell rotieren sie?
- 4\*. Finden Sie die mathematische Gleichung, welche die Extension,  $e$ , und die Orientierung,  $\phi$ , einer gescherten Geraden in Abhängigkeit der Scherung,  $\gamma$ , und der ursprünglichen Orientierung,  $\phi_0$ , der Geraden beschreibt.

5. Zeichnen Sie die lange Achse,  $a$ , und die kurze Achse,  $b$ , der Ellipsen ein, messen Sie die Längen  $a$  und  $b$ , berechnen Sie das Achsenverhältnis,  $Rr$  ( $Rr = a/b$ ), und bestimmen Sie die Orientierung,  $\phi$ , der langen Achse.

Tragen Sie die Resultate in den entsprechenden Diagramme der Aufgabe 4 ein.

6. Vergleichen Sie die Sie Rotation der langen Ellipsenachse mit der Rotation der gescherten Durchmesser. Zeichnen Sie die Lagen der langen und kurzen Achsen auf den Verformungsellipsen im ursprünglichen Einheitskreis ein. Kommentieren sie. Sind zusammengehörige Achsen senkrecht aufeinander? Sind die Ellipsenachsen Materiallinien?

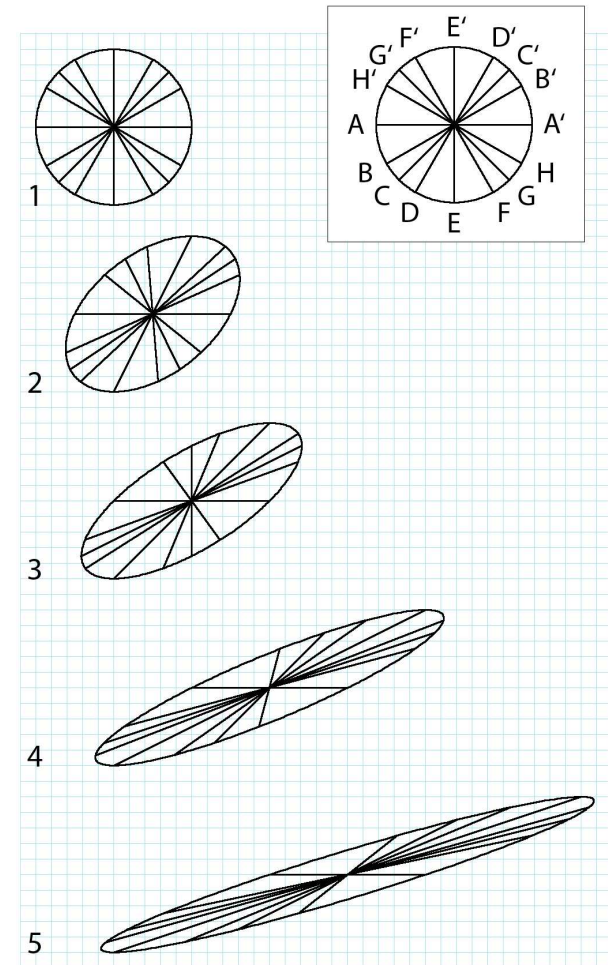


Abbildung: Resultat des Scherexperimentes

3

# 3 Mohr Coulomb - Reibung - Klüfte und Brüche

VL-Themen:

- Elastizität
- Deformationsexperimente
- Versagenskriterien
- Mohr Coulomb Failure
- Bruchbildung und -entwicklung
  
- Reibung
- Gleitreibung
  
- Klüfte und Brüche

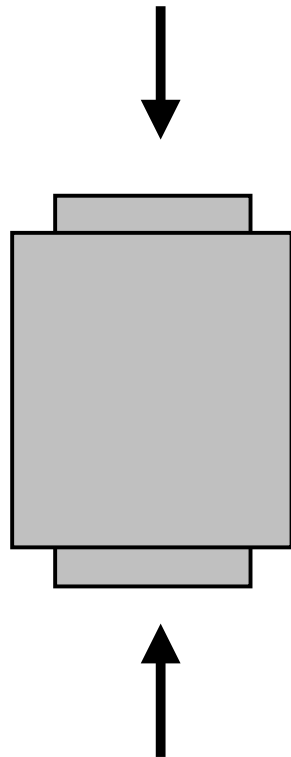


# Elastizität

# Spröddeformation

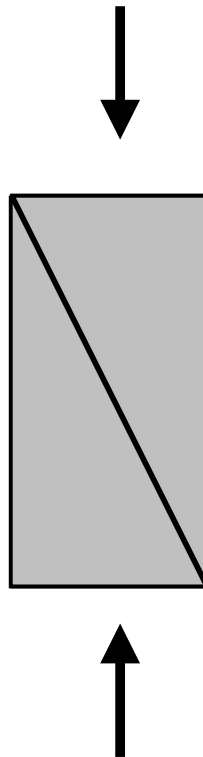
elasticity

Elastizität



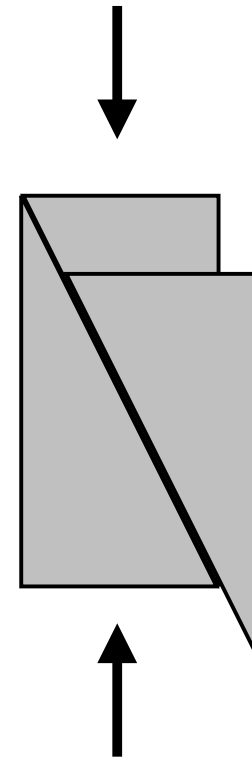
brittle failure

Bruchbildung  
Versagen



friction

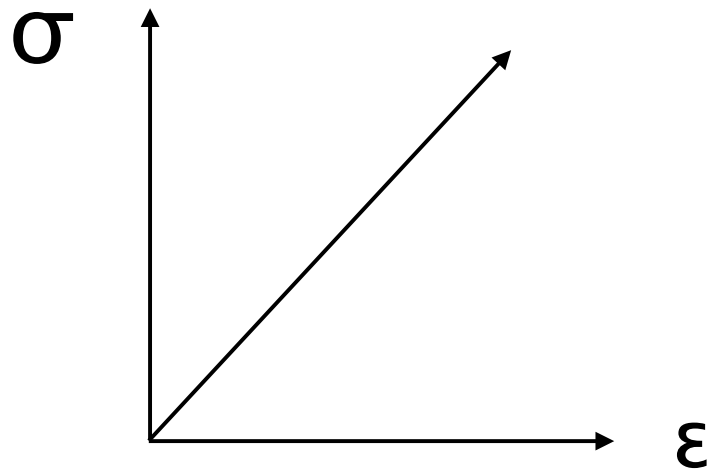
Reibung



# Elastizität

Spannung = Materialeigenschaft · Verformung

$$\sigma_{ij} = E_{ijkl} \cdot \epsilon_{kl}$$

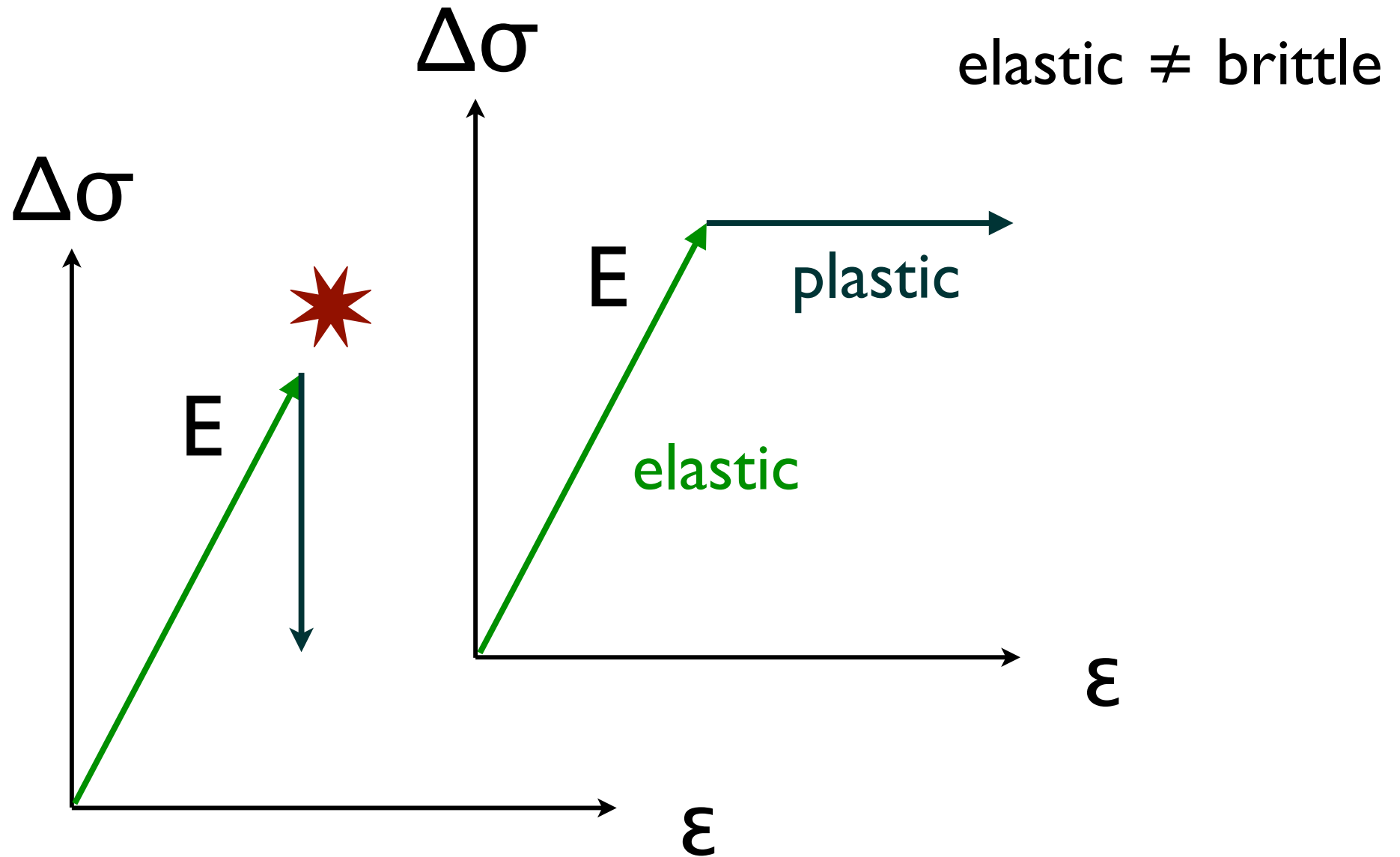


Seismische Geschwindigkeiten

$$\text{speed} = \sqrt{\frac{\text{elastic modulus}}{\text{density}}}$$

$$v_P = \left( \frac{K + \frac{4\mu}{3}}{\rho} \right)^{\frac{1}{2}} \quad v_S = \left( \frac{\mu}{\rho} \right)^{\frac{1}{2}}$$

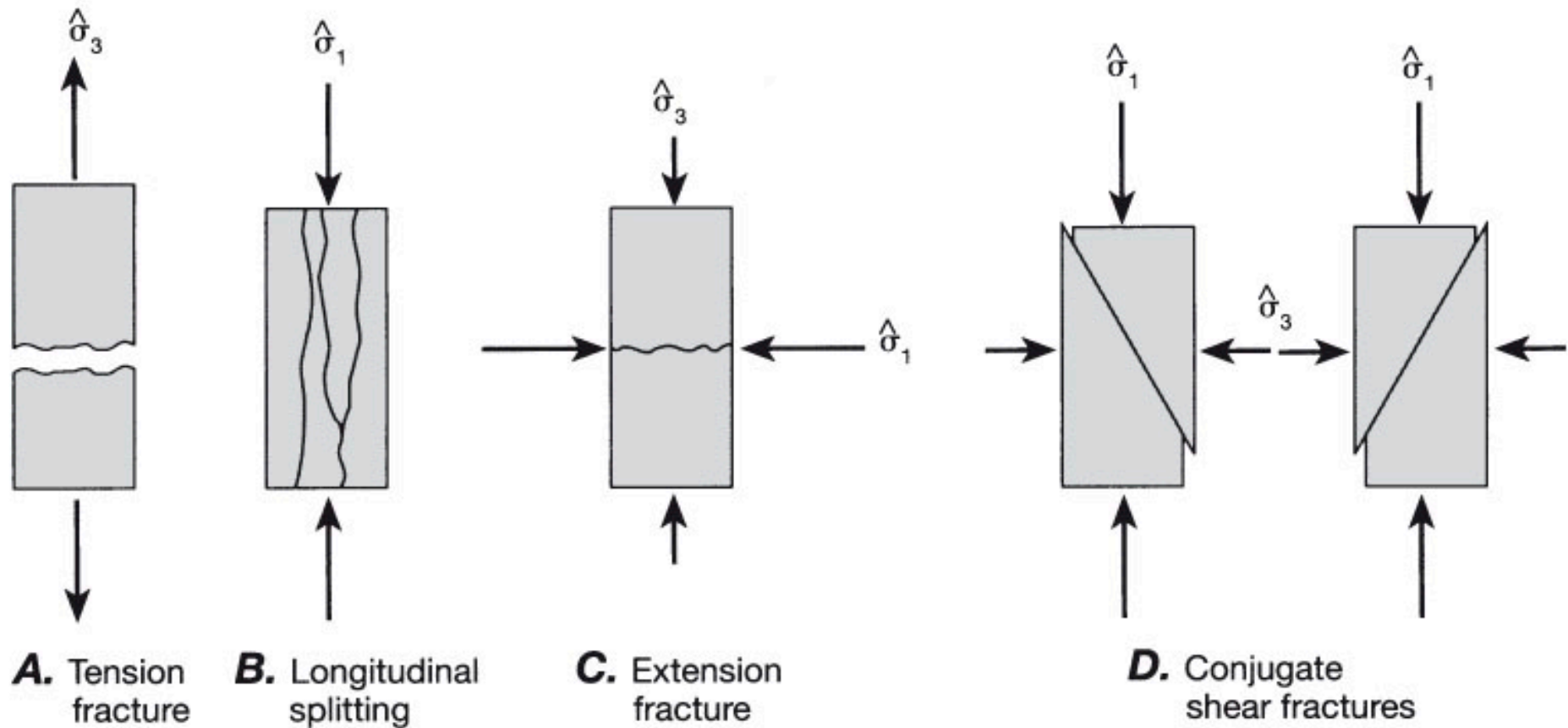
# Spröddeformation



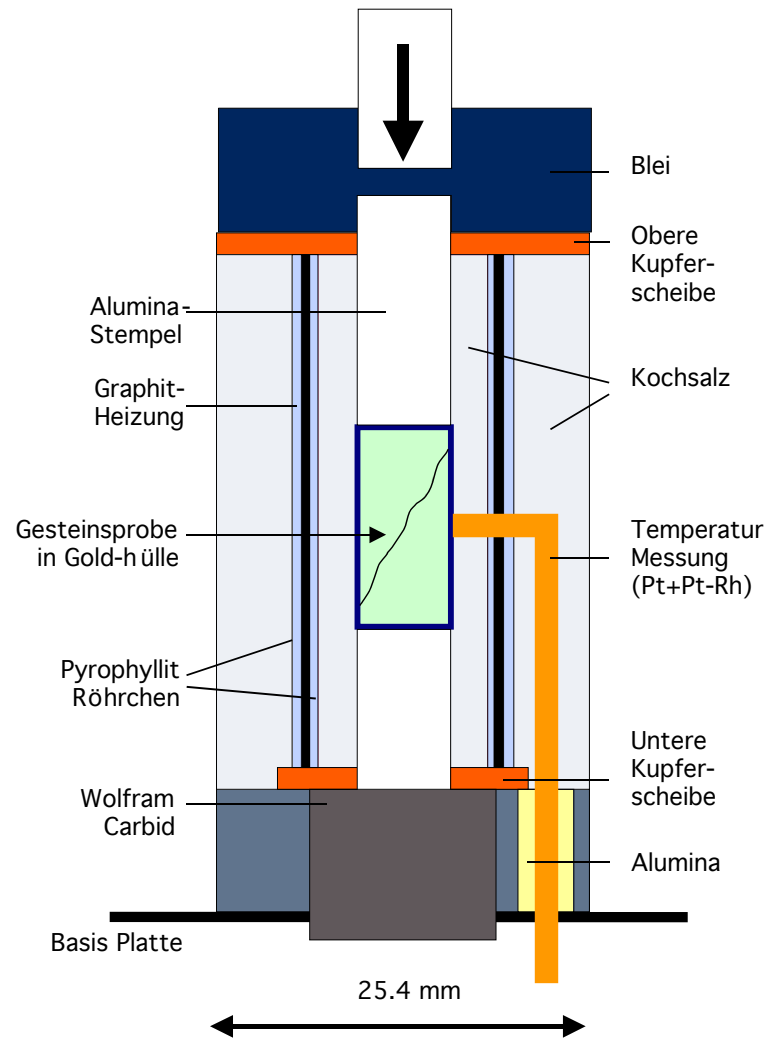


# Experimente Gesteinsmechanik

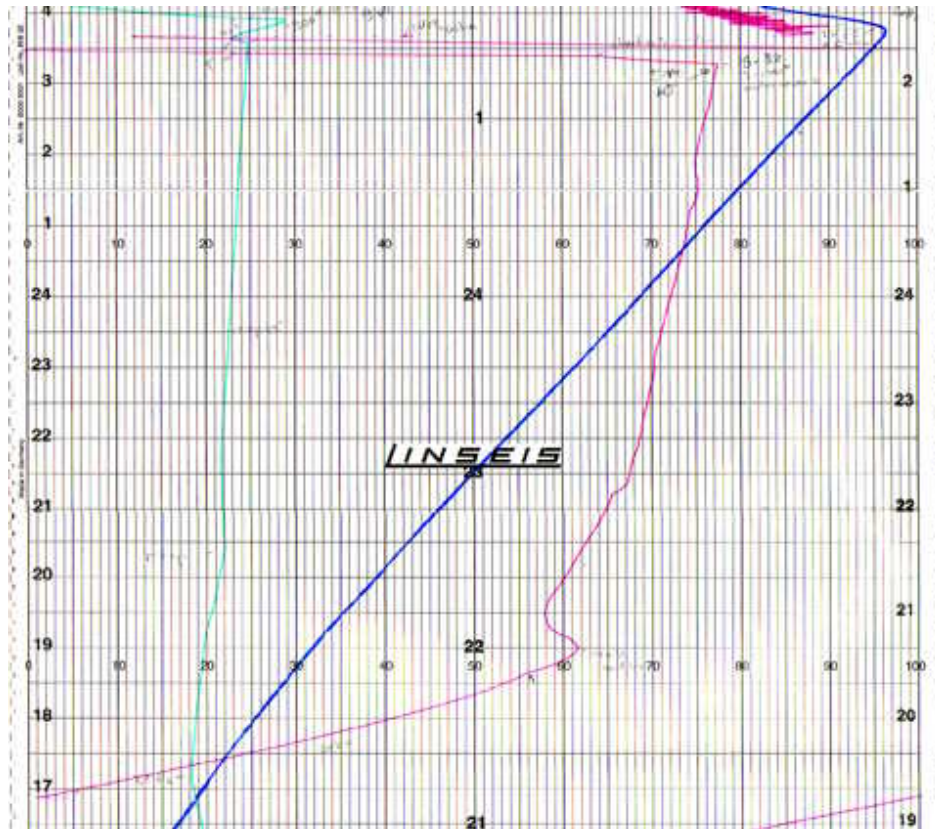
# Experimentelle Gesteinsverformung



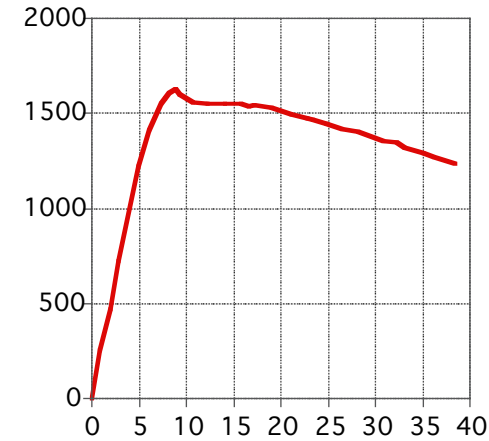
# Rock deformation experiments



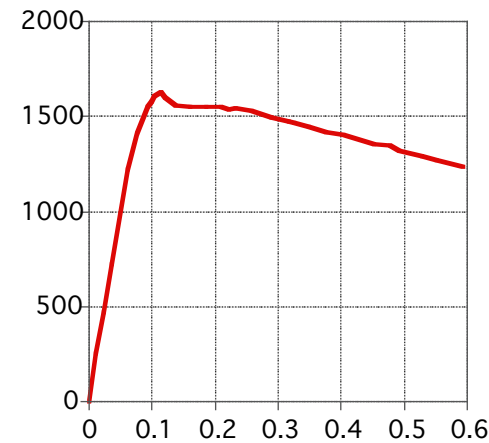
# Rock deformation experiments



$\Delta\sigma(\text{MPa})$



$e(\%)$



$\epsilon_m$

$$e(\%) = 100 \cdot (-\Delta L) / L$$

$$\epsilon_m = 1/\sqrt{3} \cdot \sqrt{(\epsilon_1 - \epsilon_2)^2 + (\epsilon_2 - \epsilon_3)^2 + (\epsilon_3 - \epsilon_1)^2}$$

$$\epsilon = \ln(L' / L) = \ln(s)$$



**Versagenskriterien**  
**Failure criteria**

# Versagen

Was ist Versagen:

Bruch ? Kohäsionverlust ?

Verformung ?

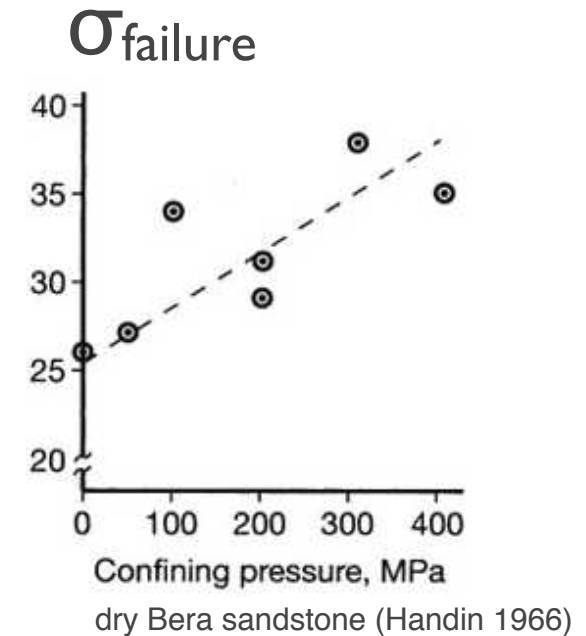
Verformungsrate ?

Bei welchem Spannungszustand tritt Versagen ein:

maximales  $\sigma_1$  oder  $\sigma_3$  ?

maximales  $\Delta\sigma$  ( $= \sigma_1 - \sigma_3$ ) ?

maximales  $\sigma_n$  oder  $\tau$  ?



# Versagenskriterien

## Coulomb Mohr failure criterion

$$\tau = \tau_0 + \mu \cdot \sigma$$

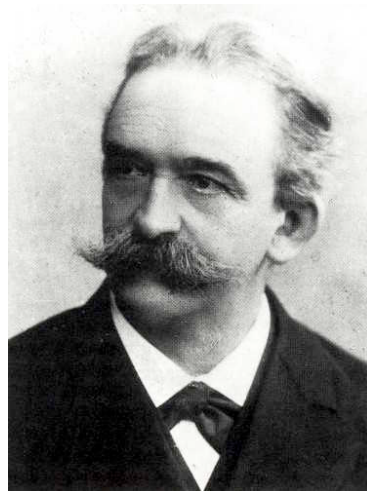
$\tau_0$  = cohesion

$\mu$  = internal friction



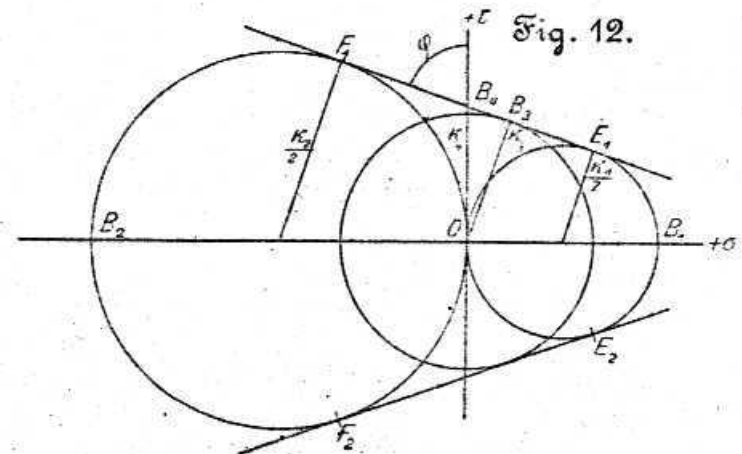
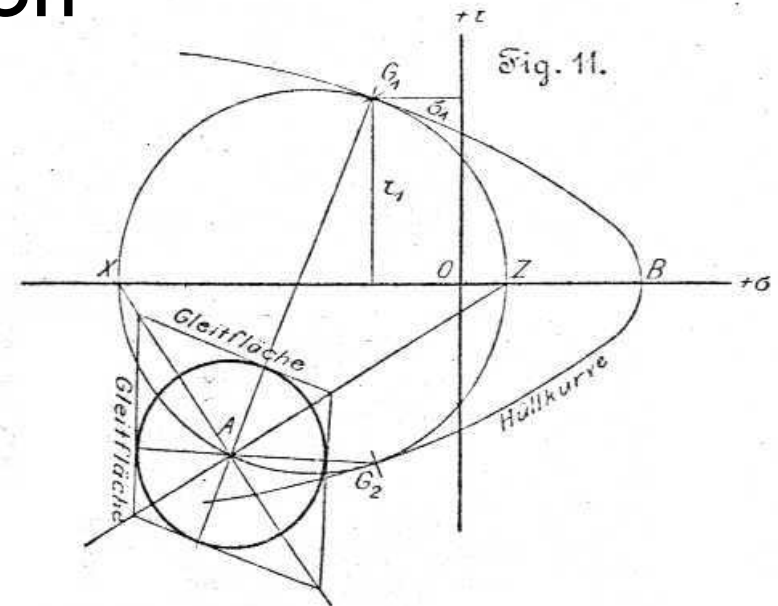
Charles Augustin de Coulomb

\* 14. Juni 1736 in Angoulême  
† 23. August 1806 in Paris



Christian Otto Mohr

\* 8. Oktober 1835 in Wesselbüren  
† 2. Oktober 1918 in Dresden



Mohr (1900)

# Versagenskriterien

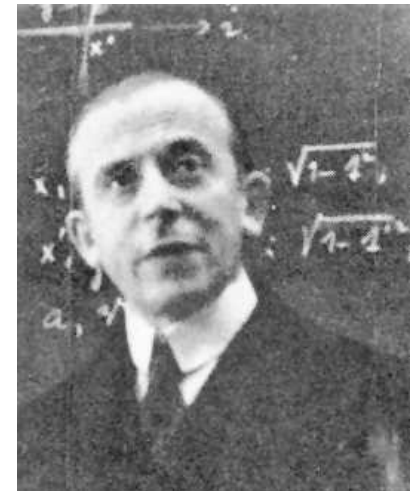
van Mises (Critical Distortional Energy)

$$\frac{1}{2} ((\sigma_1 - \sigma_2)^2 + (\sigma_2 - \sigma_3)^2 + (\sigma_3 - \sigma_1)^2) \leq T^2$$

T = tensile strength

(maximum octahedral shear stress)

$$\tau_{\text{oct}} = \sqrt{\frac{2}{3} J_2}$$



Richard Edler von Mises

\* 19 April 1883 in Lwów

† 14 July 1953 in Boston

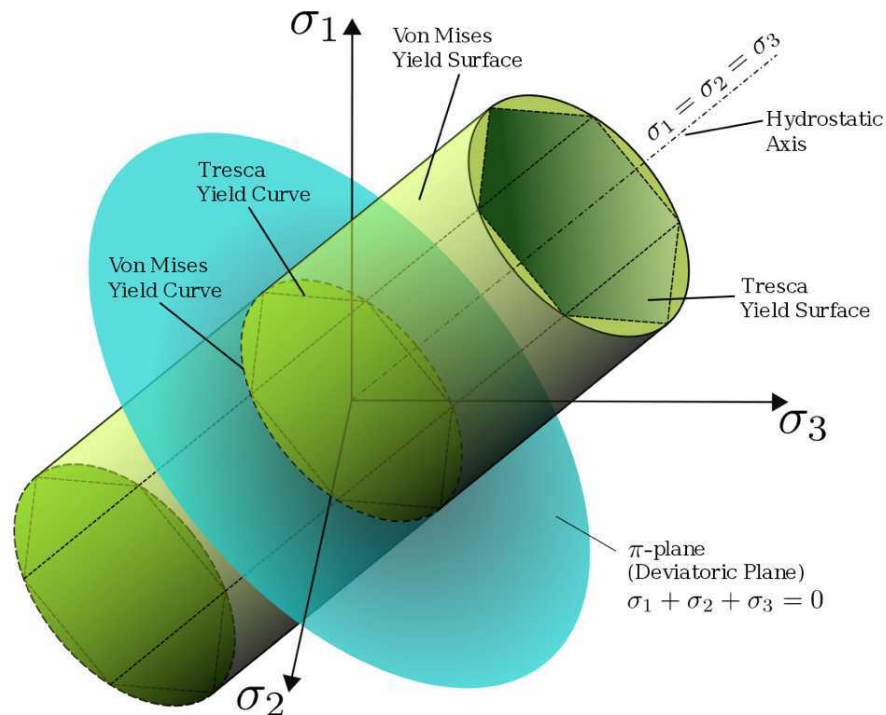


# Versagenskriterien

## Tresca (Critical Shear Stress)

$$\frac{1}{2} (\sigma_1 - \sigma_3) \leq S$$

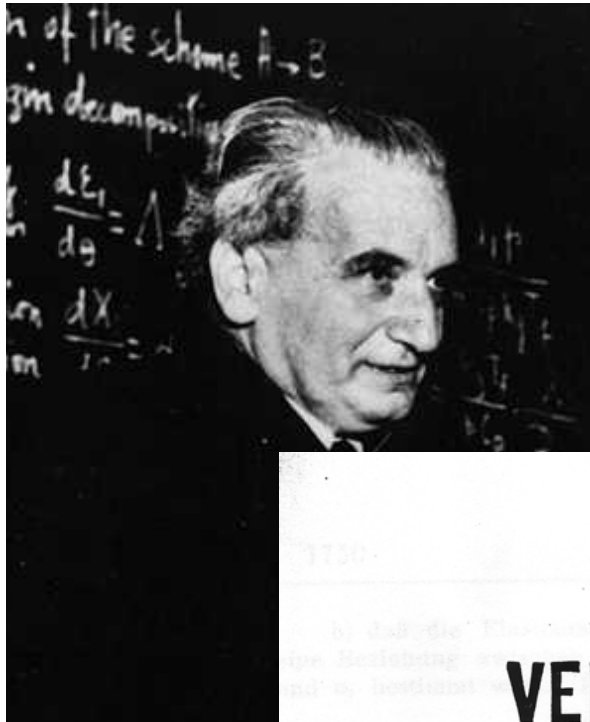
$S$  = shear strength



Henri Édouard Tresca

\* 12. Oktober 1814 in Dünkirchen  
† 21. Juni 1885 in Paris

# Coulomb Mohr Failure



# Theodore von Kármán

\* 11. Mai 1881 in Budapest als Tódor Kármán  
 † 7. Mai 1963 in Aachen

## ZEITSCHRIFT DES VEREINES DEUTSCHER INGENIEURE.

Nr. 42.

Sonnabend, den 21. Oktober 1911.

Band 55.

### Inhalt:

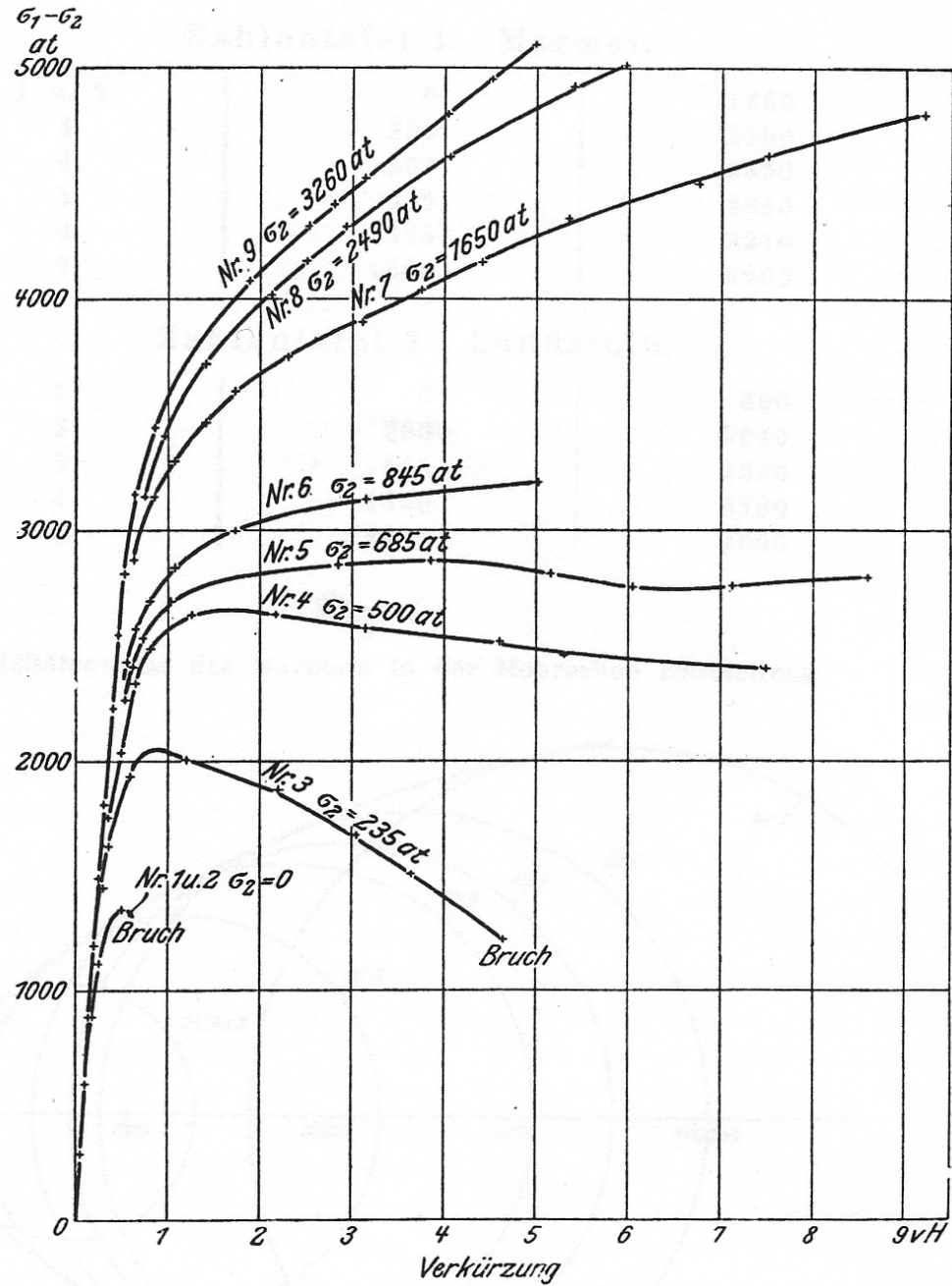
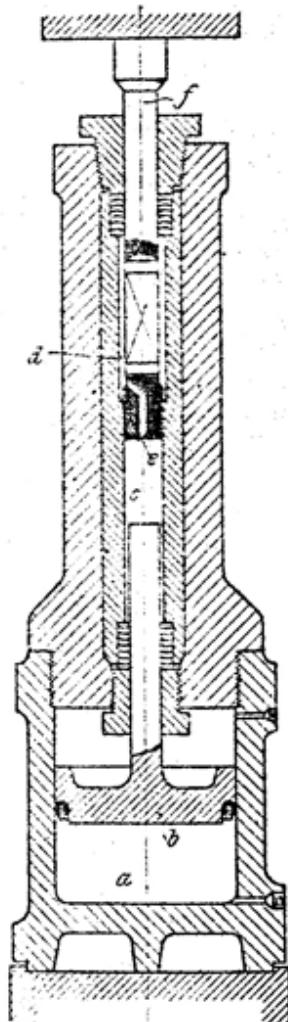
Festigkeitsversuche unter allseitigem Druck. Von Th. v. Kármán (hierzu Textblatt 29) . . . . .	1749	B. Weinstein — Transformers. Von H. Bohle und D. Robertson. — Elementarmechanik für Maschinentechniker. Von R. Vogdt. — Einflußlinien für die Berechnung paralleler Vierendeel-Träger. Von W. St. Ritter v. Bali cki. — Bei der Redaktion eingegangene Bücher	1777
Kerchove- und Gleichstrom-Dampfmaschine. Von G. Doederlein (Schluß) . . . . .	1758	Zeitschriftenschau . . . . .	1780
Elektrisches Schweißen. Von B. Loewenherz (Schluß) . . . . .	1763	Rundschau: Vierzylinder-Heißdampf-Verbundlokomotive für Gebirgstrecken der österreichischen Staatsbahnen. — Fahrbarer Bockkran für 150 t mit 2 Auslegern. — Rechenuhr. — Verschiedenes . . . . .	1783
Entwicklung und Aussichten des Stahlbandantriebes Von L. Silberberg . . . . .	1768	Patentbericht . . . . .	1787
Fehler bei Wehrbauten in Eisenbeton. Dansville- und Austin-Damm. Von Ziegler . . . . .	1773	Zuschriften an die Redaktion: Flüssige Brennstoffe für Kraftbetrieb . . . . .	1788
Posener B.-V.: Versammlung der Ostdeutschen Bezirksvereine des Vereines deutscher Ingenieure vom 11. bis 13. August 1911. — Rheingau-B.-V. — Siegener B.-V. . . . .	1777	Angelegenheiten des Vereines: Mitteilungen über Forschungsarbeiten, Heft 106. — Internationale Industrie- und Gewerbeausstellung in Turin 1911. . . . .	1788
Bücherschau: Lord Kelvin. Vorlesungen über Molekulardynamik. Von (hierzu Textblatt 29)			

Festigkeitsversuche unter allseitigem Druck.

Von Dr. Th. v. Kármán in Göttingen.

Fig. 5.

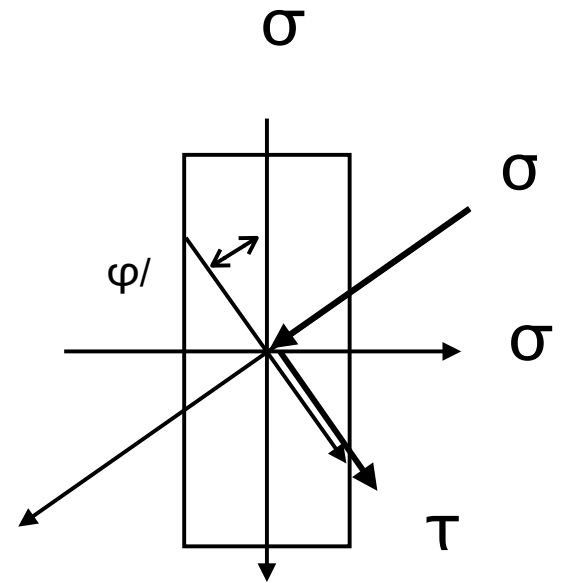
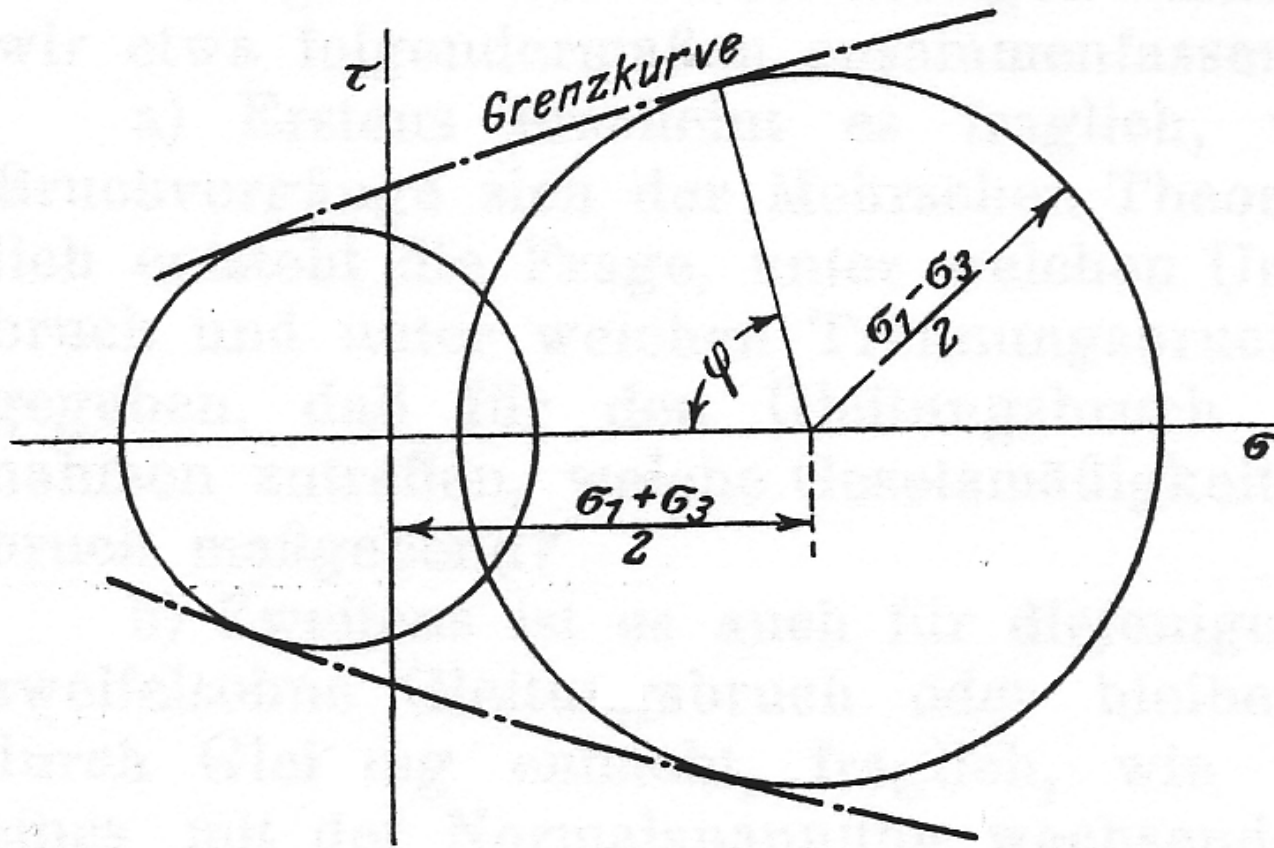
Formänderungskurve des Marmors beim Versuch  
unter allseitigem Druck.



# Spannungszustand bei Versagen

Fig. 1.

Darstellung der Grenzzustände nach Mohr.





# Versagen

# Elastizitätsgrenze

Fig. 7.

Elastizitätsgrenze des Marmors in der Mohrschen Darstellung.

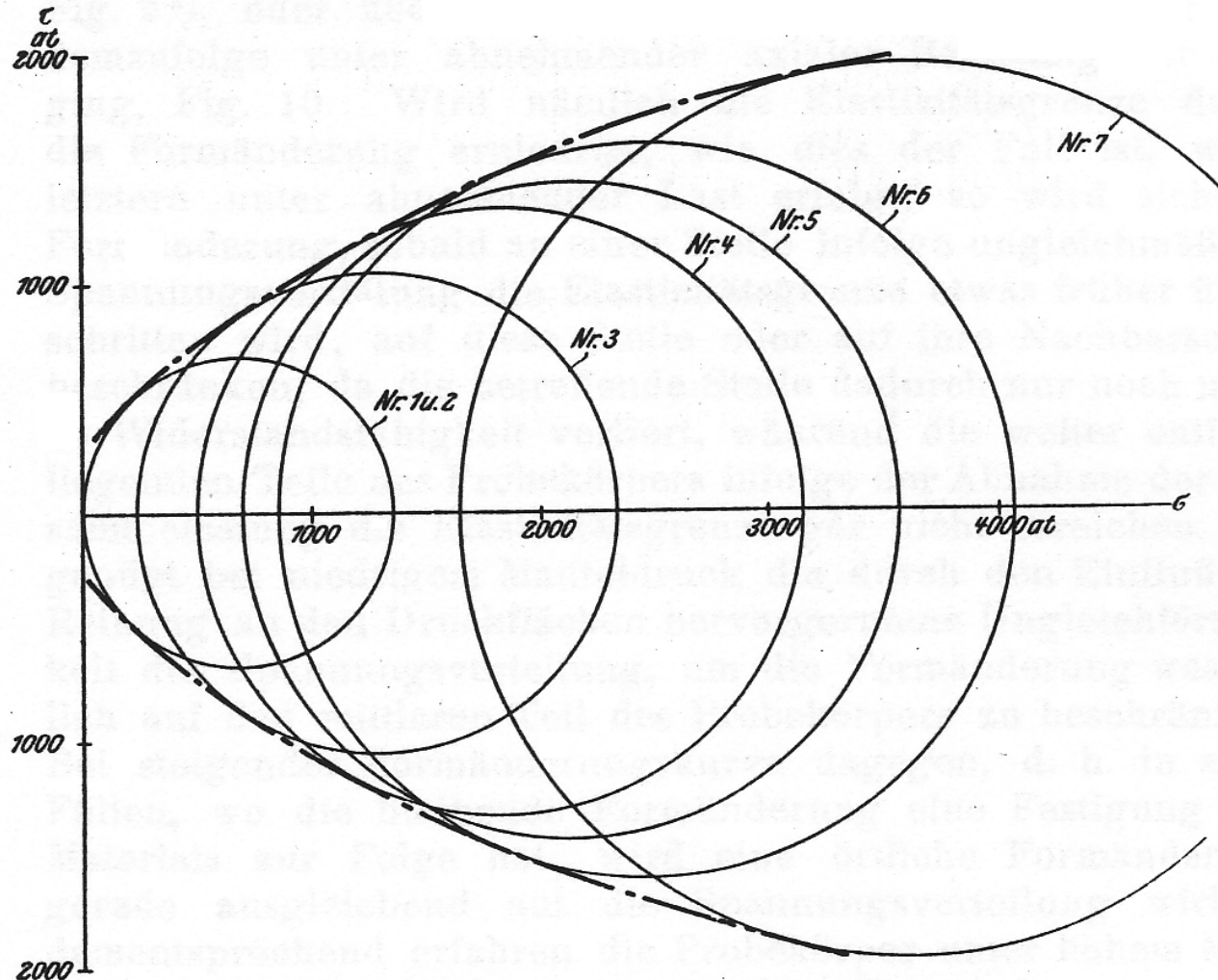
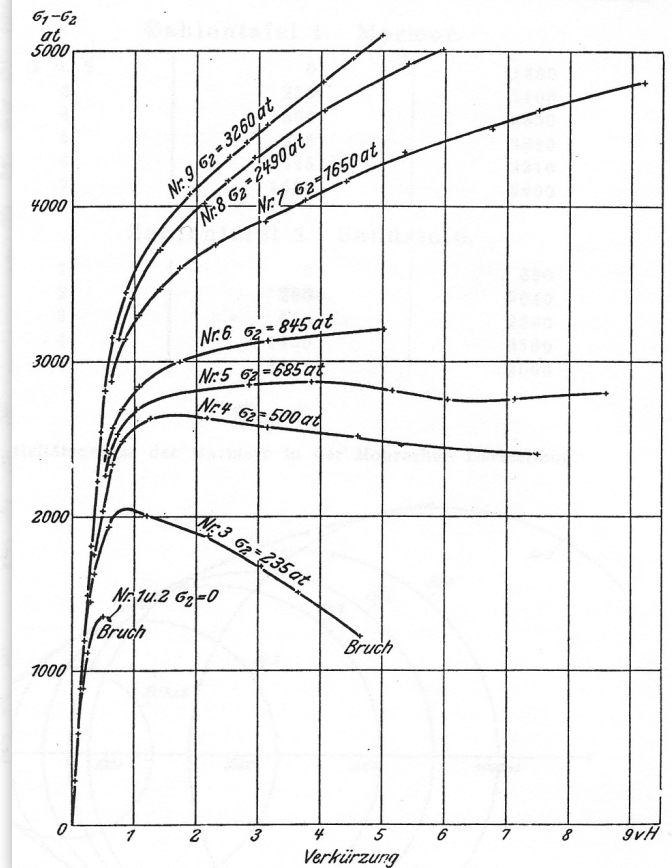


Fig. 5.

Formänderungskurve des Marmors beim Versuch unter allseitigem Druck.



# Versagen

# Plastizitätsgrenze

Fig. 8.

Kurven gleicher bleibender Dehnung bei Marmor  
in der Mohrschen Darstellung.

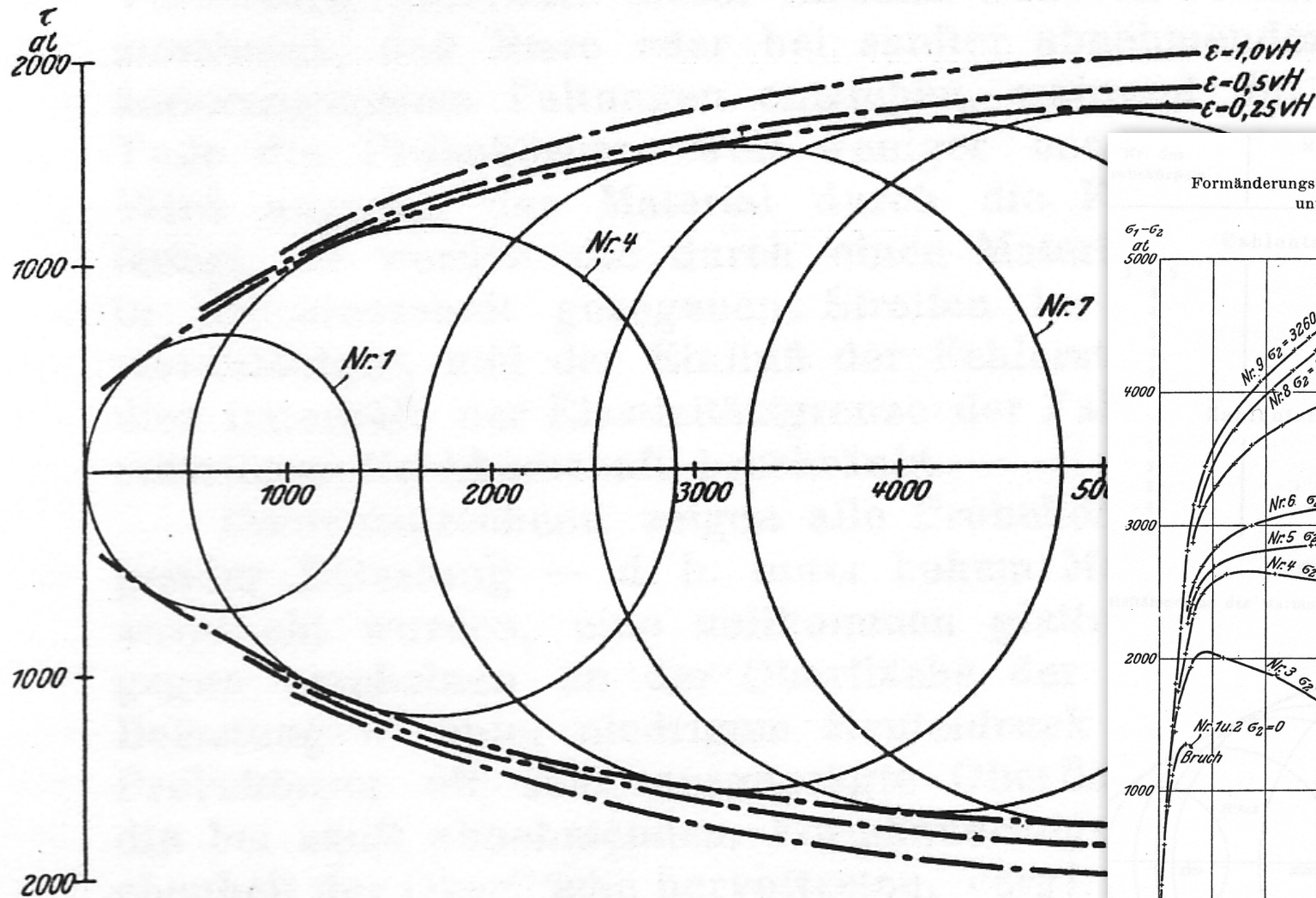
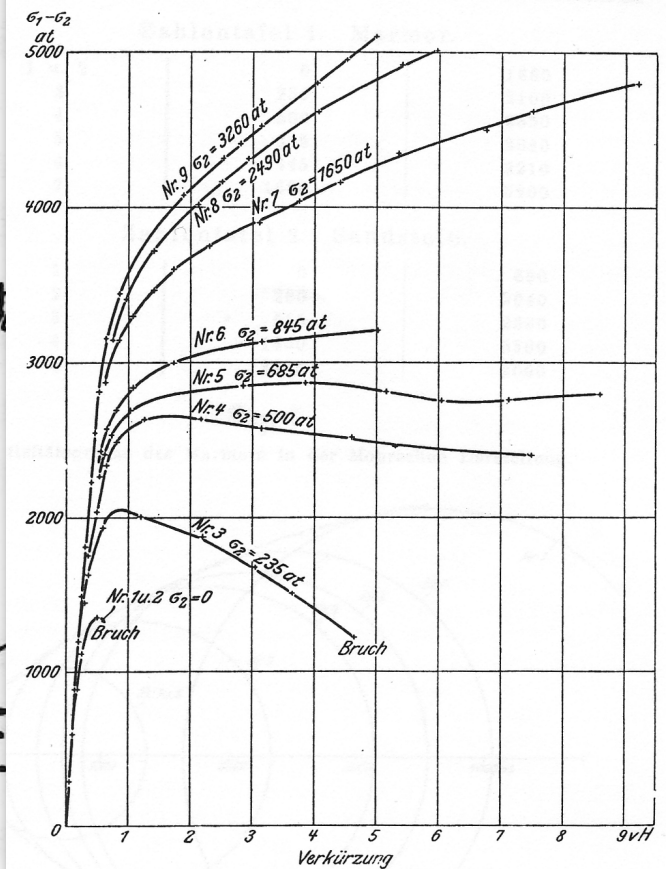
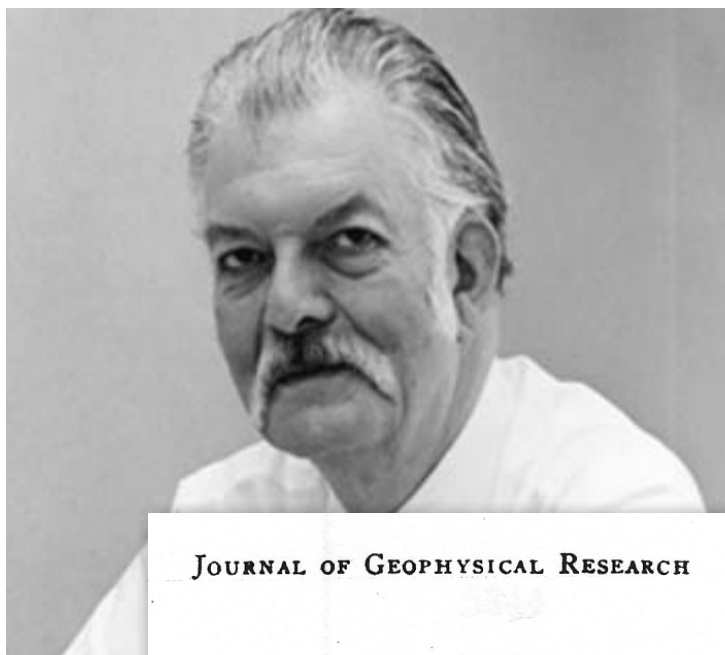


Fig. 5.

Formänderungskurve des Marmors beim Versuch  
unter allseitigem Druck.





JOURNAL OF GEOPHYSICAL RESEARCH

VOL. 74, No. 22, OCTOBER 15, 1969

## On the Coulomb-Mohr Failure Criterion

JOHN HANDIN

*Center for Tectonophysics, Texas A&M University  
College Station, Texas 77843*

Coulomb's criterion for the shear fracture of a brittle material is that total shearing resistance is the sum of the cohesive shear strength (independent of direction) and the product of the effective normal stress and the coefficient of internal friction (a constant independent of normal stress). Mohr generalized this criterion by extending it to a three-dimensional state of stress, and by allowing for a variable coefficient. The coefficients of internal and external (sliding) friction are not the same in general. Both tend to decrease with increasing normal stress, and their relative magnitudes may determine if failure occurs by new shear fracturing or by slip on pre-existing cohesionless surfaces like joints in rocks.

# Coulomb Mohr Failure Criterion

Coulomb's [1776] problem was the shear fracture in a prism of isotropic material under uniaxial compression  $\sigma_1$  (compressive stresses counted positive). He wrote down the equations for the shear stress  $\tau$  and normal stress  $\sigma$  on a plane inclined at an angle, say  $\theta$ , to the loading direction. He assumed that 'la cohésion se mesure par la résistance que les corps solides opposent à la désunion directe de leur parties', and 'je suppose ici que l'adhérence oppose une égalé résistance, soit que la force soit dirigée parallèlement ou perpendiculairement au plan de rupture.' He then solved for the value of  $\theta$  for which  $\tau$  was maximum,  $\tau_{\max}$  at  $\theta = 45^\circ$  and he found,

During the following two centuries, writers of authority have erroneously stated that Coulomb proceeded no further. For example, in the first edition of his widely known book on faulting Anderson [1942] ascribed the notion of internal friction to Navier. Jaeger [1962] repeated this mistake. In one edition of his great book, *The Earth*, Jeffreys [1952] in turn credits Anderson with this concept!

internal friction  $n \cdot \sigma$

slope  $n = \tan(\Phi)$

$$\tau = \tau_0 + n \cdot \sigma$$

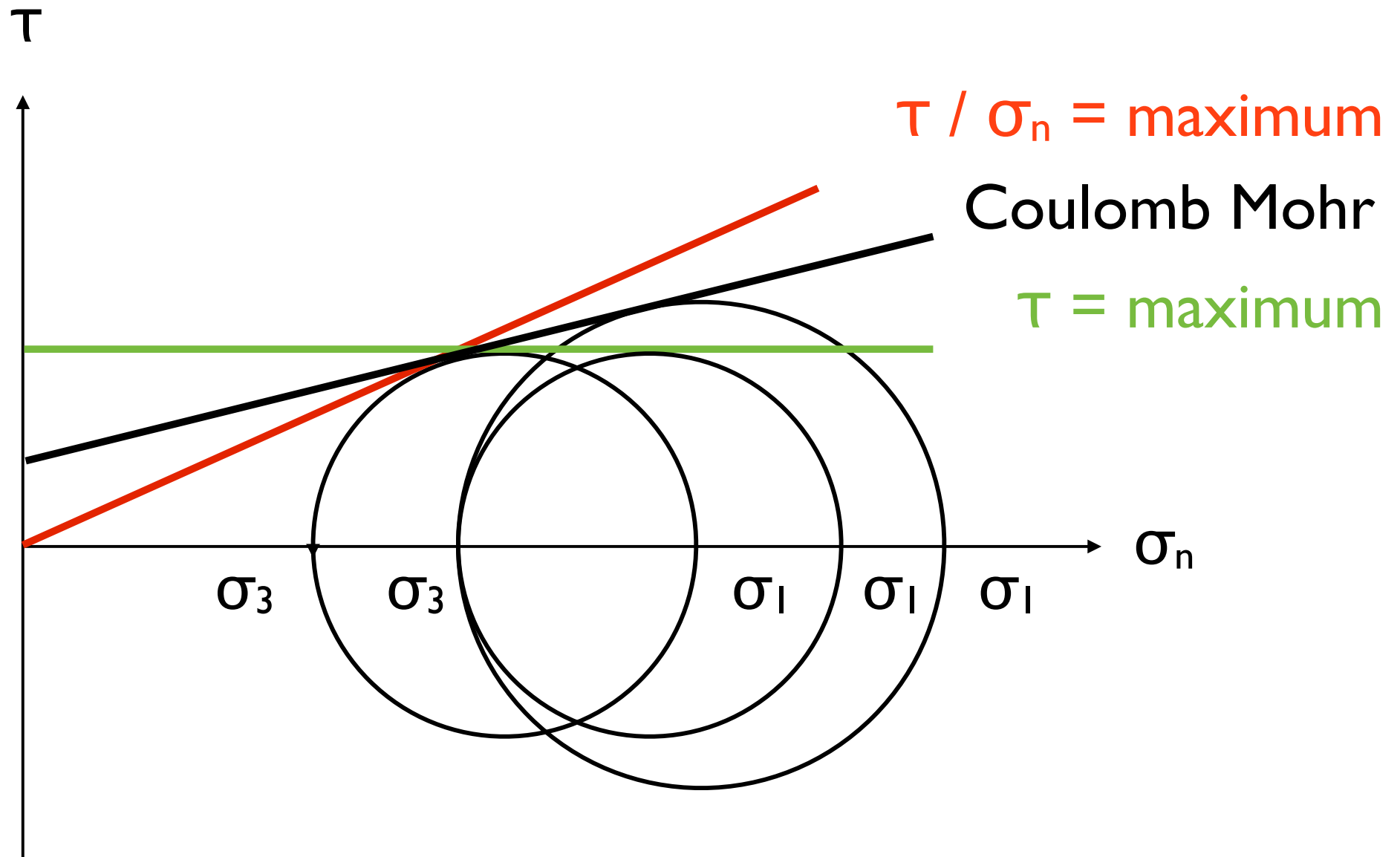
cohesion  $\tau_0$

$$\theta_f = \pm 45^\circ \mp \Phi / 2$$

$\theta_f$  angle of failure

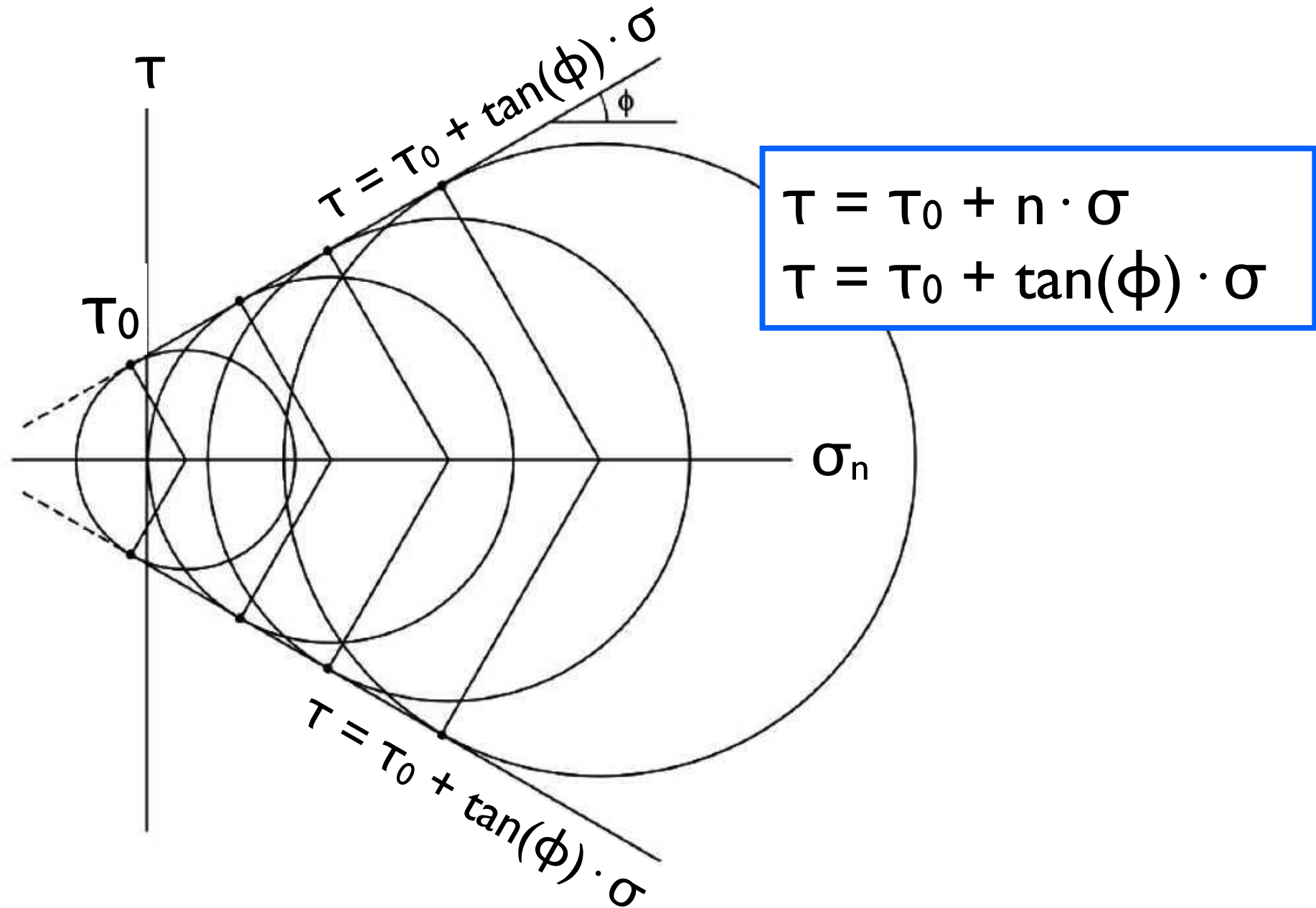
$\Phi$  angle of internal friction

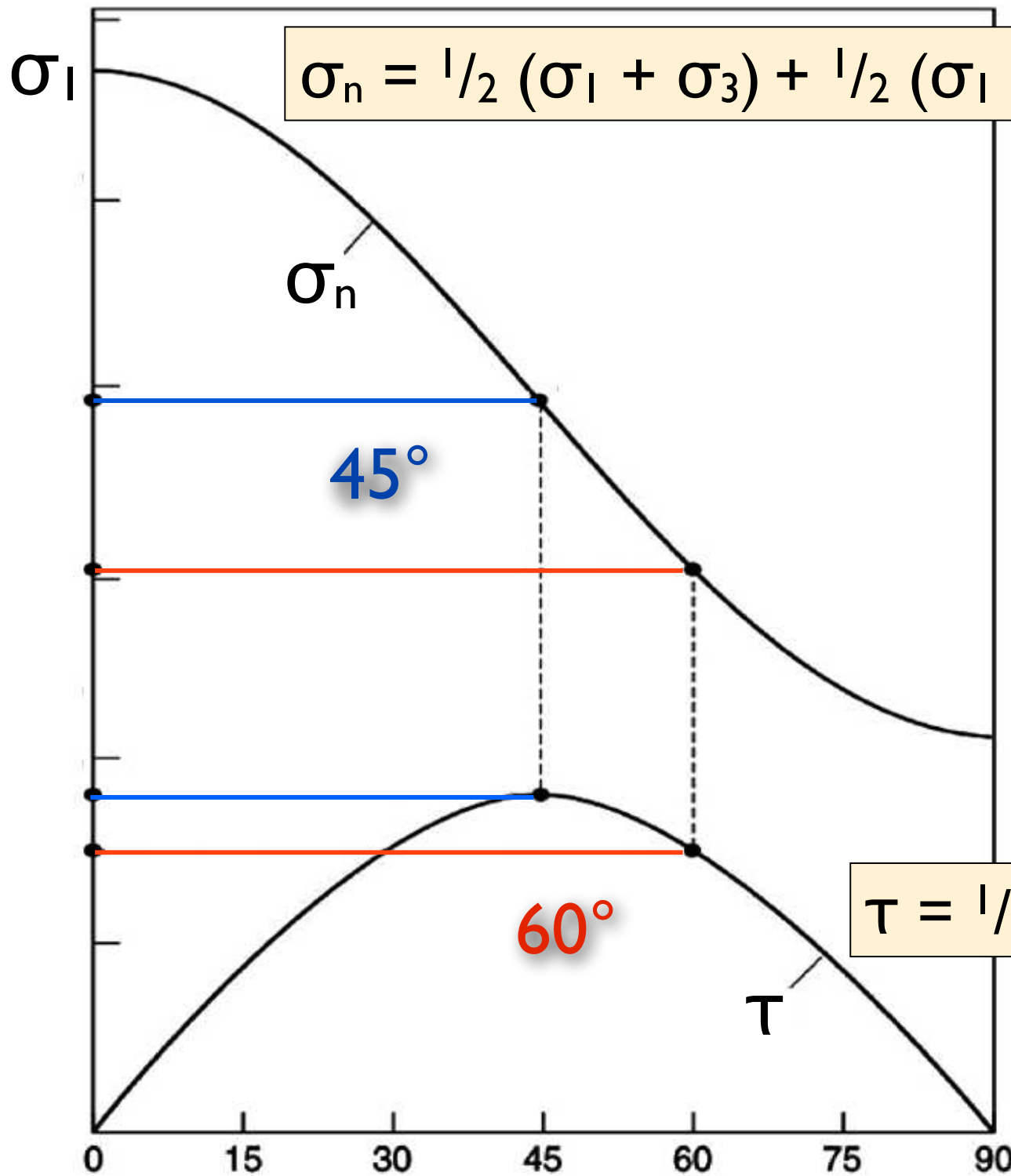
# Coulomb Mohr Failure Criterion





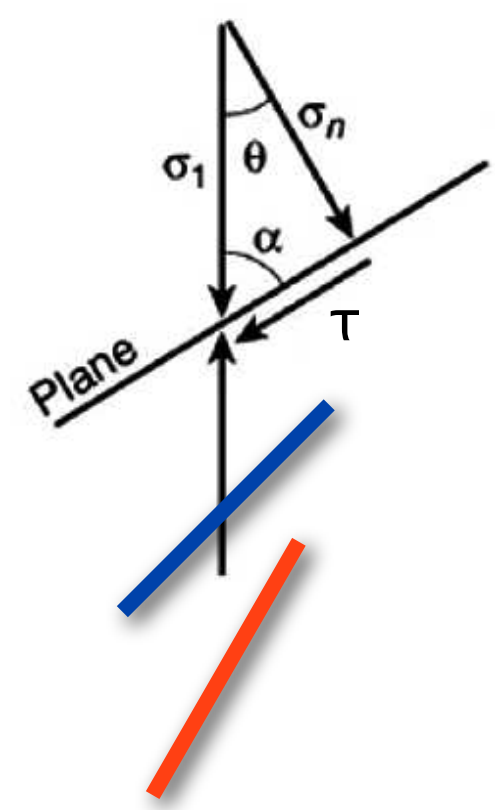
# Coulomb Mohr Failure Criterion





$$\sigma_n = \frac{1}{2} (\sigma_1 + \sigma_3) + \frac{1}{2} (\sigma_1 - \sigma_3) \cos(2\theta)$$

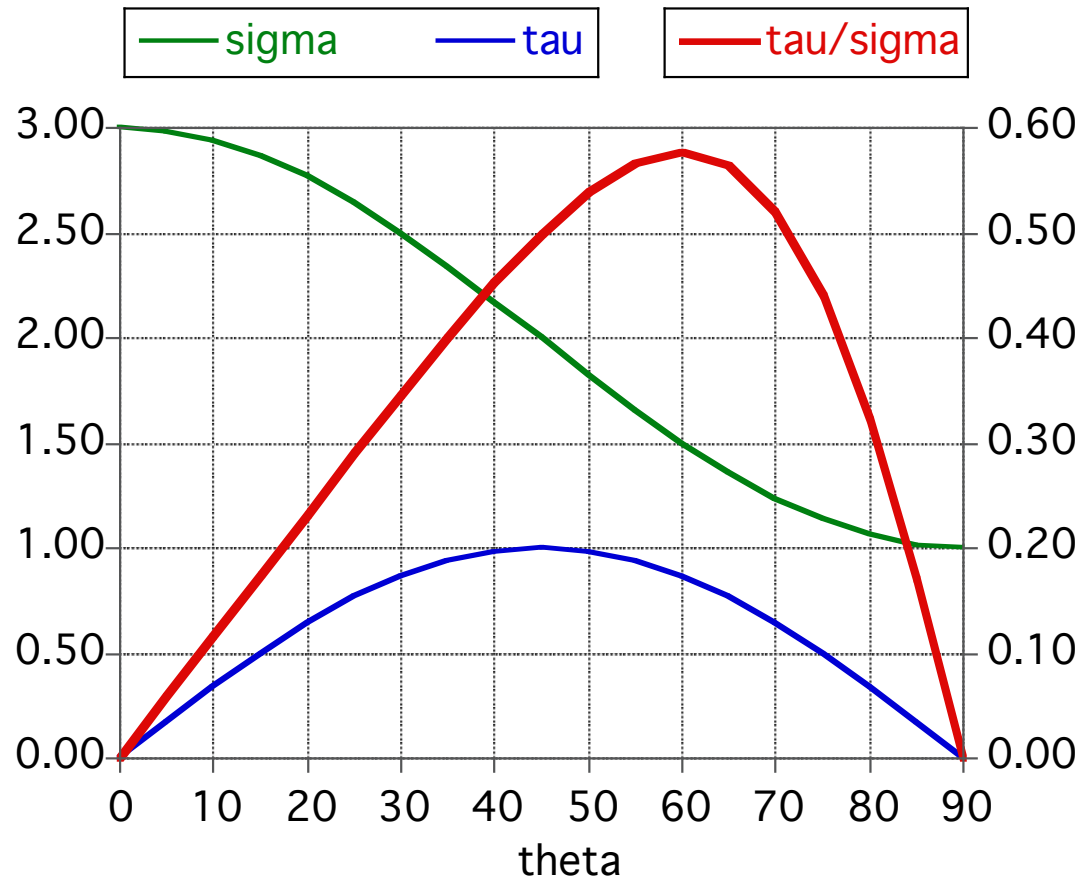
$$\tau = \frac{1}{2} (\sigma_1 - \sigma_3) \sin(2\theta)$$



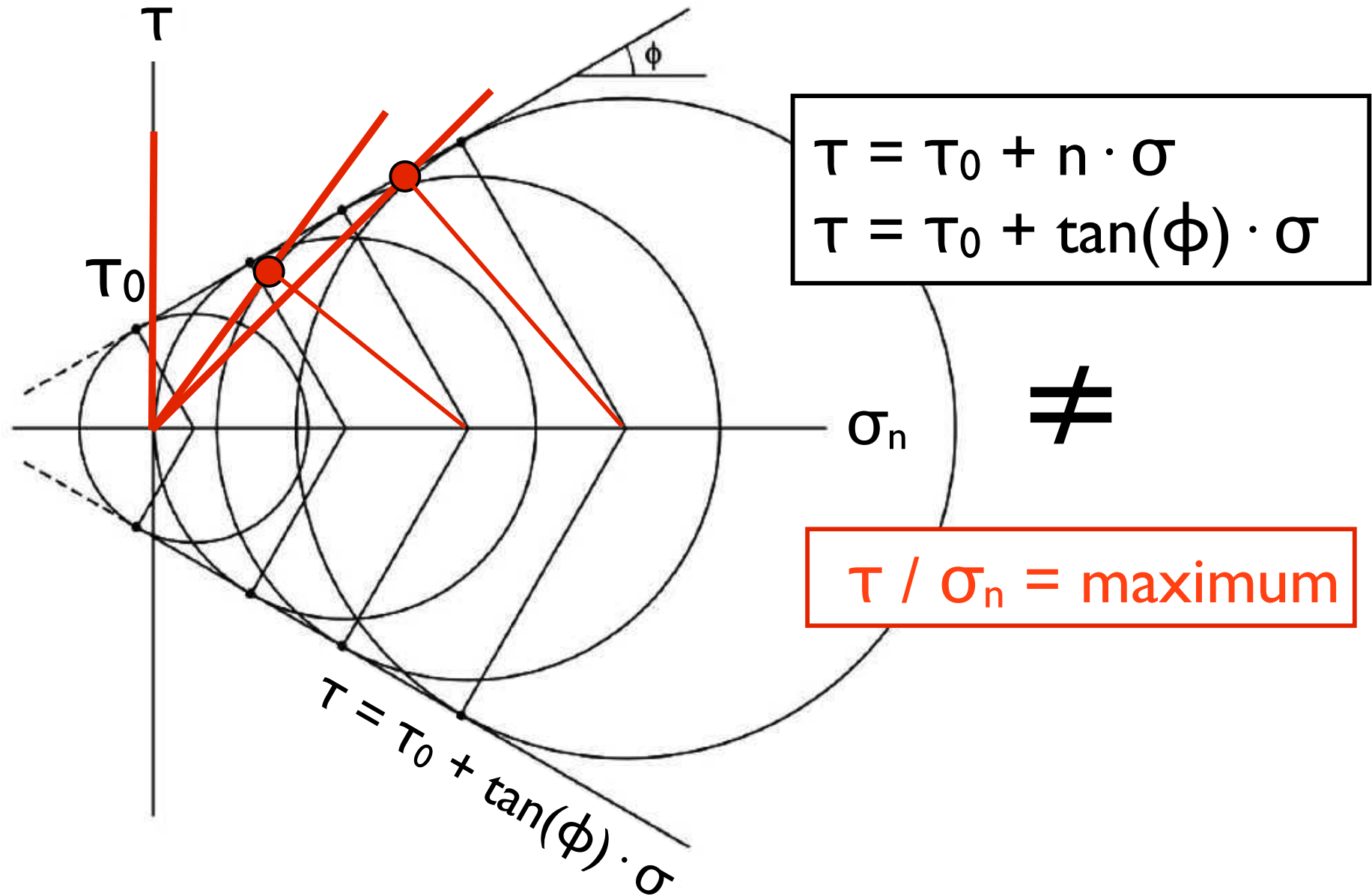
# maximum stress ratio $\tau/\sigma$

$$\sigma = (\sigma_1 + \sigma_3) + (\sigma_1 - \sigma_3) \cdot \cos(\theta)$$

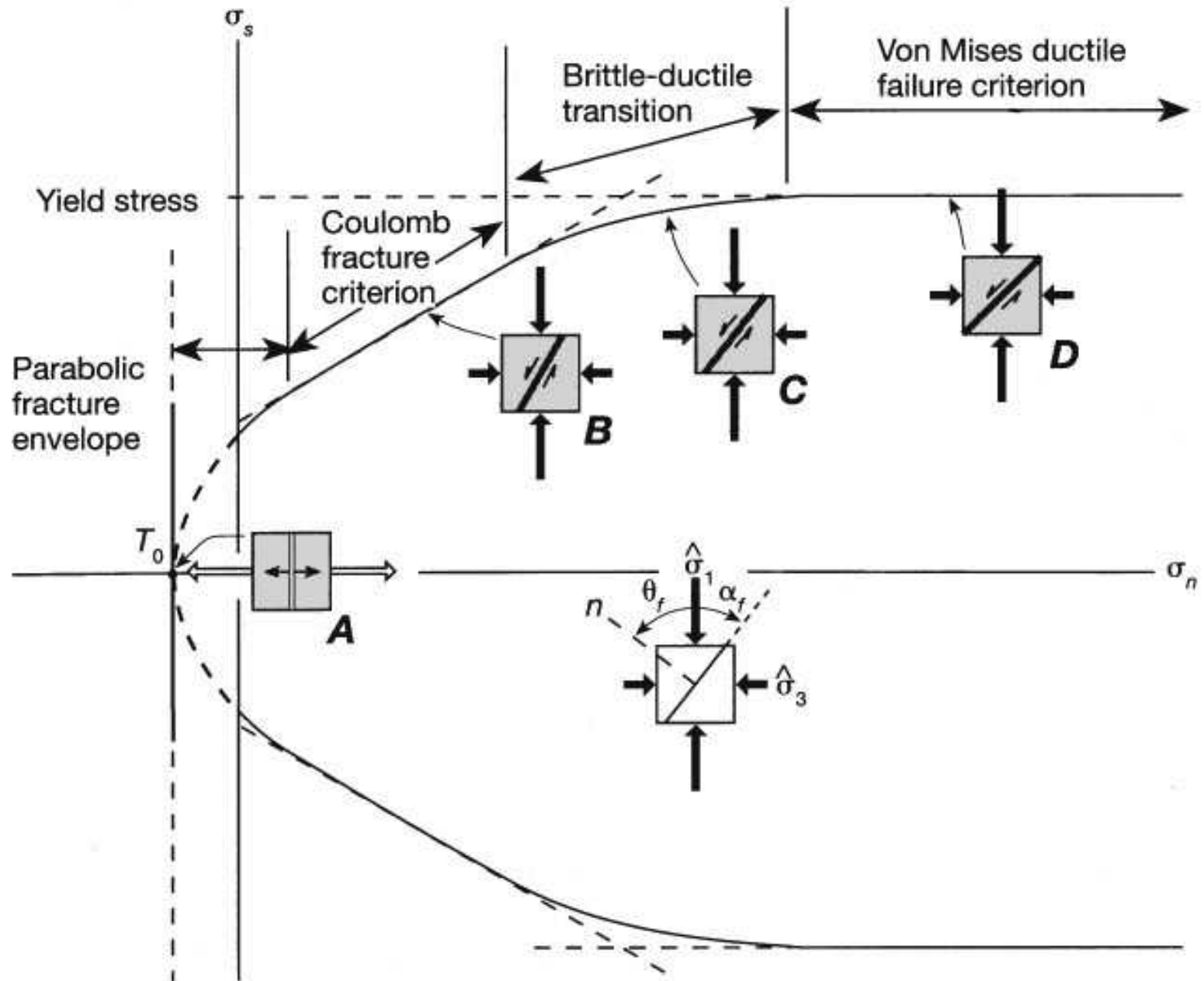
$$\tau = (\sigma_1 - \sigma_3) \cdot \sin(\theta)$$



# Coulomb Mohr Failure Criterion



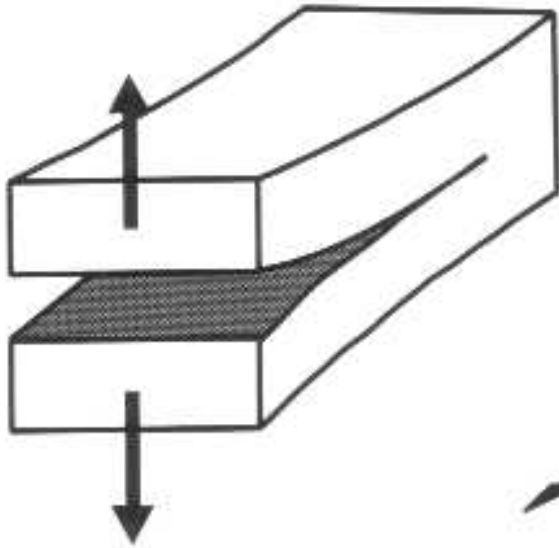
# Mohr'sche Umhüllende



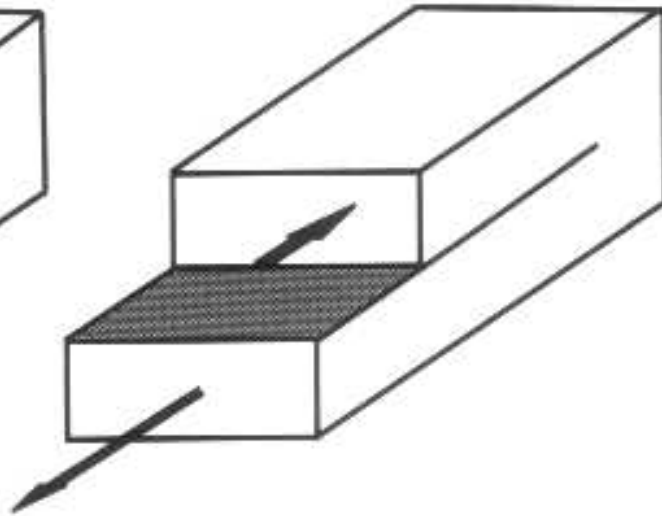


# Bruchbildung

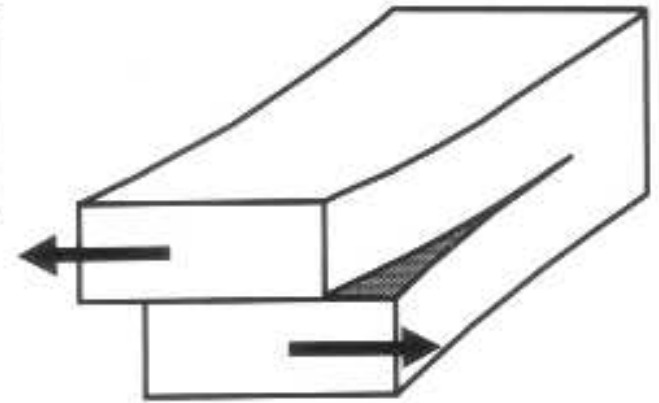
# Fracture mode



mode I

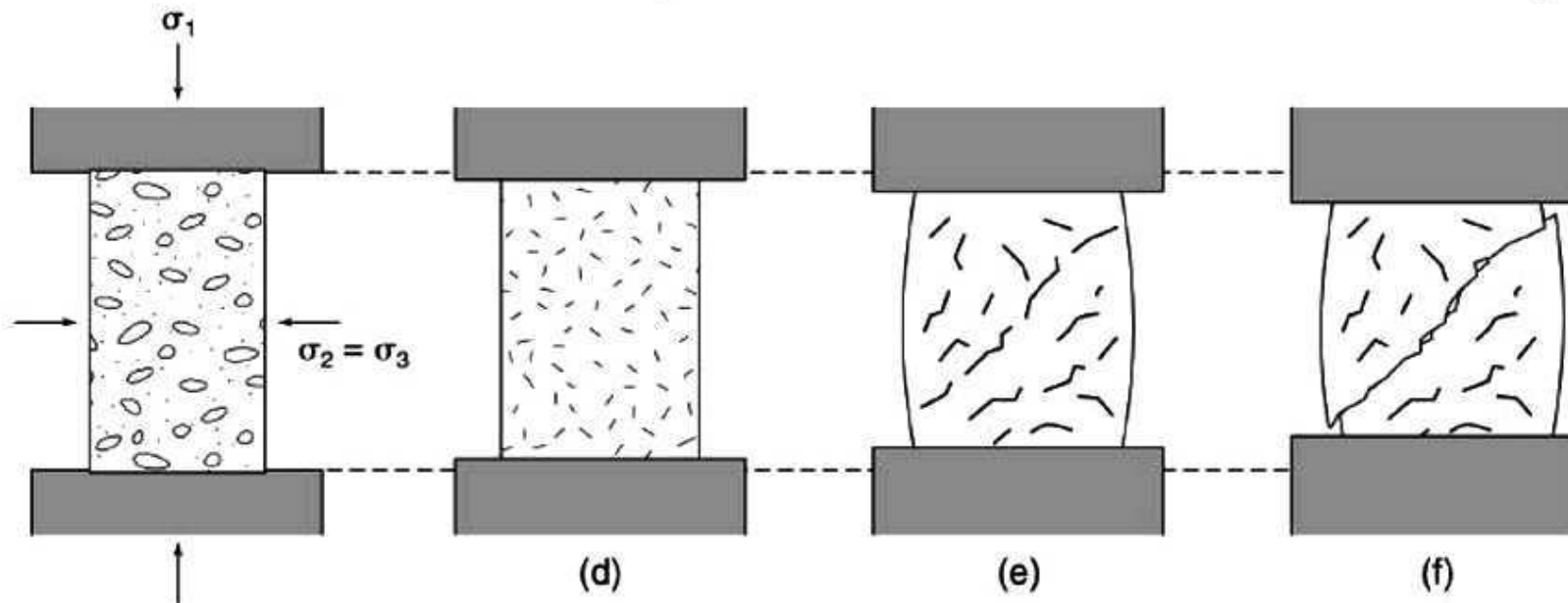
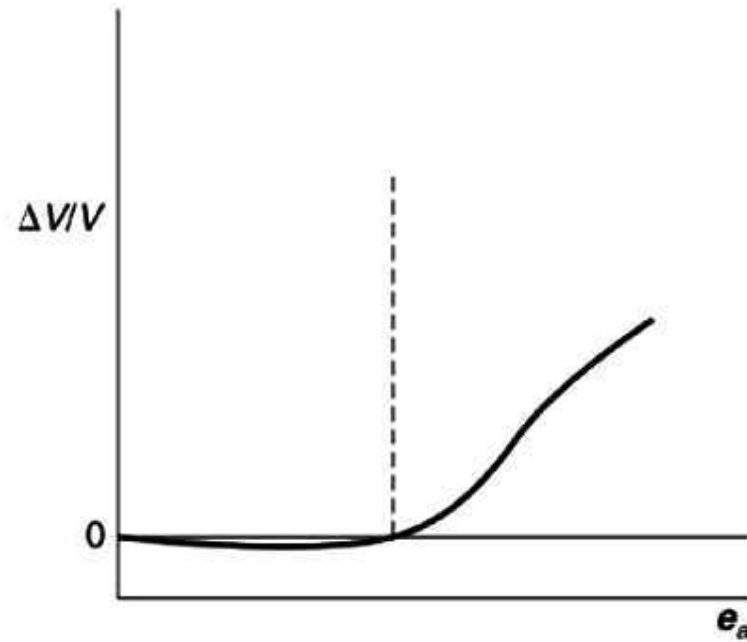
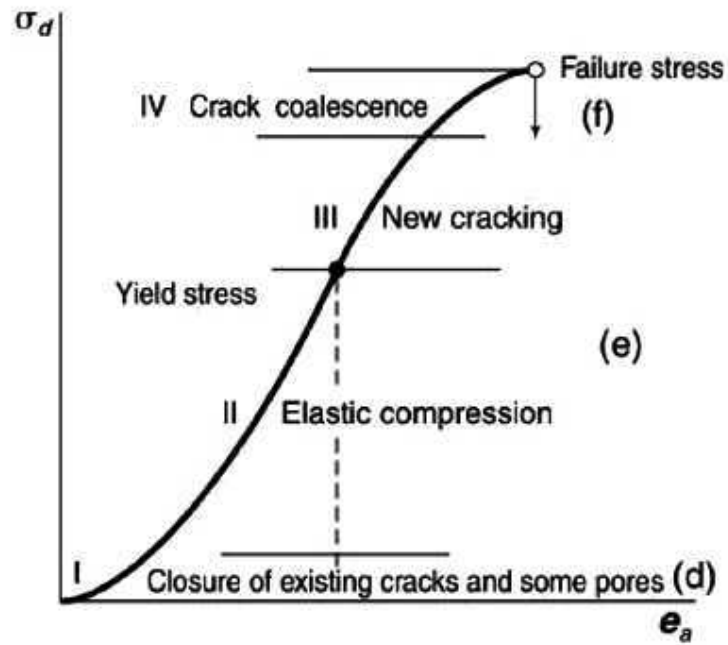


mode II



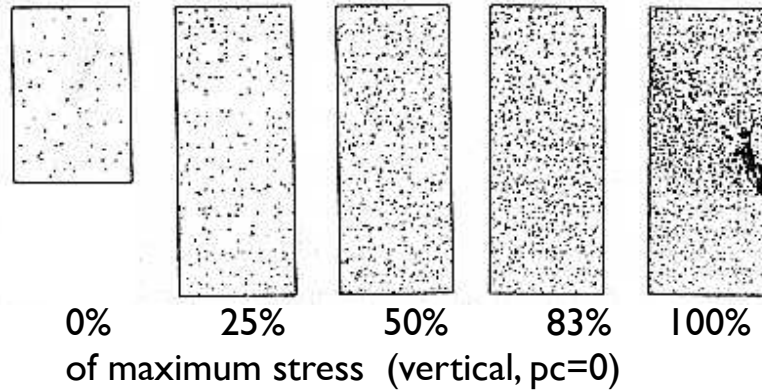
mode III

# Fracture formation



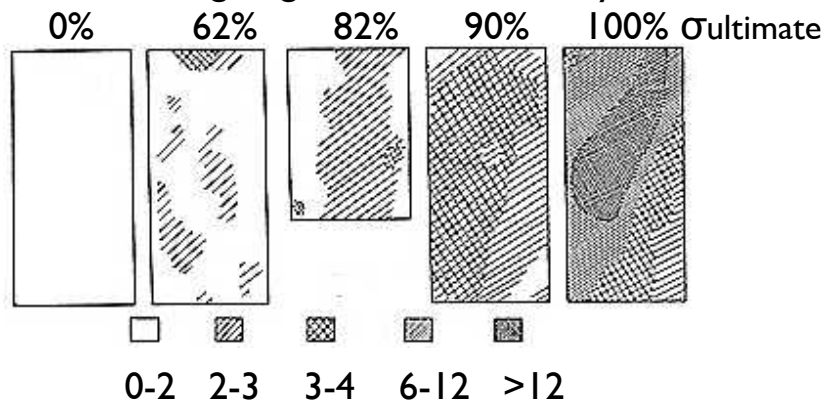
# Fracture formation

Microfracturing of sandstone 50 · 127 mm cylinders



Sangha, C.M., Talbot, C.J., Dhir, R.K., 1974. Microfracturing of a sandstone in uniaxial compression. *Int. J. Rock Mech. Min. Sci., Abstr.* Vol. 11, 107-113

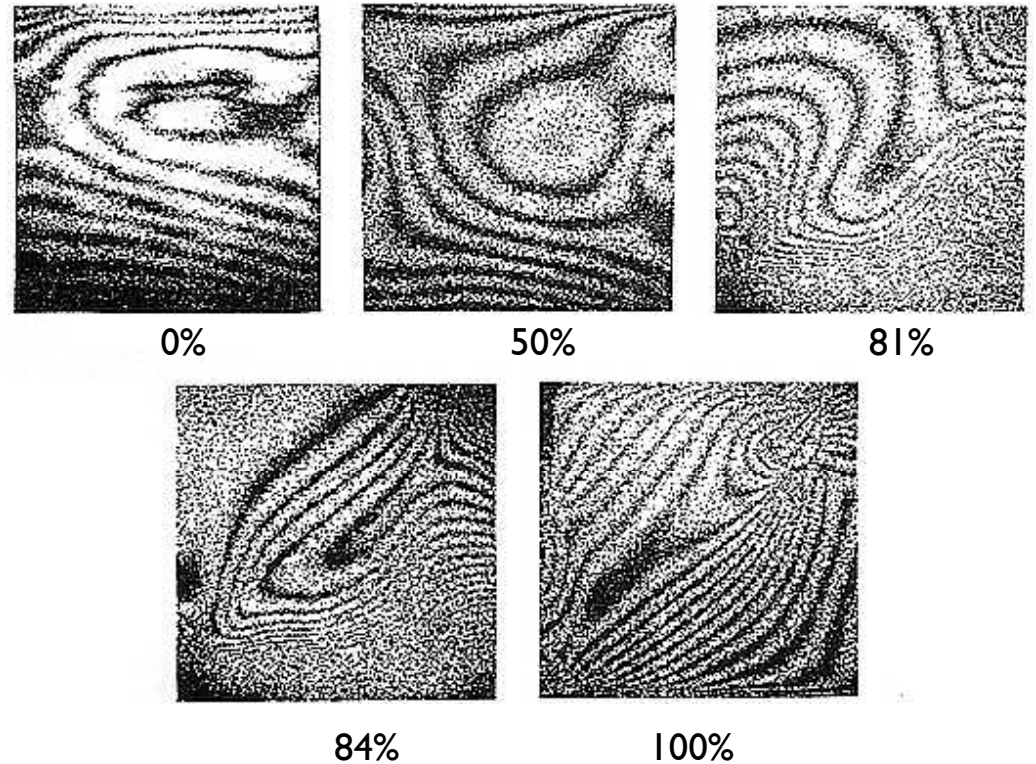
Microfracturing of gabbro 50 · 100mm cylinders



Optical reflectivity ratio (= microcrack density)  
w/r to average reflectivity ( $p_c = 130$  MPa)

Chen Rong, Yao Xiao-Xin, Xie Hung-Sen, 1979. Studies of fracture of gabbro. *Int. J. Rock Mech. Min. Sci., Abstr.* Vol. 16, 107-108

Dilatancy of pyrophyllite blocks 30 · 30 · 33 mm

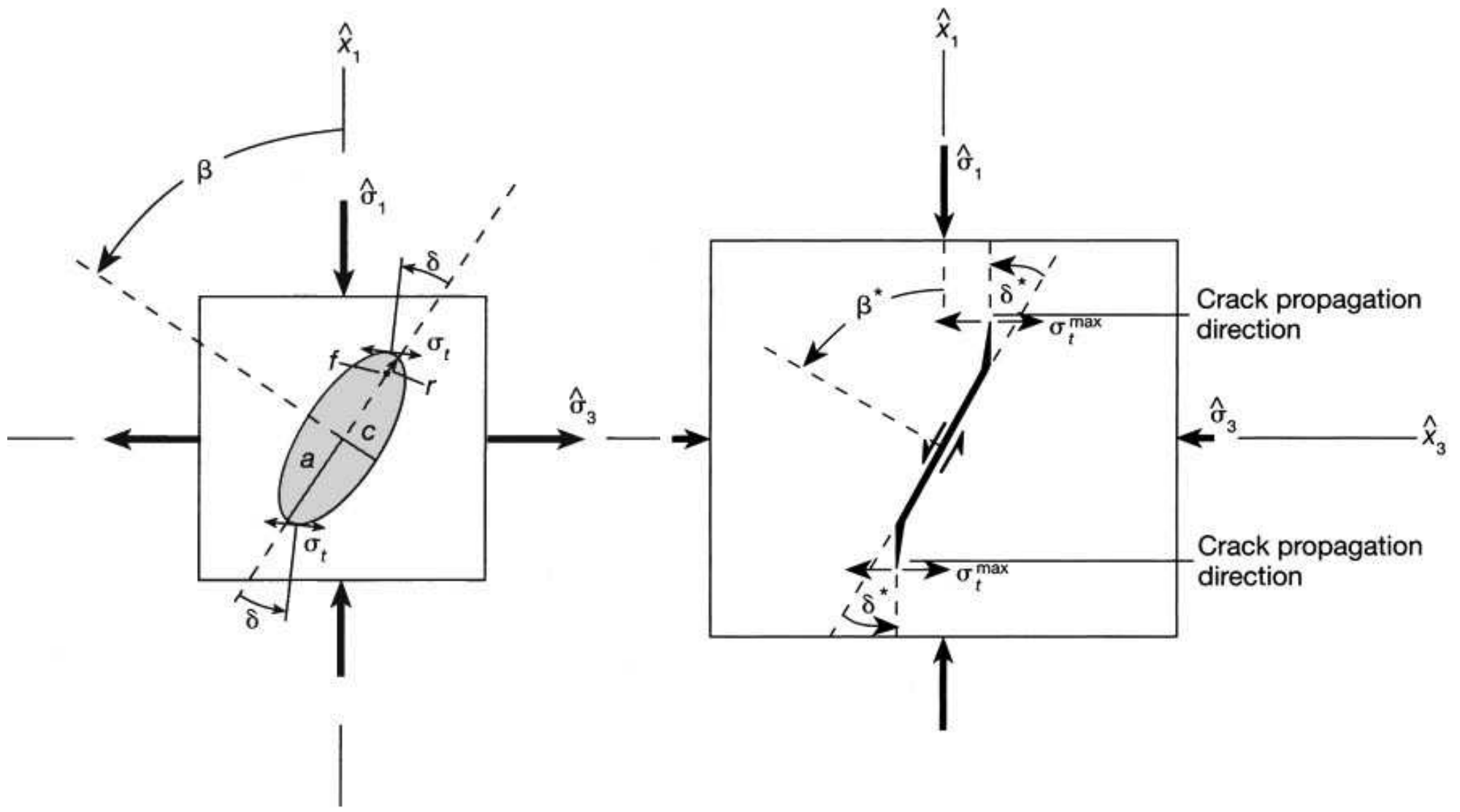


Doubly exposed holograms; % of  $\sigma_{ultimate}$  (vertical)

Sobolev G, Spetzler H., Salov, B., 1978.

Precursors to failure in rocks while undergoing anelastic deformation. *JGR* 83, 1775-1784

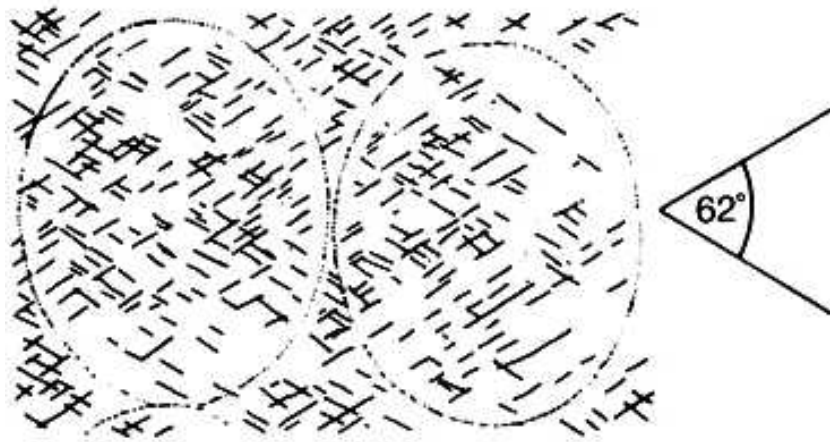
# Bruchentwicklung





# Shear Fractures

$$s_1 = 1.12 \quad \hat{s}_3 = s_3 = 0.89$$

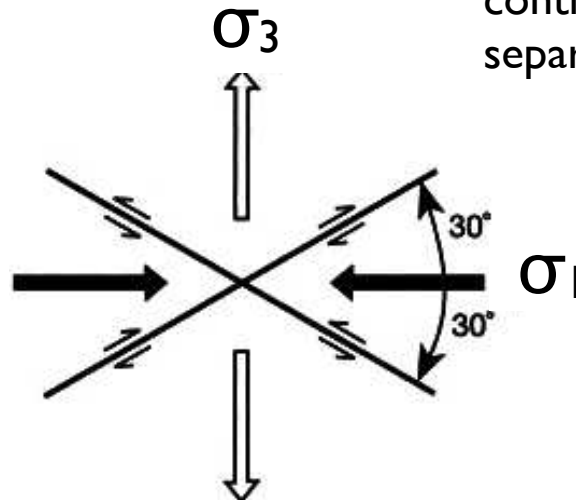


layer of clay on rubber sheet  
 initial stretch:  
 pervasive Mohr Coulomb

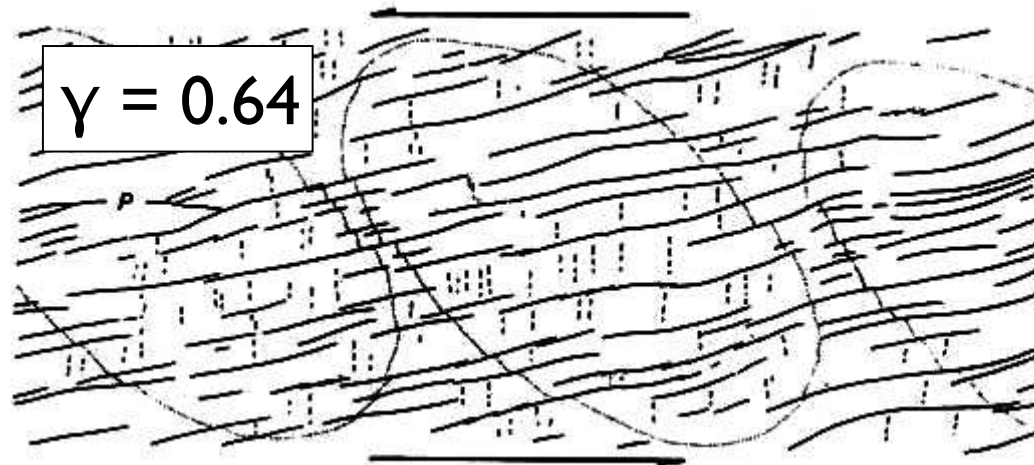
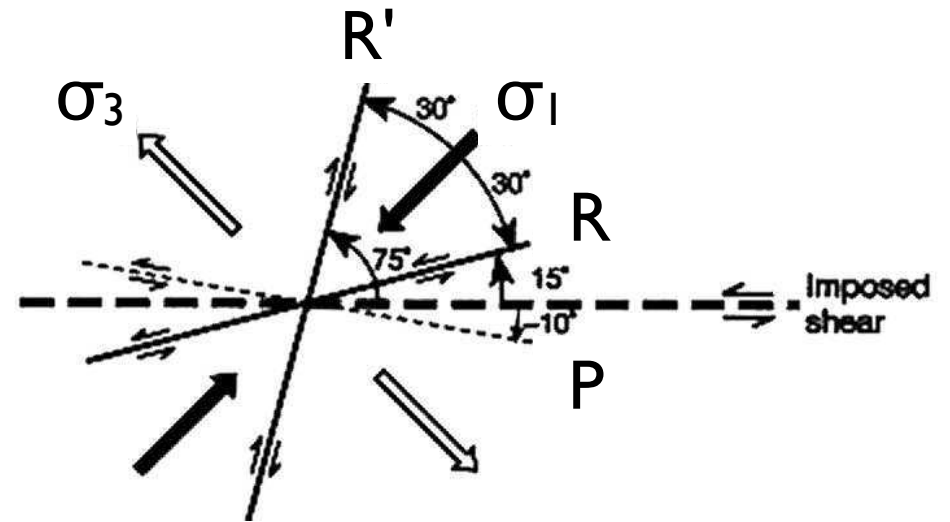
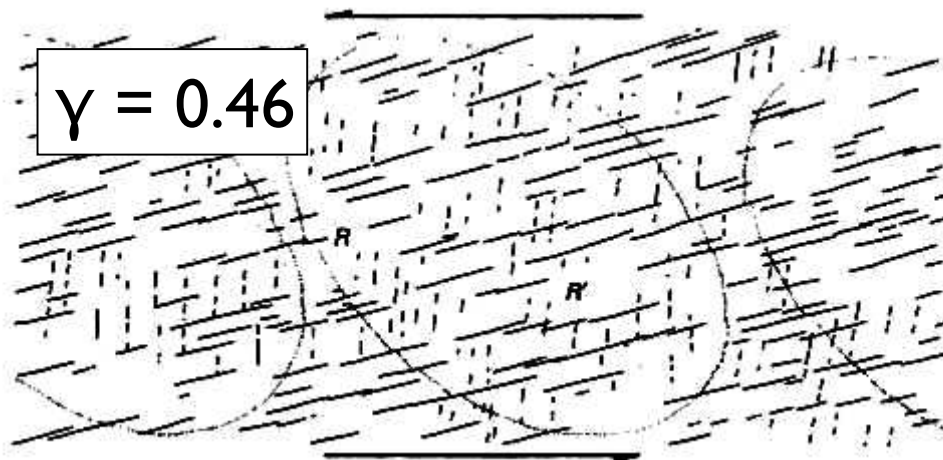
$$s_1 = 1.37 \quad s_3 = 0.73$$



continued stretch:  
 separation of regions



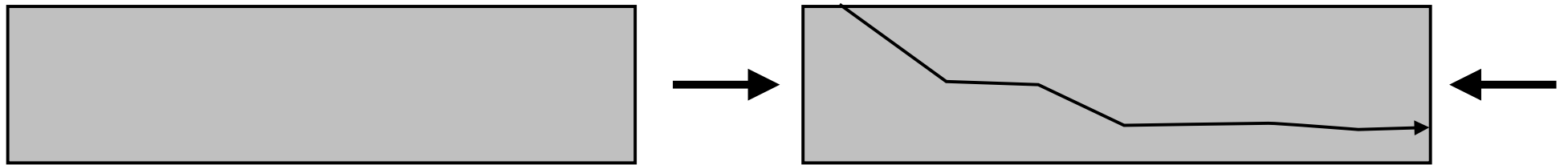
# Riedel Shear Fractures



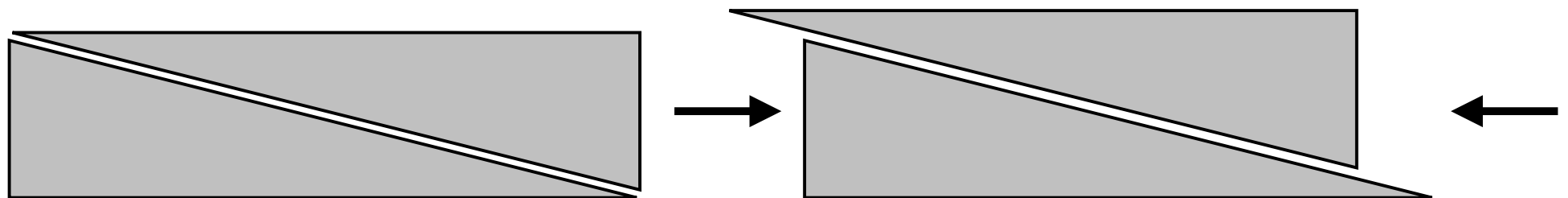
**Reibung**

# fracture - friction

Intakter Körper: Bruchbildung



Bruchfläche vorhanden: Gleiten auf Bruch



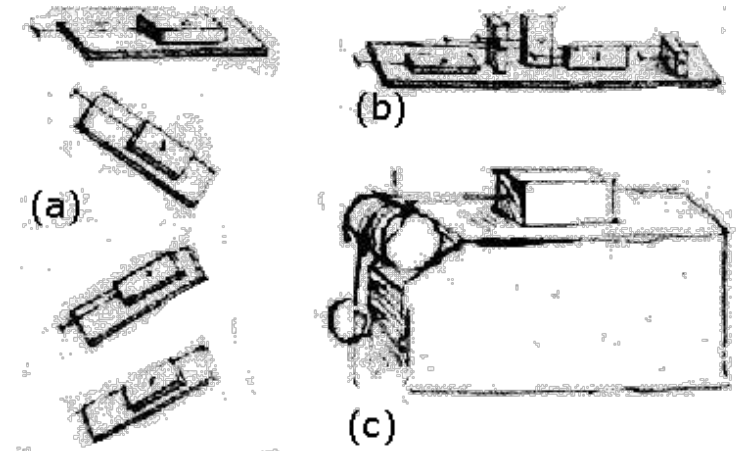
# Friction

Law of Leonardo da Vinci:  
Friction is independent of  
the area of contact

Leonardo Da Vinci (1452-1519)

Leonardo da Vinci stated the two basic laws of friction 200 years before Newton even defined what force is.

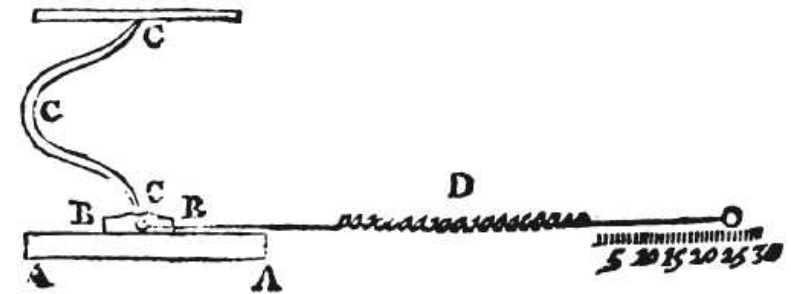
1. the areas in contact have no effect on friction.
2. if the load of an object is doubled, its friction will also be doubled.





# Friction

Law of Euler and Amontons:  
Friction is proportional to  
the loading force



Versuchsaufbau von G.Amontons zur Messung der kinetischen Reibkraft. Die Reibung zwischen den Oberflächen A und B wird mittels der Auslenkung einer Feder D gemessen. Die Feder C dient der Einstellung der Normalkraft.

(De la résistance causée dans les machines (1699) in Memoires de l'Académie des Sciences.)

Guillaume Amontons (1663-1705)  
Leonhard Euler (1701-1783)

- Reibung verändert sich mit der Last (Normalkraft) nicht aber mit der Berührungsfläche der reibenden Körper.
- Die Reibung ist mehr oder weniger dieselbe für Eisen, Blei, Kupfer und Holz in beliebiger Kombination, wenn die Flächen mit Schweinefett eingerieben sind.
- Die Reibkraft entspricht ungefähr einem Drittel der Last (Normalkraft).

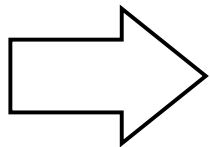
# Friction

Law of Coulomb:  
Friction is independent  
of the velocity



## Essaie sur la théorie du frottement

Holz auf Holz: Reibung nimmt anfänglich zu, erreicht Maximum, danach ist die Reibkraft proportional zur Normalkraft.



Holz auf Holz: Reibung proportional zur Normalkraft bei jeder Geschwindigkeit.

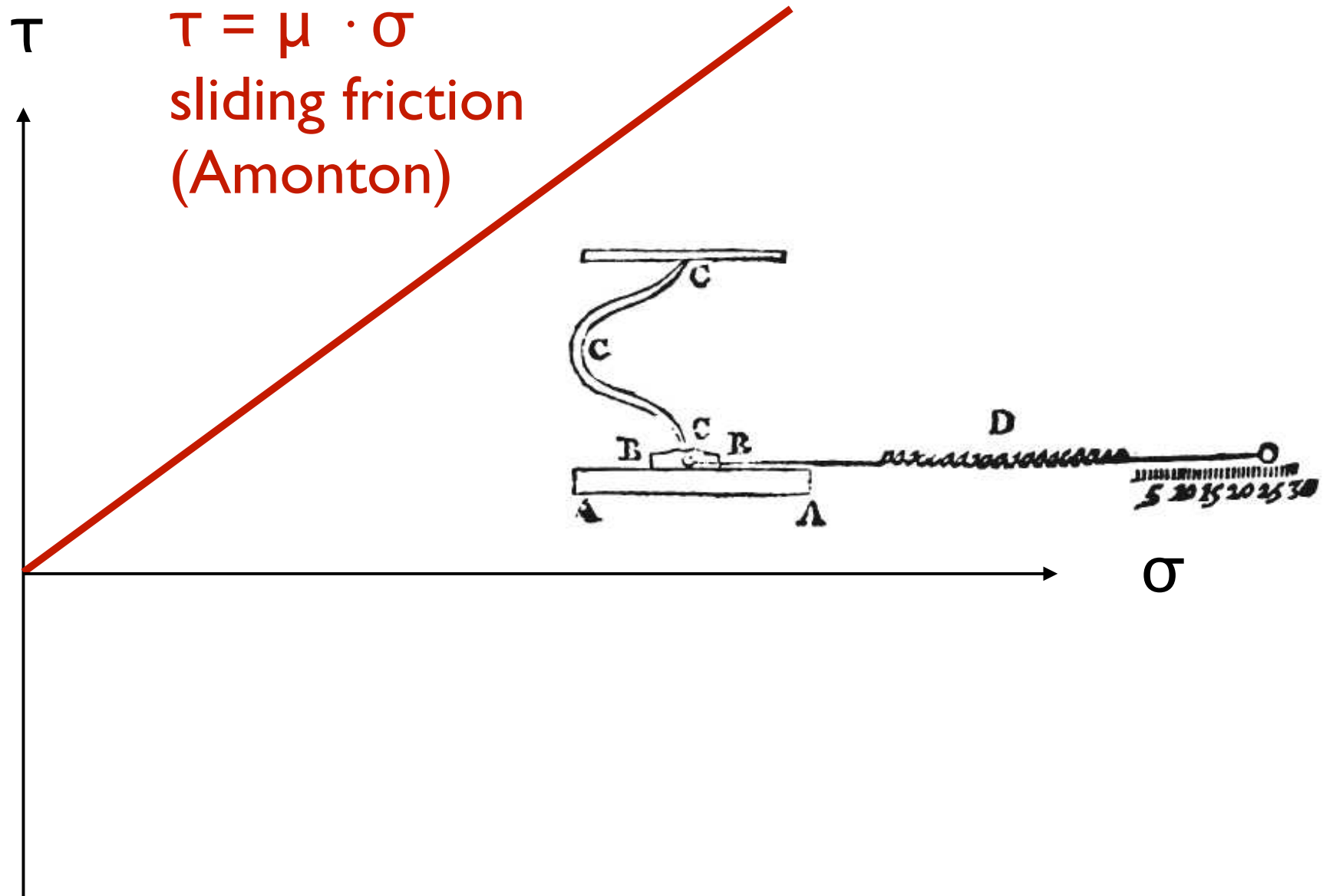
Kinetische Reibung ist einiges geringer als statische Reibung gemessen nach langer Ruhezeit der Materialien.

Metall auf Metall: Reibung ist proportional zu Normalkraft

Kein Unterschied zwischen statischer und kinetischer Reibung.

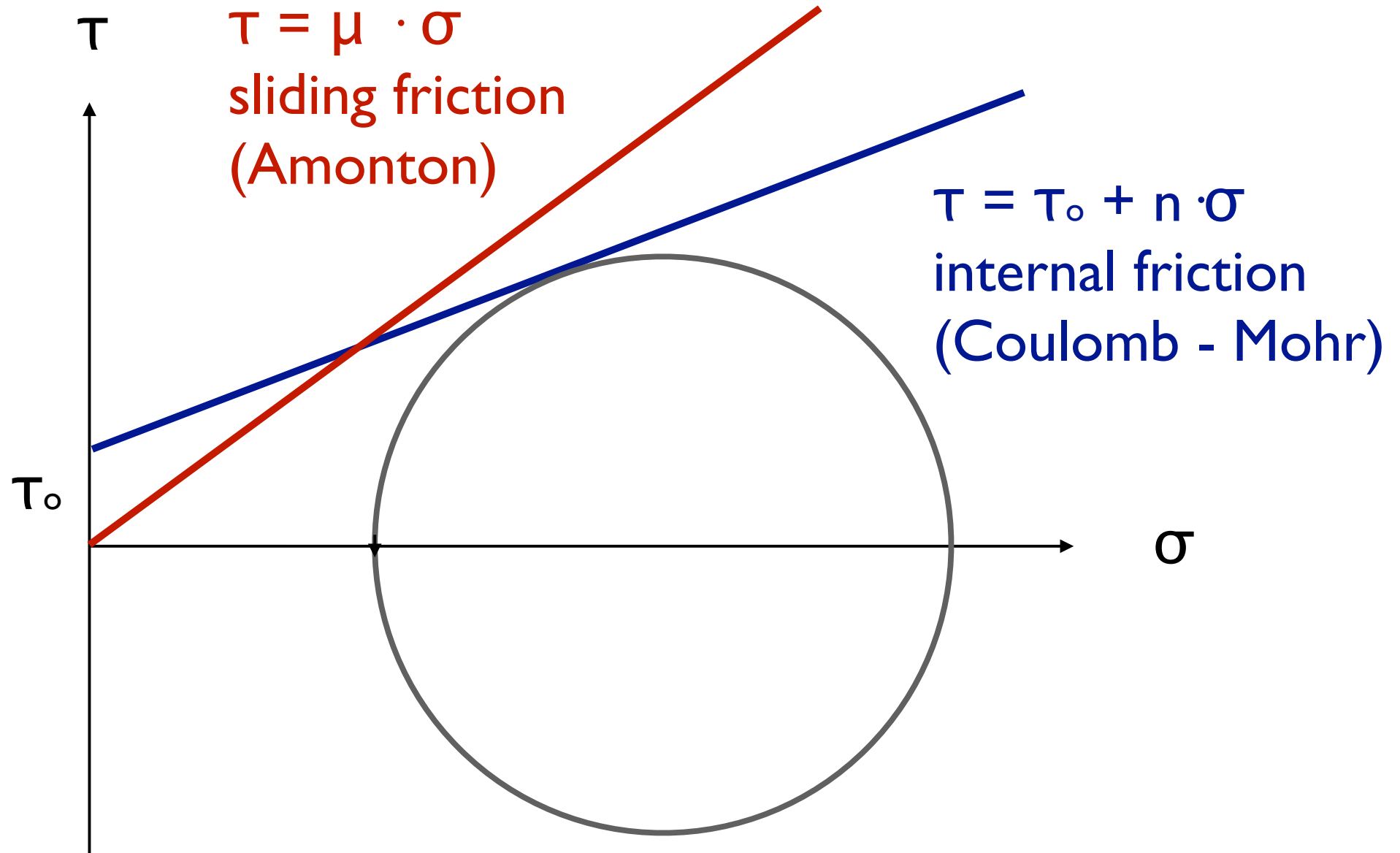
# sliding friction

# Gleitreibung



**Byerlee's rule**

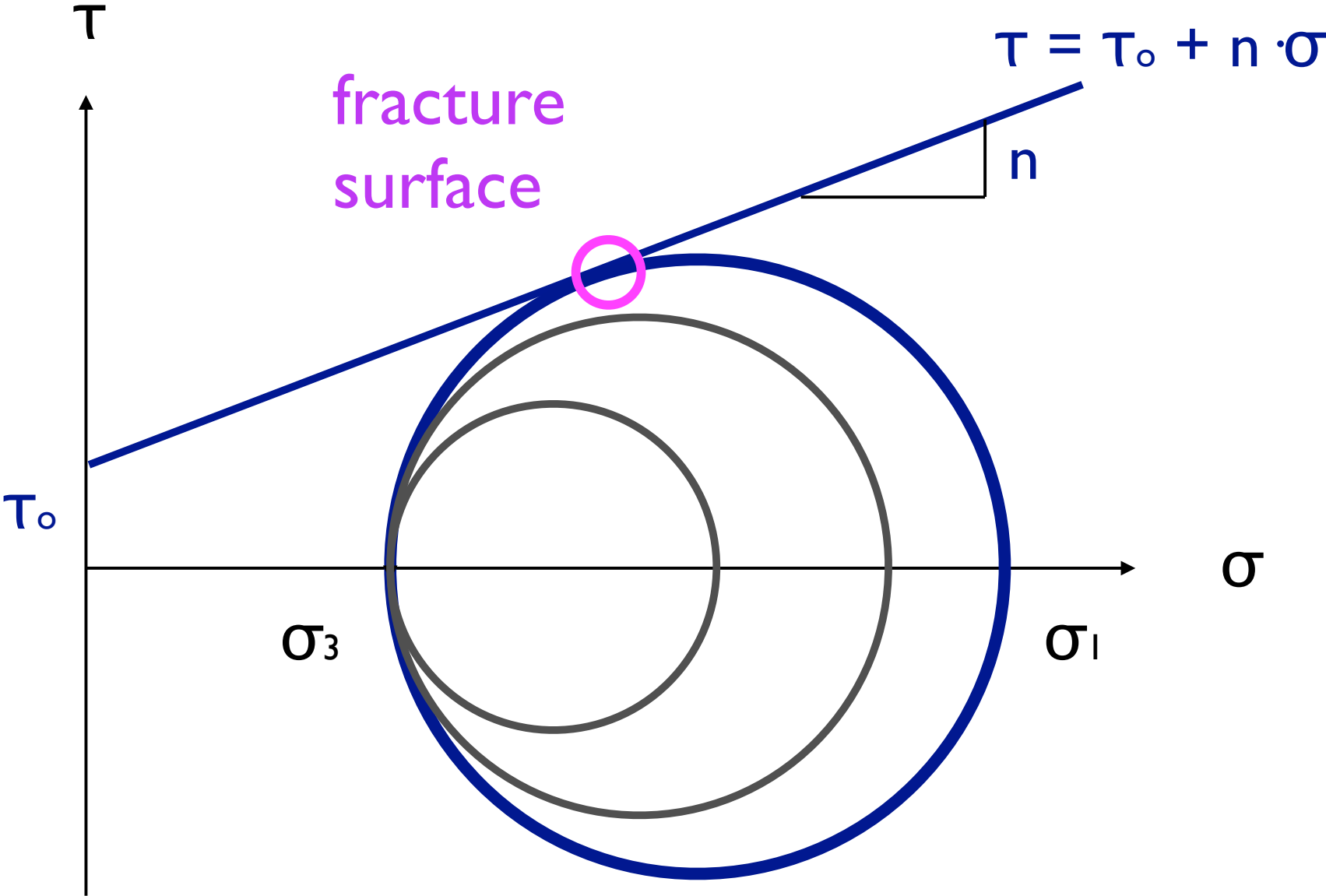
# Sliding friction





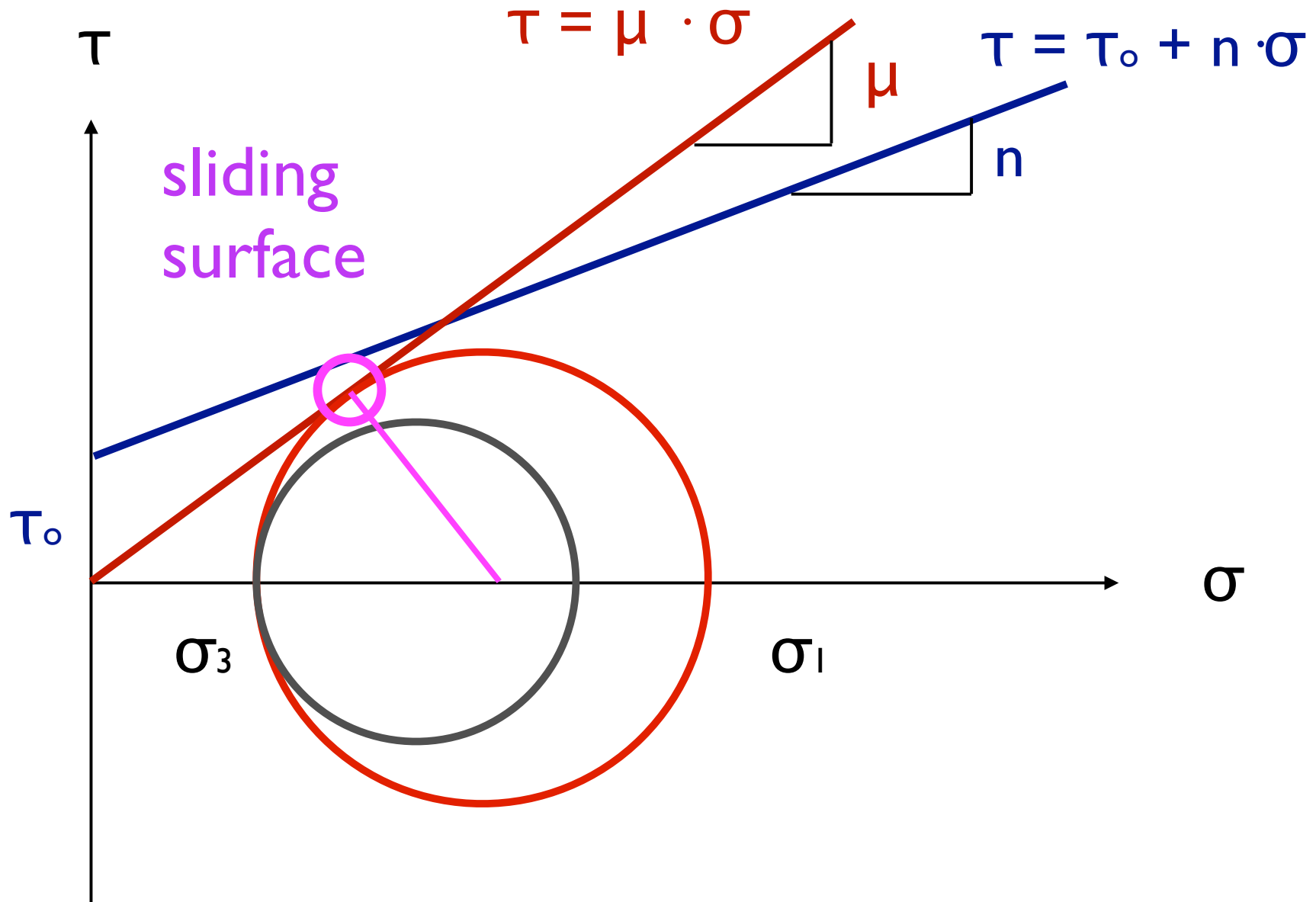
# fracture

# Bruchbildung



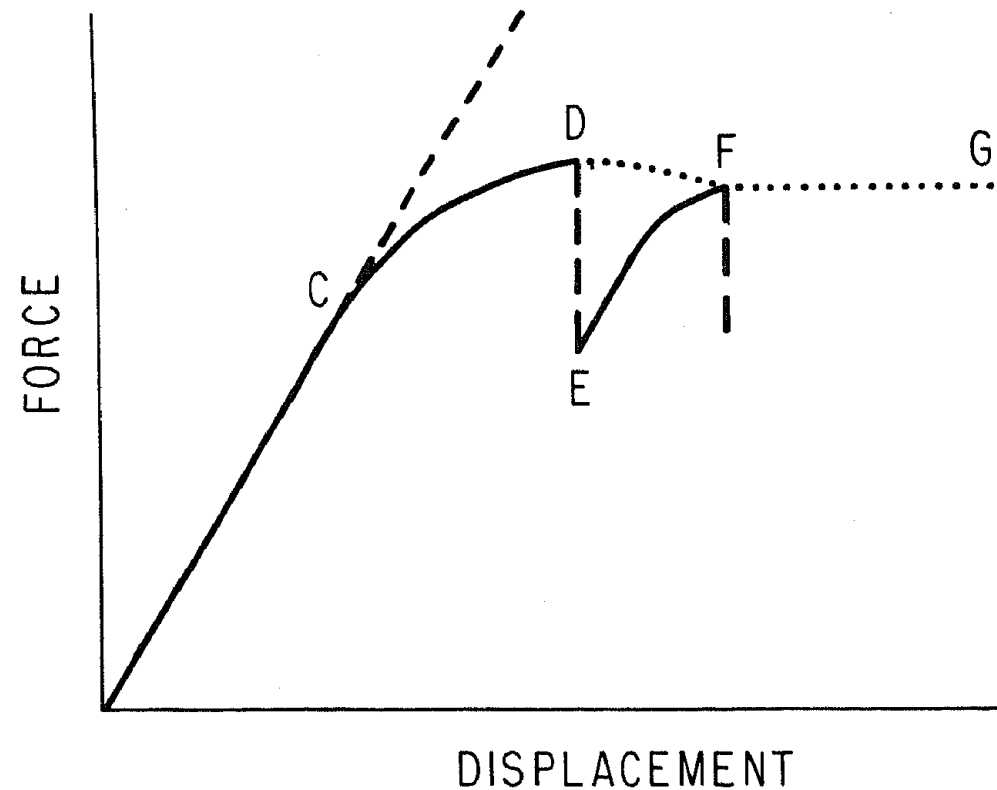
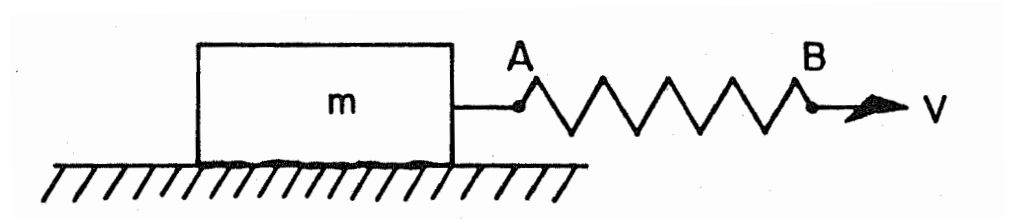
# friction

# Reibung



# Byerlee's rule

C=initial friction  
D=maximum friction  
G= residual friction



# Byerlee's rule

## *7. Conclusions*

The experimental results show that at the low stresses encountered in most civil engineering problems the friction of rock can vary between very wide limits and the variation is mainly because at these low stresses friction is strongly dependent on surface roughness. At intermediate pressure such as encountered in mining engineering problems and at high stresses involved during sliding on faults in the deep crust the initial surface roughness has little or no effect on friction. At normal stresses up to 2 kb the shear stress required to cause sliding is given approximately by the equation

$$\tau = 0.85\sigma_n.$$

At normal stresses above 2 kb the friction is given approximately by

$$\tau = 0.5 + 0.6\sigma_n.$$

These equations are valid for initially finely ground surfaces, initially totally interlocked surfaces or on irregular faults produced in initially intact rocks. Rock types have little or no effect on friction.

If however, the sliding surfaces are separated by large thicknesses of gouge composed of minerals such as montmorillonite or vermiculite the friction can be very low. Since natural faults often contain gouge composed of alteration minerals the friction of natural faults may be strongly dependent on the composition of the gouge.

# Byerlee's rule

## MAXIMUM FRICTION

static friction  
for  $\sigma_n =$   
0 - 2 GPa  
(0 - 20 kb)  
 $\approx 80$  km

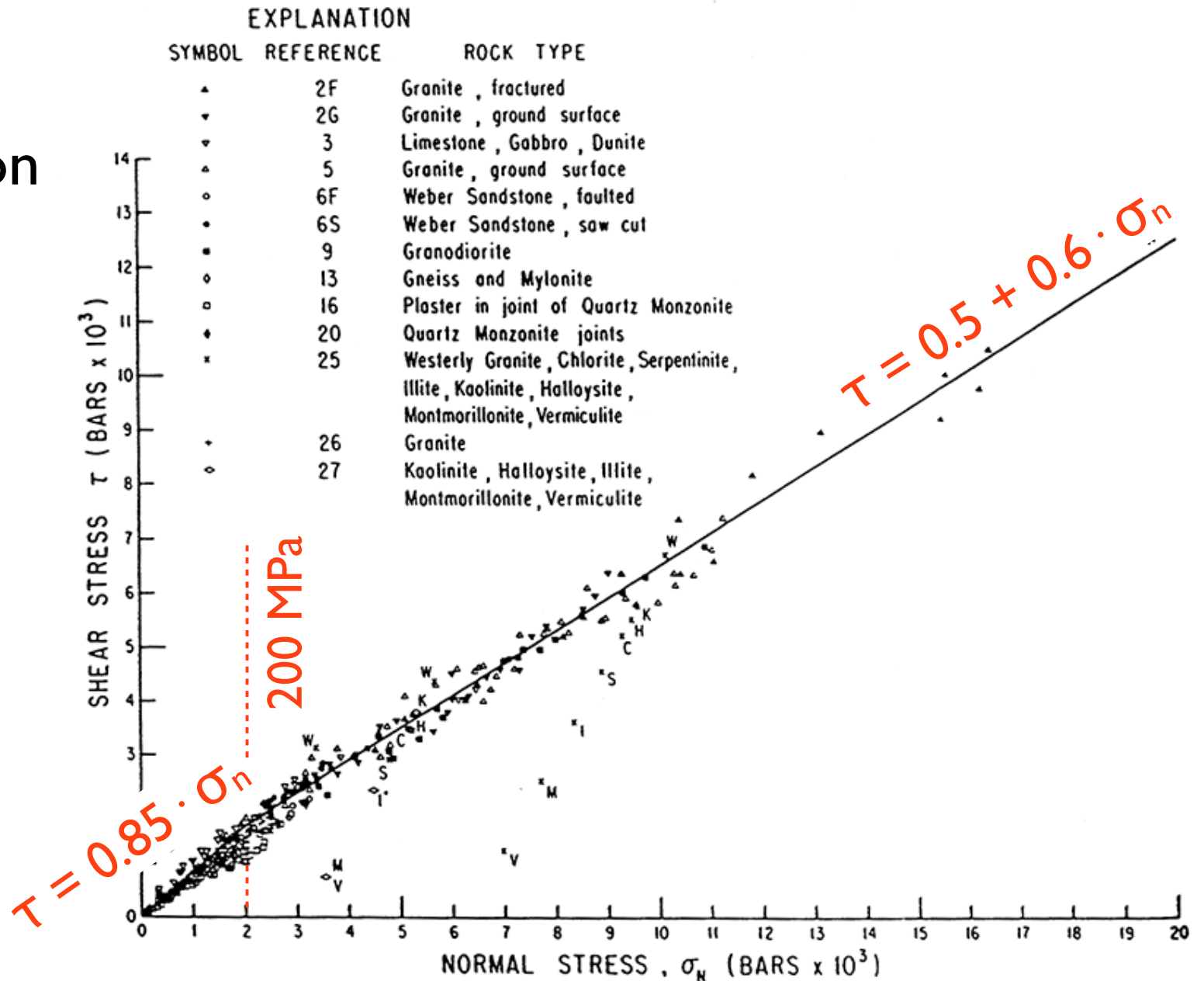


fig. 7 (Byerlee, 1978)



# Klüfte und Brüche

## joints & fractures

# Klüfte - Verwerfungen (joints - faults)

## Definition Klüfte:

- planare Diskontinuität  
ohne Kohäsion (Extensionsbrüche)
- kein Versatz
- minimale Extension

## Unterschied:

joints:

no displacement

static

elasticity

stress indicators

faults:

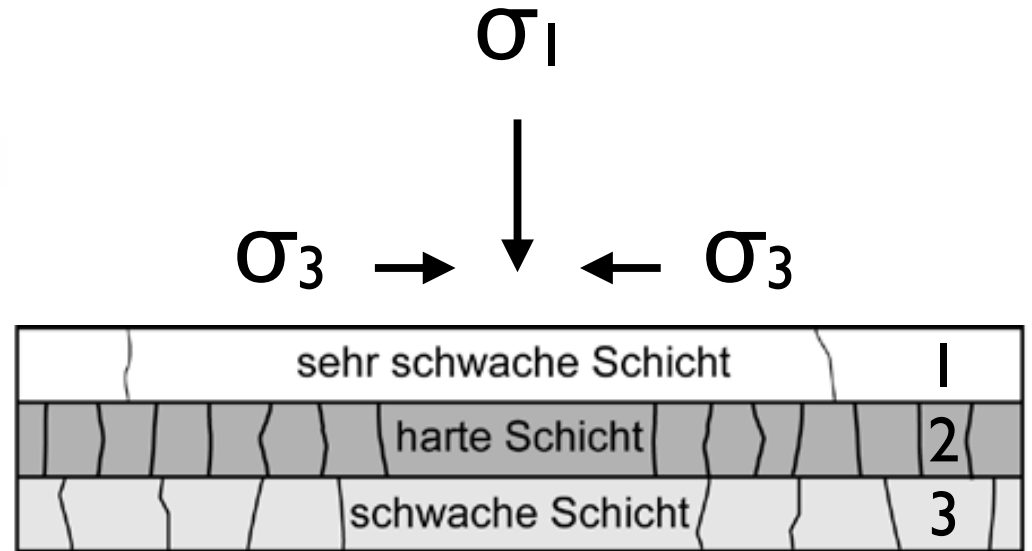
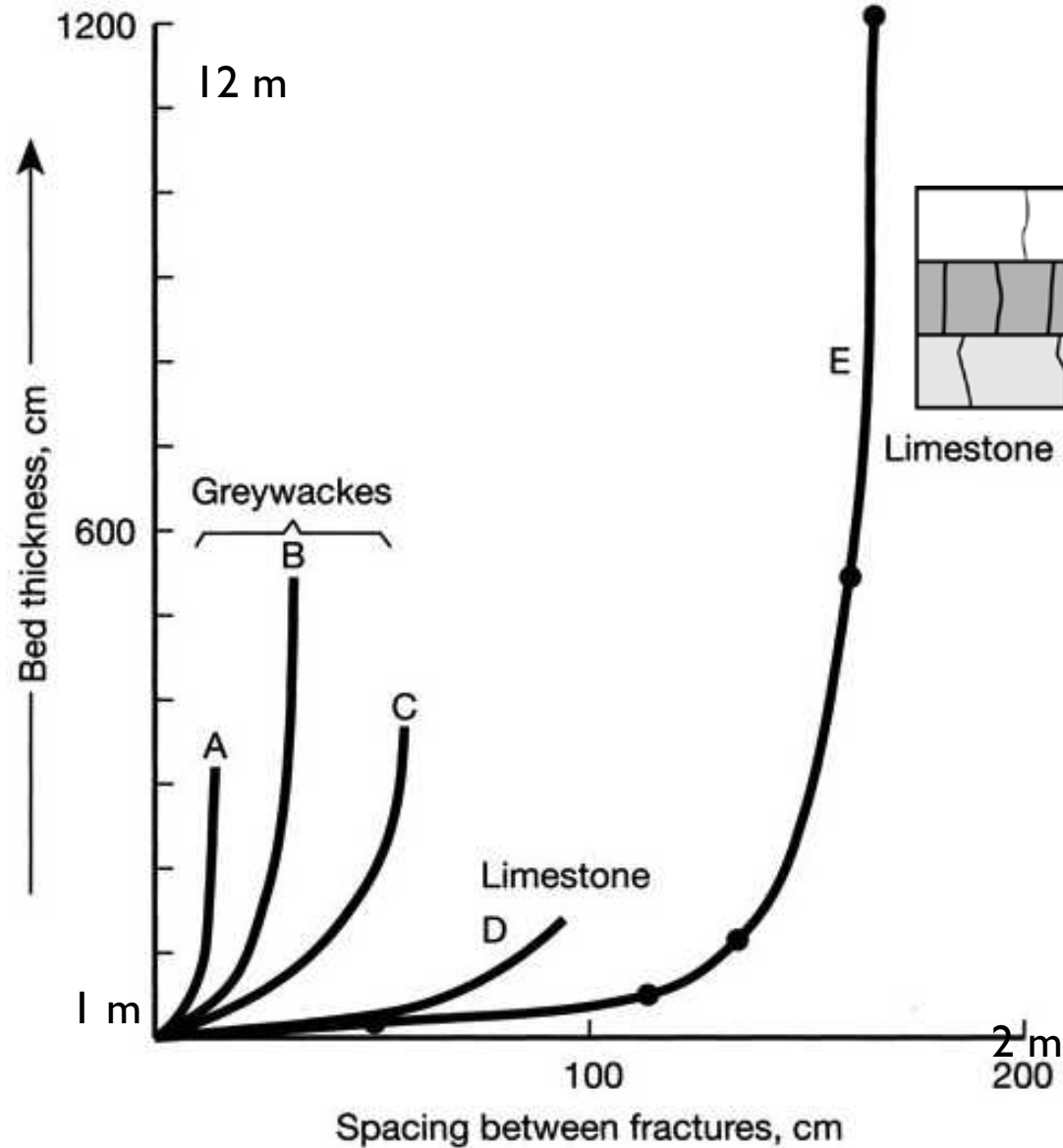
displacement

dynamic

frictional glide

displacement indicators

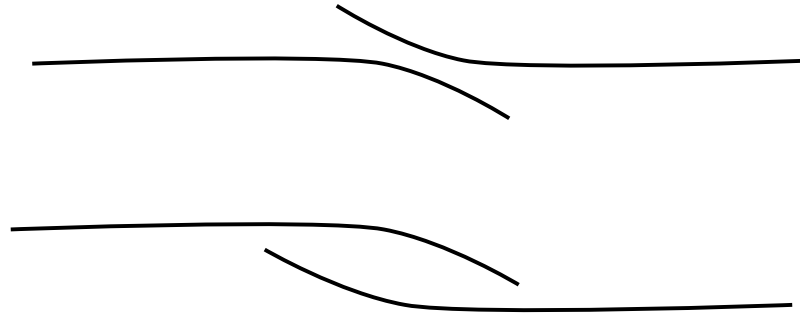
# Schichtklüfte



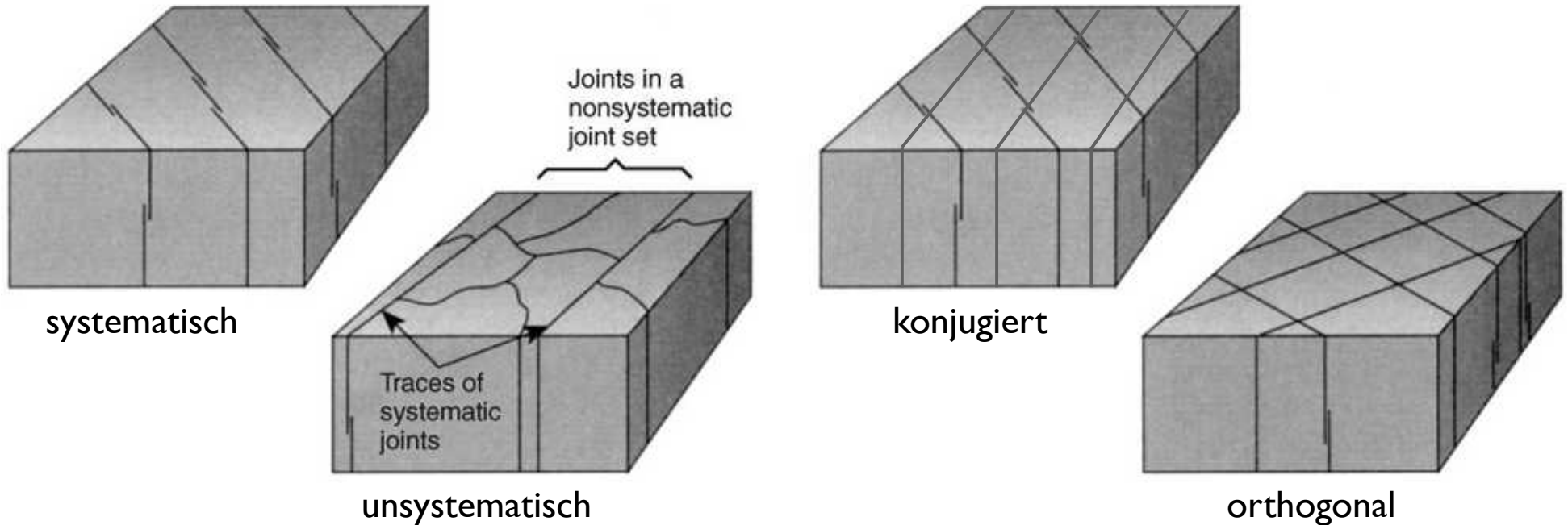
$$E_1 < E_3 < E_2$$

# Kluftsysteme

Interaktion



Kluftschar systematisch - unsystematisch



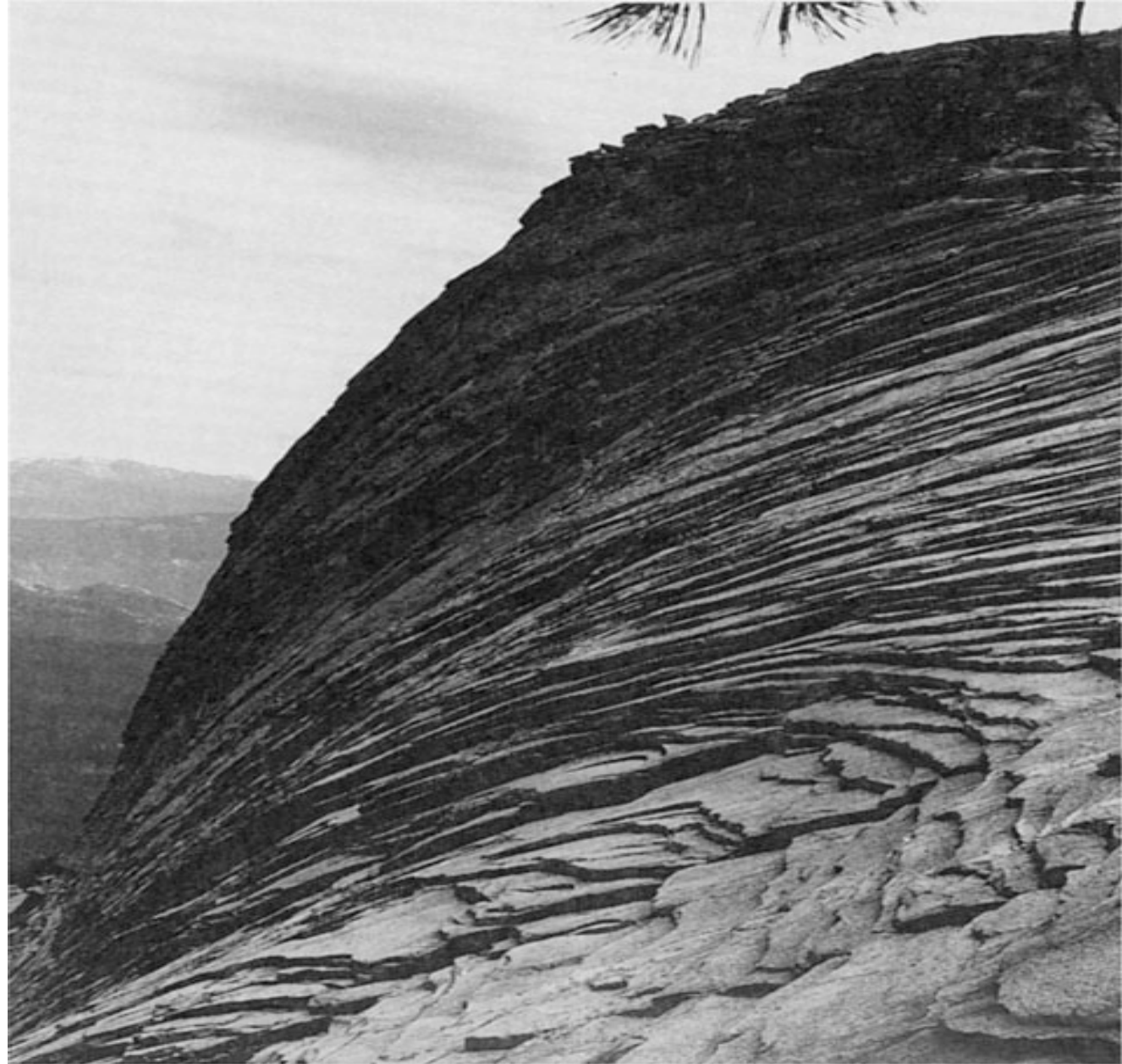
# Klüfte im Aufschluss



Rectangular joints in siltstone and black shale within the Utica Shale (Ordovician) near Fort Plain, New York.

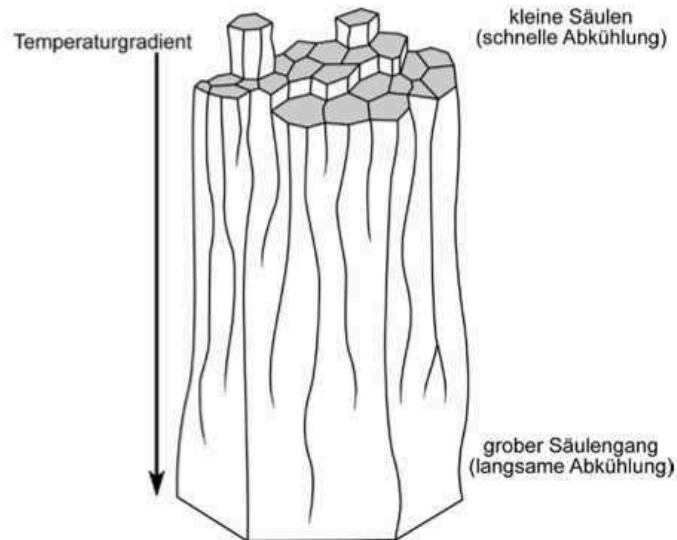


# Entlastungsklüfte

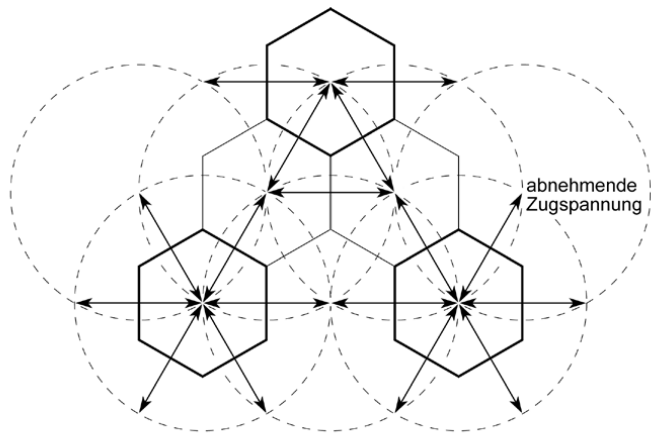


Twiss & Moores, 2007

# Abkühlungsklüfte



Skizzierte Vergrößerung eines säulenförmigen Klüftmusters  
nach Goehring & Morris 2005 *Europhys. Lett.* **69**(5) 739-745



Entwicklung eines sechseckigen Klüftmusters  
infolge des Dehnungszuges zu den gleichmäßig verteilten abgekühlten  
oder trockenen Zentralbereichen innerhalb eines homogenen Materials

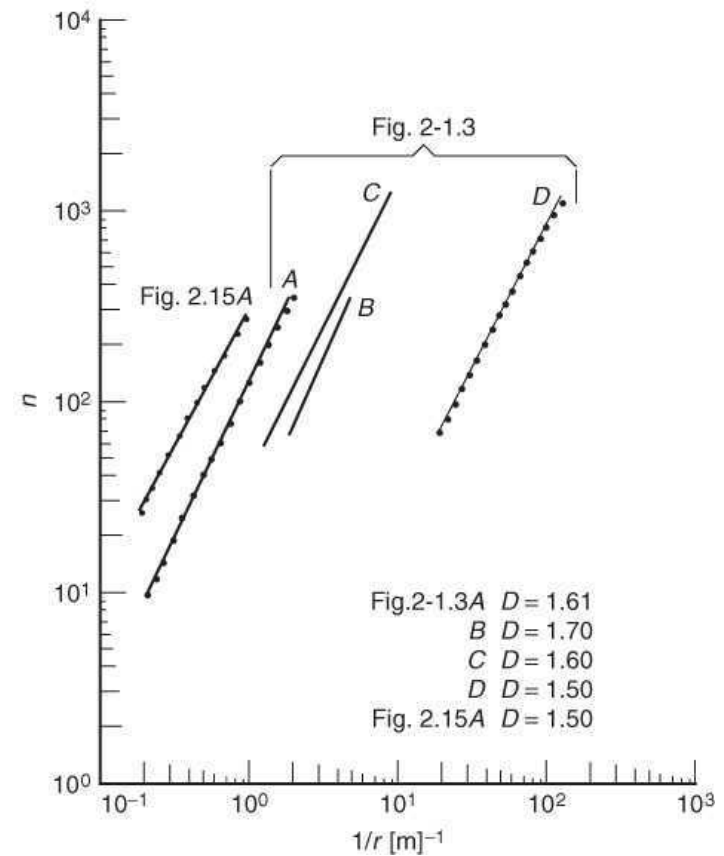
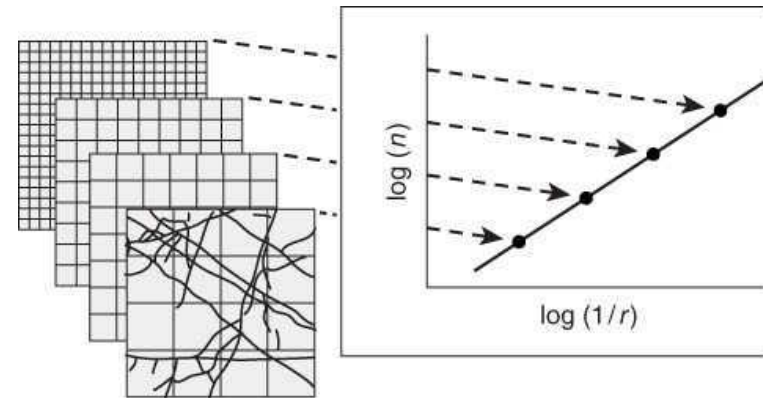
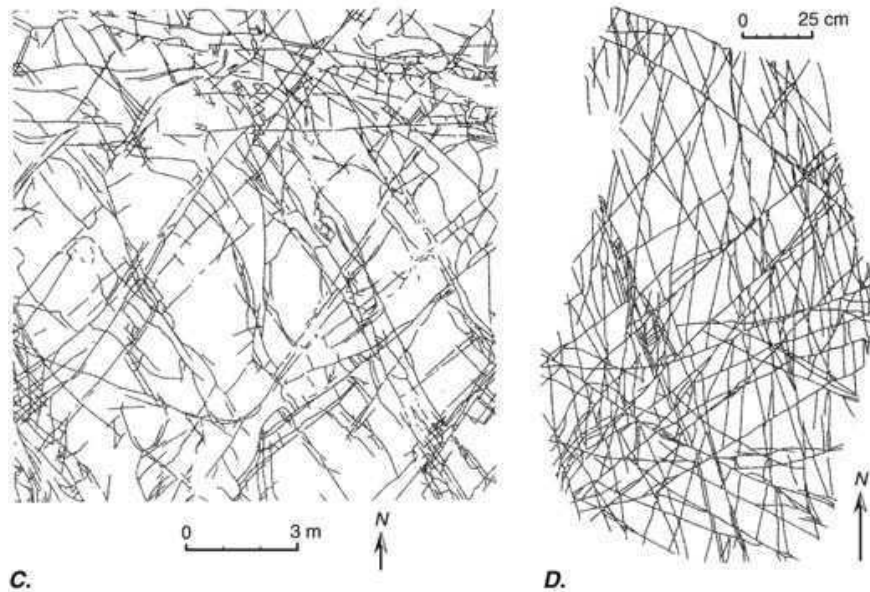
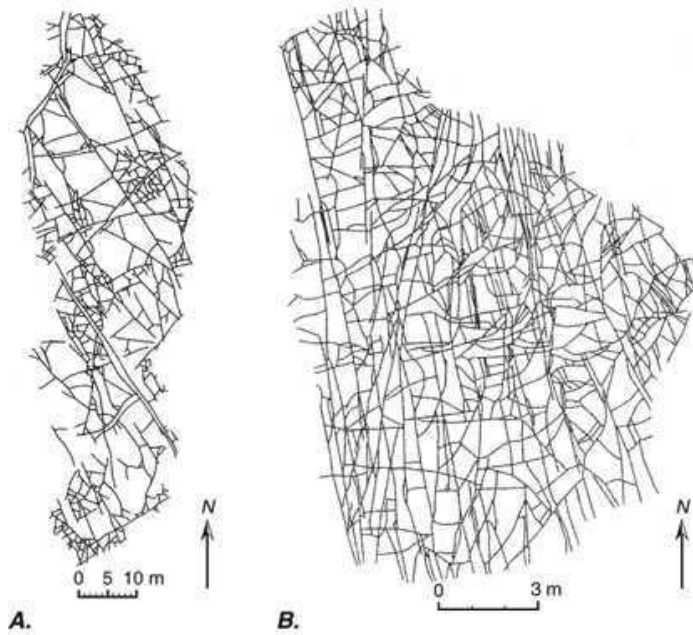


# Kluftsysteme im Appalachian Plateau

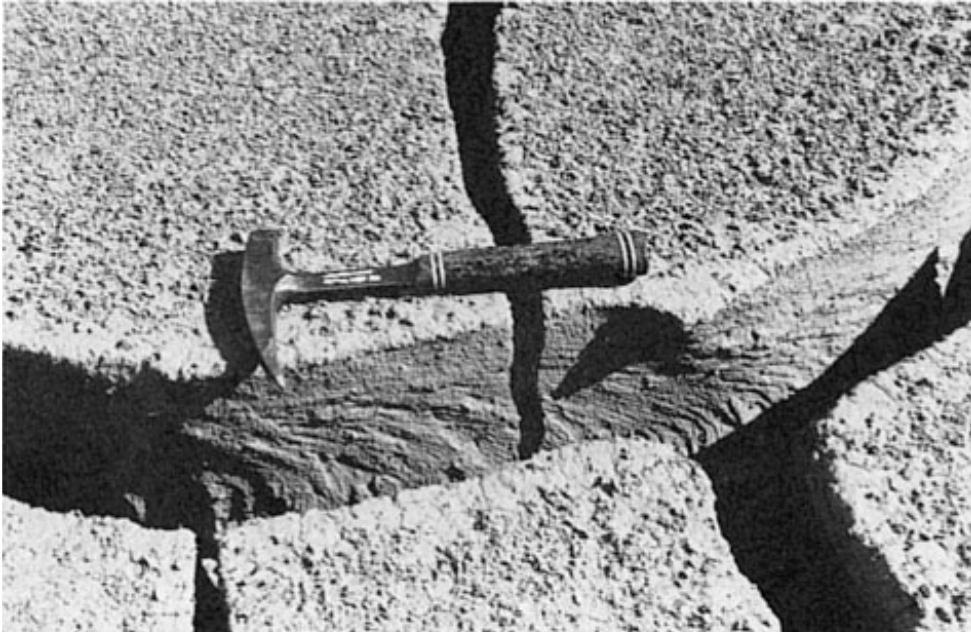




# Kluftmuster

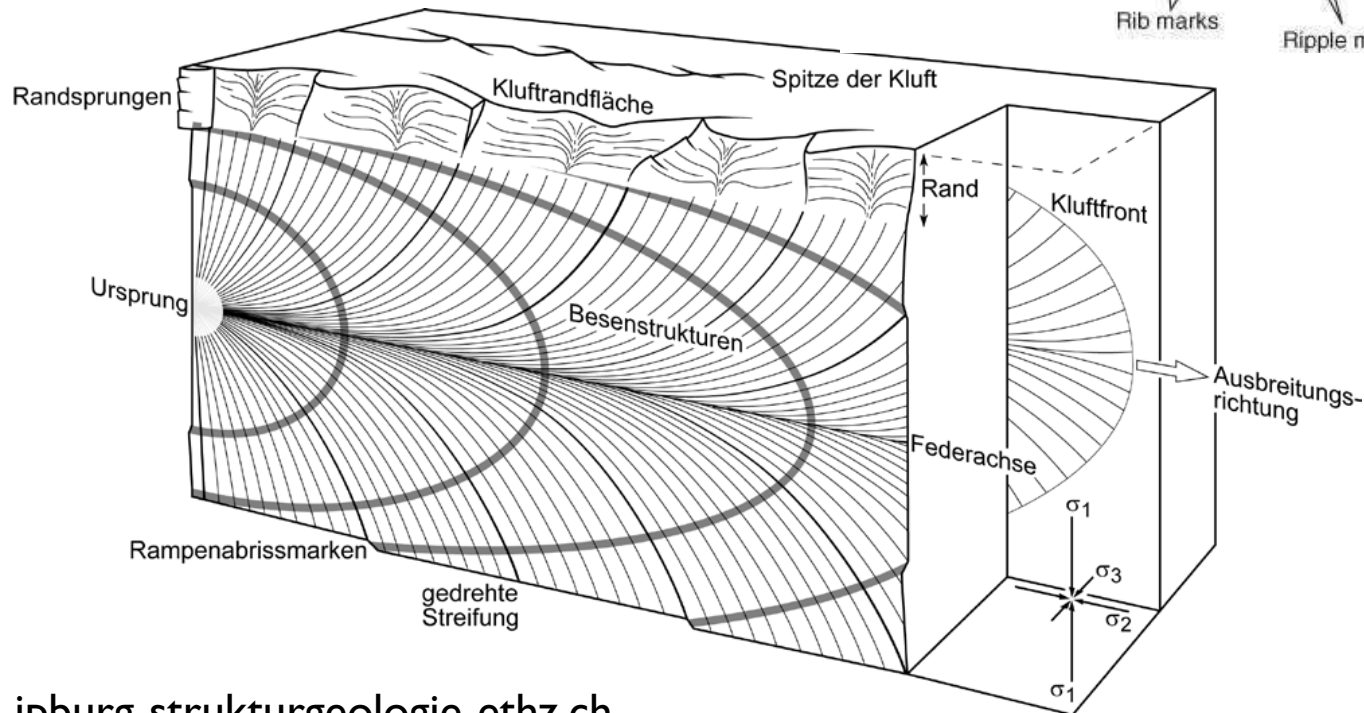
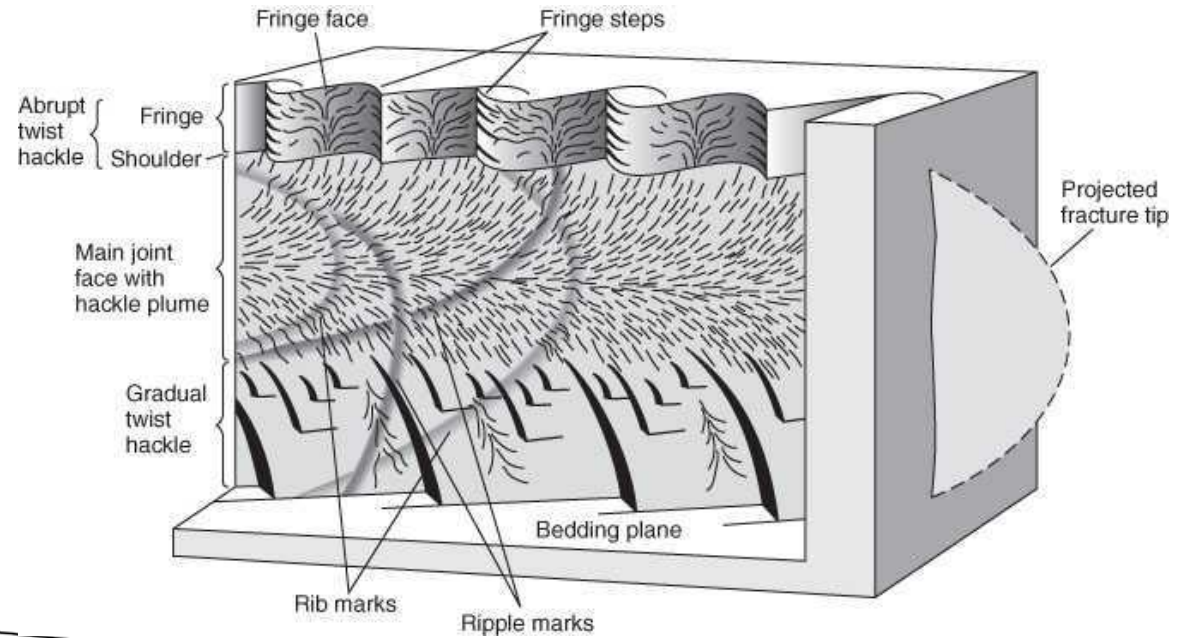


# Bruchoberflächen

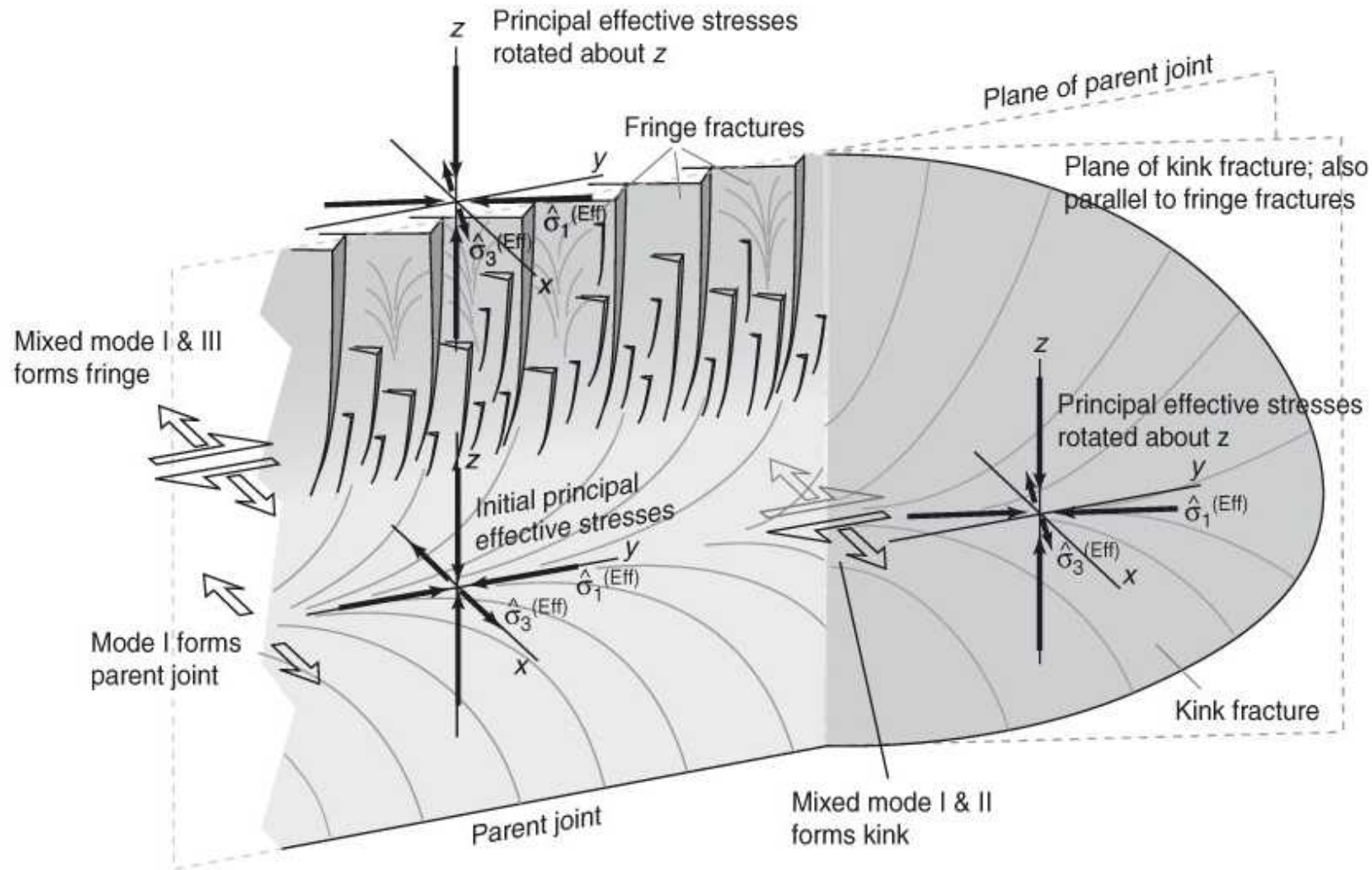




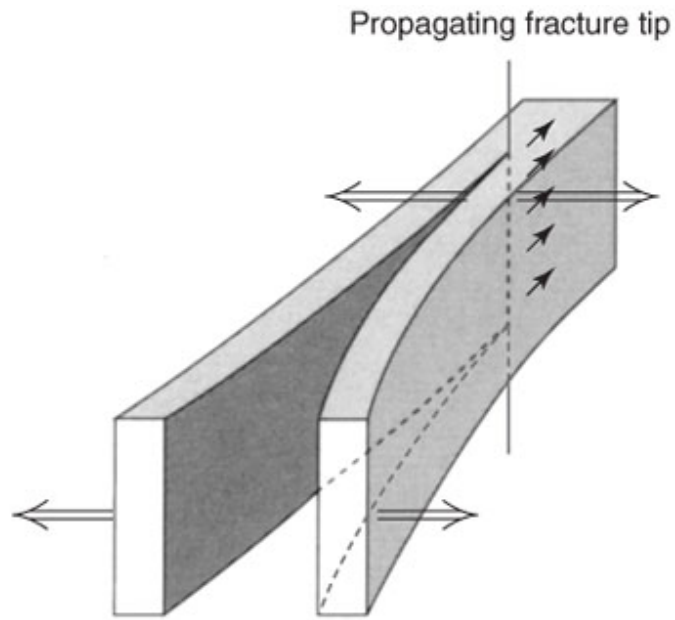
# Morphologie von Bruchflächen



# Bruchflächen im Spannungsfeld

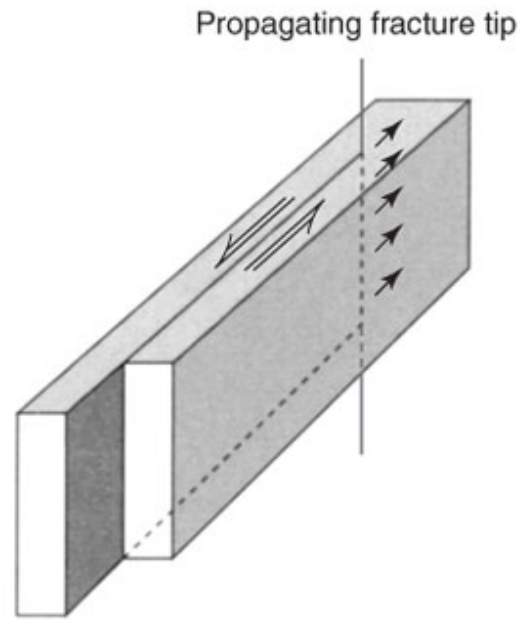


# Bruchbildung



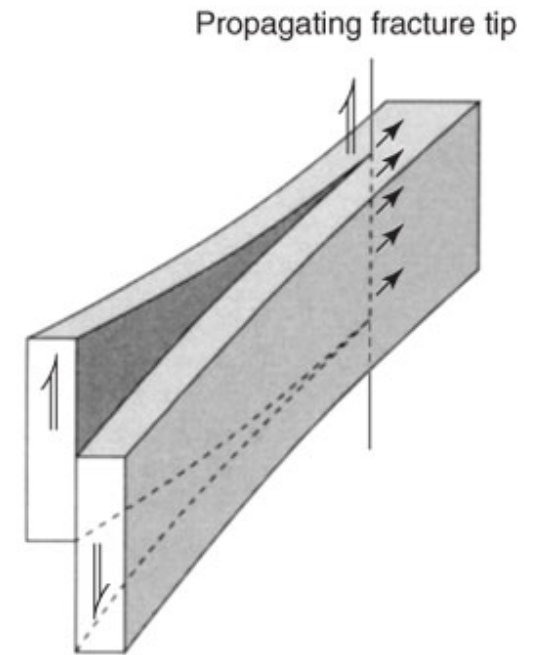
**A.** Extension (mode I propagation)

Extensionsbruch



**B.** Shear (mode II propagation)

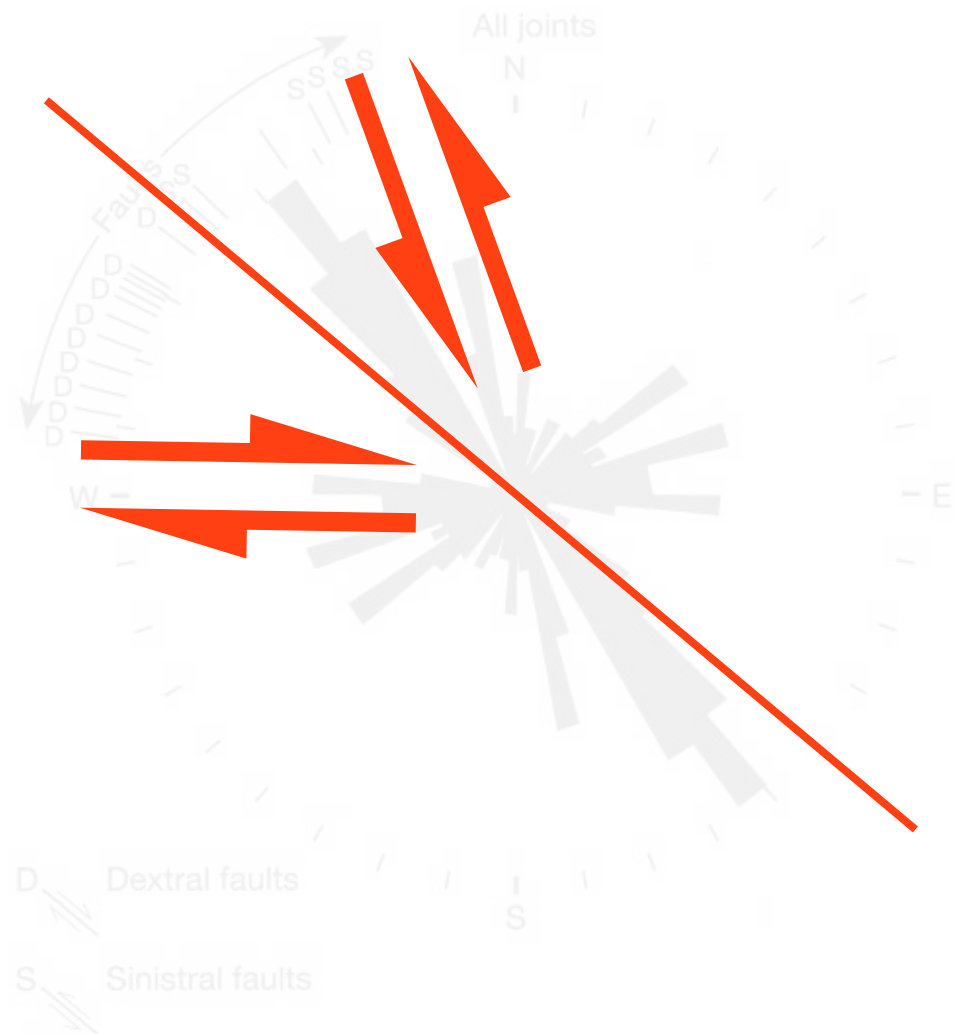
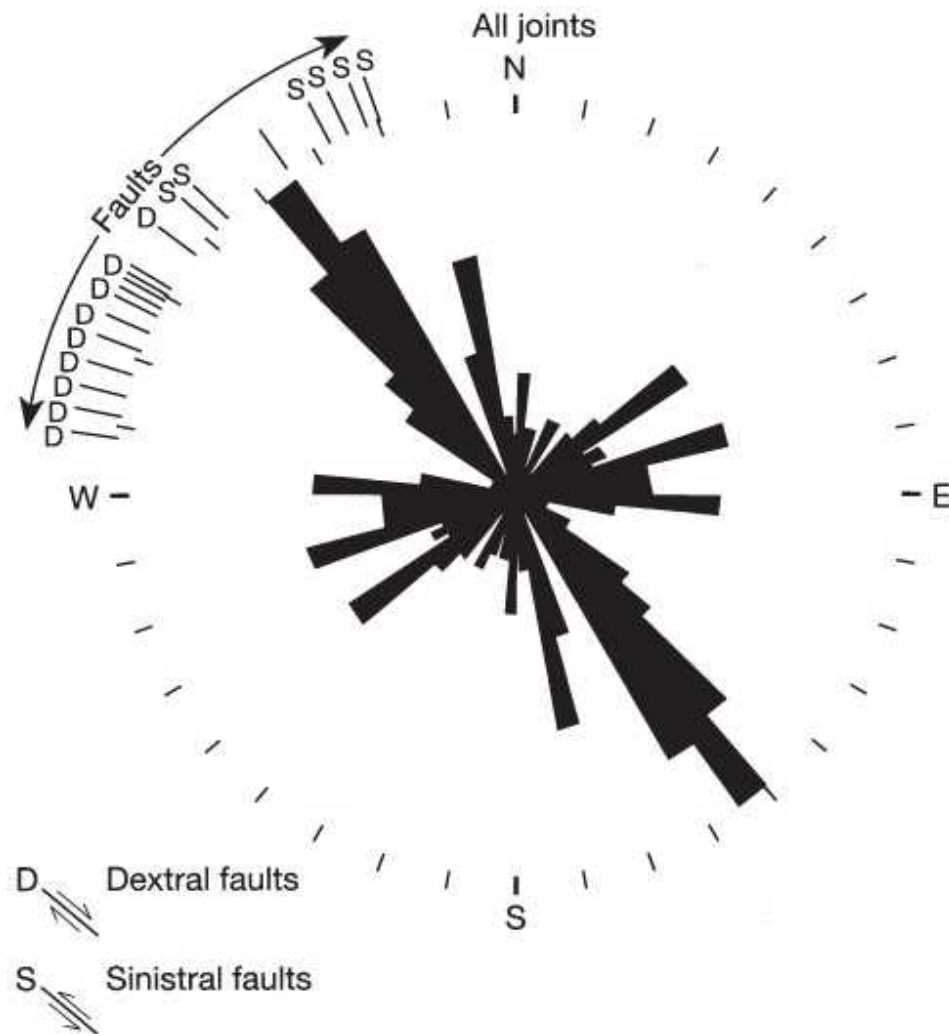
Scherbruch



**C.** Shear (mode III propagation)

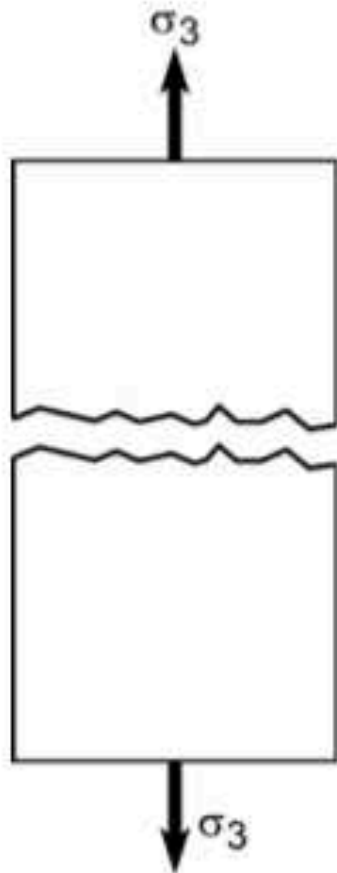
Scherbruch

# Orientierung von Klüften



# Brüche in Laborexperimenten

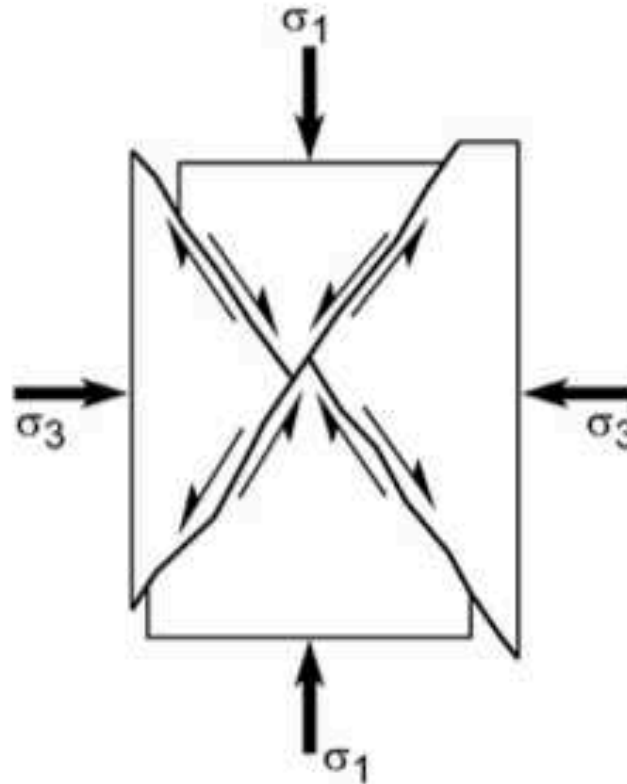
Extensionsbruch



Bruch durch Zug

$$\sigma_3 < 0$$

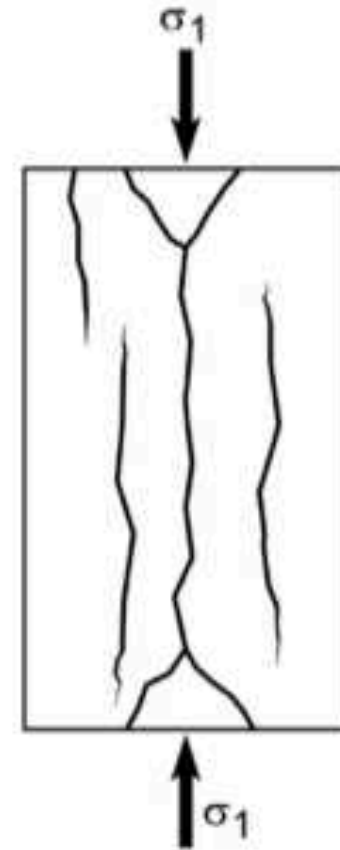
Scherbruch



konjugierte Scherbrüche  
beim  
Kompressionstest

$$\sigma_1 > \sigma_3 > 0$$

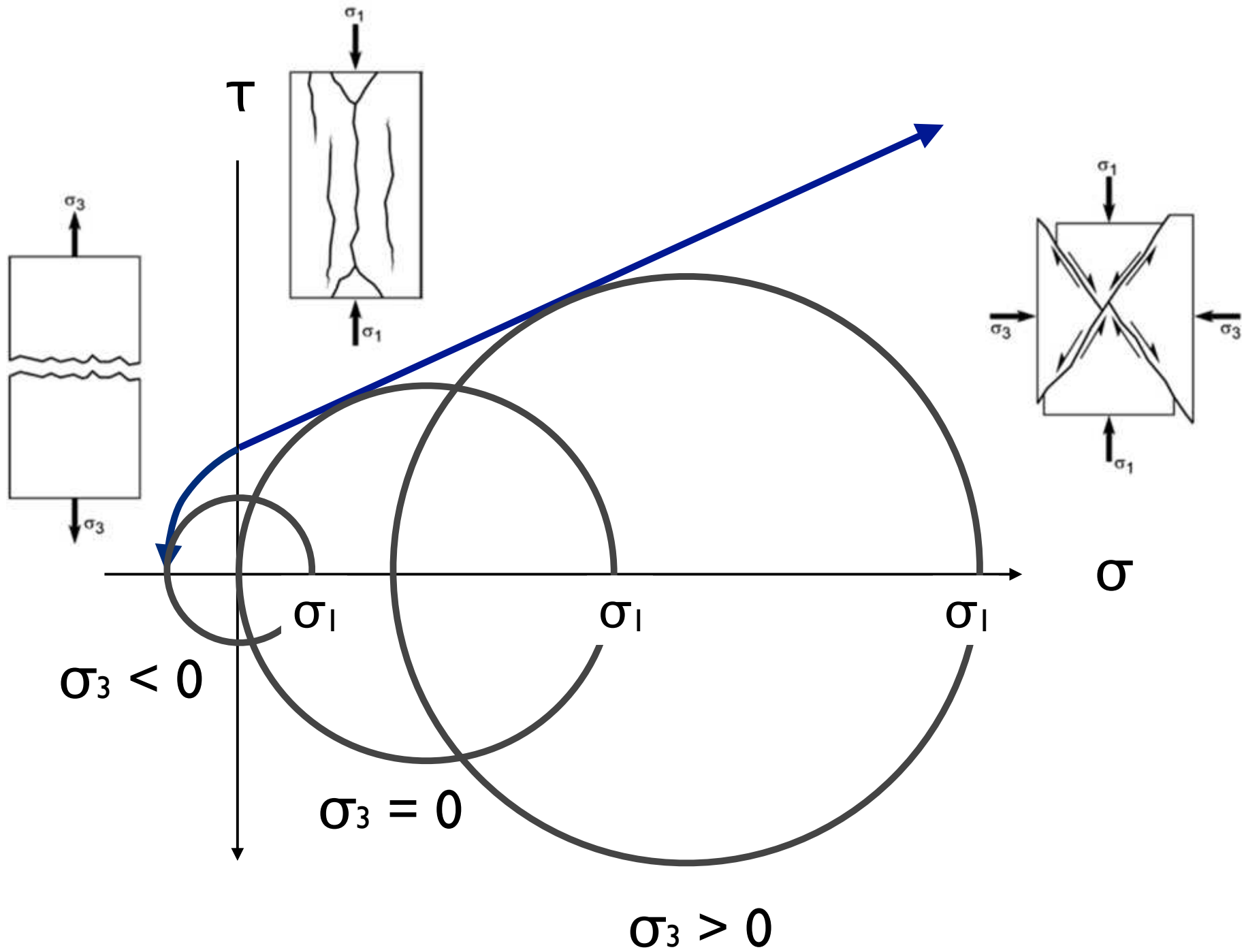
Extensionsbruch



Dehnungsbrüche  
bei niedrigem  
Umgebungsdruck

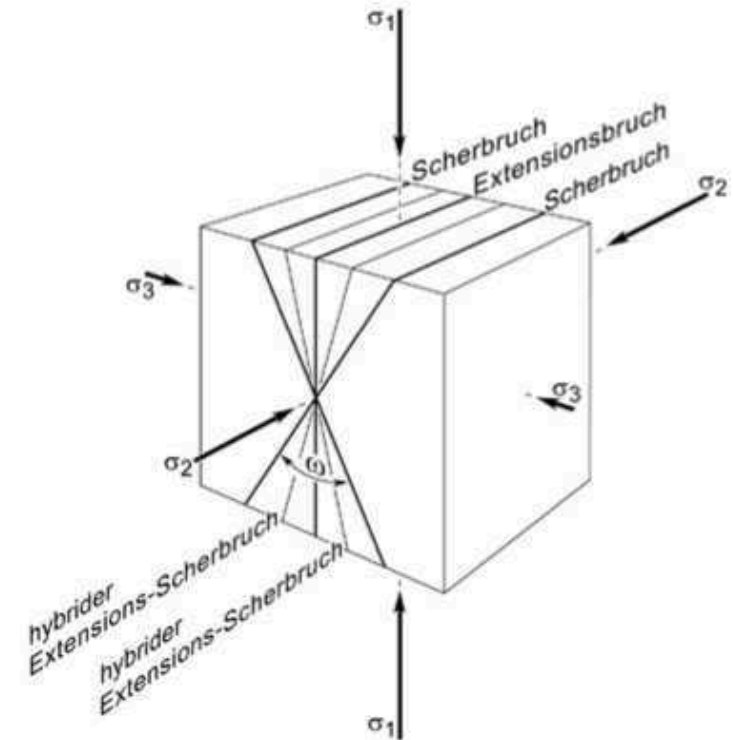
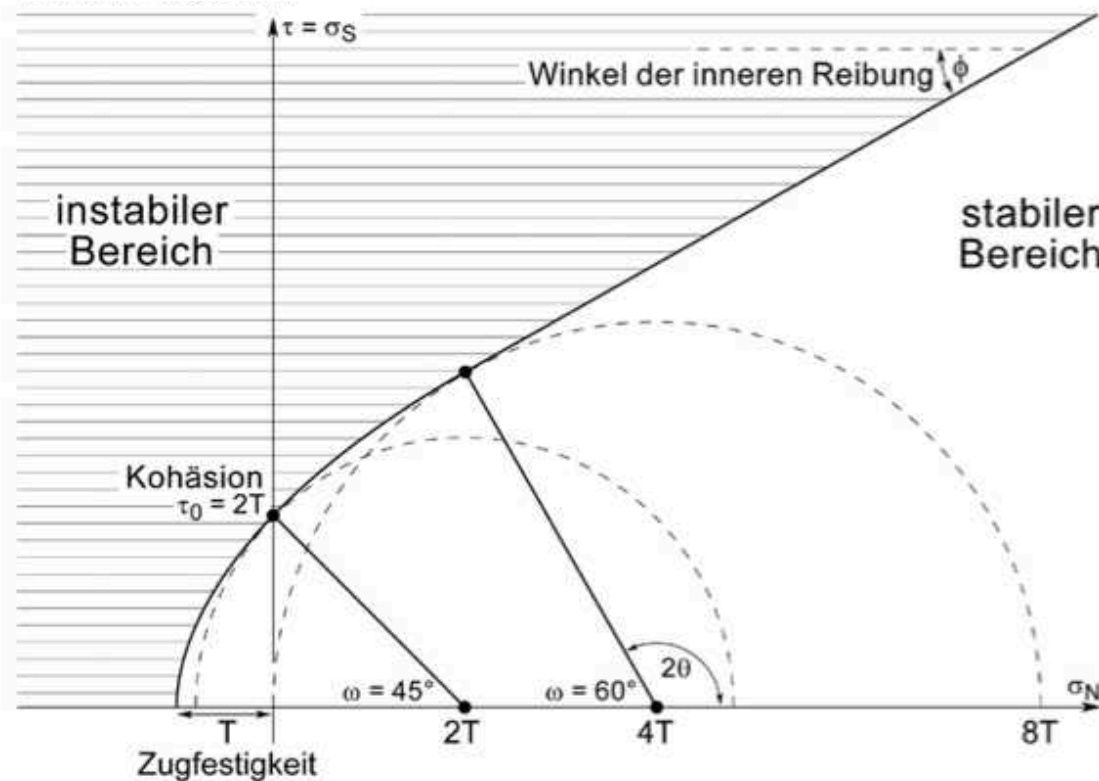
$$\sigma_3 = 0$$





# Typen von Brüchen

Bruch Modus	Klasse	$(\sigma_1 - \sigma_3)$	Öffnungswinkel
Dehnungsversagen	Extensionsbrüche	$<4T$	$0^\circ$
Hybride Scherbrüche	Scher-Extensionsbrüche	$4T - 8T$	bis $60^\circ$
Scherbrüche	Kompressionelle Scherbrüche	$>8T$	$>60^\circ$



# Elastizitätsgrenze

Fig. 8.

Kurven gleicher bleibender Dehnung bei Marmor  
in der Mohrschen Darstellung.

Elastizitätsgrenze des Marmors in der Mohrschen Darstellung.

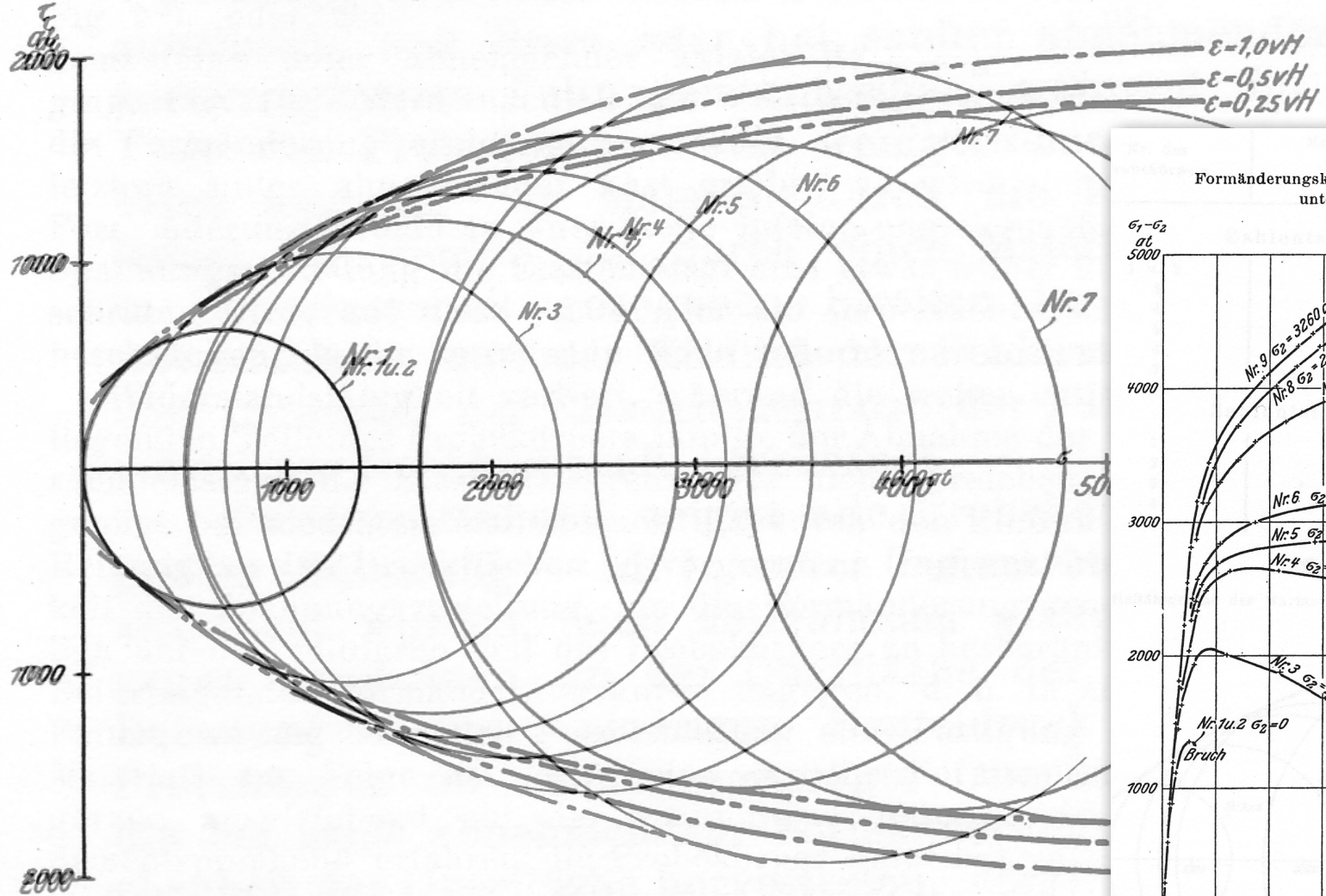
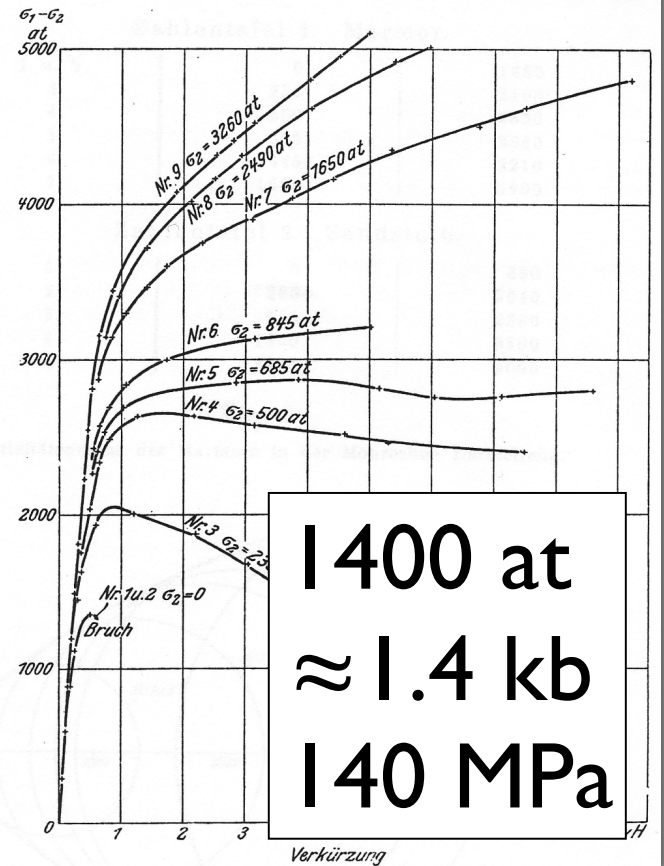


Fig. 5.

Formänderungskurve des Marmors beim Versuch unter allseitigem Druck.



**1400 at**  
**≈ 1.4 kb**  
**140 MPa**

	Density $\text{kg m}^{-3}$	$E$ $10^{11} \text{ Pa}$	$G$ $10^{11} \text{ Pa}$	$\nu$	$k$ $\text{W m}^{-1} \text{ }^\circ\text{K}^{-1}$	$\alpha$ $10^{-5} \text{ }^\circ\text{K}^{-1}$
<b>Sedimentary</b>						
Shale	2100–2700	0.1–0.3	0.14		1.2–3	
Sandstone	2200–2700	0.1–0.6	<b>= 60-80 GPa</b>		1.5–4.2	3
Limestone	2200–2800	0.6–0.8			2–3.4	2.4
Dolomite	2200–2800	0.5–0.9			3.2–5	
Marble	2200–2800	0.3–0.9	0.2–0.35	0.1–0.4	2.5–3	
<b>Metamorphic</b>						
Gneiss	2,700	0.04–0.7	0.1–0.35	0.04–0.15	2.1–4.2	
Amphibole	3,000		0.5–1.0	0.4	2.5–3.8	
<b>Igneous</b>						
Basalt	2,950	0.6–0.8	0.3	0.25	1.3–2.9	
Granite	2,650	0.4–0.7	0.2–0.3	0.1–0.25	2.4–3.8	2.4
Diabase	2,900	0.8–1.1	0.3–0.45	0.25	1.7–2.5	
Gabbro	2,950	0.6–1.0	0.2–0.35	0.15–0.2	1.9–2.3	1.6
Diorite	2,800	0.6–0.8	0.3–0.35		2.8–3.6	
Pyroxenite	3,250				4.1–5	
Anorthosite	2,750	0.83	0.35	0.25	1.7–2.1	
Granodiorite	2,700				2.6–3.5	
<b>Mantle</b>						
Peridotite	3,250				2.3–3	
Dunite	3,250	1.4–1.6	0.6–0.7		3.7–4.6	
<b>Miscellaneous</b>						
Halite			0.3	0.15	5.4–7.2	
Ice			0.092	0.033	2.2	

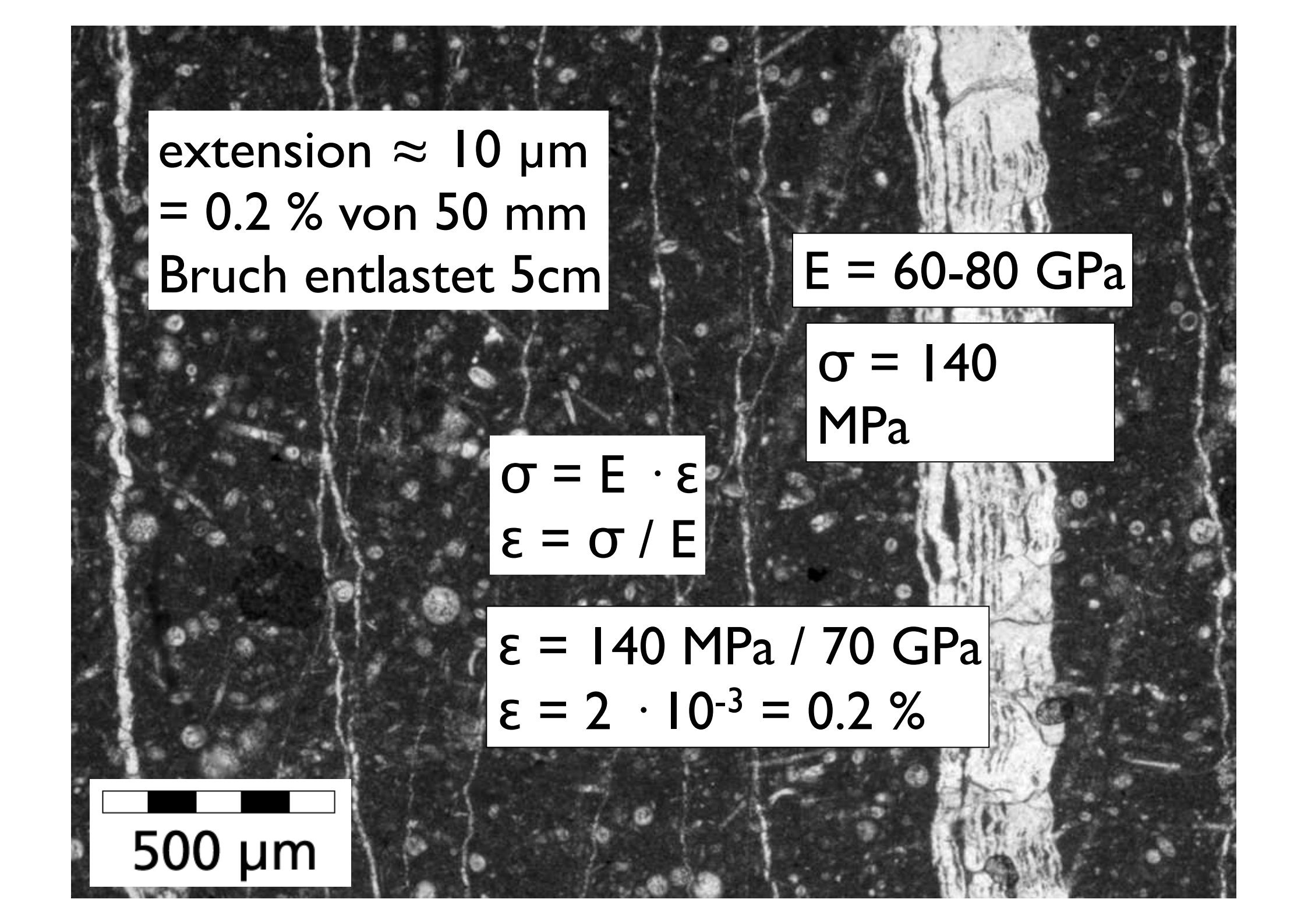
**1400 at  
 $\approx 1.4 \text{ kb}$   
140 MPa**

# Extensionsbrüche



gefüllte Klüfte: Adern





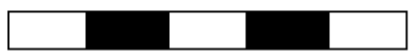
extension  $\approx 10 \mu\text{m}$   
= 0.2 % von 50 mm  
Bruch entlastet 5cm

$$E = 60-80 \text{ GPa}$$

$$\sigma = 140 \text{ MPa}$$

$$\sigma = E \cdot \varepsilon$$
$$\varepsilon = \sigma / E$$

$$\varepsilon = 140 \text{ MPa} / 70 \text{ GPa}$$
$$\varepsilon = 2 \cdot 10^{-3} = 0.2 \%$$



500  $\mu\text{m}$

4

# 4 Bruchsysteme - Stereonetz - Verwerfungen

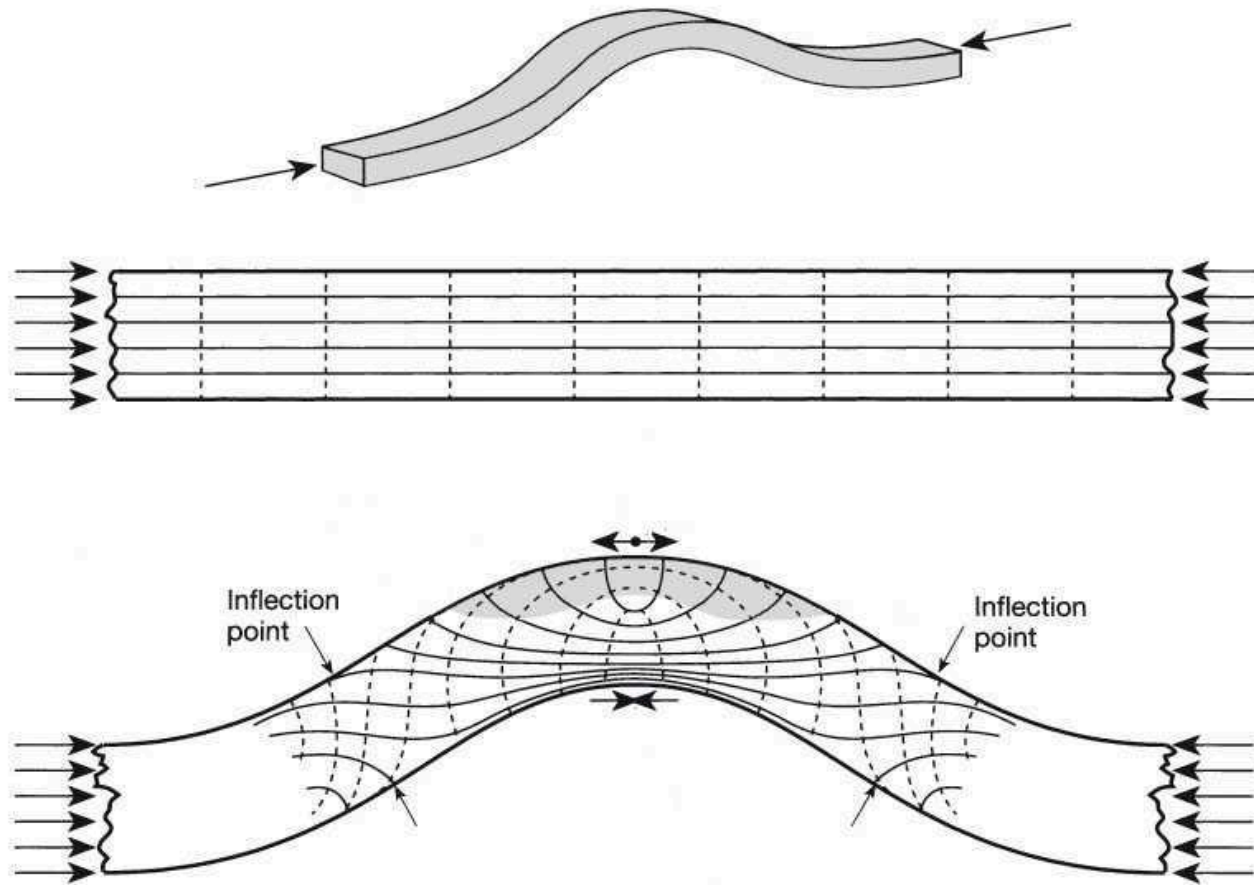
- VL-Themen:
- Brüche - Bruchsysteme
  - Stereonetz
  - Verwerfungen
  - fault zones
  - assoziierte Strukturen (displacement markers)
  - Abschiebung (normal faults)
  - Auf-/Überschiebung (reverse faults, thrusts)
  - Strike-slip Verwerfungen

# Klüfte assoziiert mit Biegung

Earth's surface is free surface:  $\tau = 0$

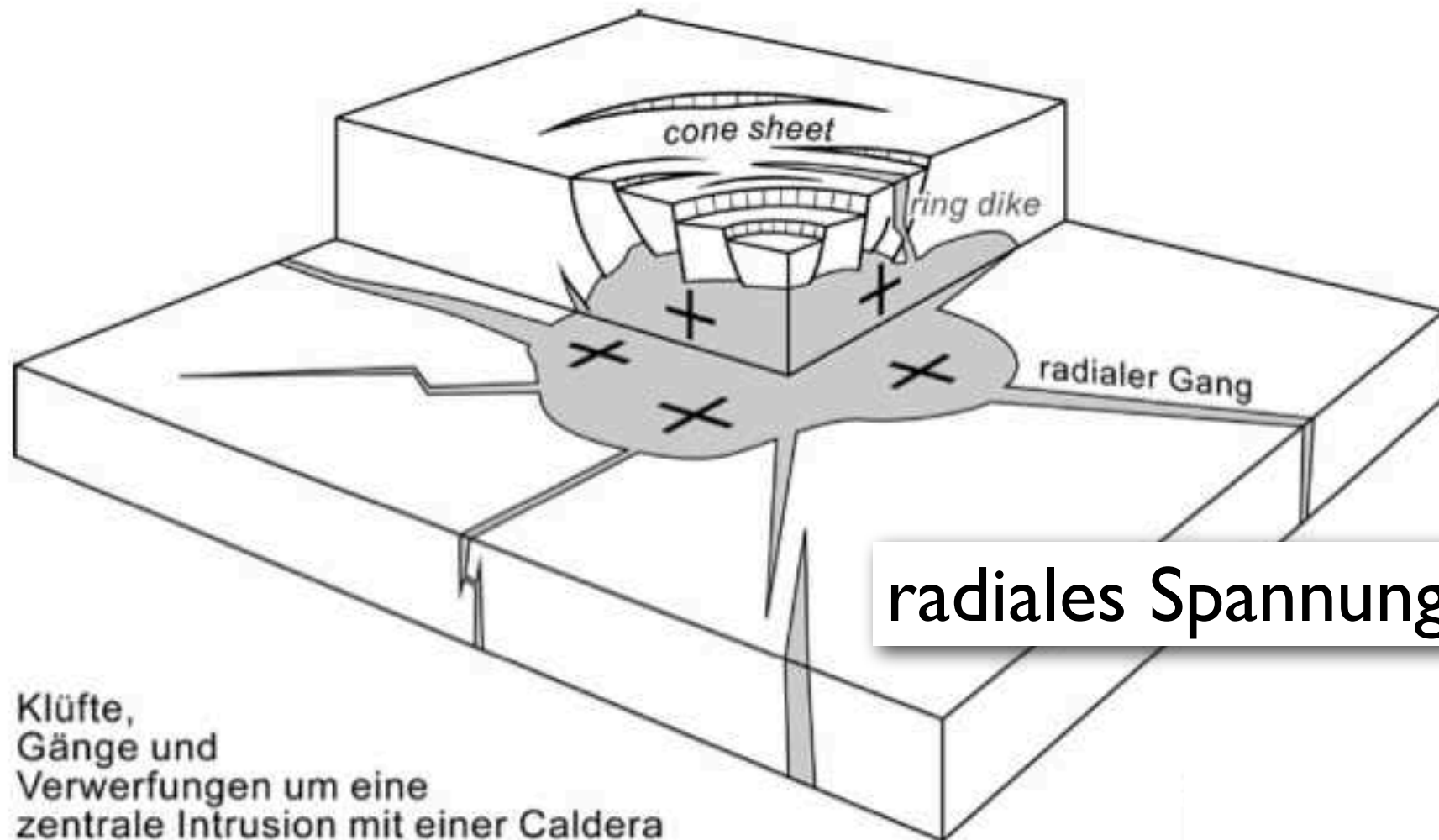
$\sigma_1, \sigma_2, \sigma_3$  are parallel and perpendicular

Angle of failure is  $30^\circ$  w/r to  $\sigma_1$



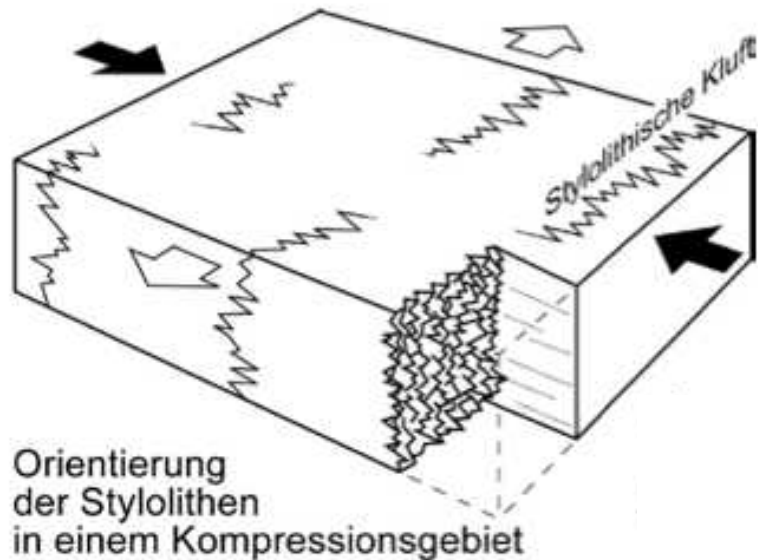
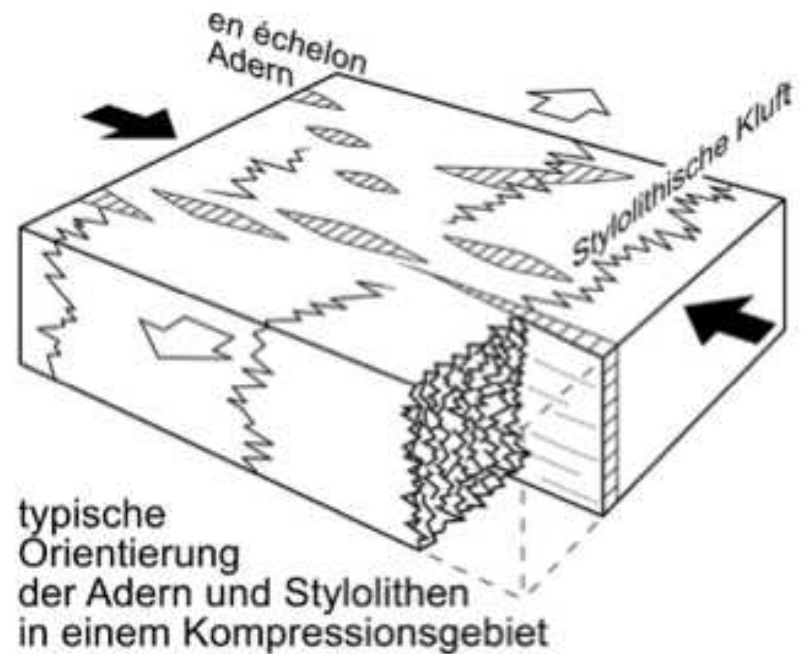
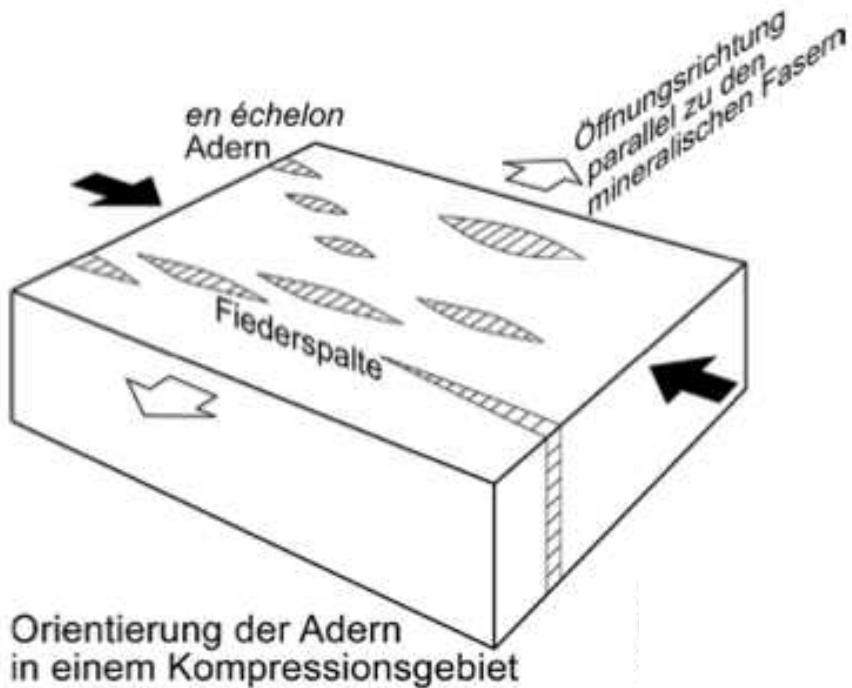
elastische Biegung

# Klüfte assoziiert mit Intrusivkörper





# "Antiklüfte": Stylolithen

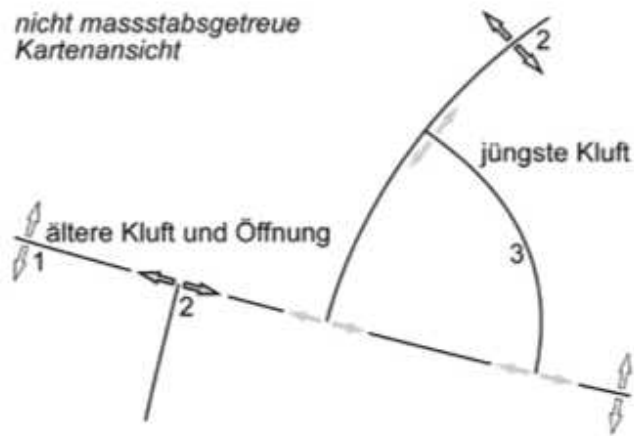


# Klüfte und "Antiklüfte"



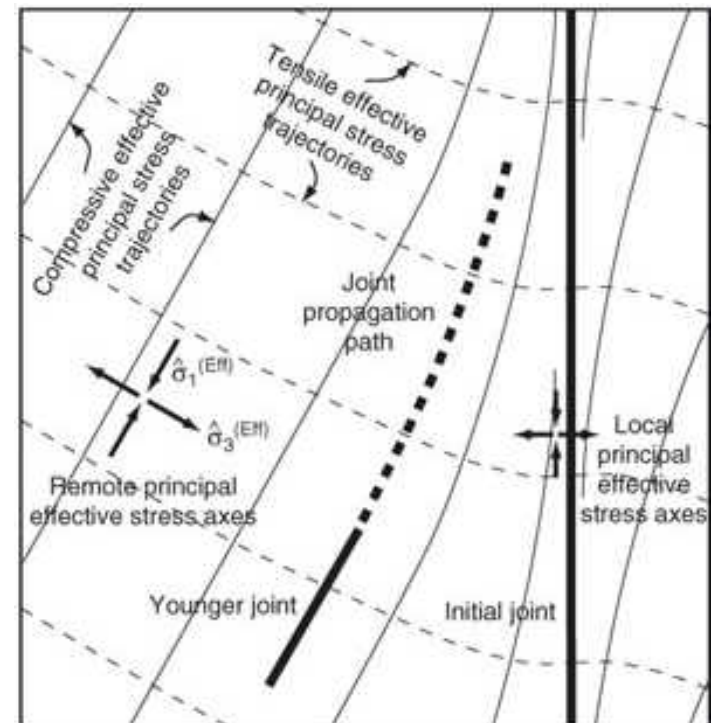
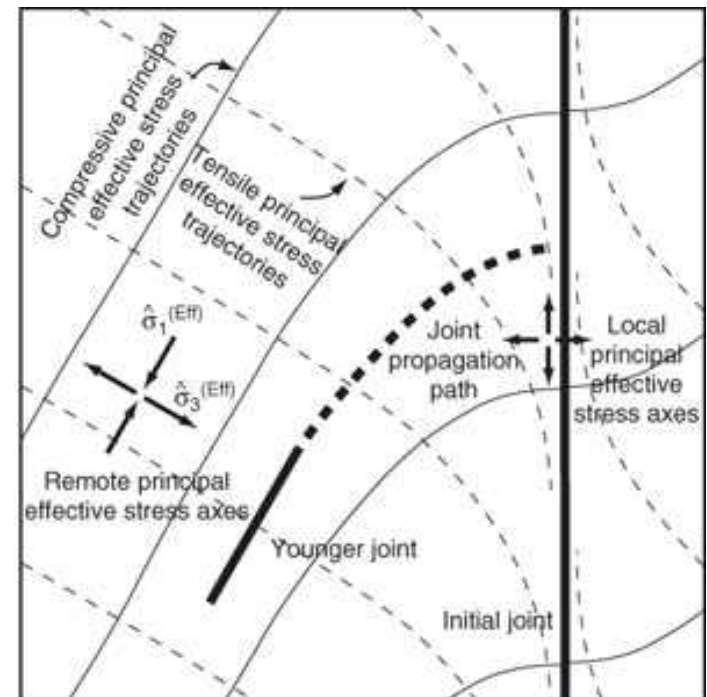
# Zeitliche Abfolge

nicht massstabsgetreue  
Kartenansicht



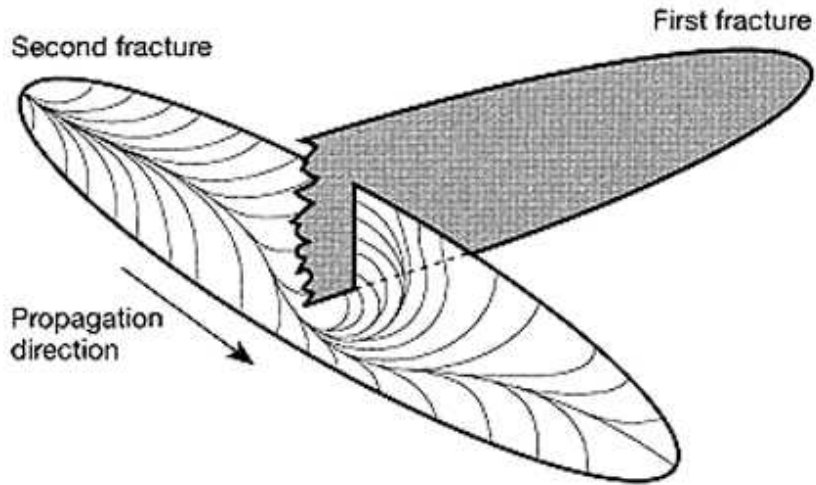
Stossende Verhältnisse zwischen Kluftgenerationen  
mit Spannungsstörung in der Nähe von bereits existierenden Klüften

[jpburg-strukturgeologie-ethz.ch](http://jpburg-strukturgeologie-ethz.ch)



# Zeitliche Abfolge

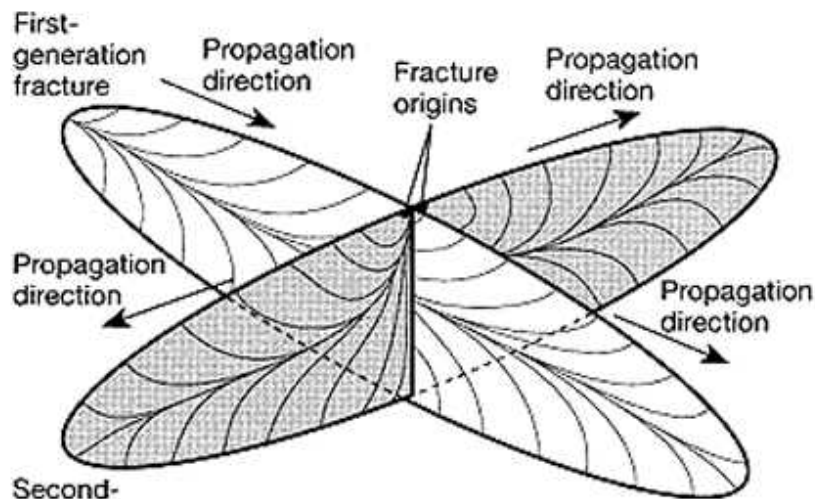
2



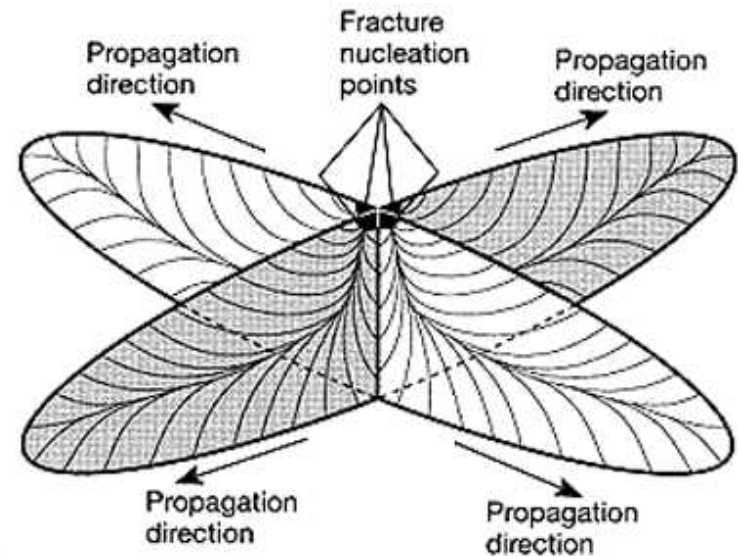
1

gleichzeitig

1

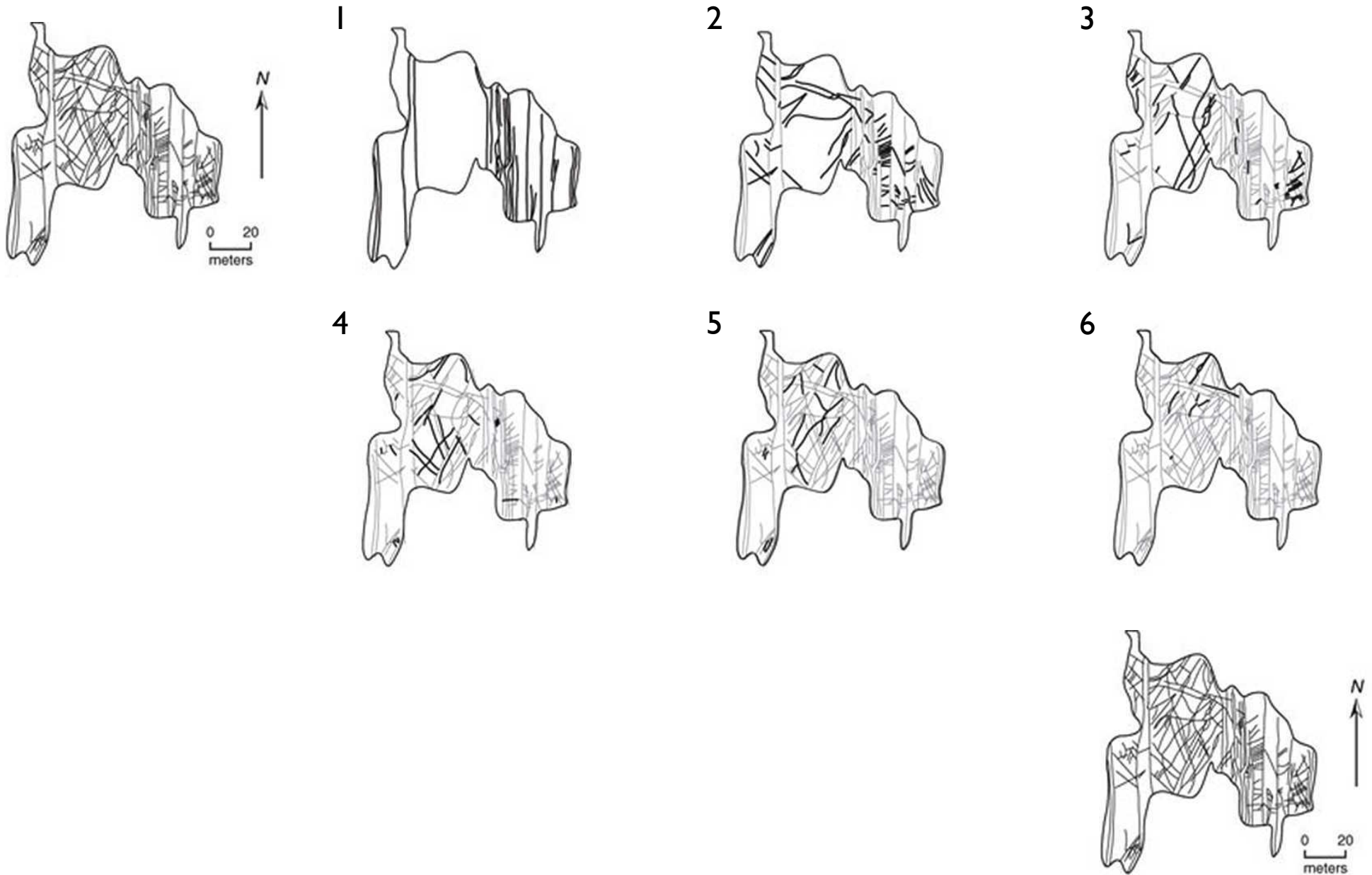


2



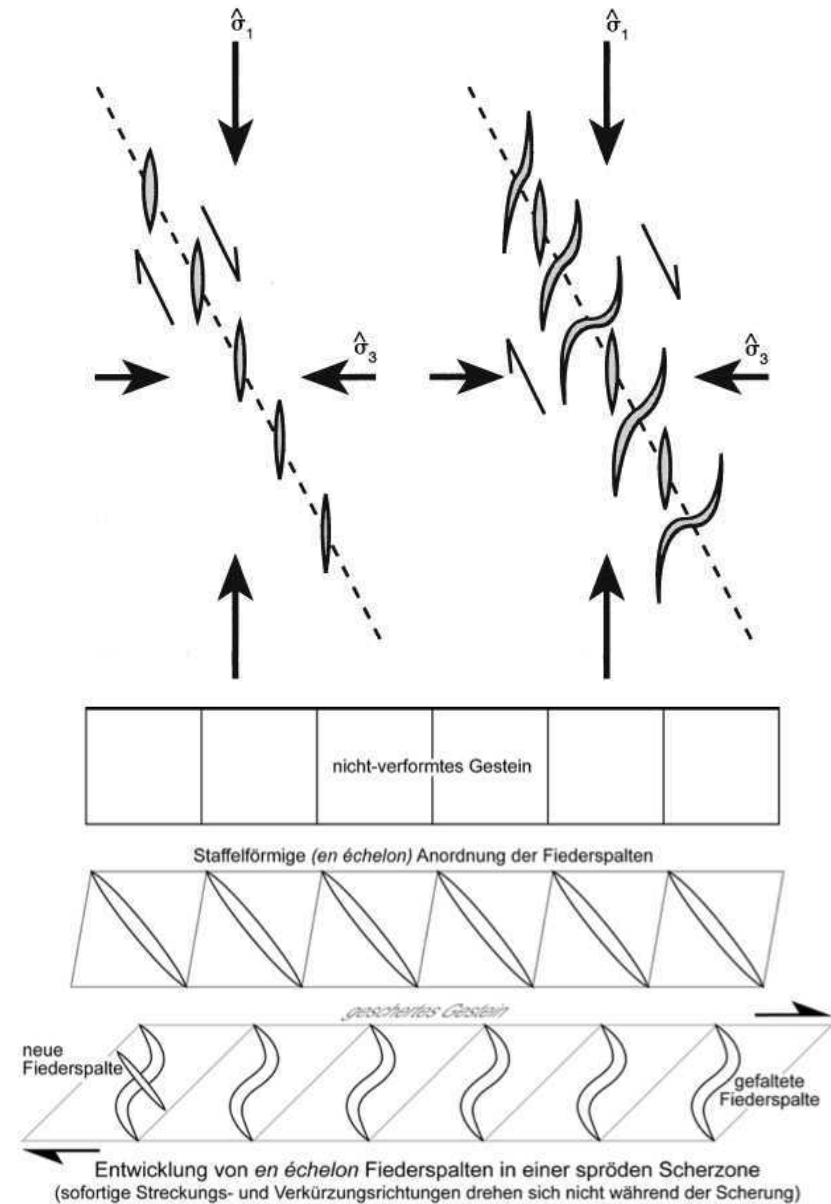
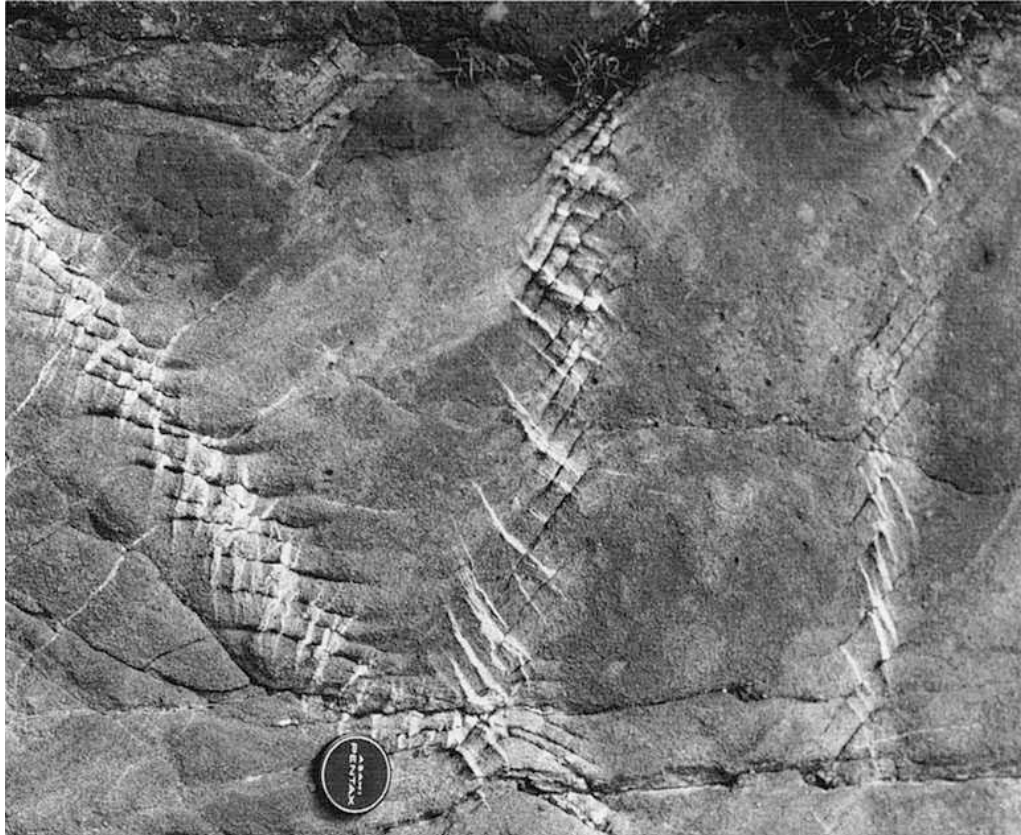


# Zeitliche Abfolge in Bruchsystemen

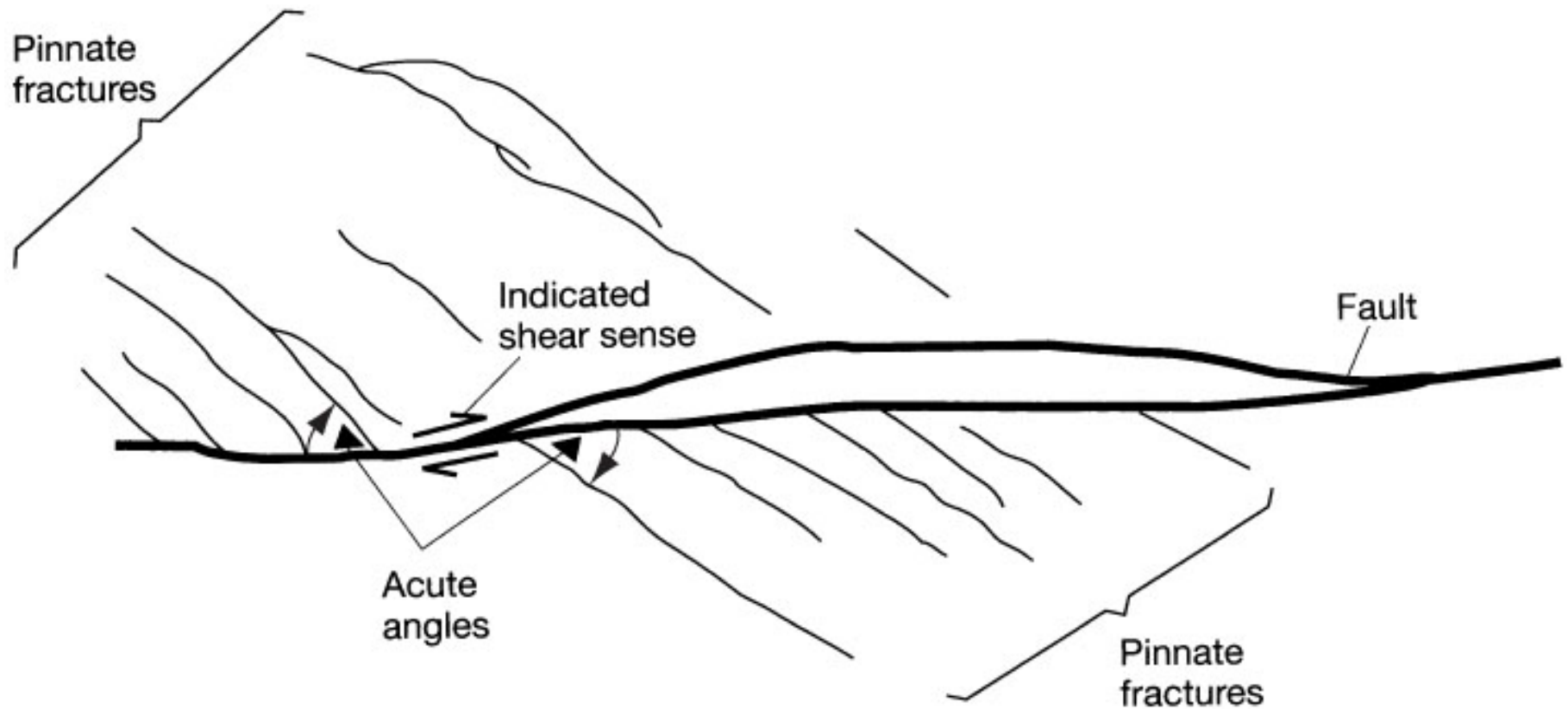




# Fiederklüfte en echelon



# Klüfte assoziiert mit Verwerfung

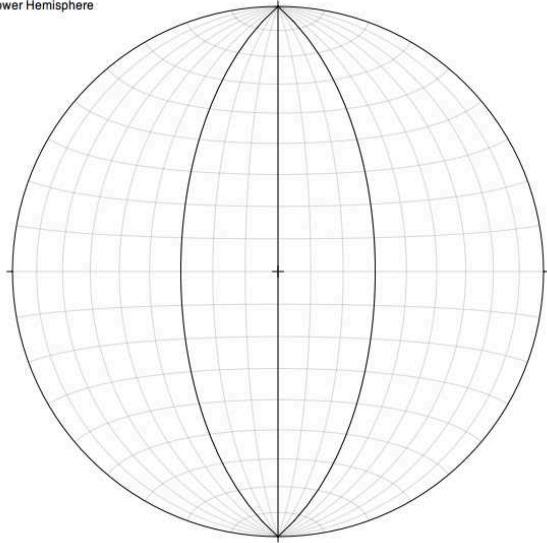


feather fractures

**Stereonetz**

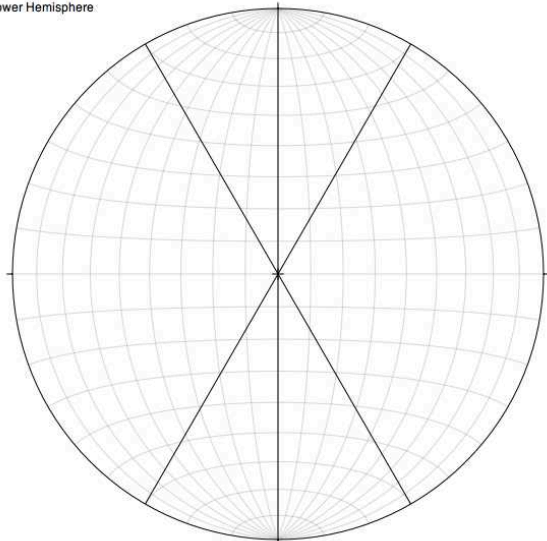
# Klüfte und Brüche im Stereonetz

Equal Area  
Lower Hemisphere



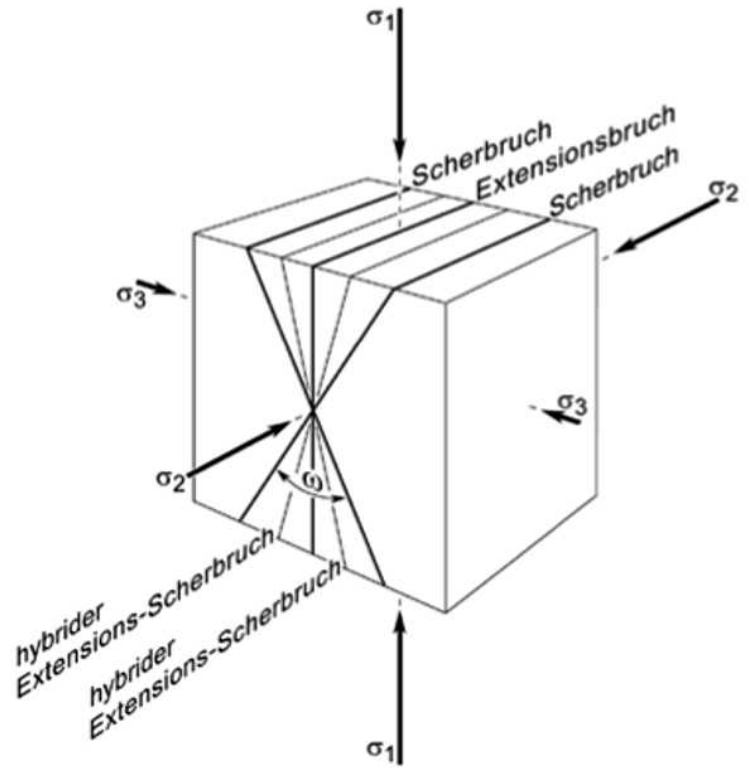
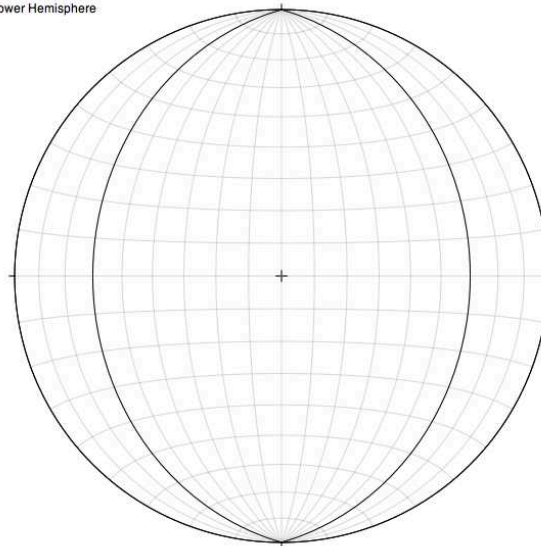
N=3

Equal Area  
Lower Hemisphere



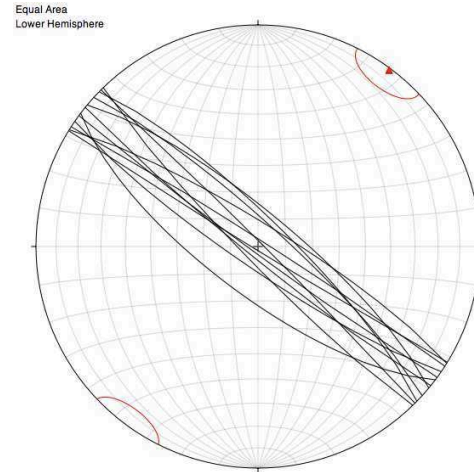
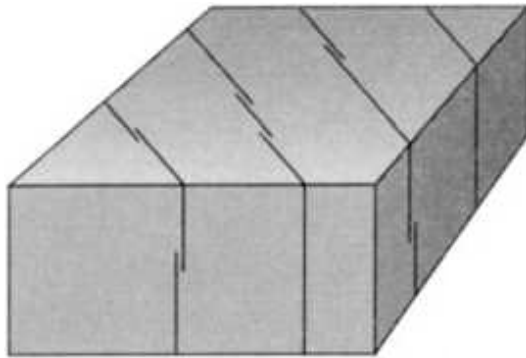
N=3

Equal Area  
Lower Hemisphere



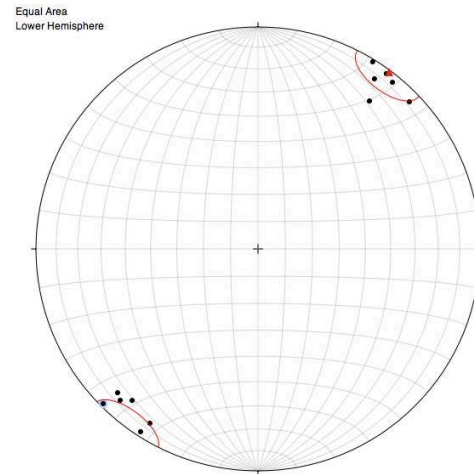
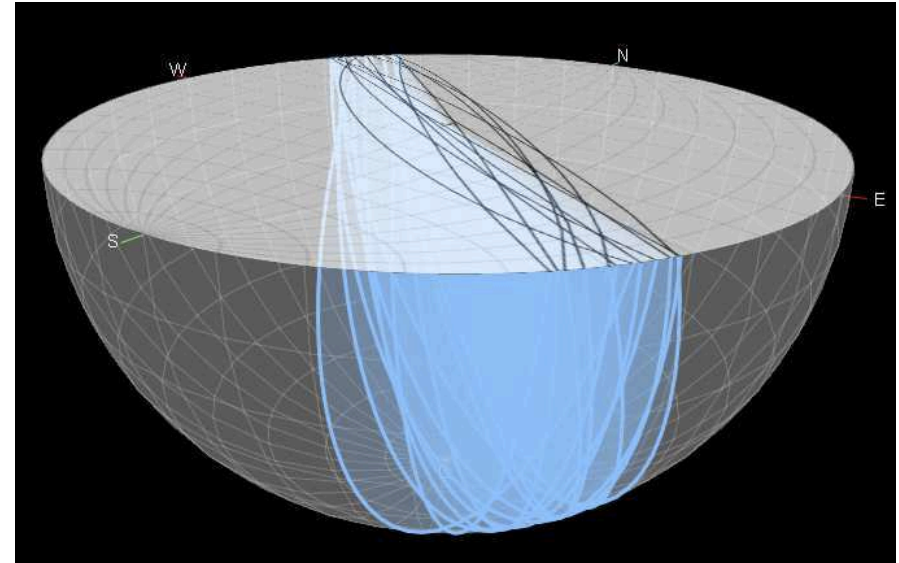
Fallazimuth / Fallen  
051 / 34 (XXX/XX)  
Streichen / Fallen  
N141E / 34 E

# Klüfte und Brüche im Stereonetz



N = 12

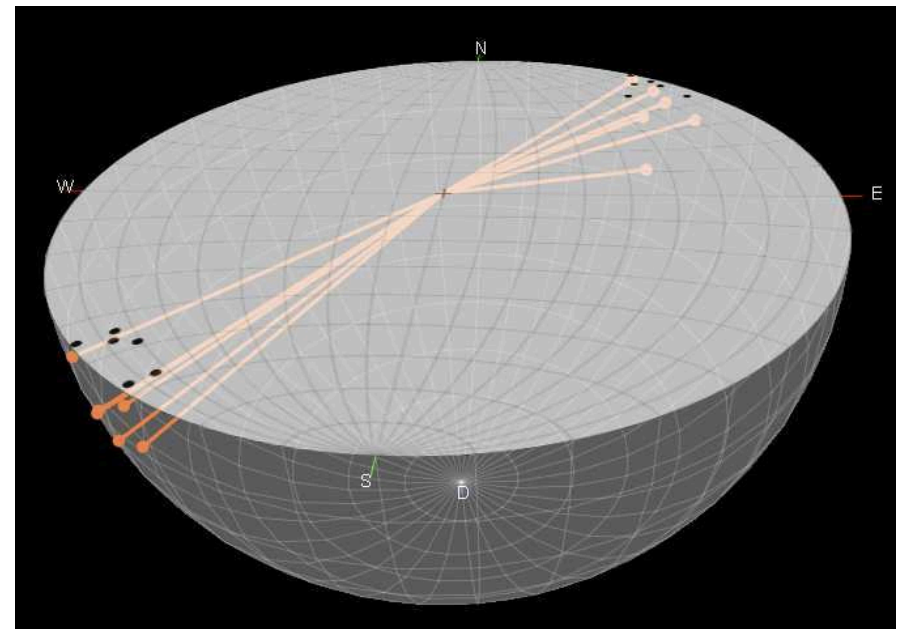
## Flächen



Trend = 315, Plunge = 15

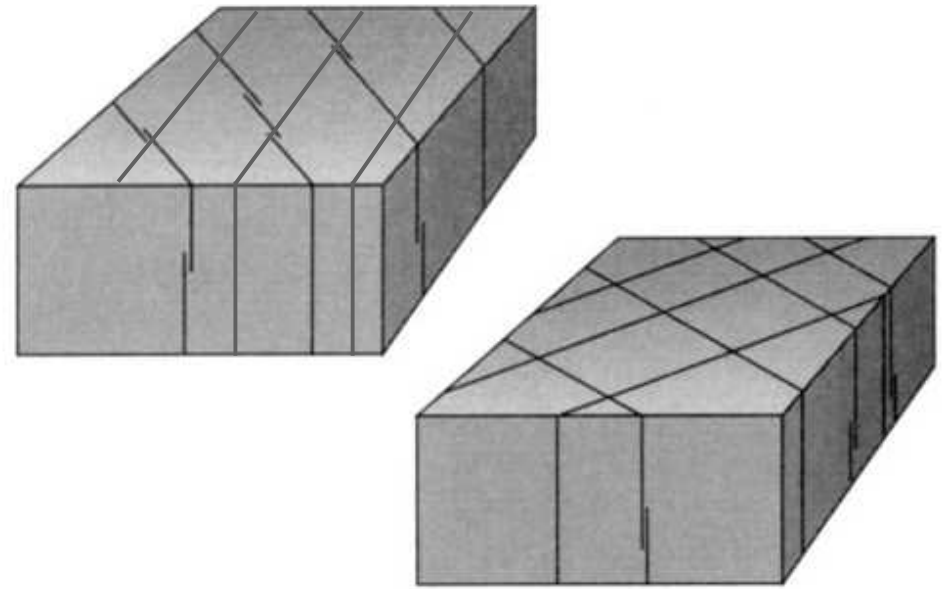
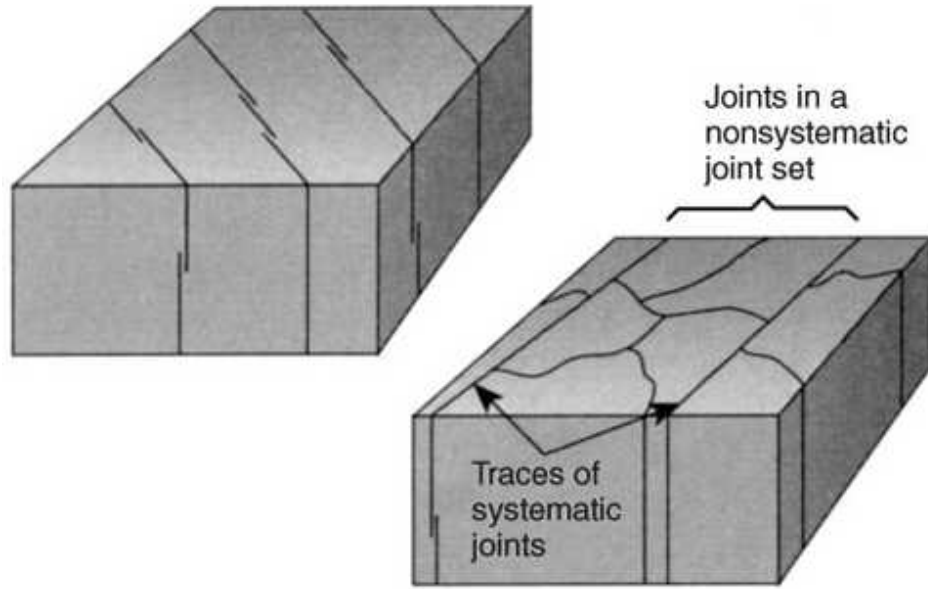
N = 12

## Flächenpole

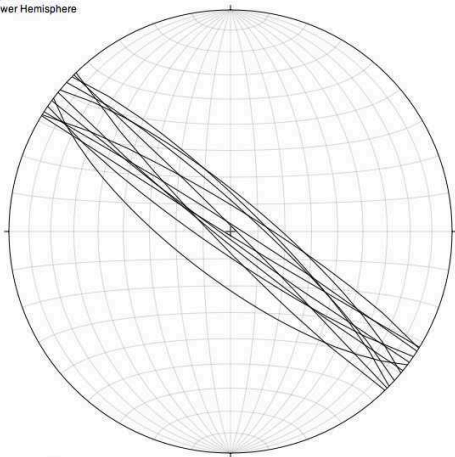




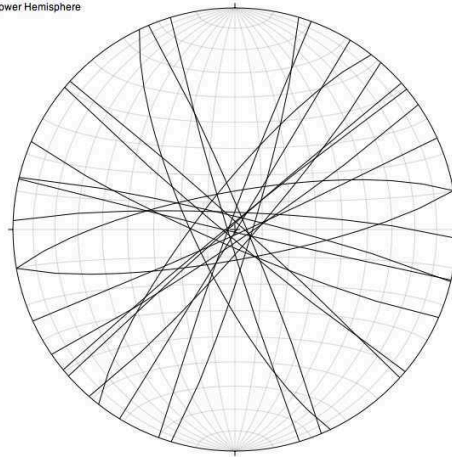
# Klüfte und Brüche im Stereonetz



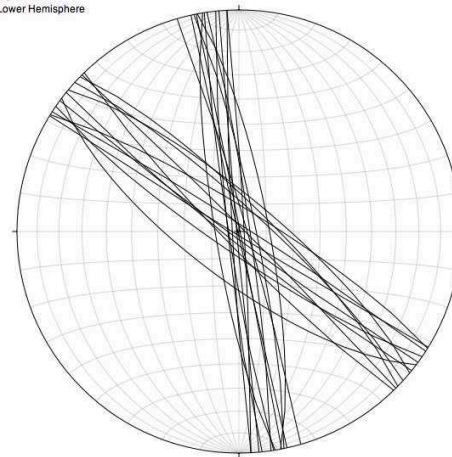
Equal Area  
Lower Hemisphere



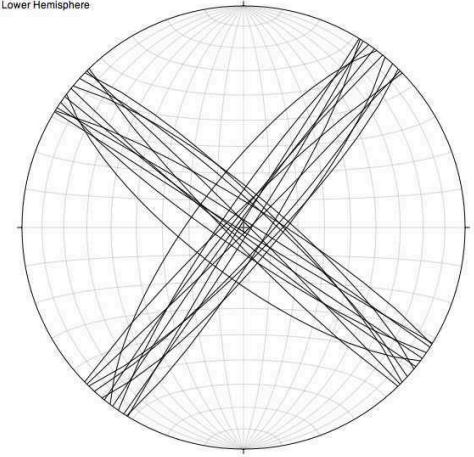
Equal Area  
Lower Hemisphere



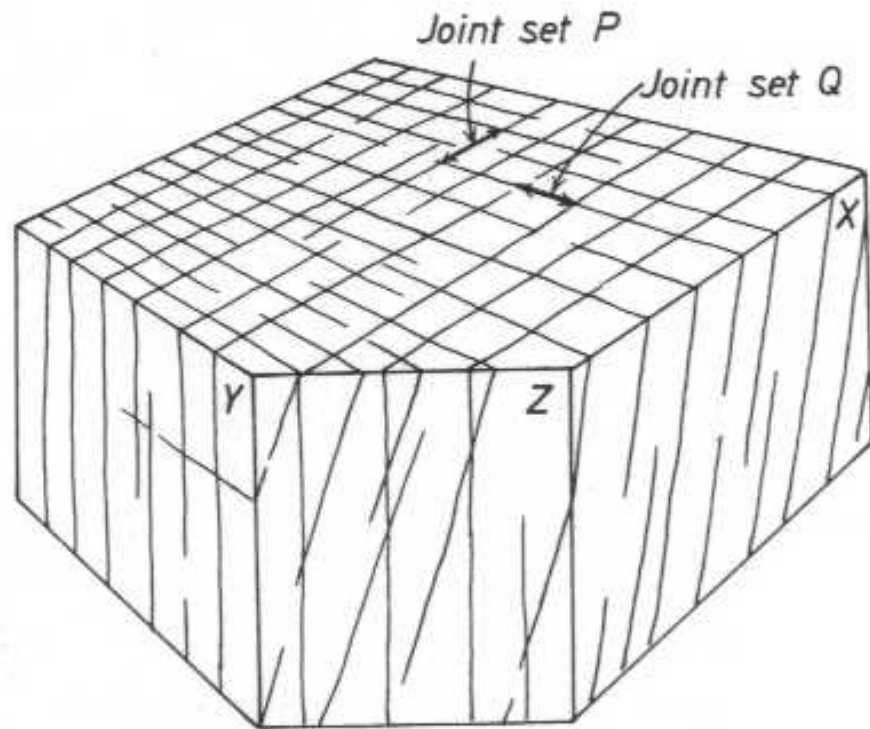
Equal Area  
Lower Hemisphere



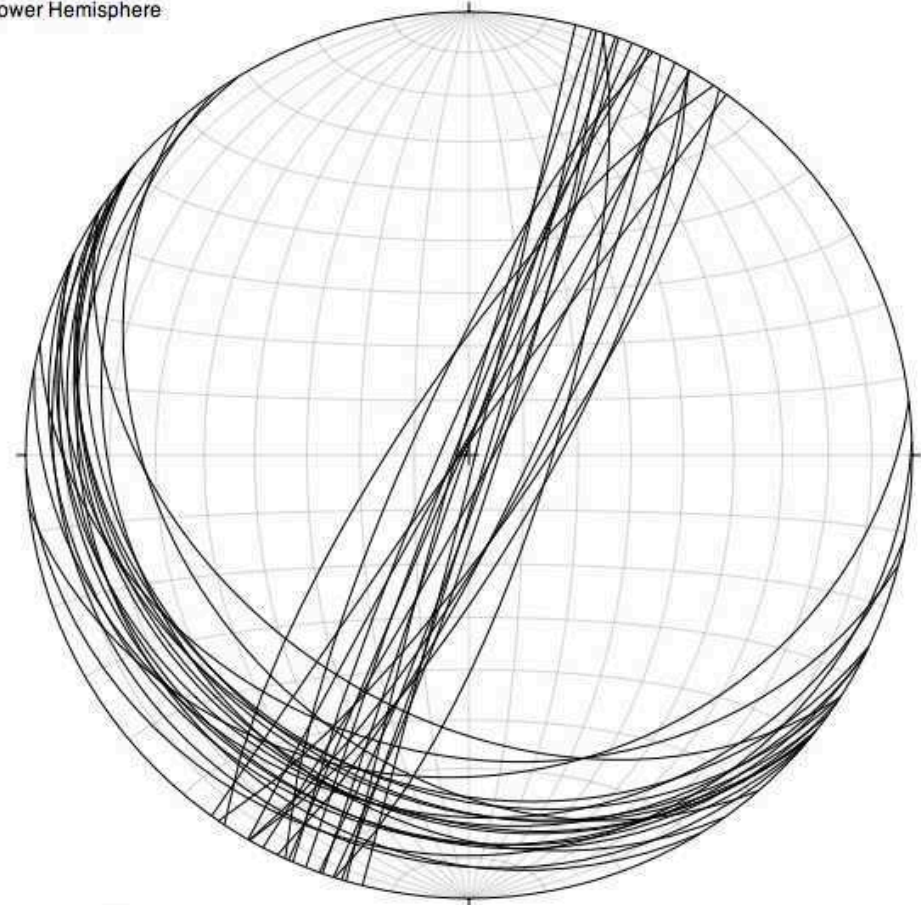
Equal Area  
Lower Hemisphere



# Klüfte und Brüche im Stereonetz



Equal Area  
Lower Hemisphere

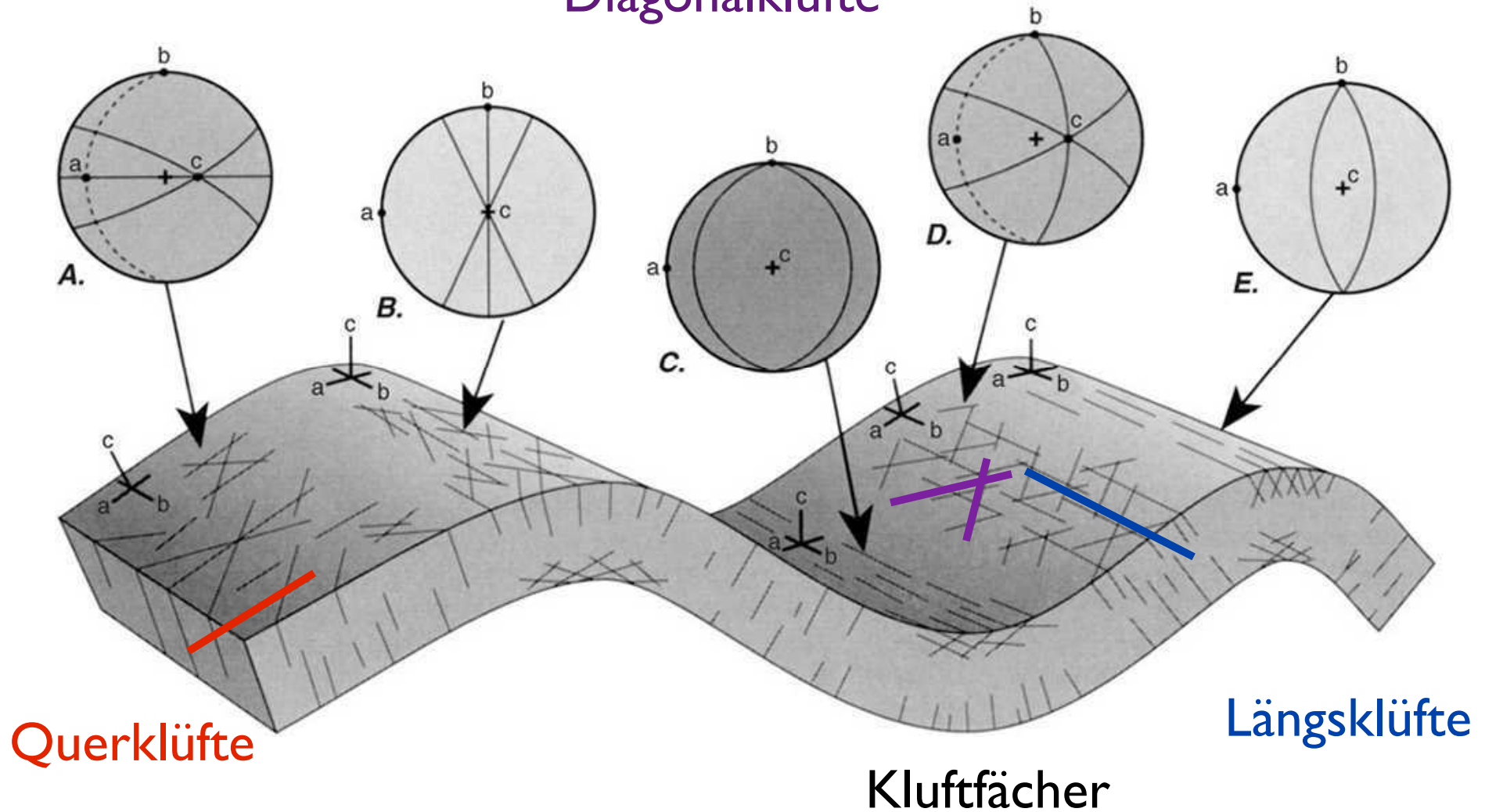


Trend = 332, Plunge = 5

N = 38

# Klüfte assoziiert mit Falten

## Diagonalklüfte



Querklüfte

Längsklüfte

Kluftfächer

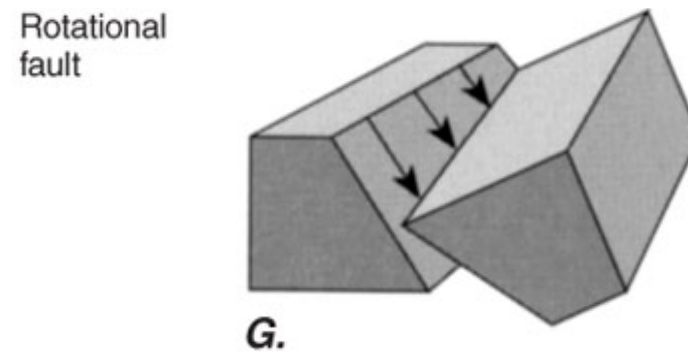
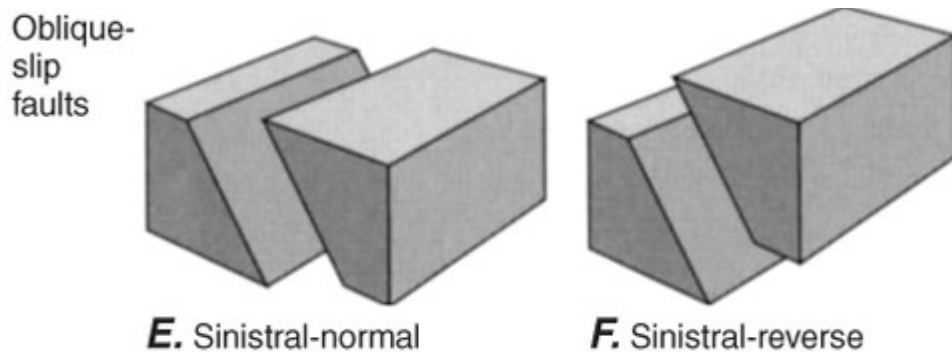
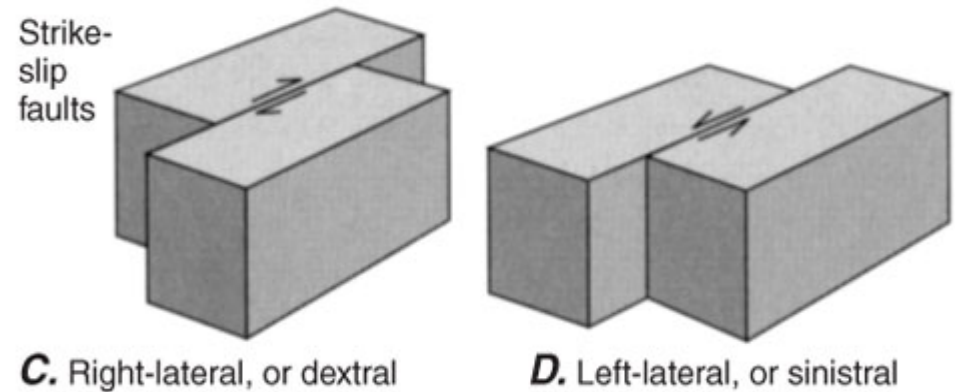
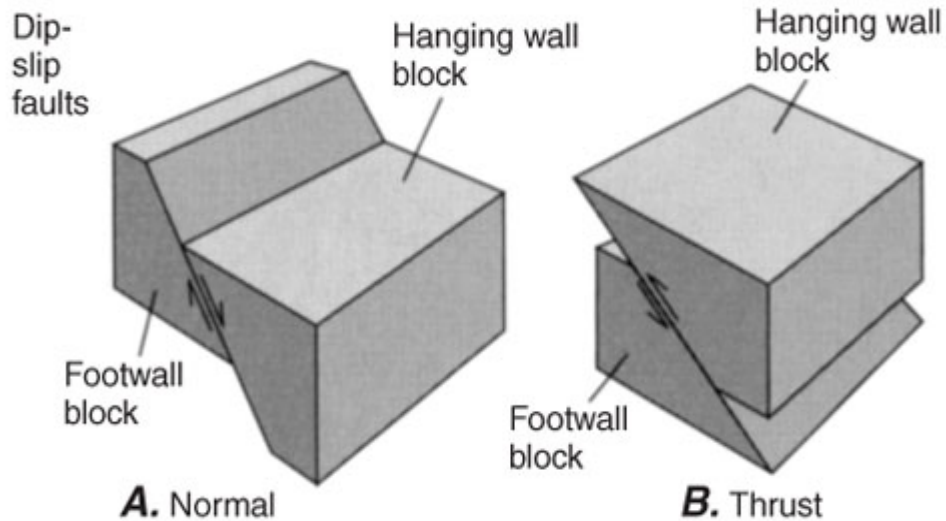
b = Richtung der Faltenachse

**faults**



# types of faults

# Verwerfungstypen

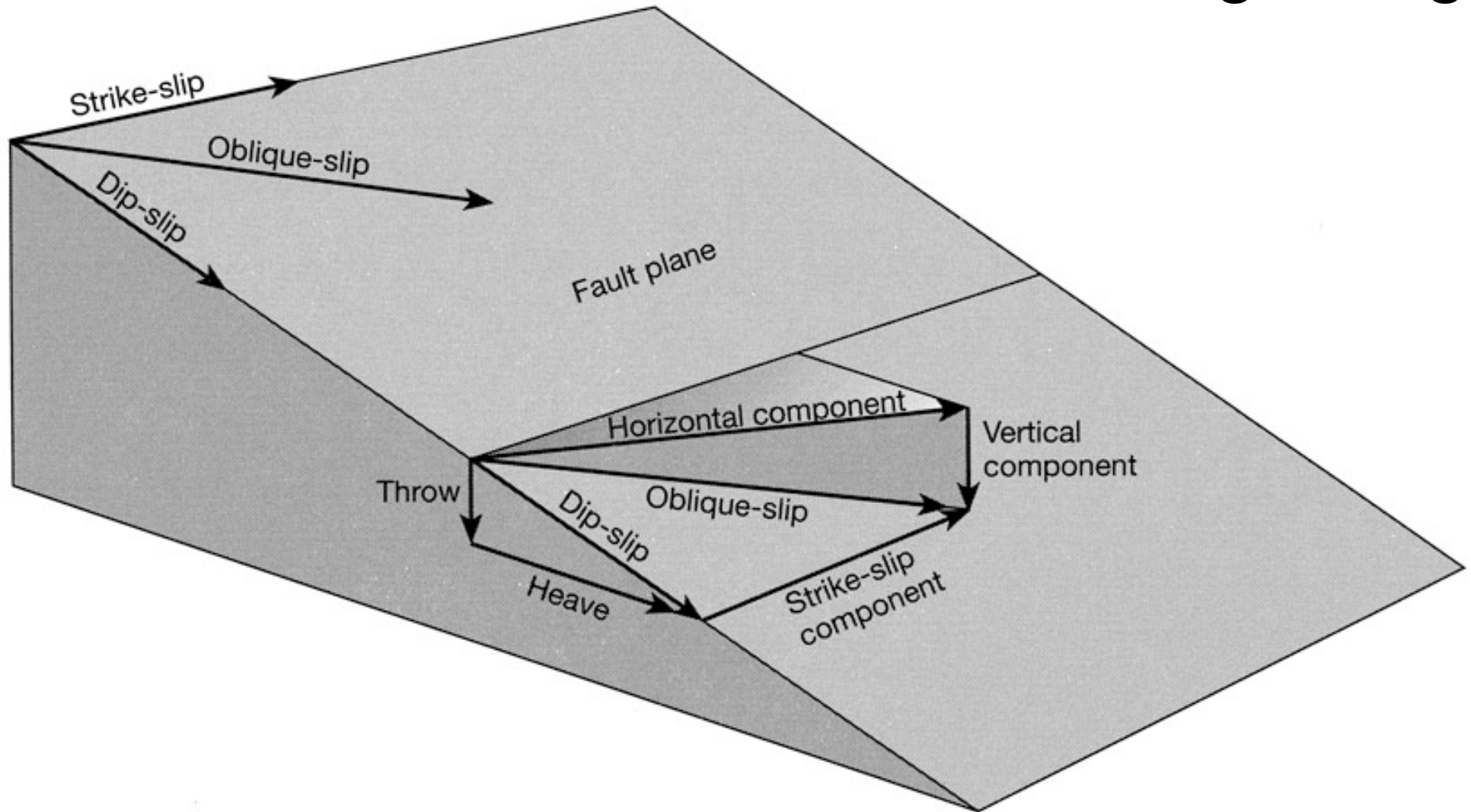


Hangendes - Liegendes



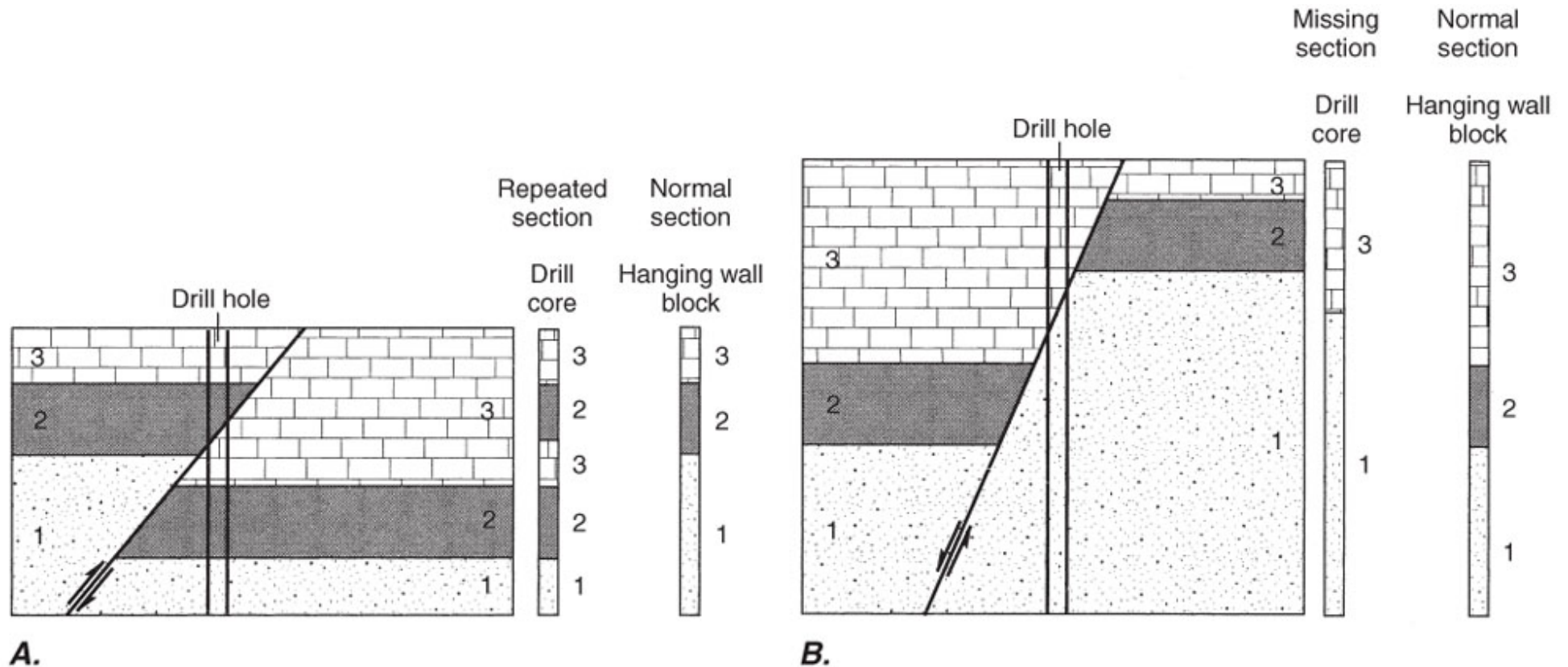
# displacement

Versetzung  
Versetzungsbetrag

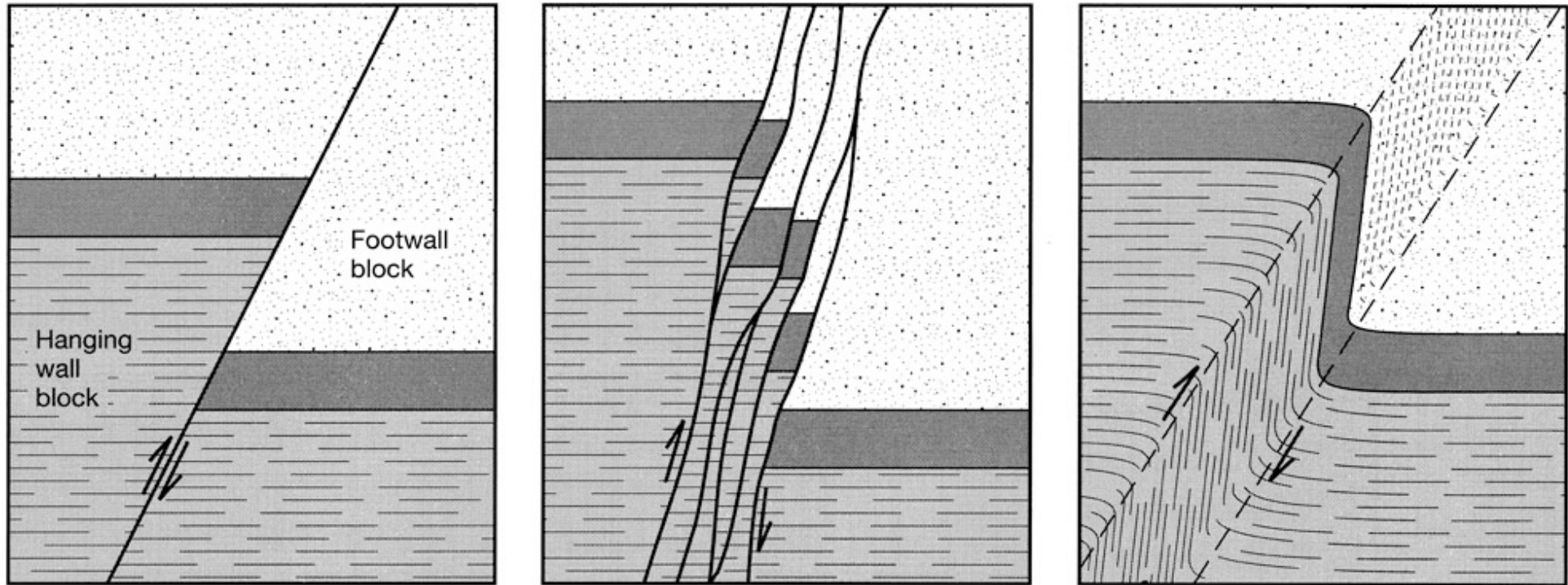


relative displacement defined by slip vector

# Bohrung durch Auf-/Abschiebung



# fault - fault zone - shear zone



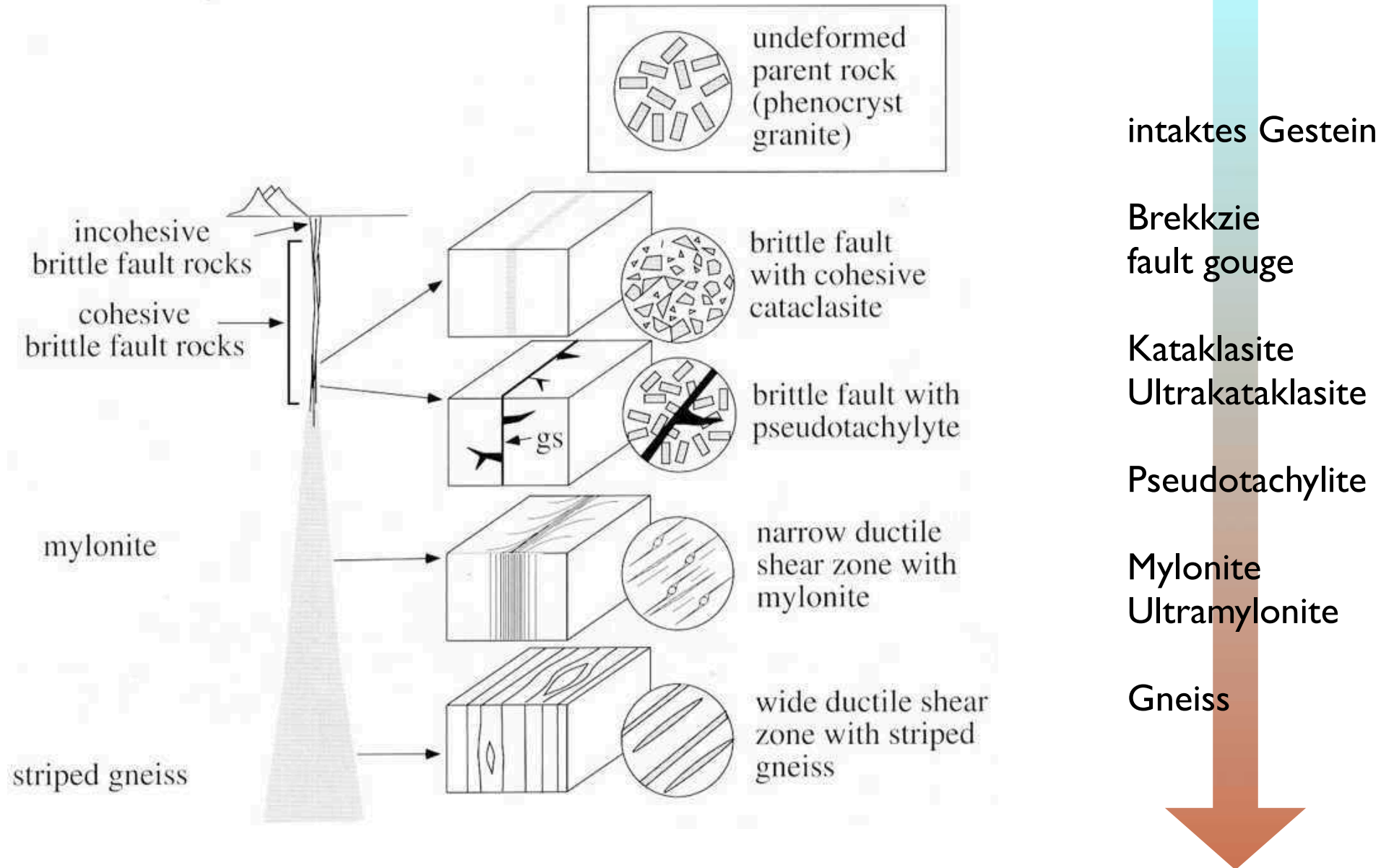
Verwerfung - Verwerfungszonezone - Scherzone

high angle fault:  $> 45^\circ$  inclination

low angle fault:  $< 45^\circ$  inclination

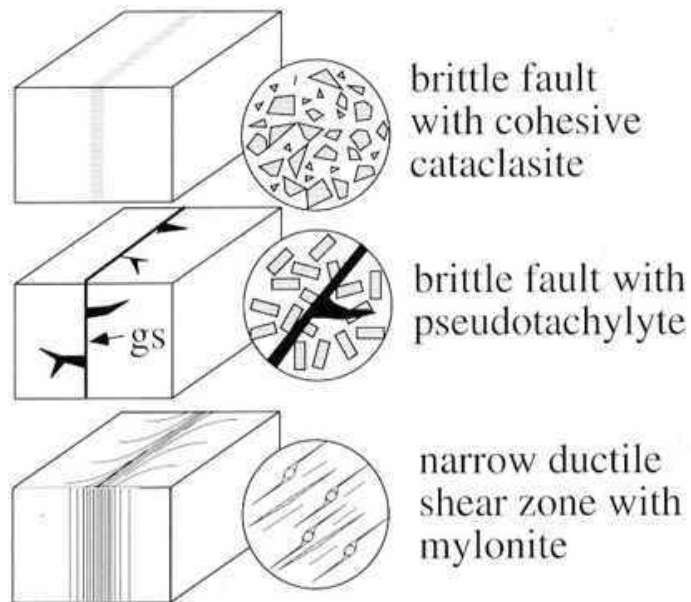
# fault zone

# Verwerfungszone



# fault zone

# Typische Gesteine

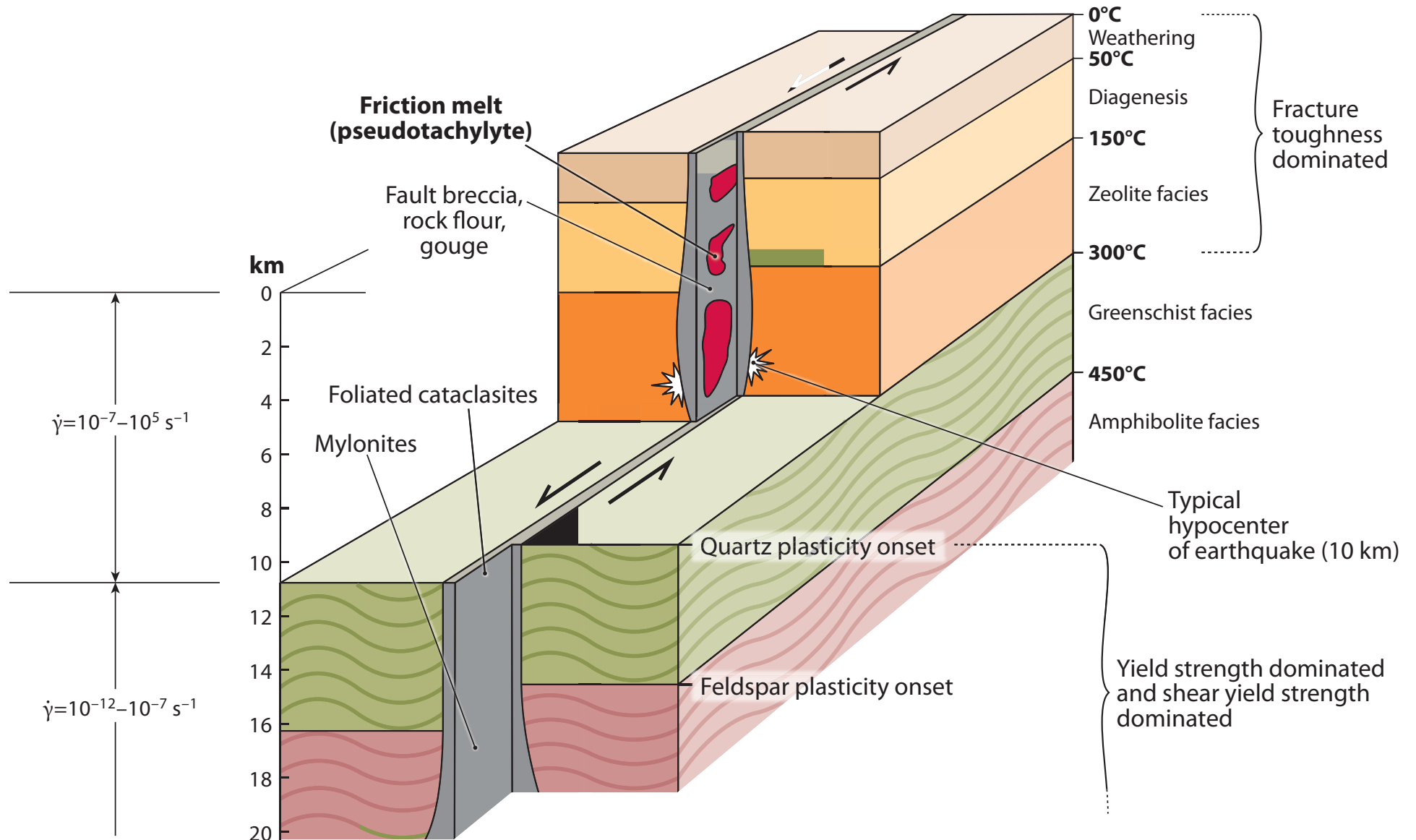


Kohäsionsloses Lockermaterial:	
Gesteinsmehl (gouge)	feinkörnige Matrix
Unverfestigte Brekzie	erkennbare Klaster
Festes Gestein (ohne Foliation):	
Protokataklasit / Brekzie	> 50% erkennbare Klaster
Kataklasit	50-90% Matrix
Ultrakataklasit	> 90% Matrix
Festes Gestein (mit Foliation):	
Protomylonit	< 50% rekristallisiert
Mylonit	50-90% rekristallisiert
Ultramylonit	> 90% rekristallisiert

nach: Roland Vinx (2005): Gesteinsbestimmung im Gelände, Elsevier



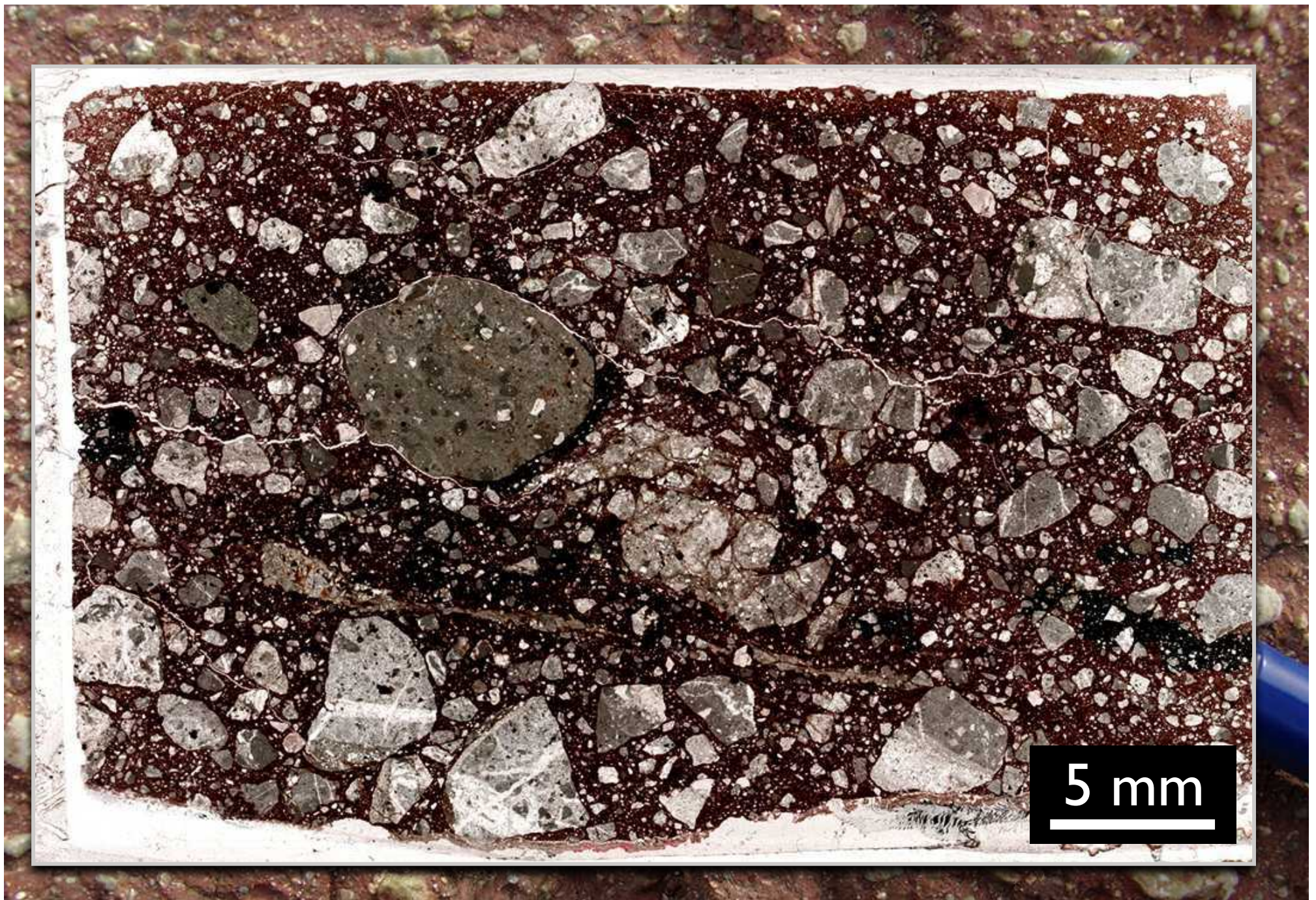
# fault zone





Bruchfläche





Kataklasit



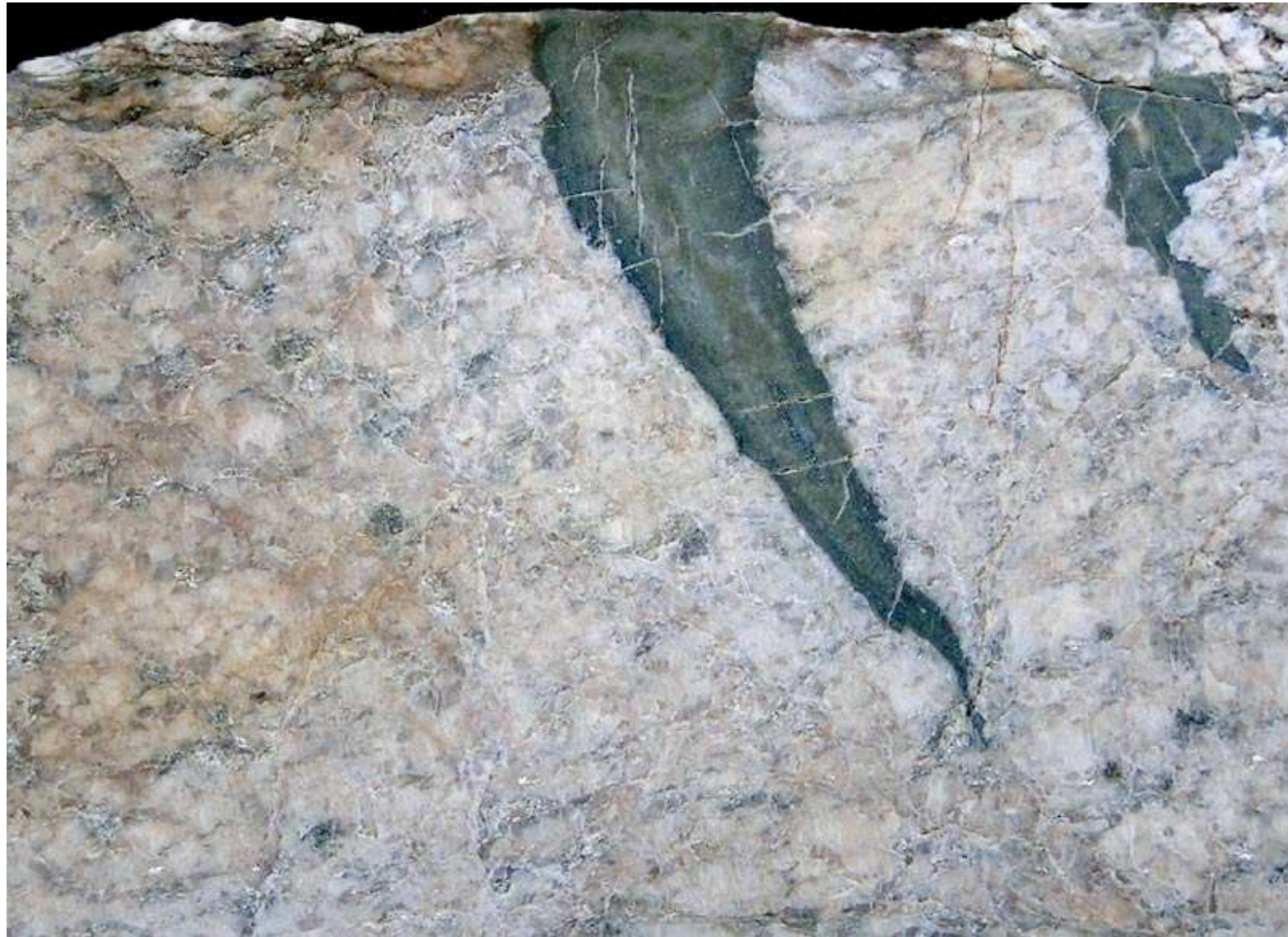
# Brekzie



Titus Canyon, Death Valley (Wikipedia)



# Pseudotachylit



([geology.um.maine.edu](http://geology.um.maine.edu))



# slickensides / slickenfibres

## Gleitflächen

- indicate direction and sense of movement
- constitute a lineation containing the movement vector



A.

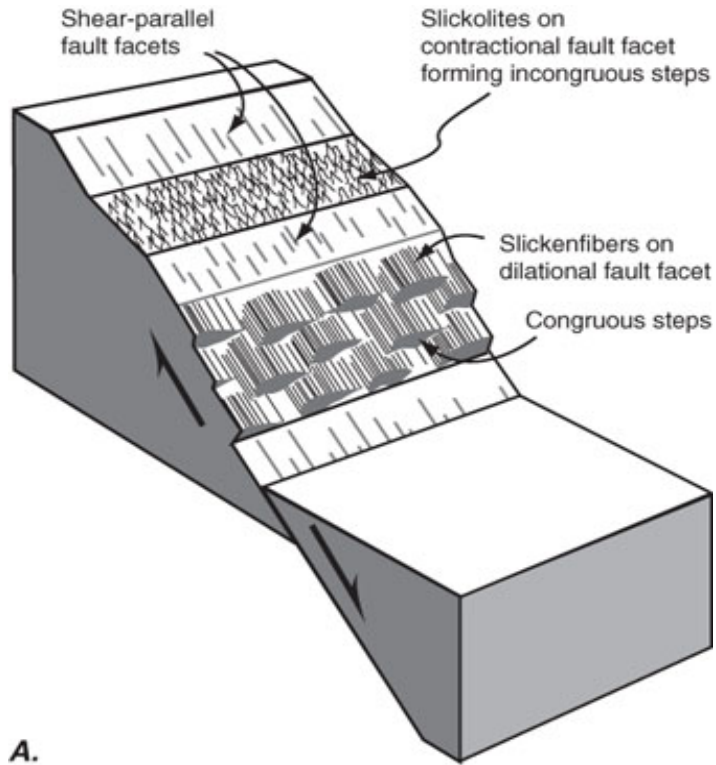


B.

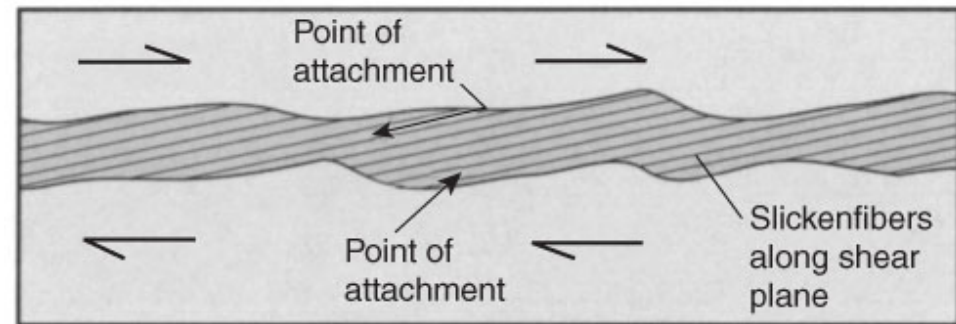
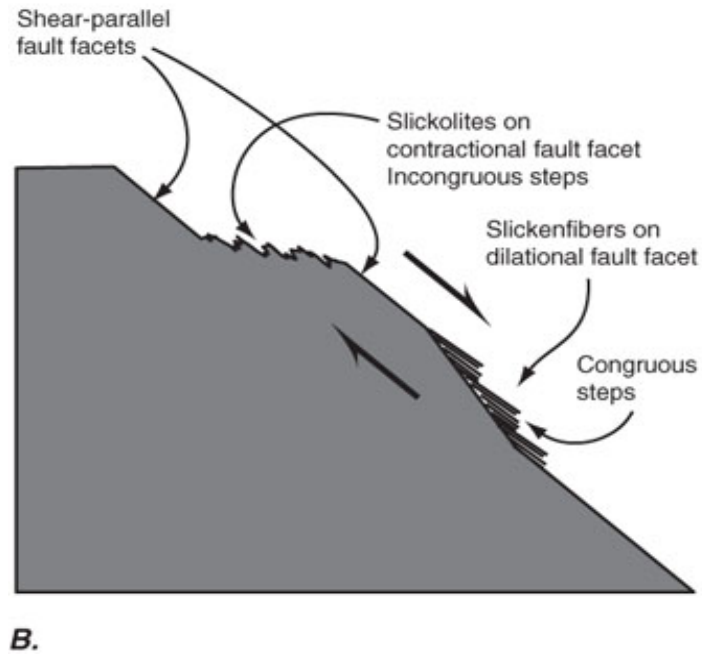


Striemungen - Rutschharnisch

# slickenfibres

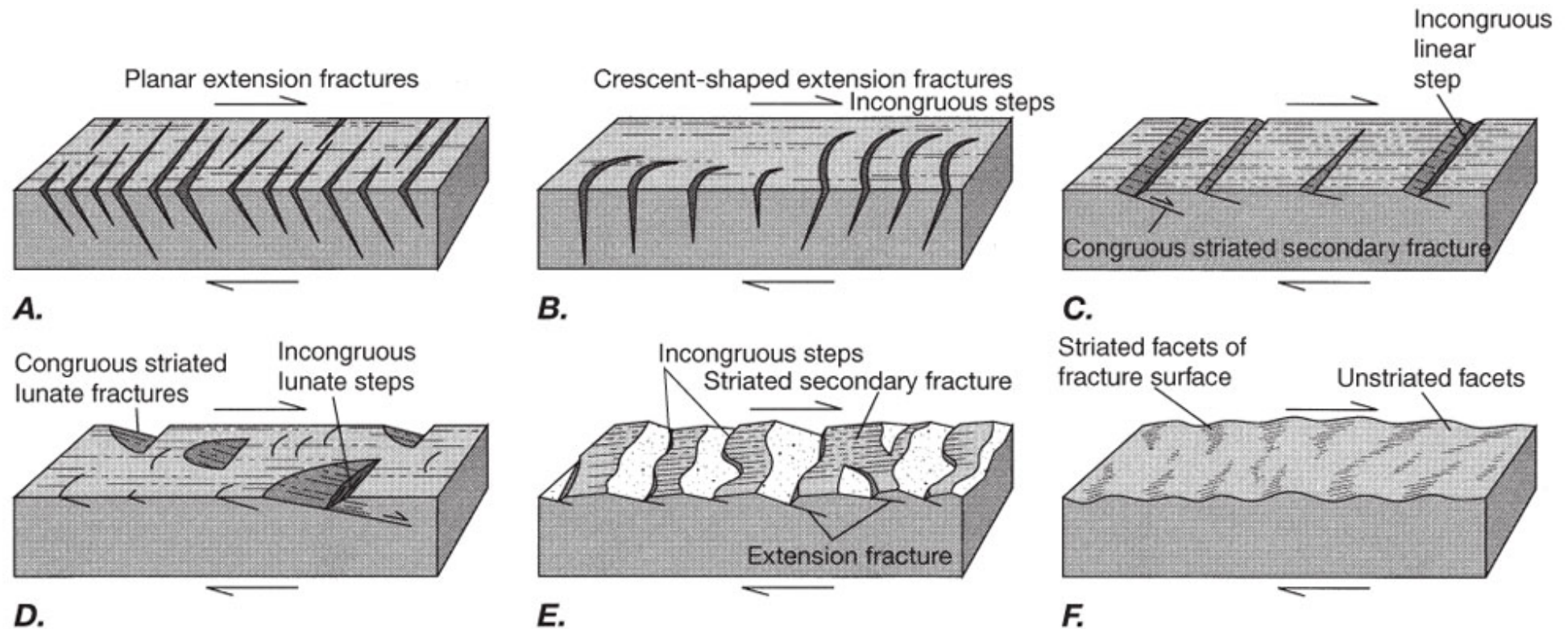


## solution-precipitation microstructures



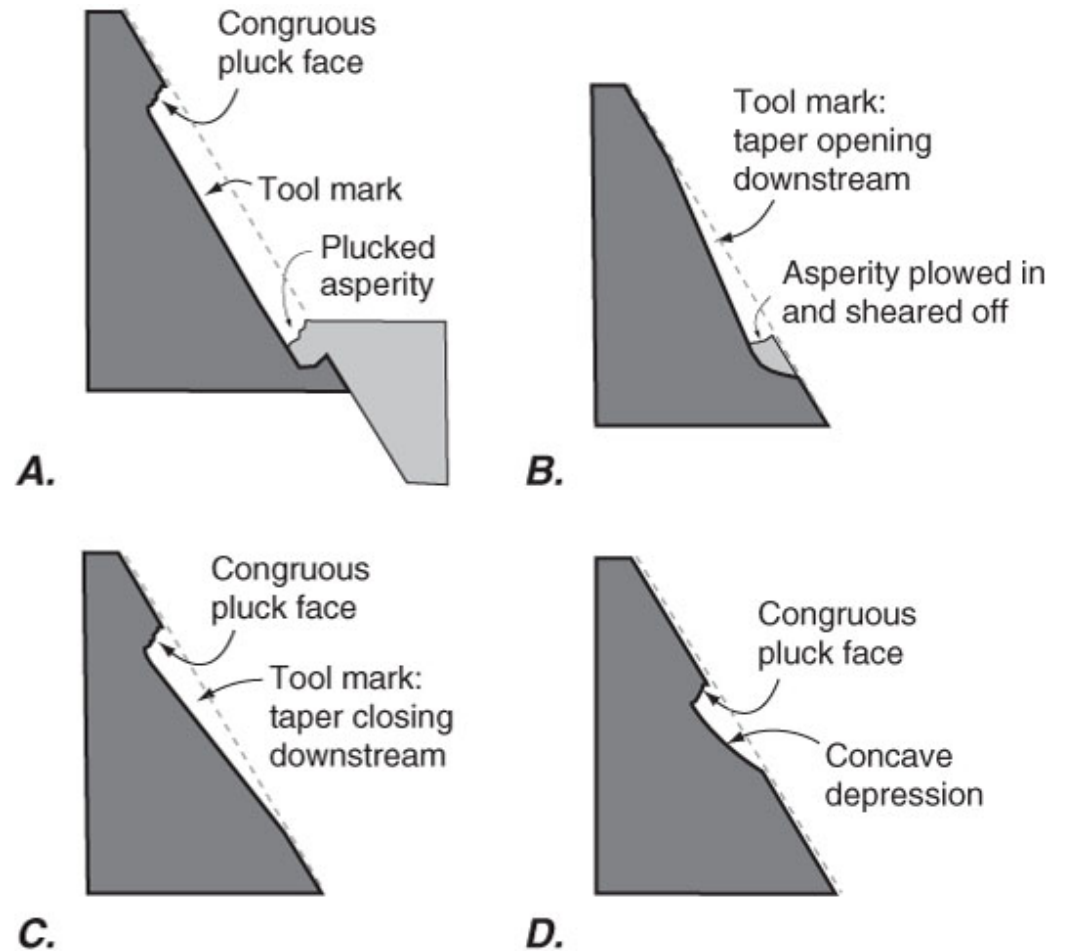
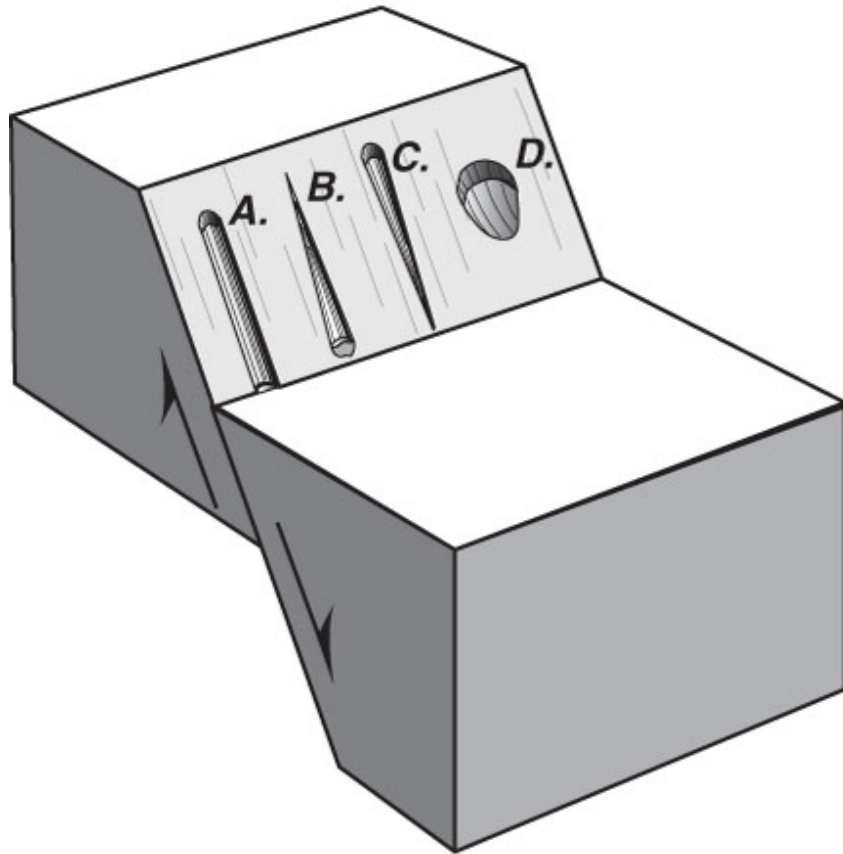
C.

# displacement - micro scale



determination of movement sense from cracks associated with faults

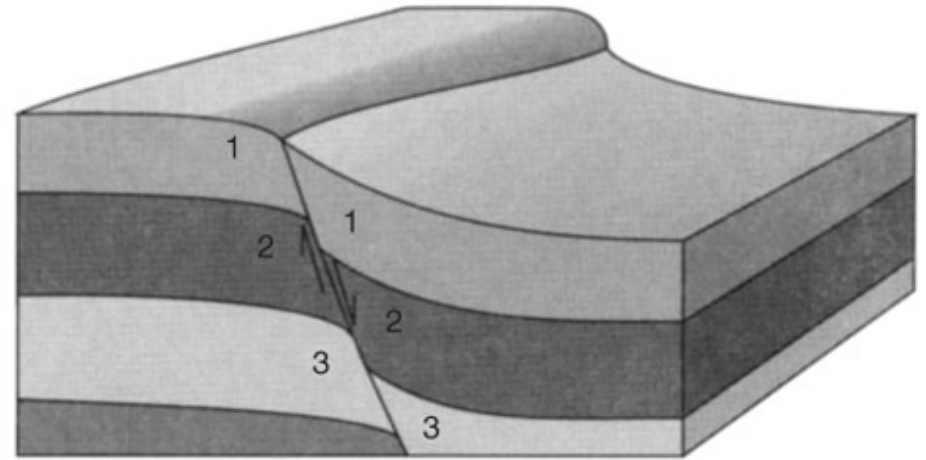
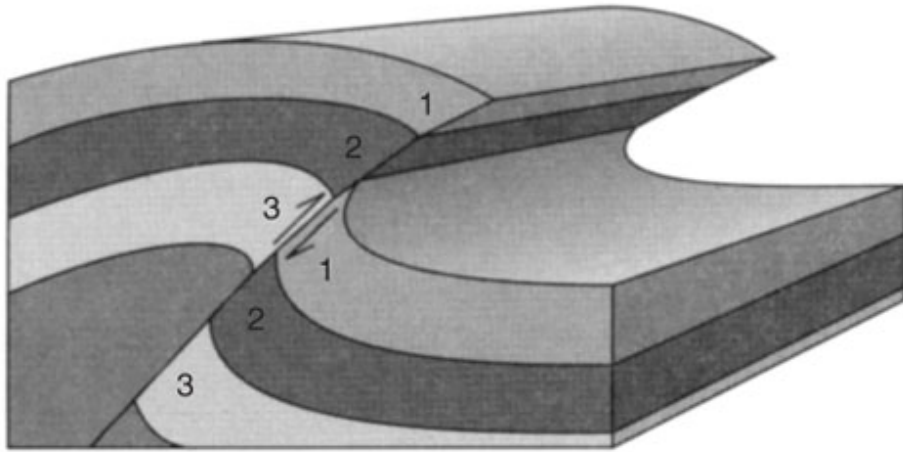
# displacement - micro scale



determination of movement sense from tool marks

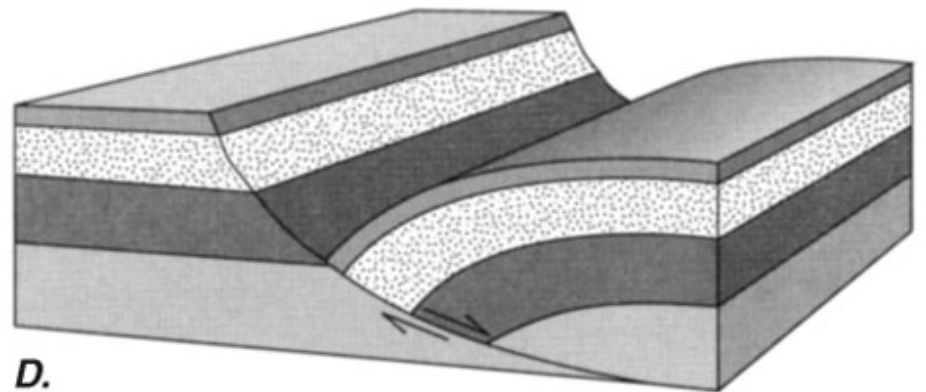


# displacement - macro scale



*B.*

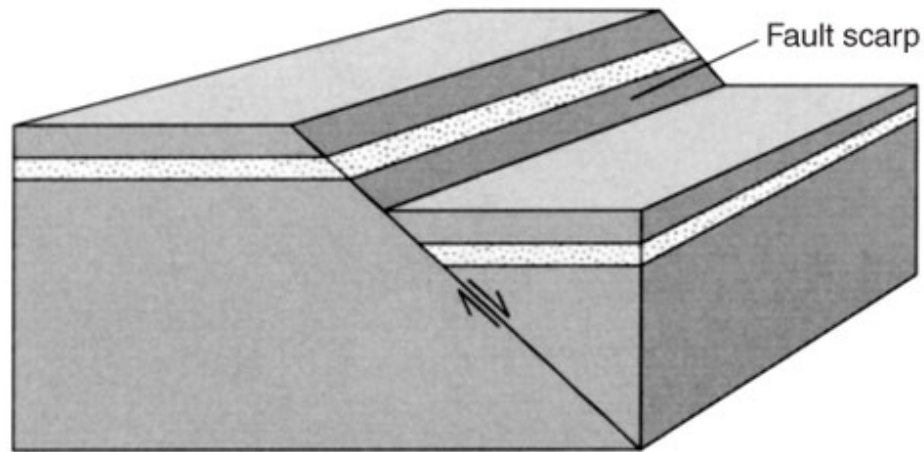
Movement sense from  
drag-folds and  
roll-over anticlines



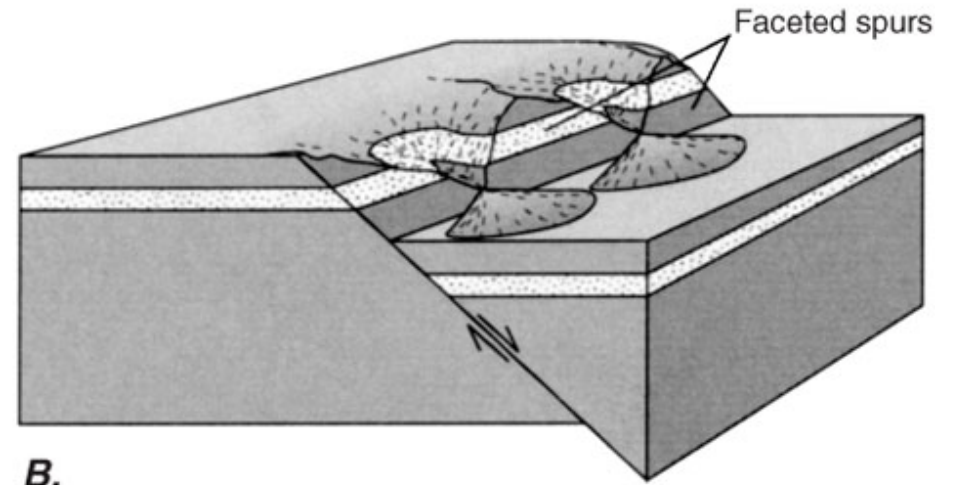
*D.*



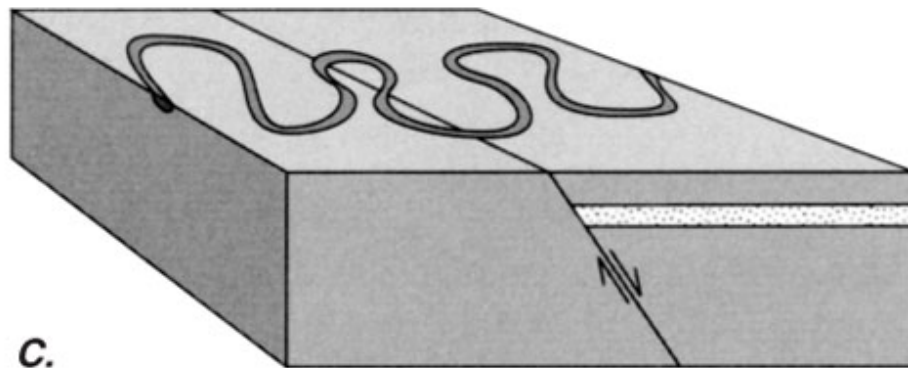
# displacement - macro scale



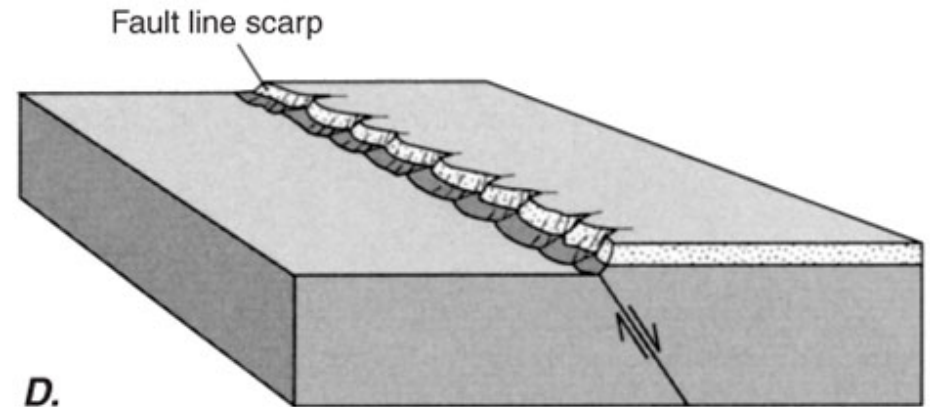
A.



B.



C.



D.

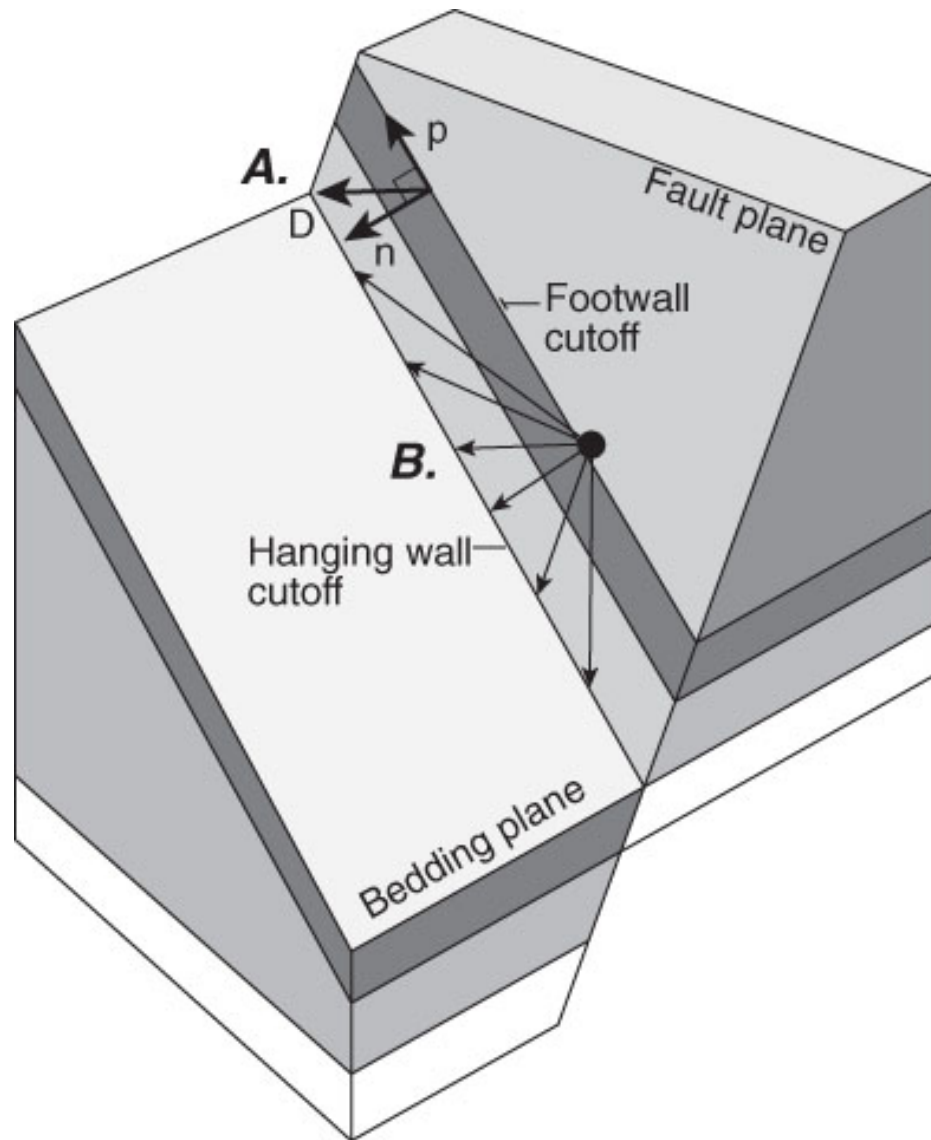
determination of movement sense from fault scarps, erosional features, stratigraphic displacement, eroded fault scarps

# displacement - macro scale



Movement sense from displaced rivers

# displacement - markers



faulted planar features  
are non-unique  
movement indicators

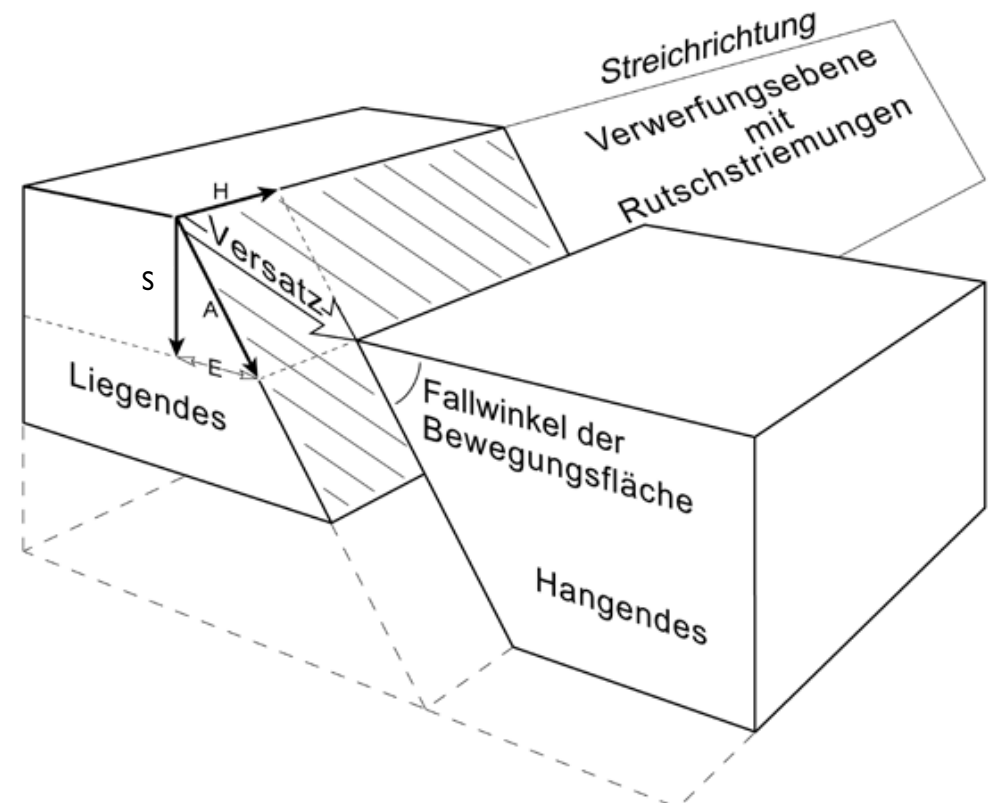
# Verschiebungsvektor

In der Verwerfungsebene

- H Horizontale Verschiebungskomponente  
(strike-slip component)
- A Abschiebungskomponente  
(dip slip component)

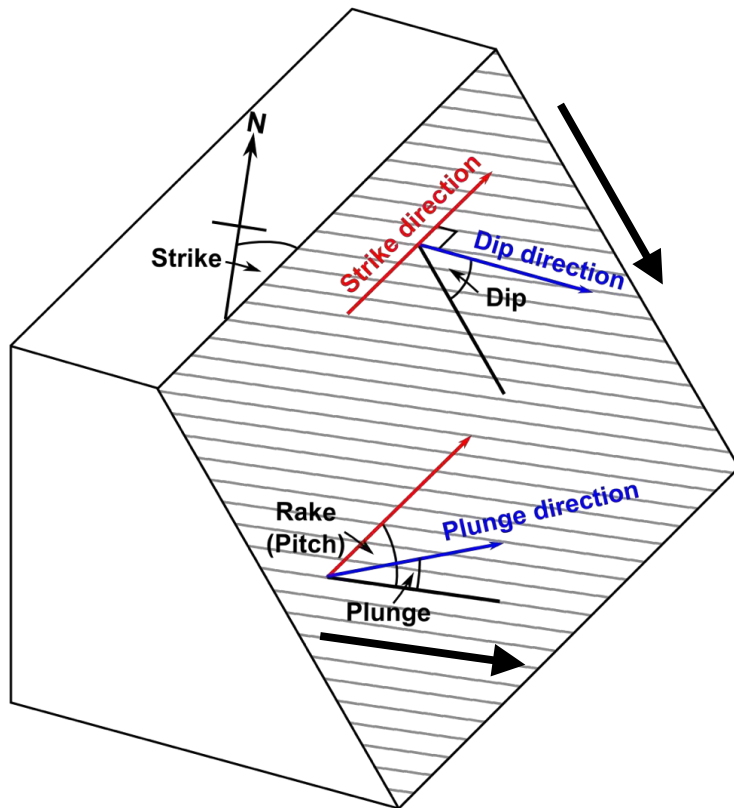
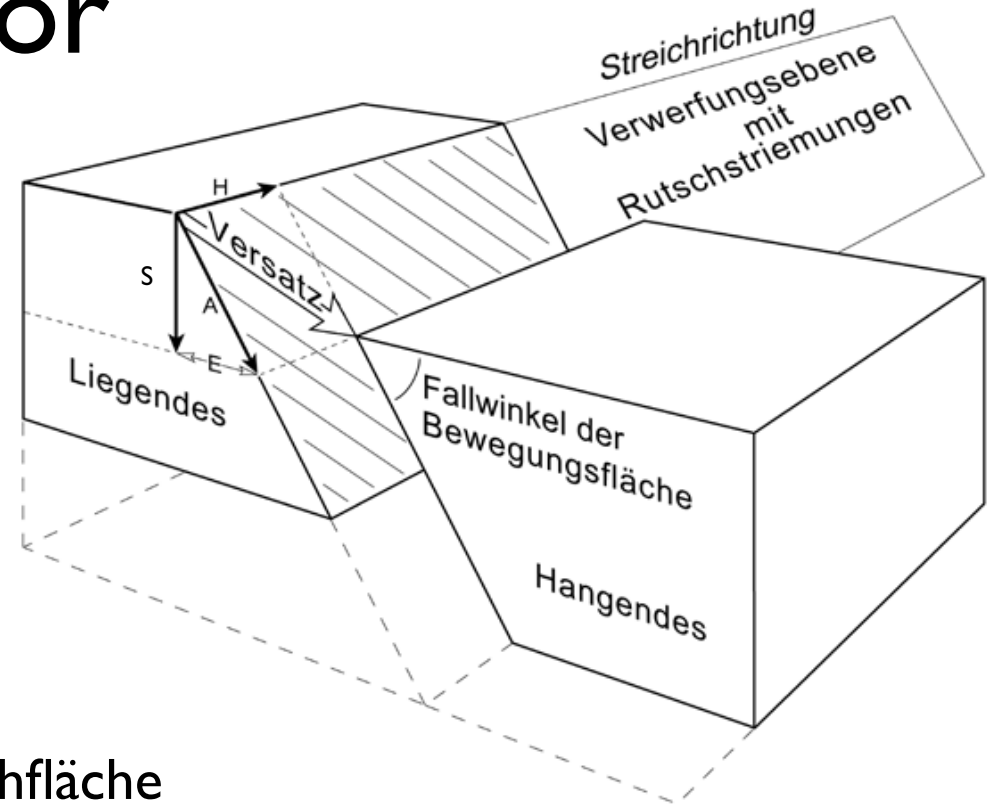
Im Profil

- E Dehnungsbetrag (heave)
- S Sprunghöhe (throw)  
(vertikale Verschiebungskomponente)



# Verschiebungsvektor

- E Dehnungsbetrag (heave)
- S Sprunghöhe (throw)  
(vertikale Verschiebungskomponente)
- H Horizontale Verschiebungskomponente  
(strike-slip component)
- A Abschiebungskomponente  
(dip slip component)

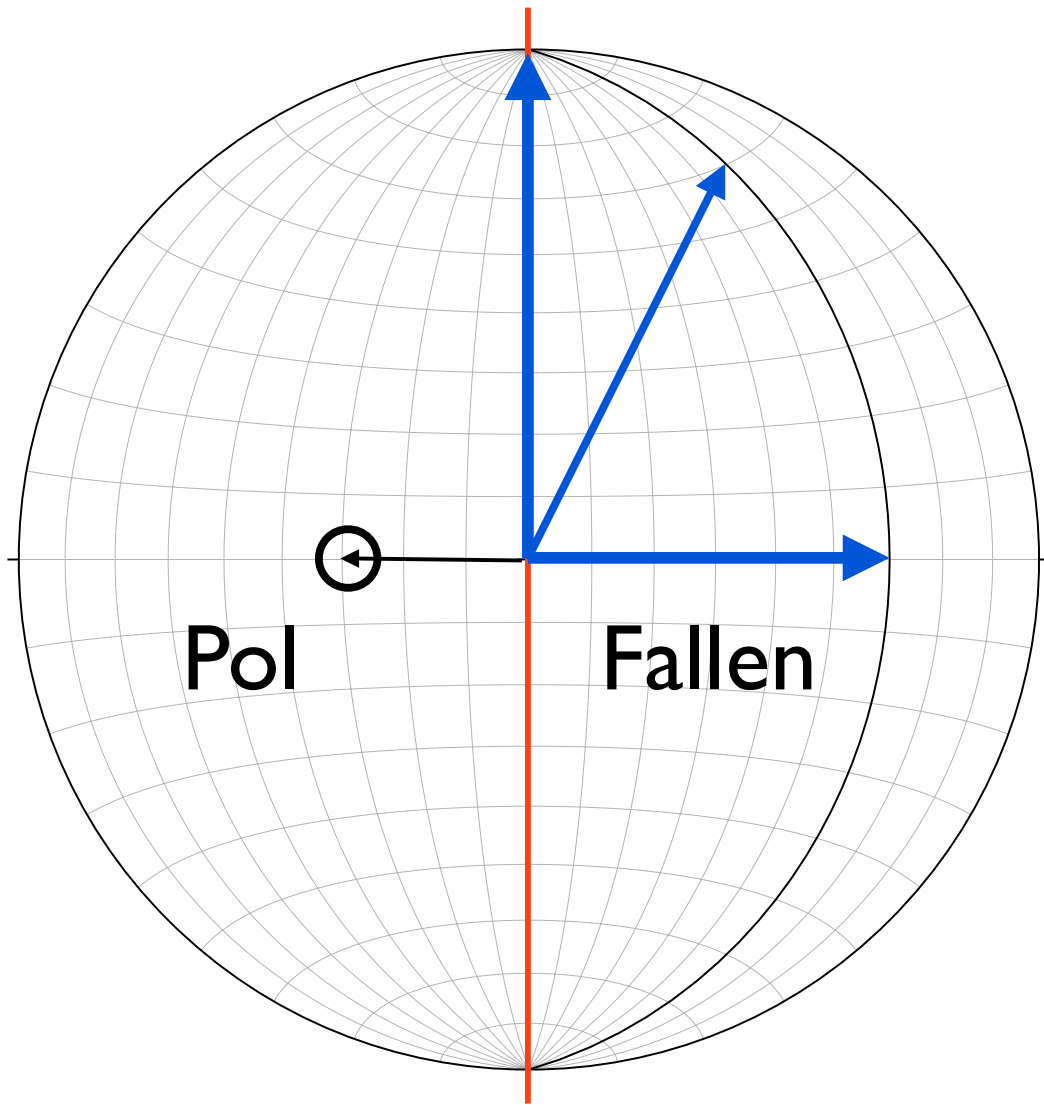


Bruchfläche  
Raumlage: (Ein-)Fallen  
**dip-direction/dip**

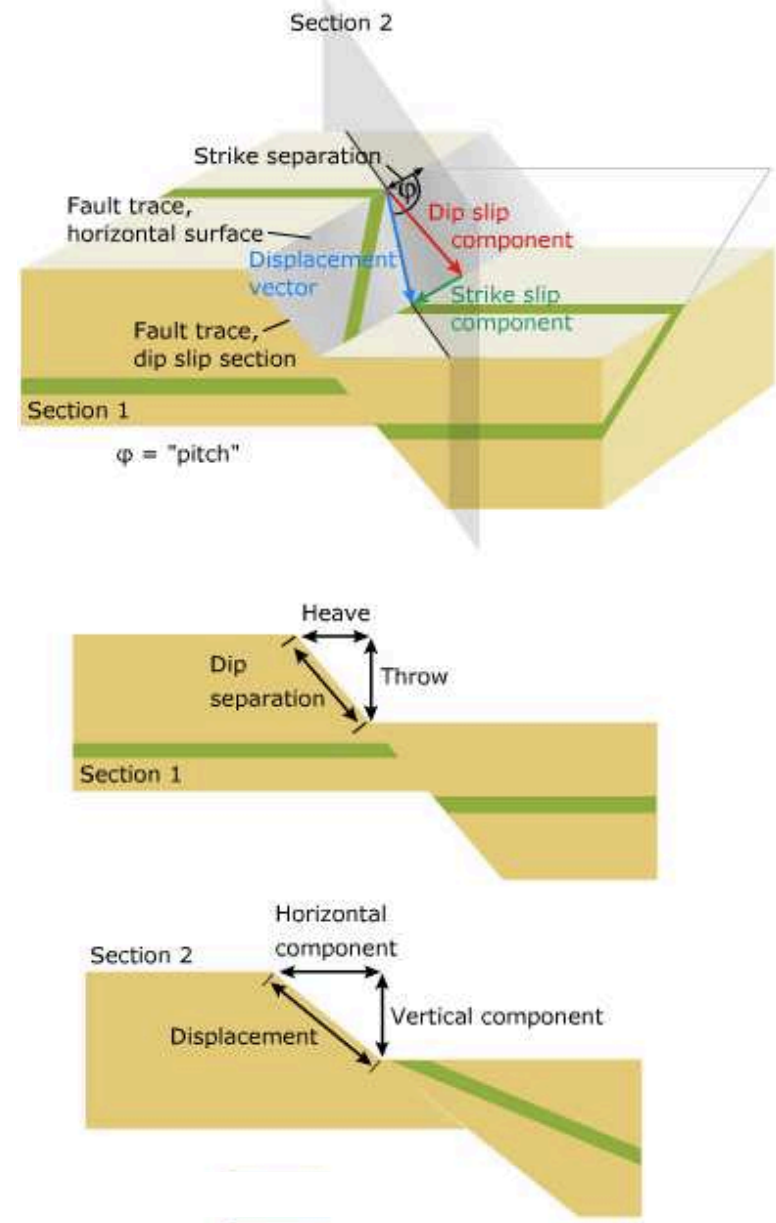
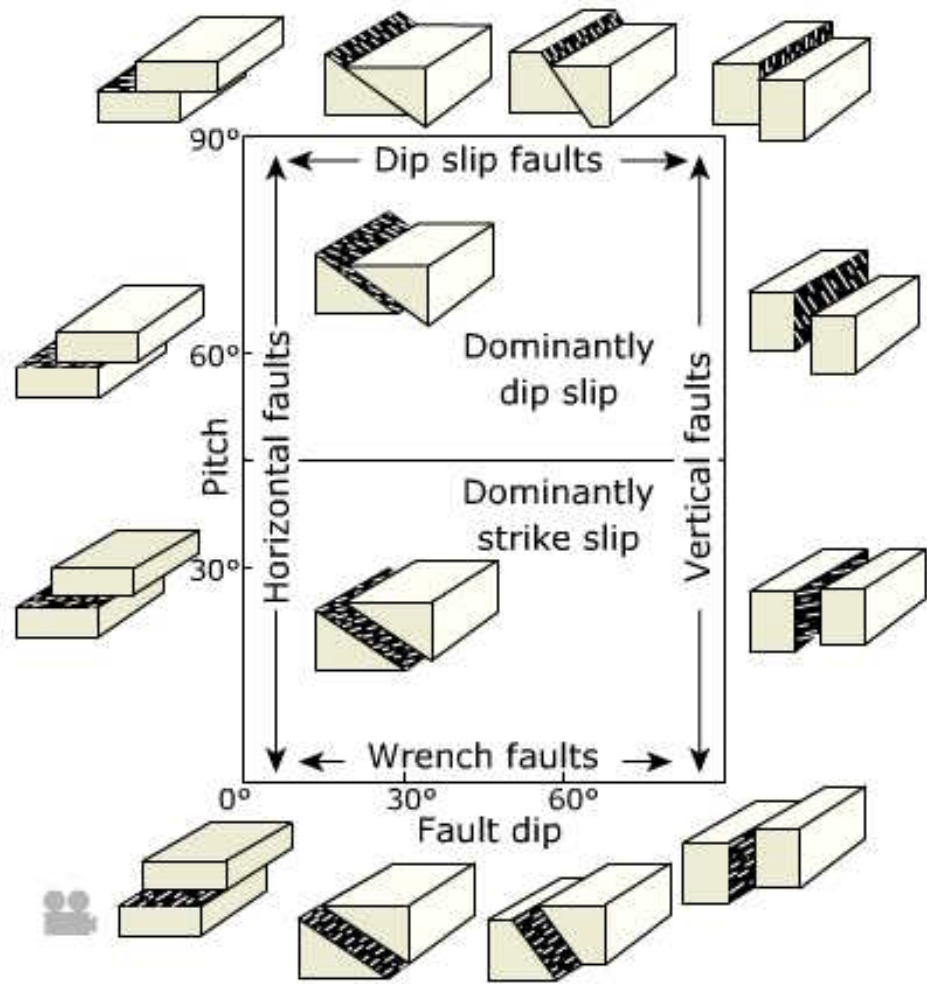
Linear (Striemung, Versatz, ...)  
Raumlage: (Ein-)Tauchen  
**plunge-direction/plunge**

pitch = Winkel  $\langle$  **strike-direction**, Linear  $\rangle$





Azimuth

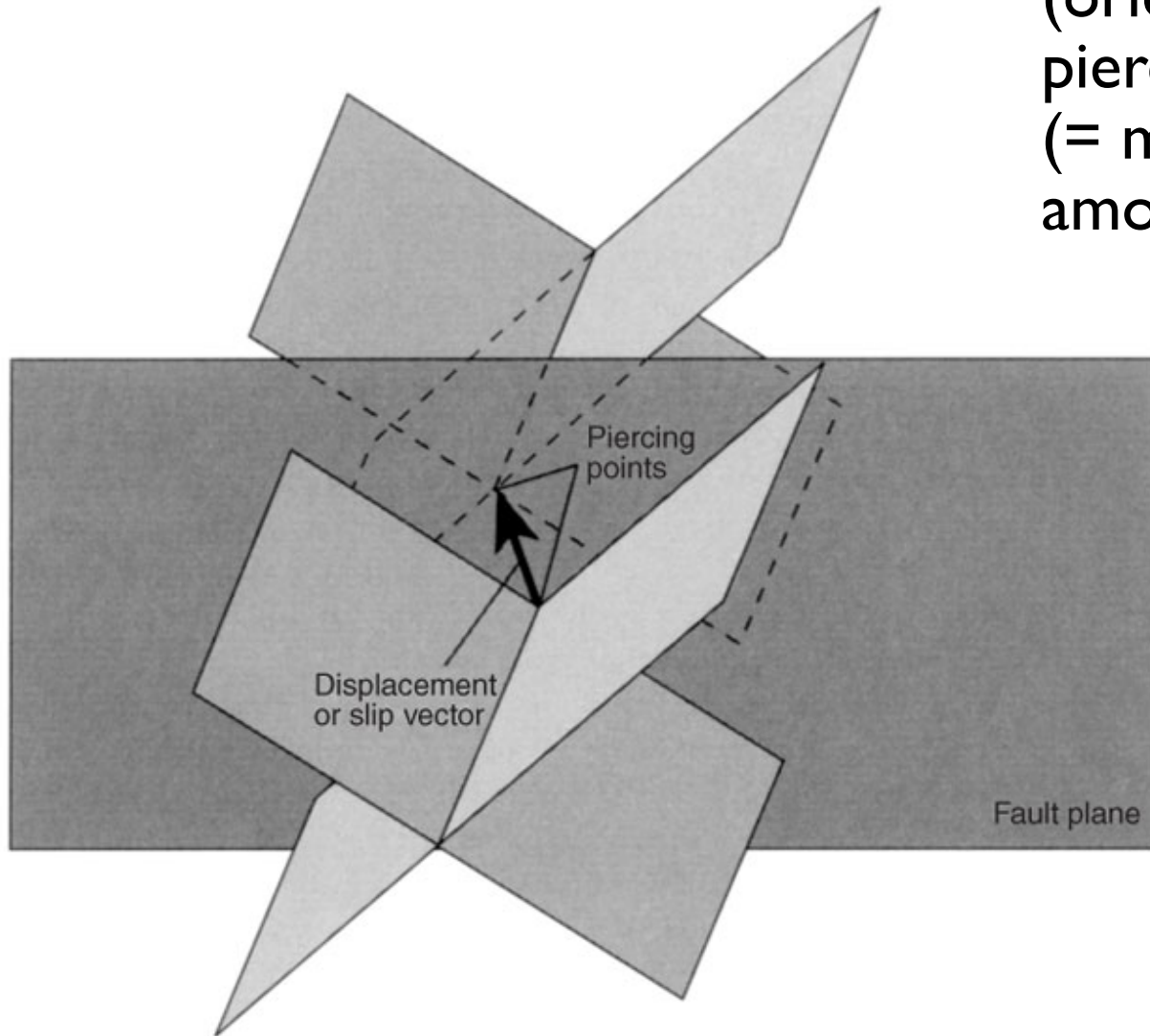


<http://folk.uib.no/nglhe/Emodules.html>

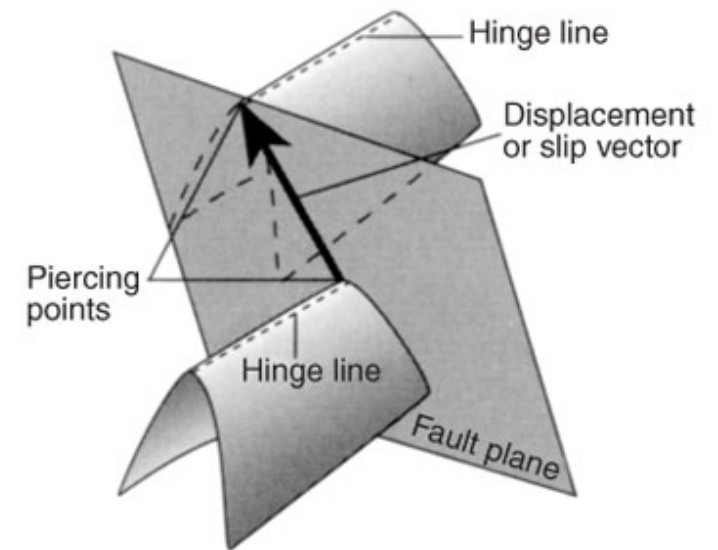
<http://folk.uib.no/nglhe/e-modules/Chapter%208/08%20Faults.swf>

# piercing points

displacement vector  
(orientation + length) from  
piercing points  
(= movement sense +  
amount of displacement)

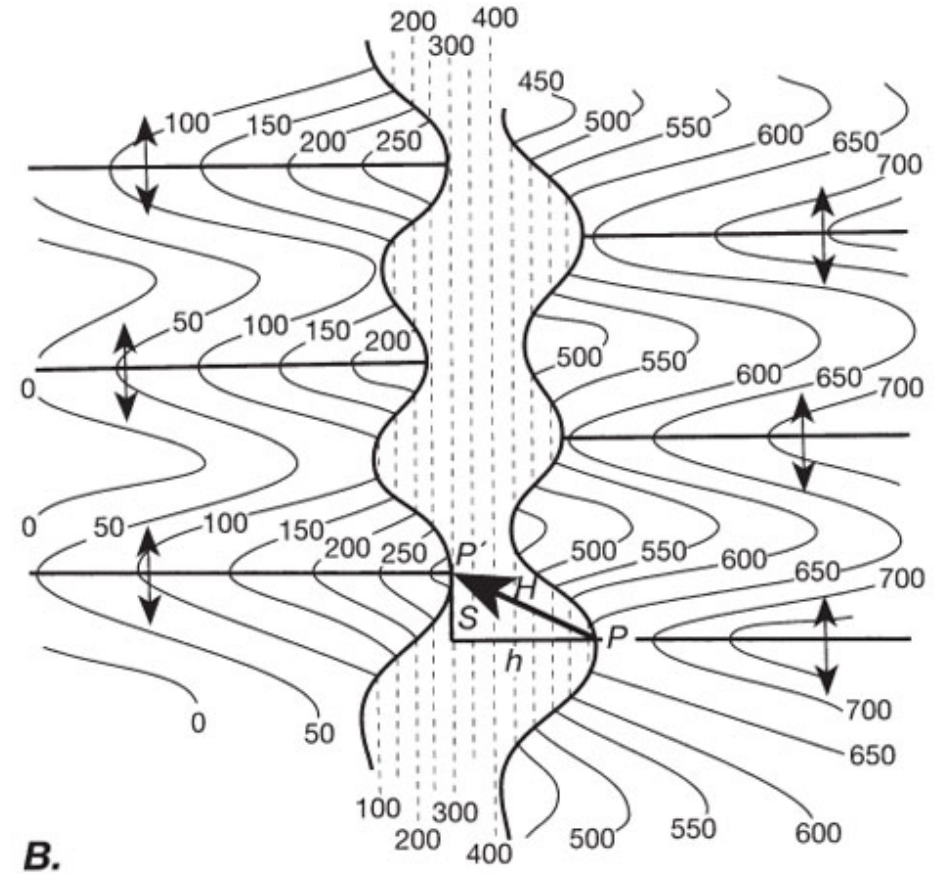
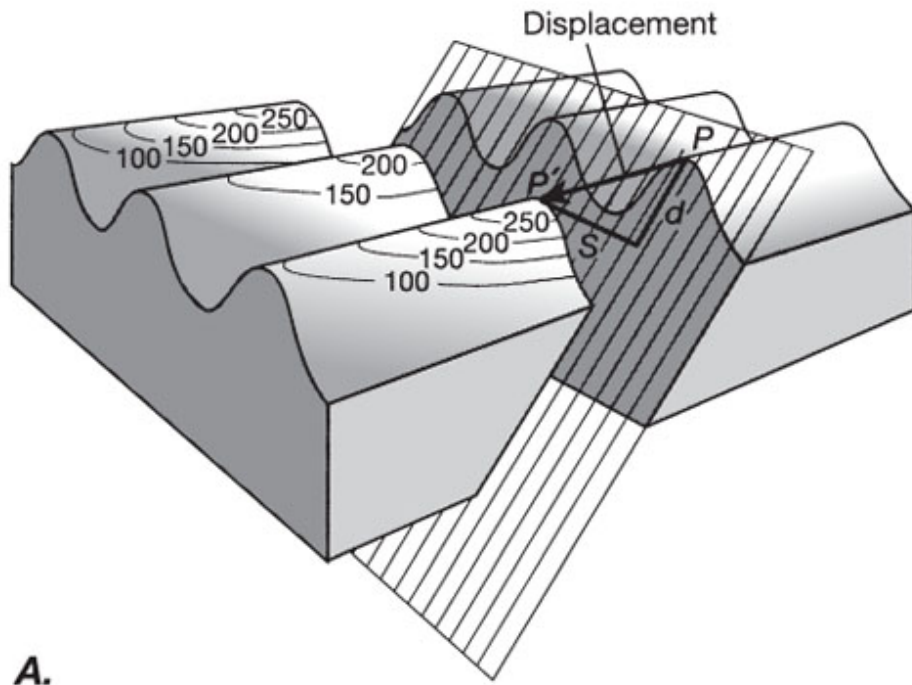


A.



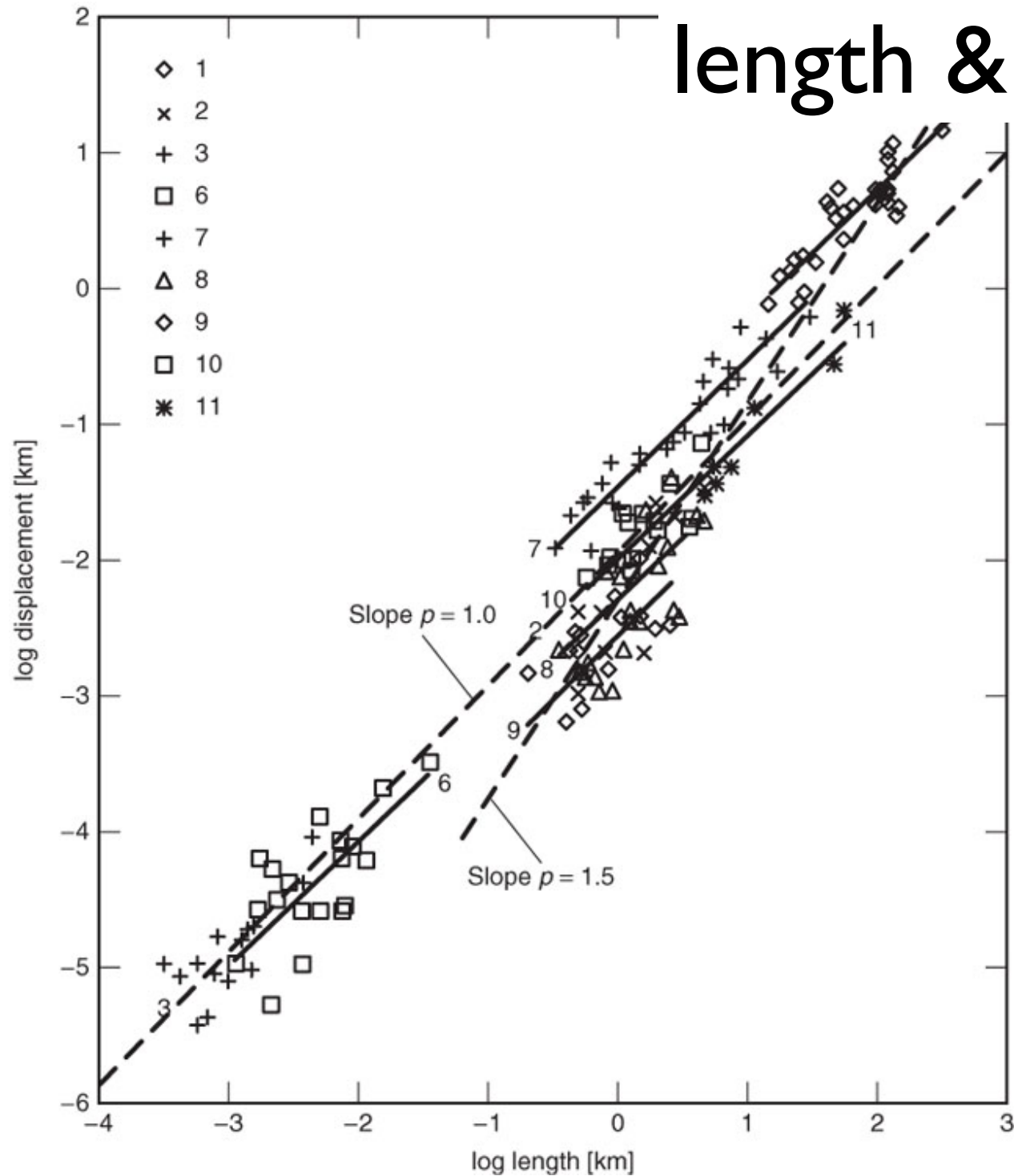
B.

# piercing points



Example of pierce point construction using structural elevations

# length & displacement

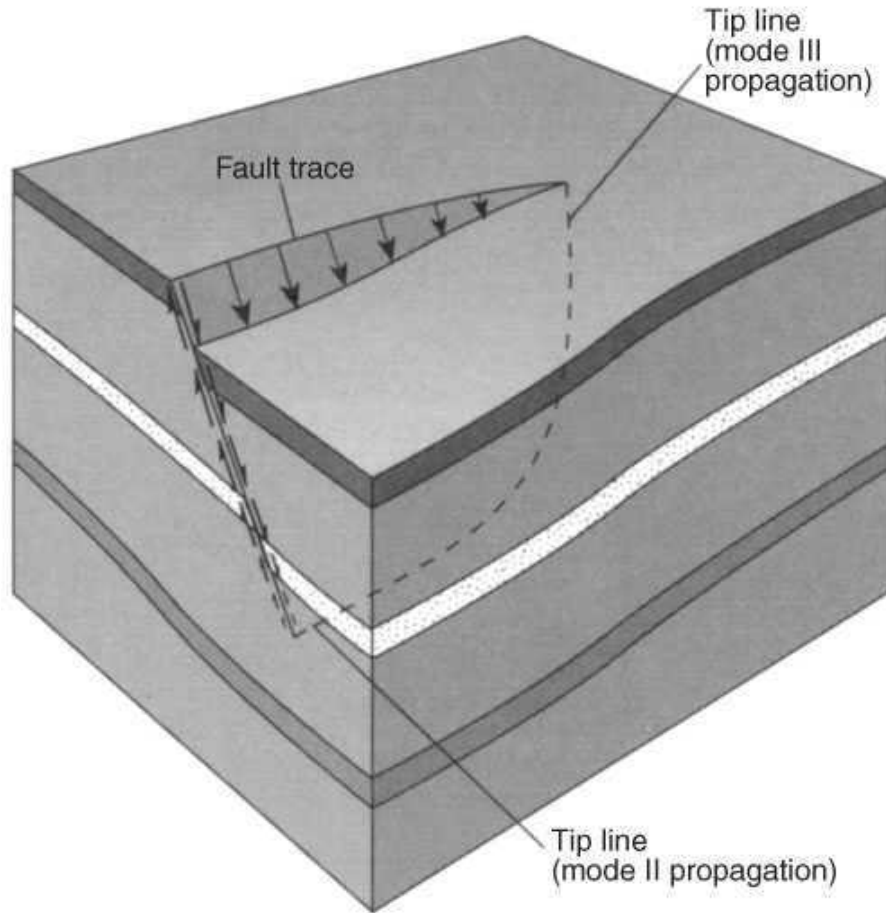


Relationship  
between fault  
displacement and  
fault length:

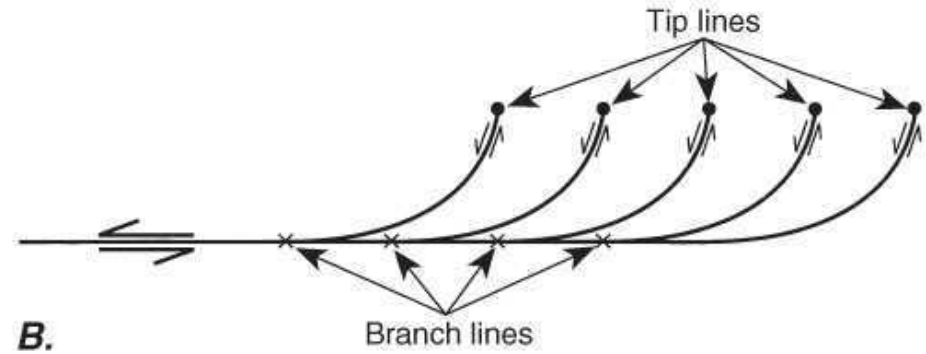
“Faults do not run  
across the earth”



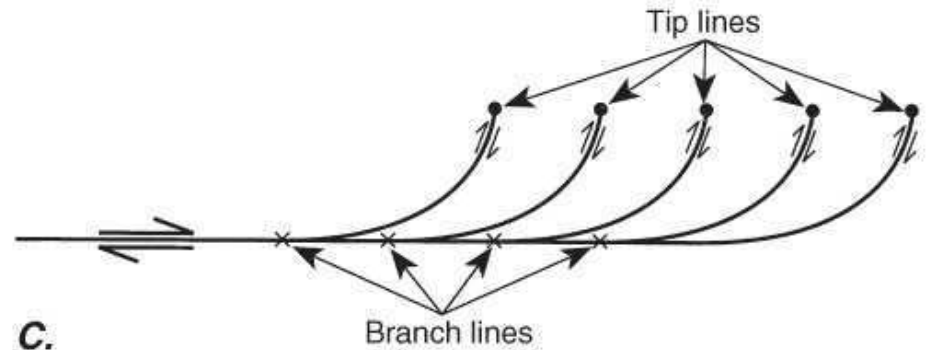
# fault termination



special termination line: the tip line marks the end of displacement



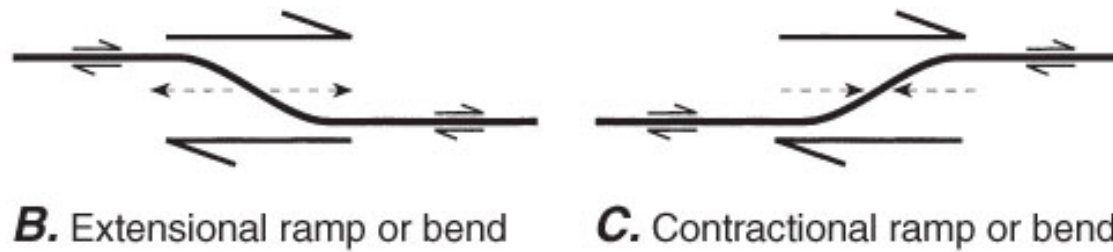
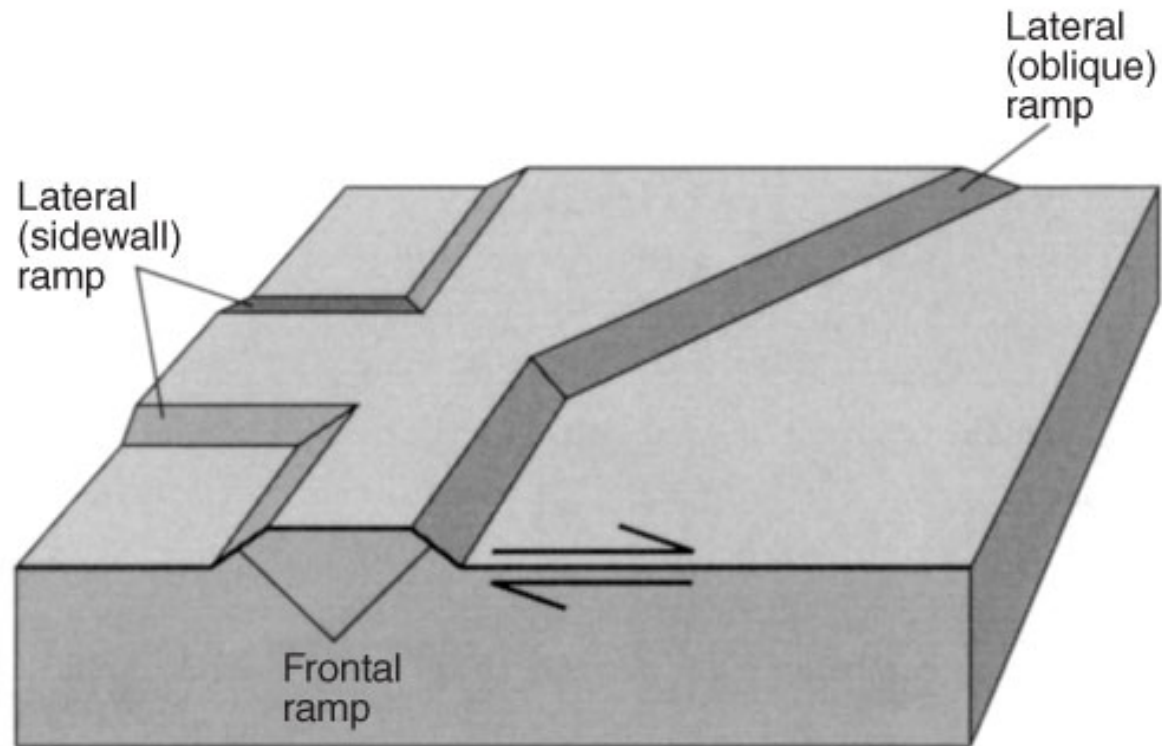
B.



C.

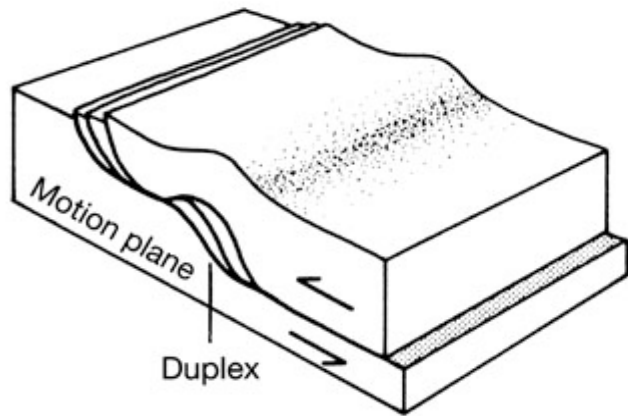
splay faults branch out from the main fault along branch lines

# fault ramps

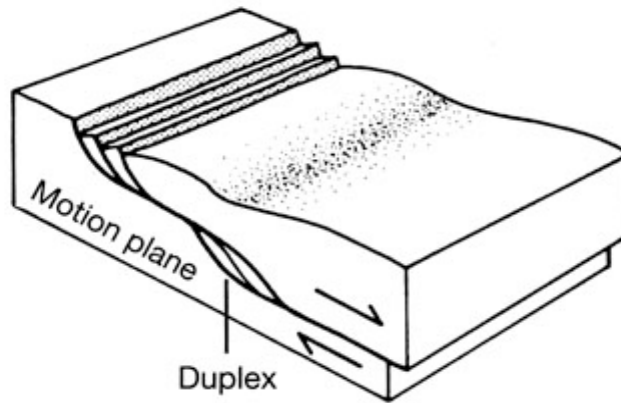


faults step up at ramps

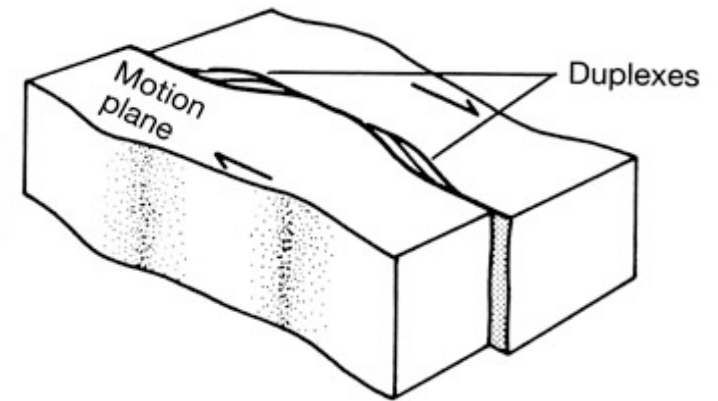
# duplex structures



**A.** Thrust dip-slip



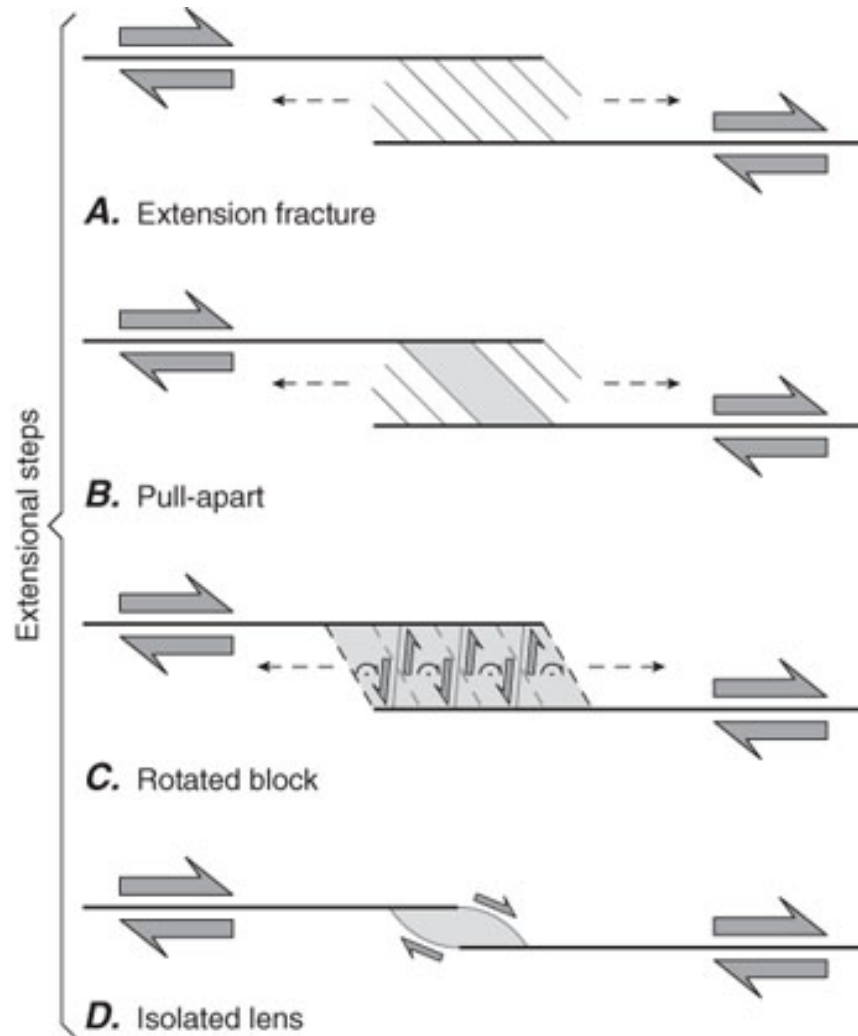
**B.** Normal dip-slip



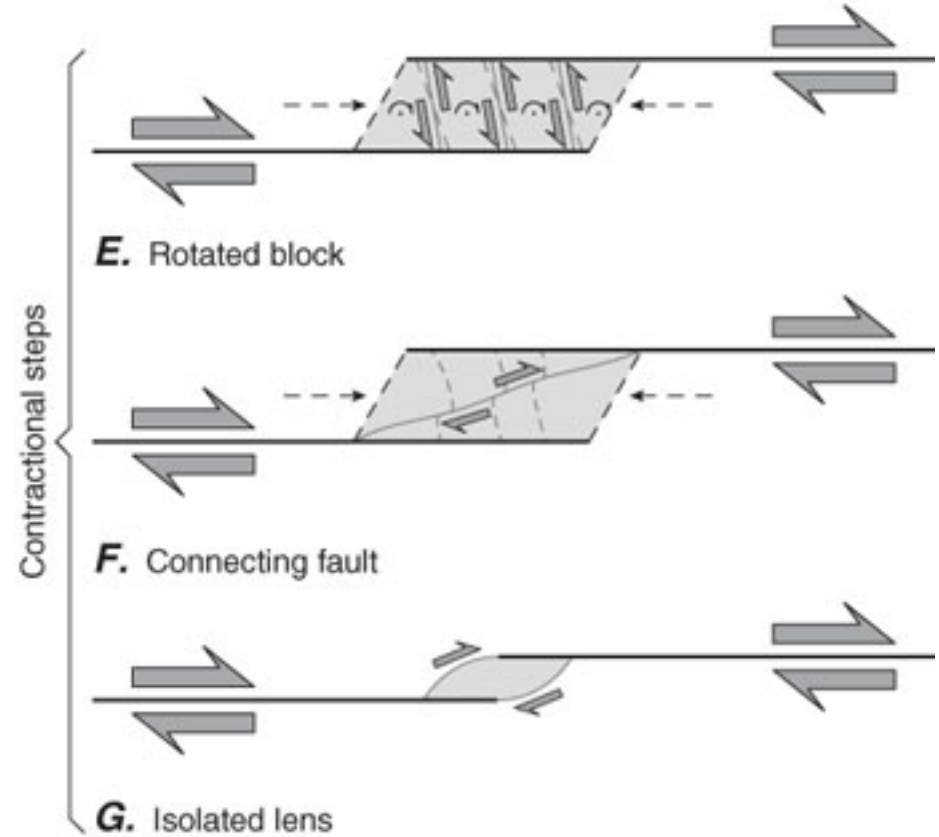
**C.** Strike-slip dextral

duplexes are bounded by 2 faults

# fault steps



extension



contraction

**normal faults**



# Definition

# Abschiebung

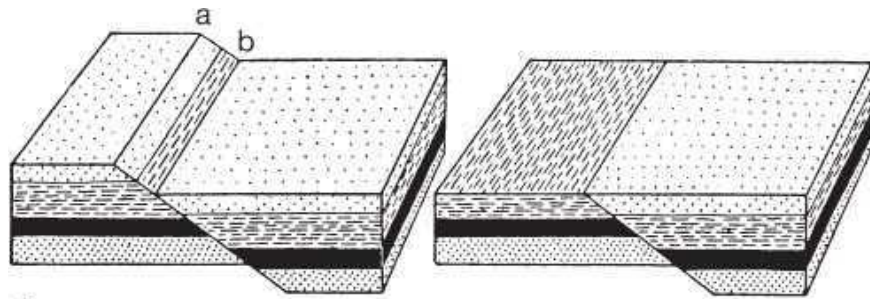
Normal Faults:

dominantly dip-slip faults

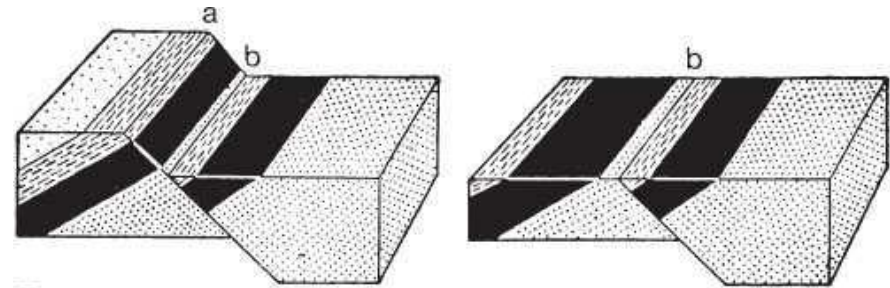
accommodate extension

# normal faults

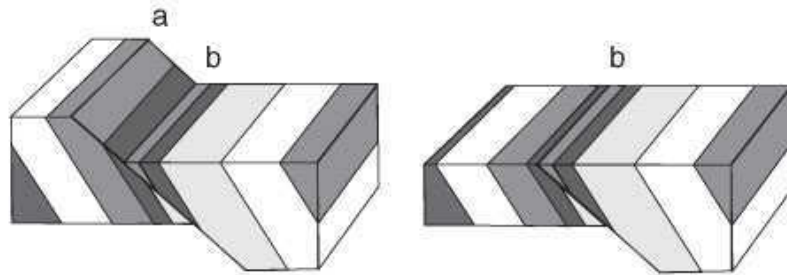
# Abschiebung



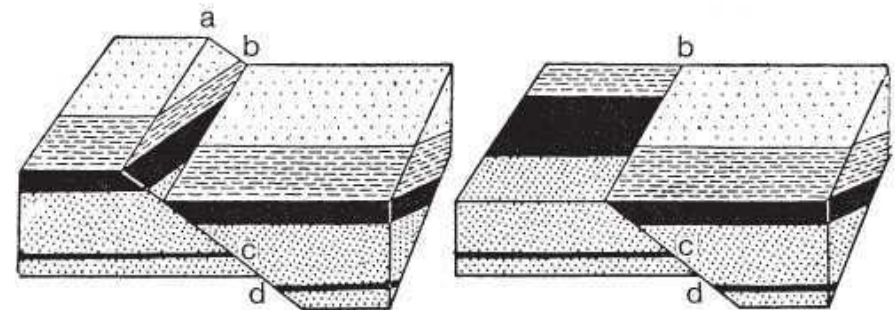
A.



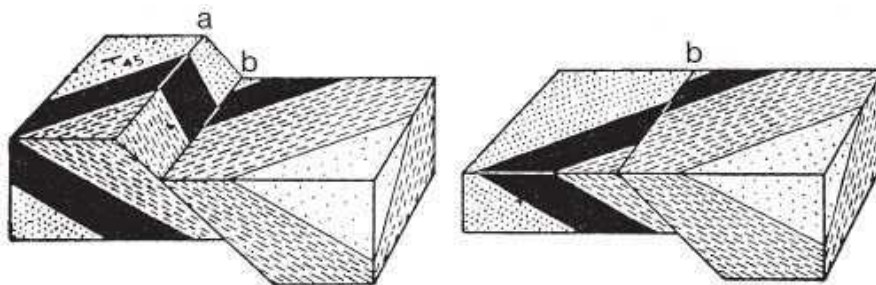
B.



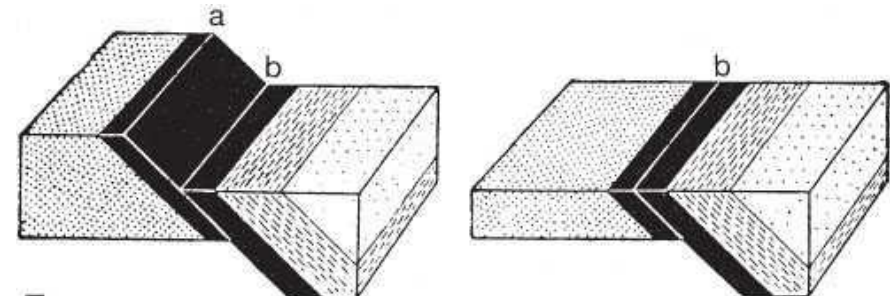
C.



D.

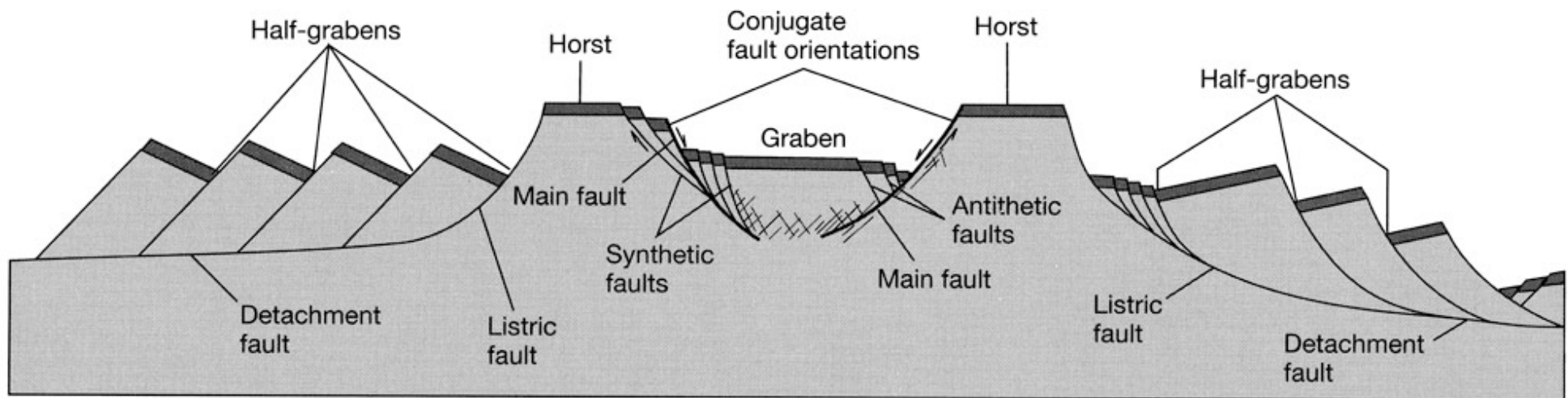


E.



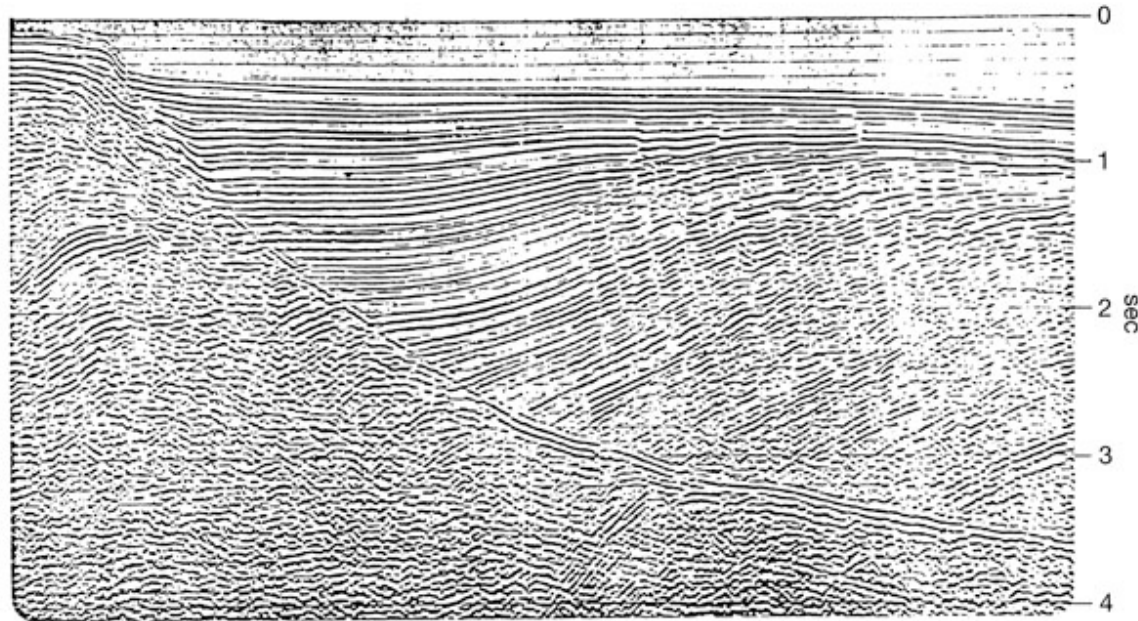
F.

# normal fault system



Horst, Graben, Halbgraben, listrische  
Abschiebung, Abscherhorizont

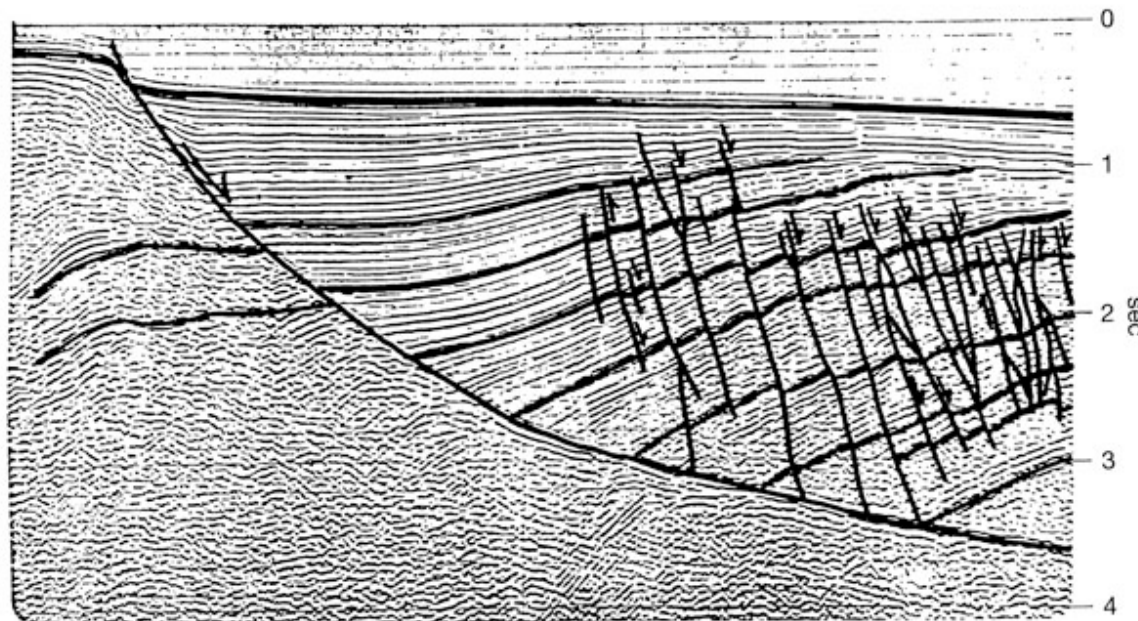
synthetisch - antithetisch



A.

**roll-over folds**

**(mostly anticlines)**



B.

**associated with  
listric faults**

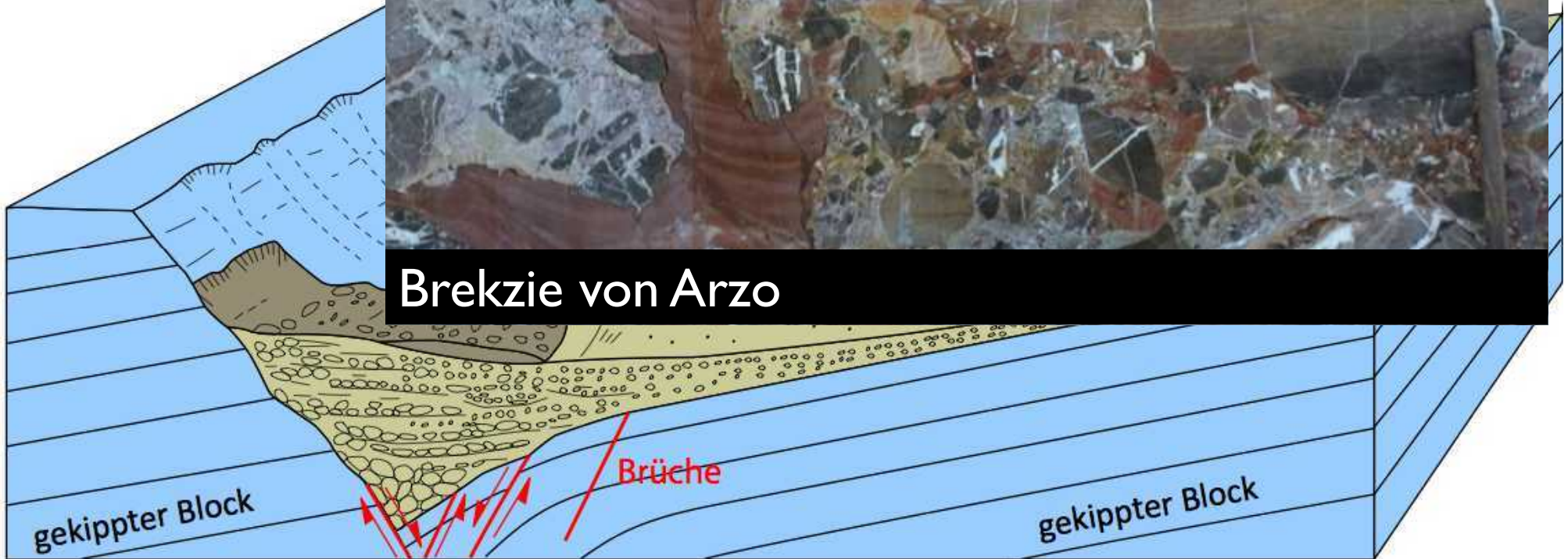
**(+ synthetic faults)**



# Schwelle von Arzo



Brekzie von Arzo



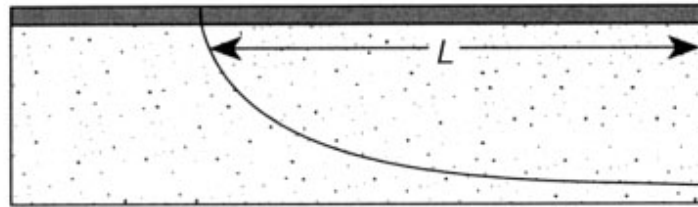
gekippter Block

Brüche

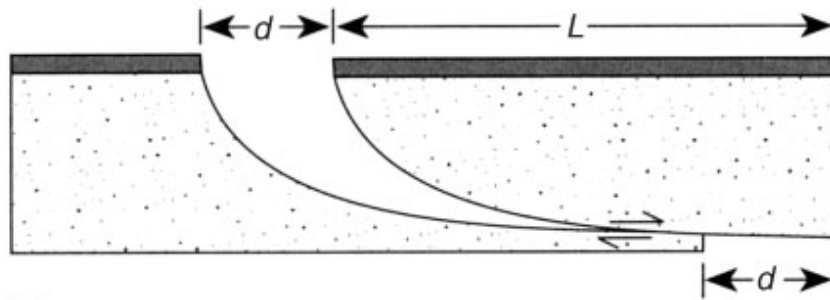
gekippter Block



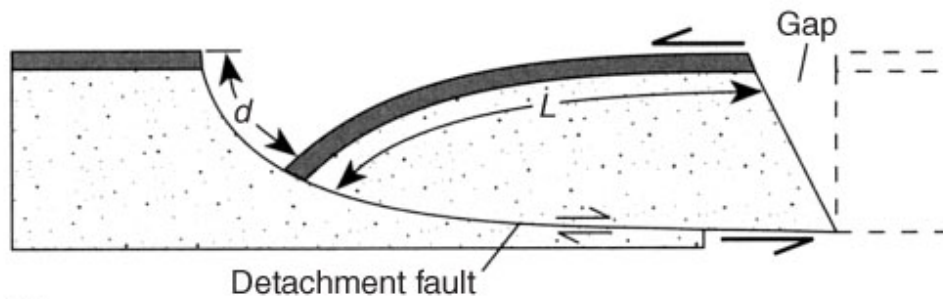
# roll-over folds



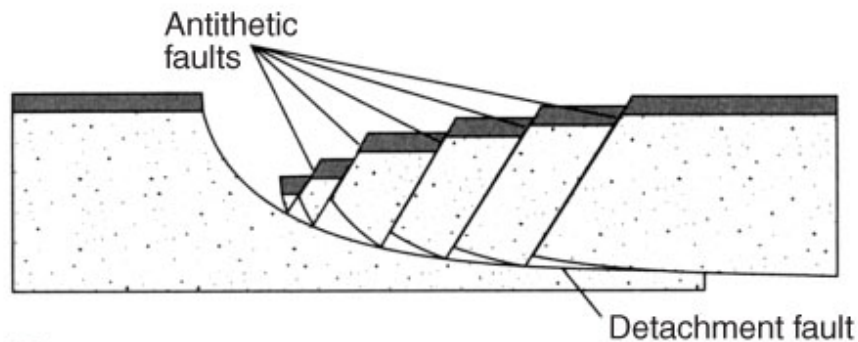
A.



B.



C.



D.

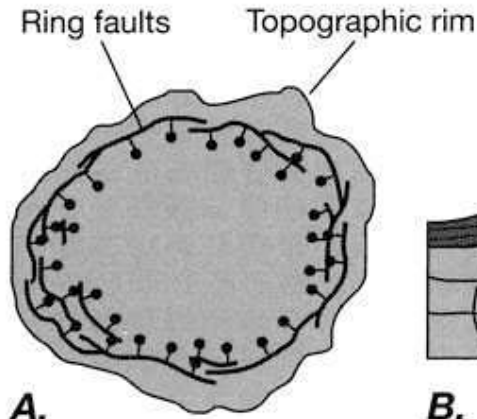
antithetische  
Flexur

antithetische  
Brüche

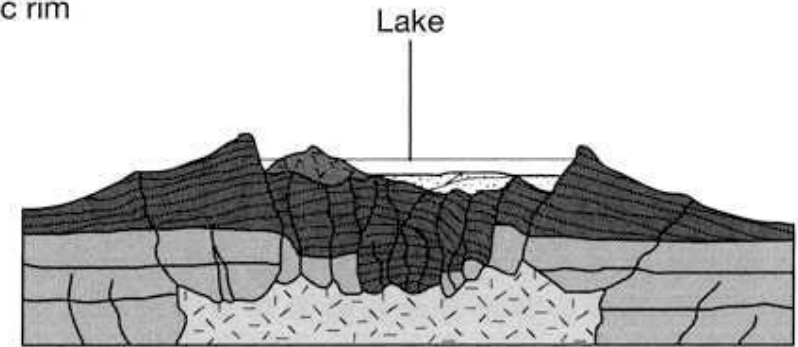
# normal faults



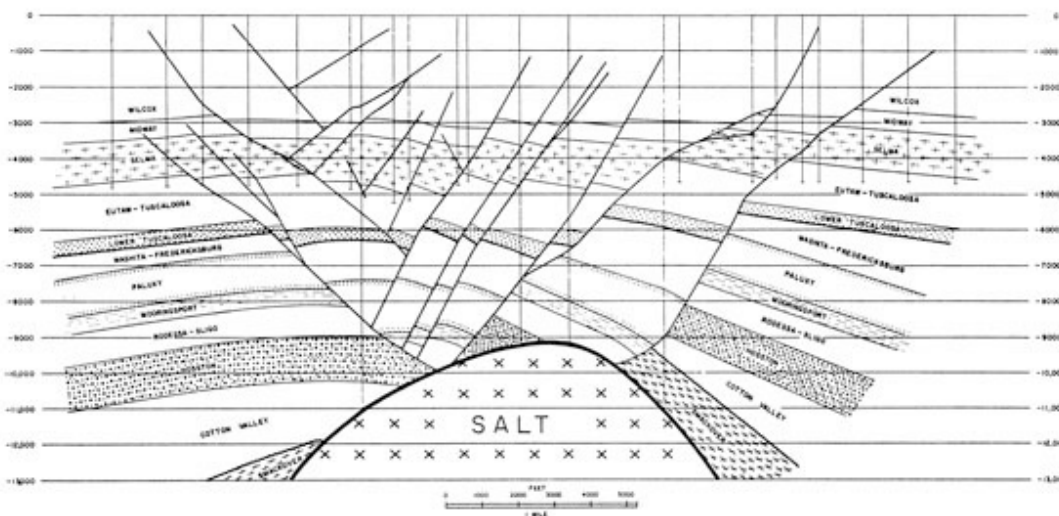
A.



A.



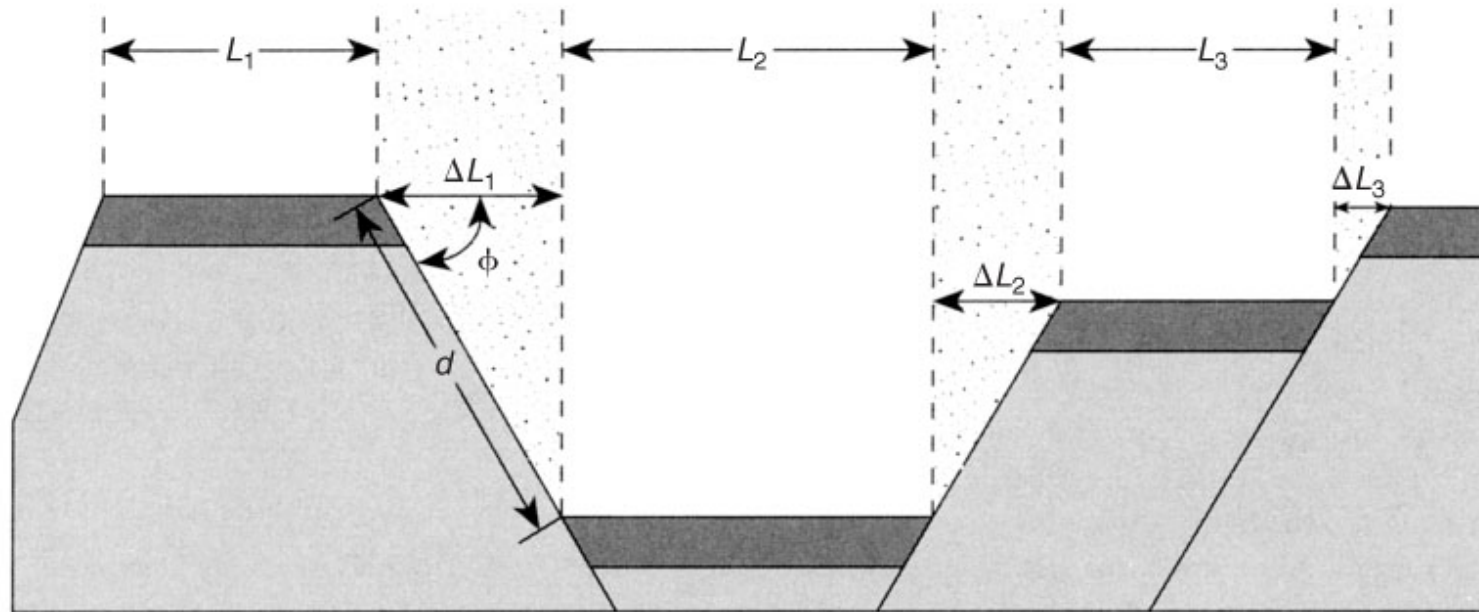
B.



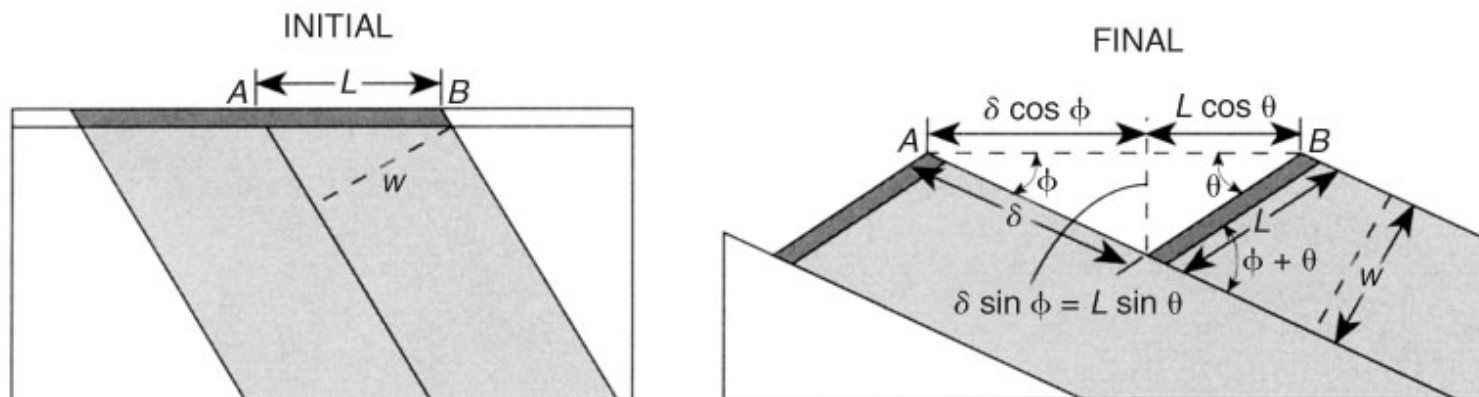
B.

associated with salt dome or intrusive body:  
consequence of uplift and crustal extension

# calculating extension



A.



B.

**reverse faults**

# Definition

# Auf- / Überschiebung

reverse or thrust faults:

commonly put older rocks over younger rocks

Reverse faults accommodate crustal shortening

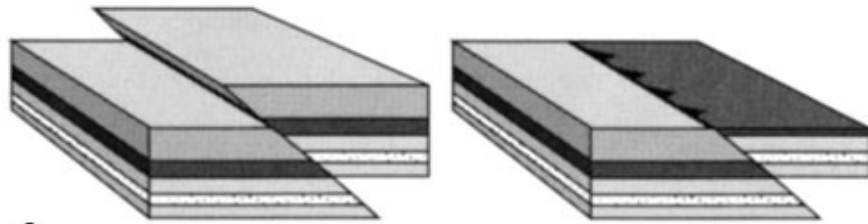
Large areas of thrusting rocks = nappes

reverse fault:  $> 45^\circ$  inclination

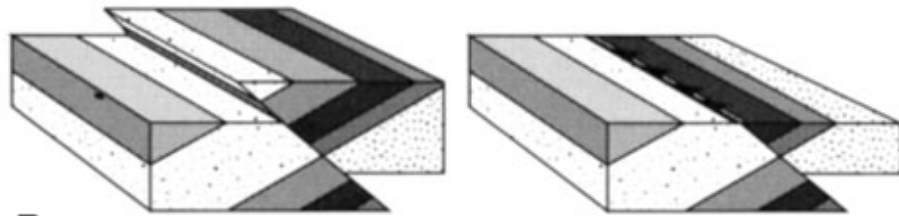
thrust:  $\sim 30^\circ$  ( $< 45^\circ$ ) inclination



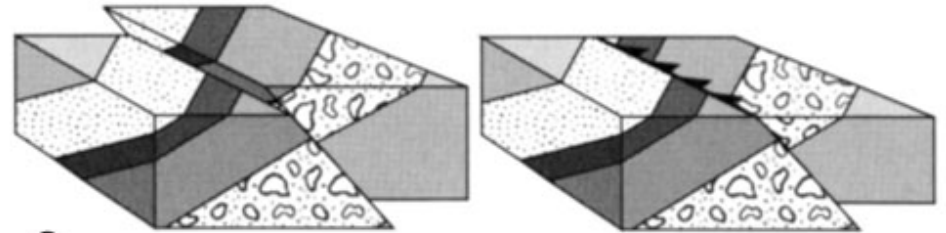
# revers fault / thrust Auf- / Überschiebung



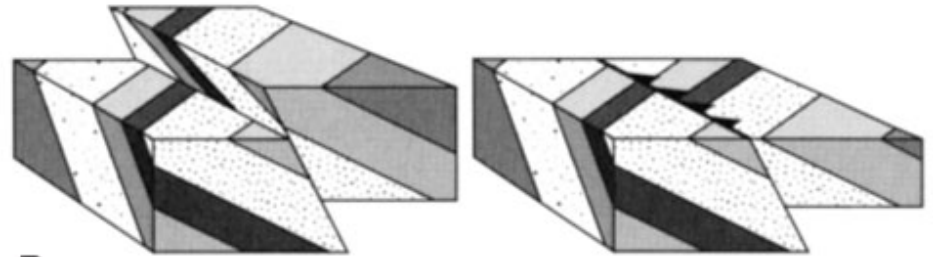
A.



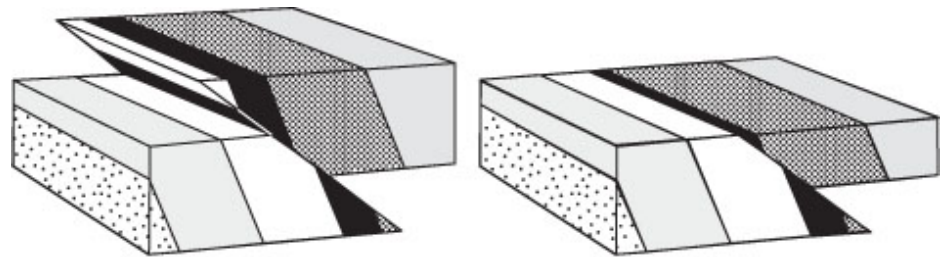
B.



C.

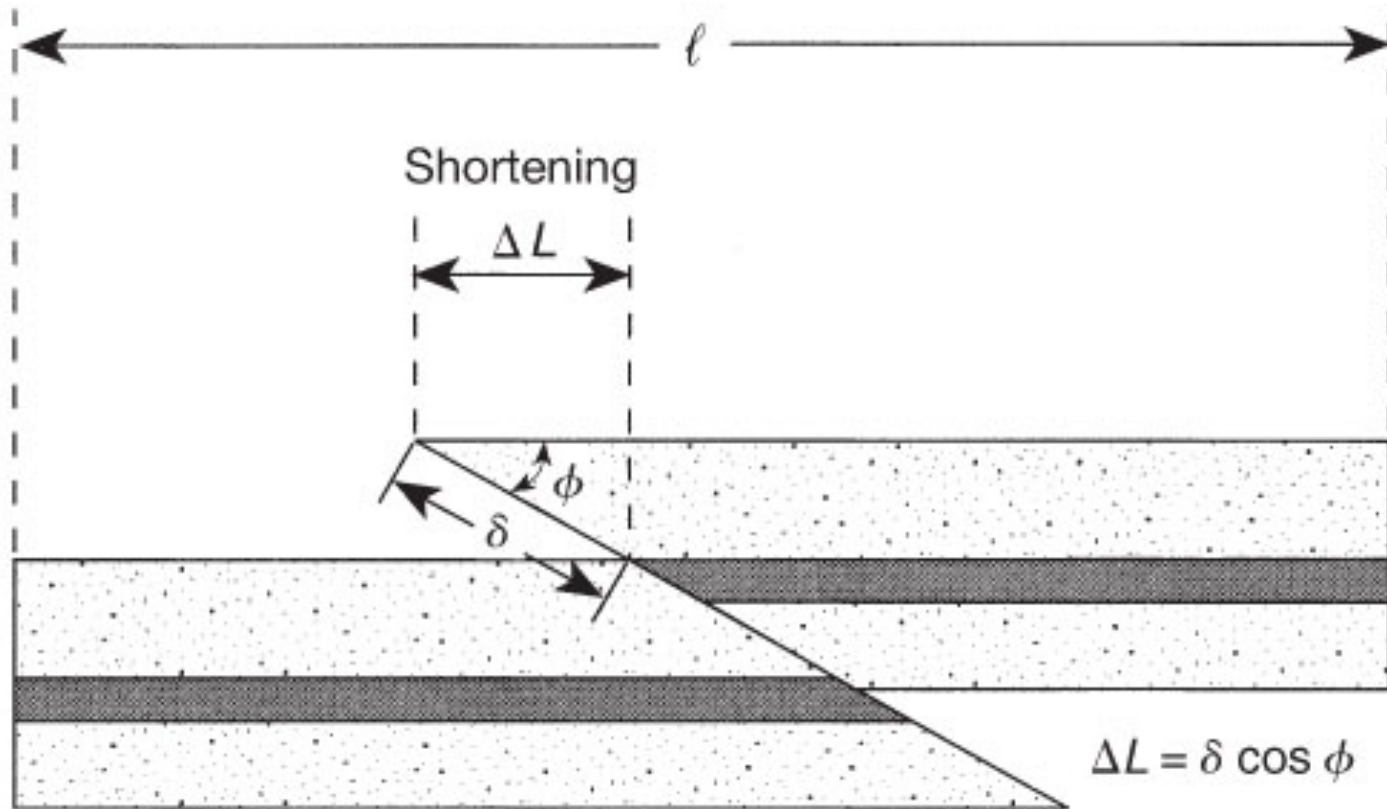


D.



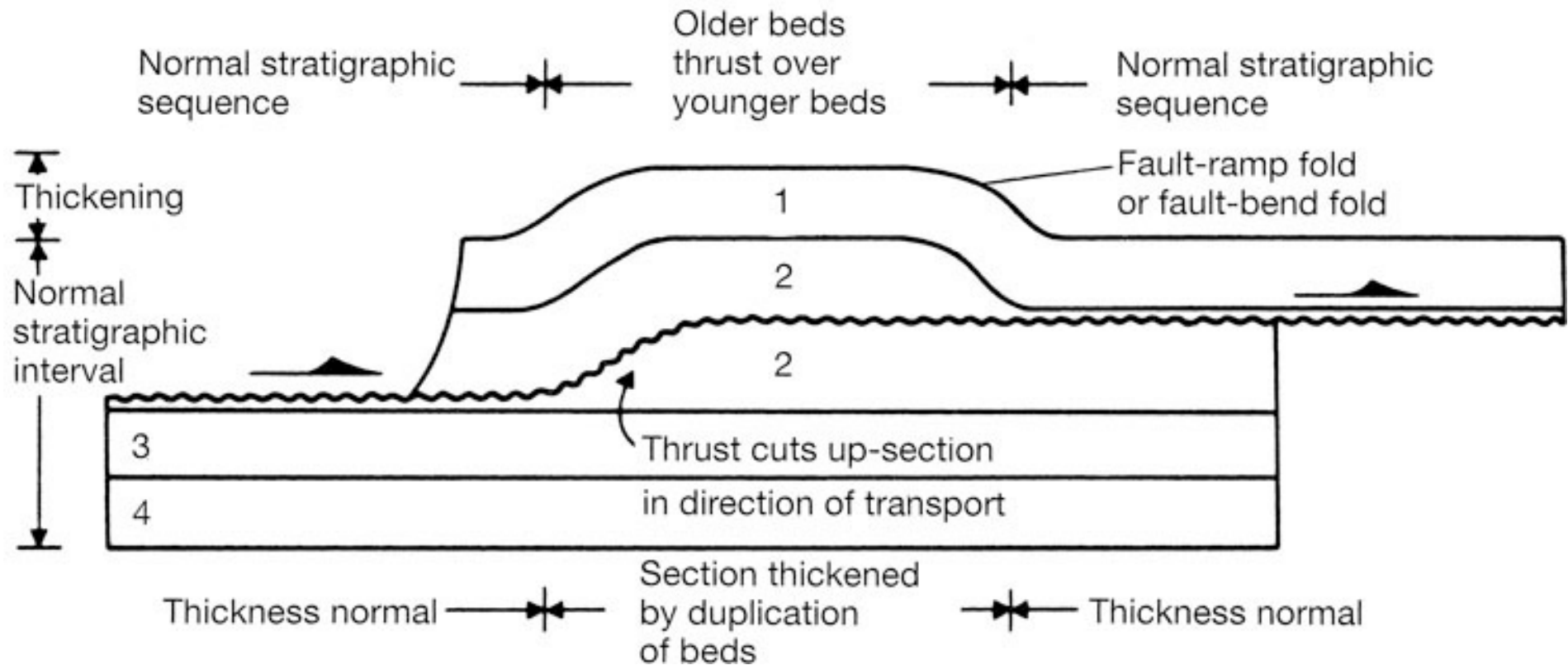
E.

# Verkürzung

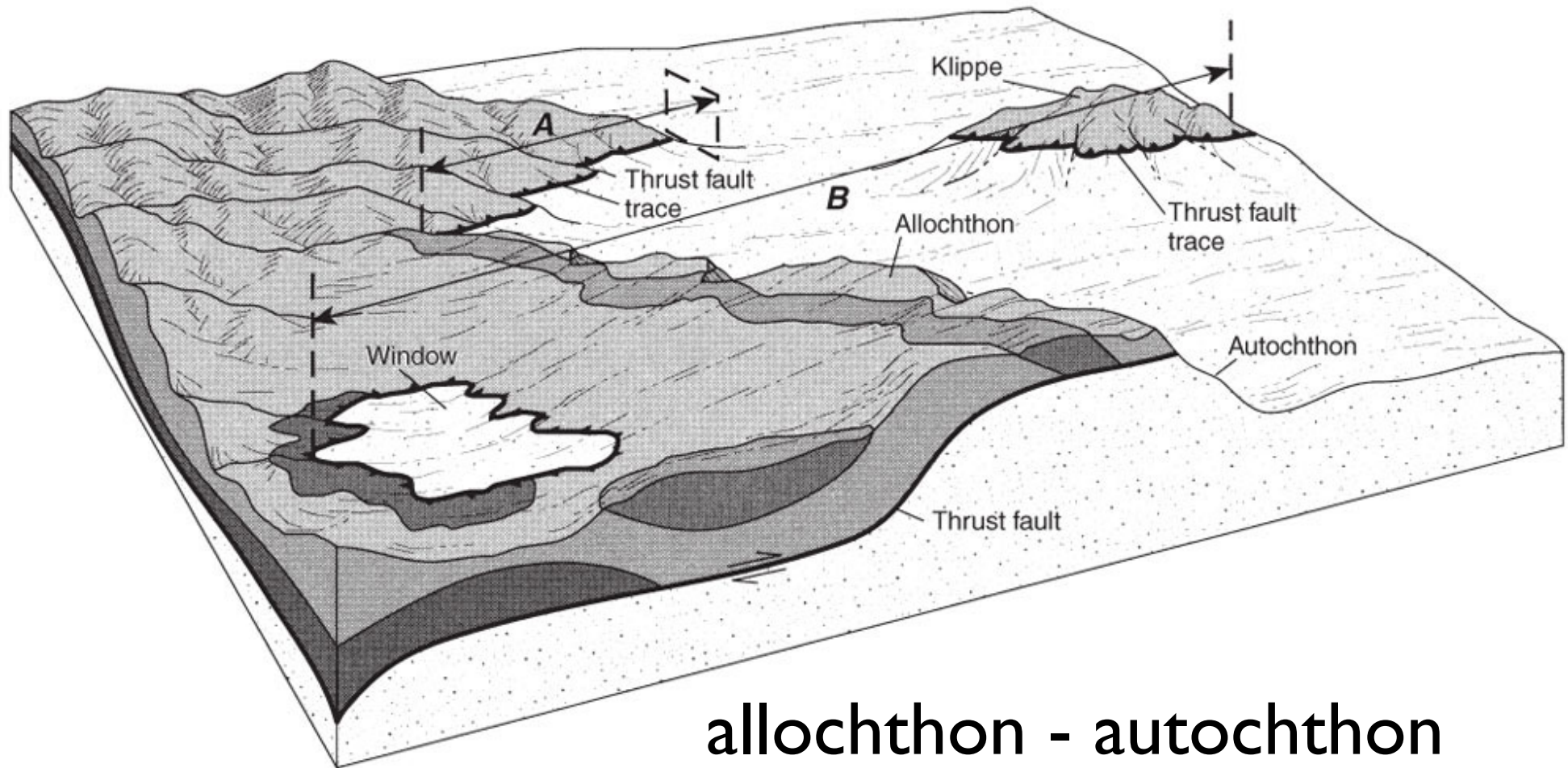


determination of shortening in thrust systems

# Überschiebungsgeometrie



# Fenster und Klippe



allochthon - autochthon

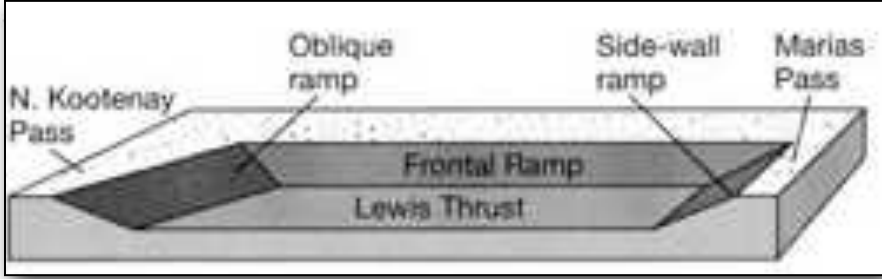
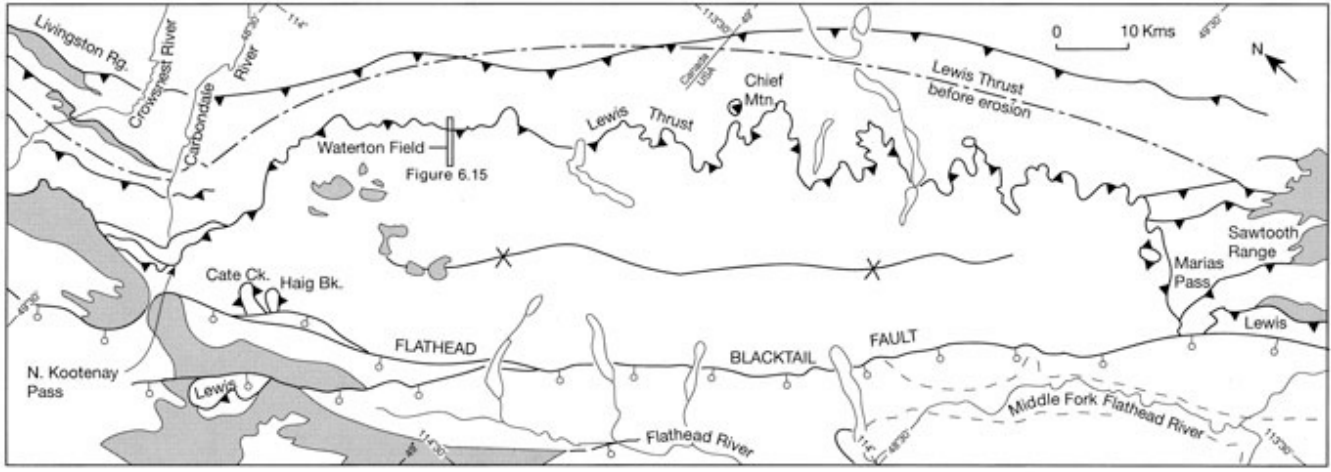
ἄλλος allos = anders, verschieden

αὐτός autós = selbst

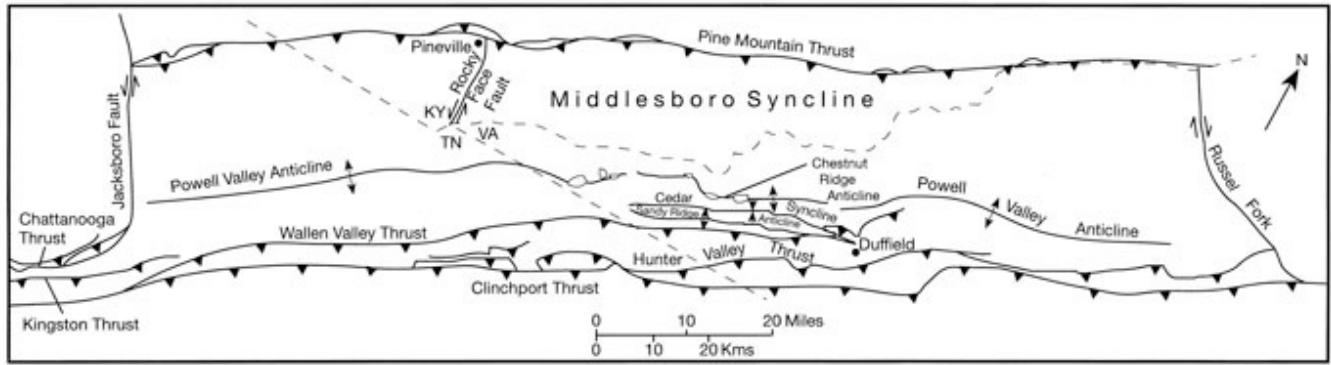
χθών chthōn = Erde

ramps and flats

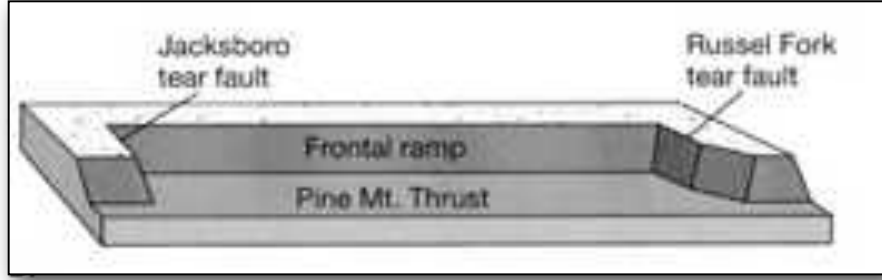
Rampe  
Flachbahn



Lewis Thrust

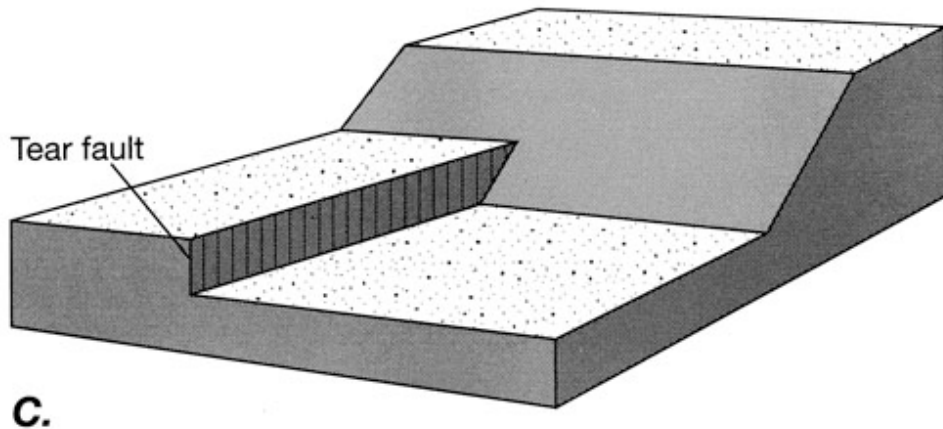
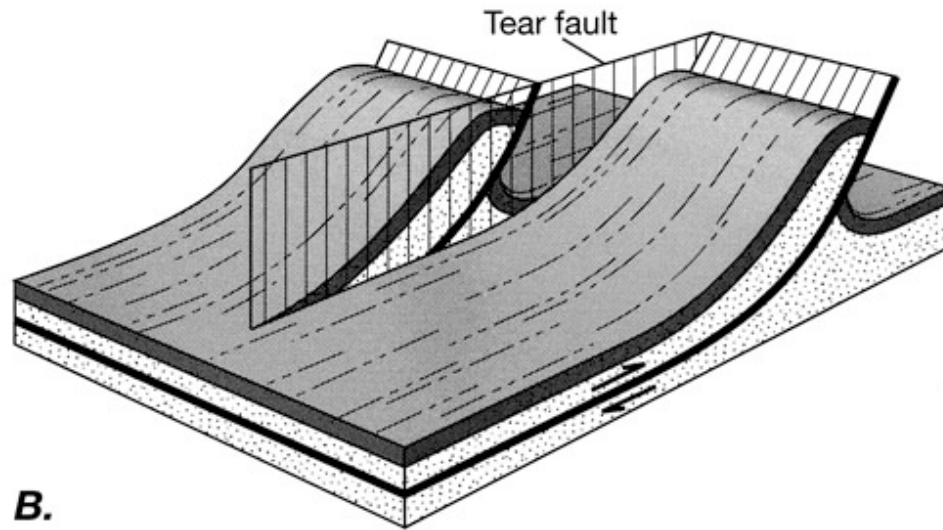
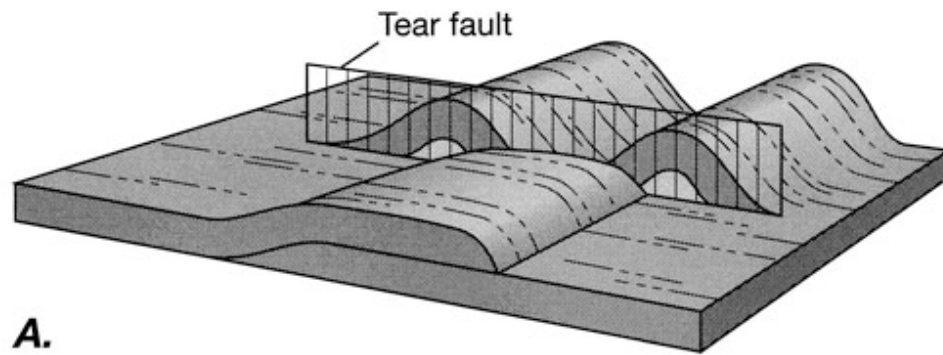


frontal ramp  
lateral ramp  
(side-wall ramp)



Pine Mountain Thrust





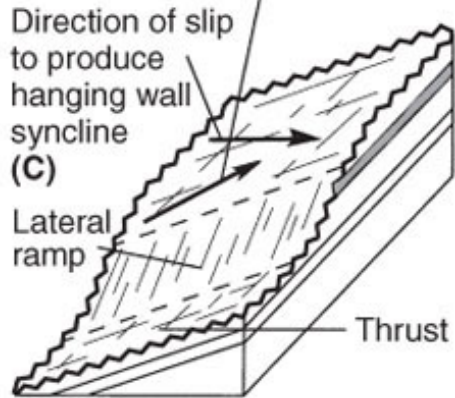
# tear fault

## Querverschiebung

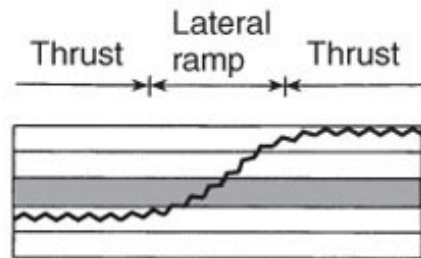
= local structure  
accommodates  
differential  
displacement  
along fault

# hanging wall anticline / syncline

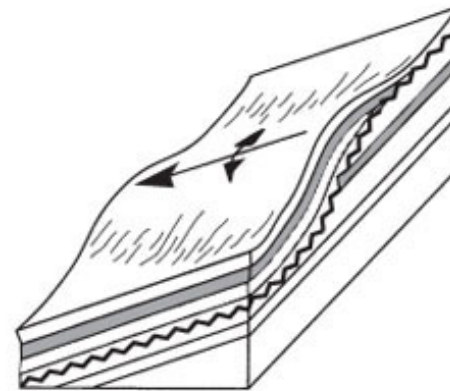
Direction of slip to produce hanging wall anticline (B)



**A.** Fault plane

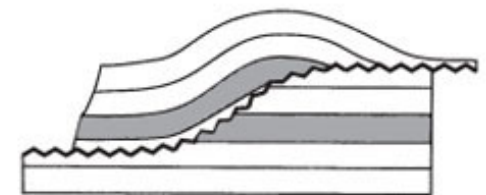


Longitudinal section prior to slip

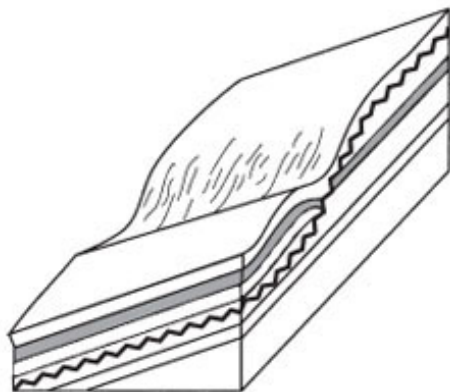


Hanging wall anticline

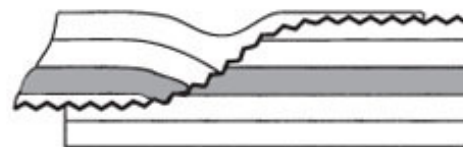
**B.**



Anticline due to oblique slip up lateral ramp



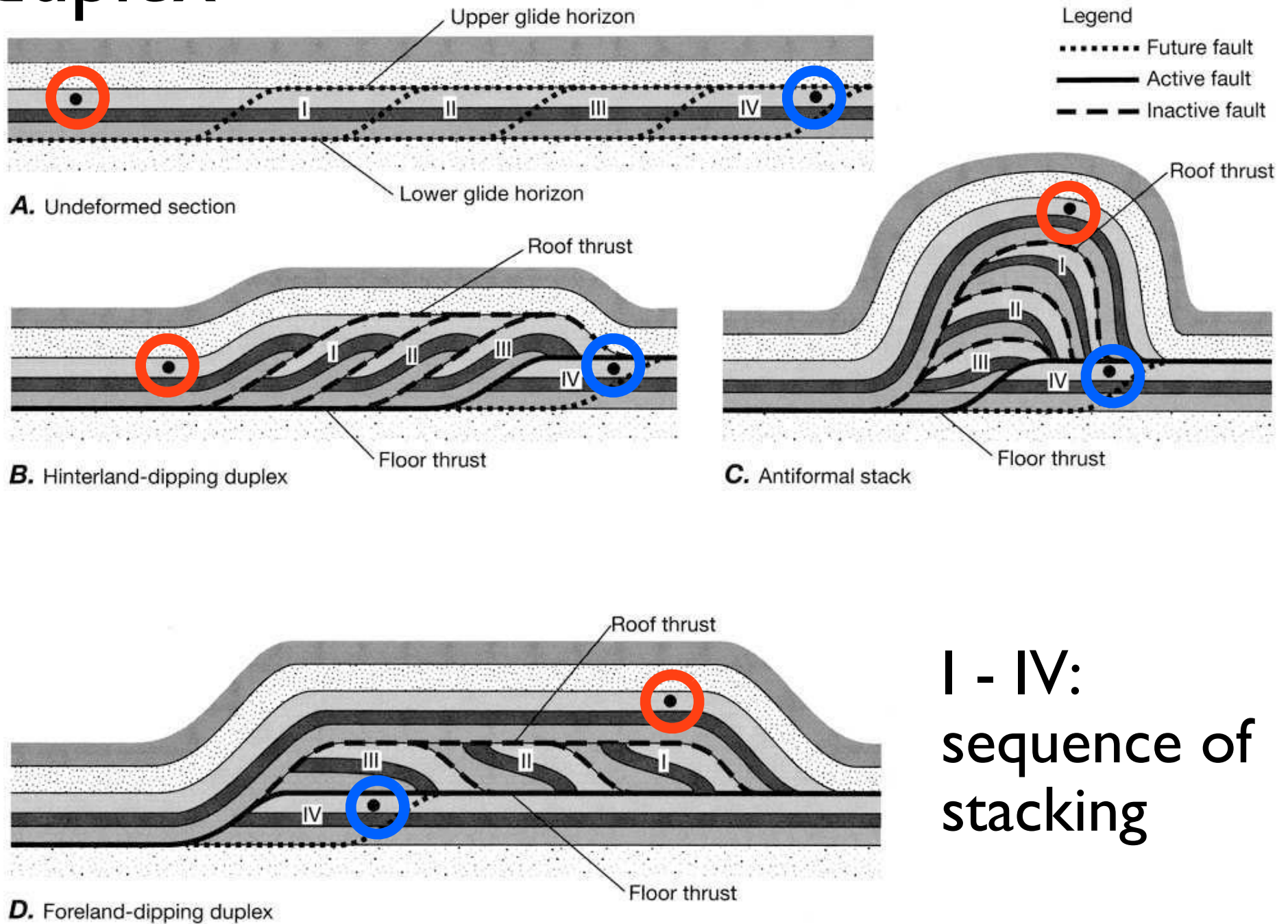
Hanging wall syncline



Syncline due to oblique slip down lateral ramp

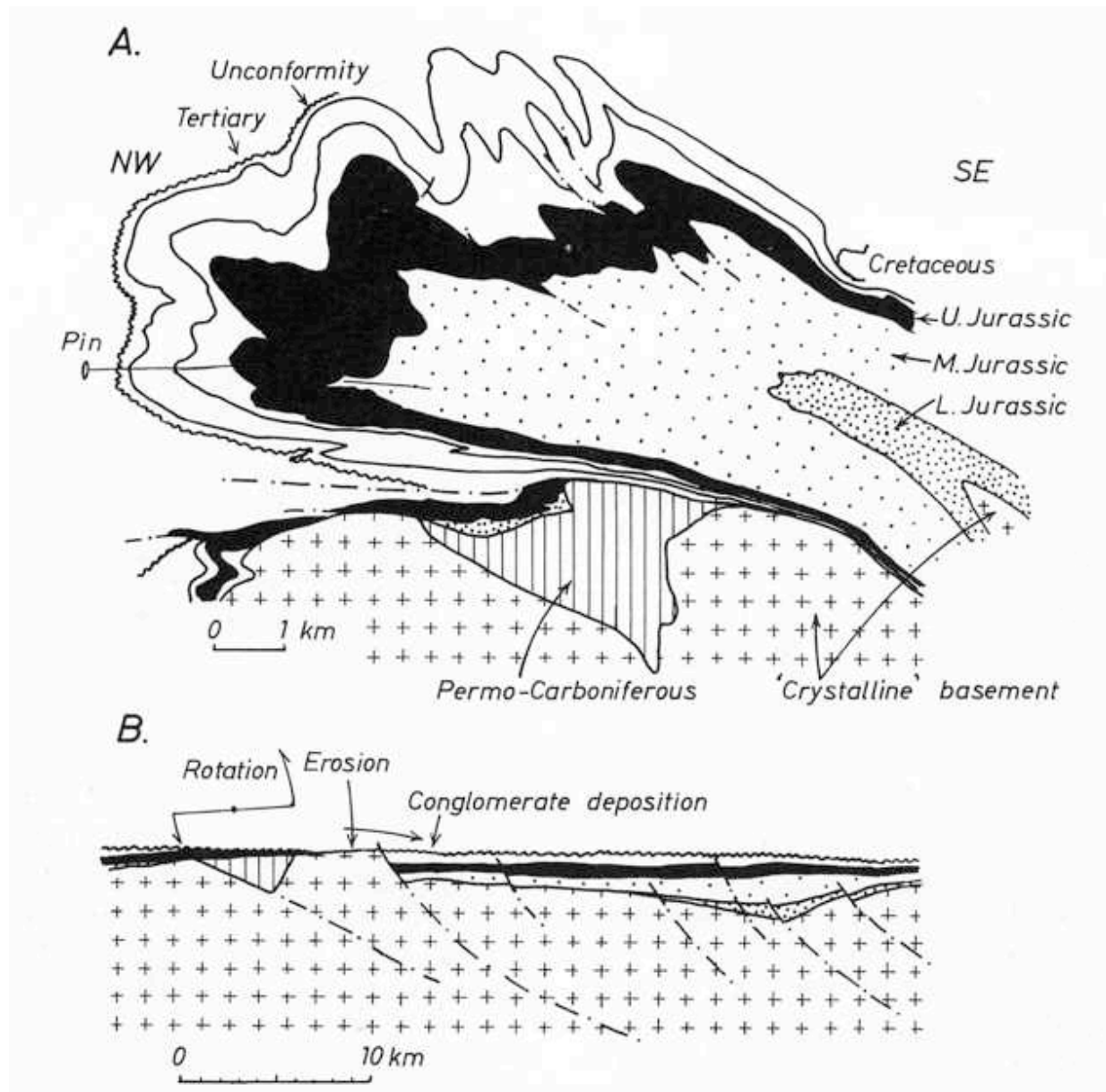
**C.**

# duplex



# fold nappe

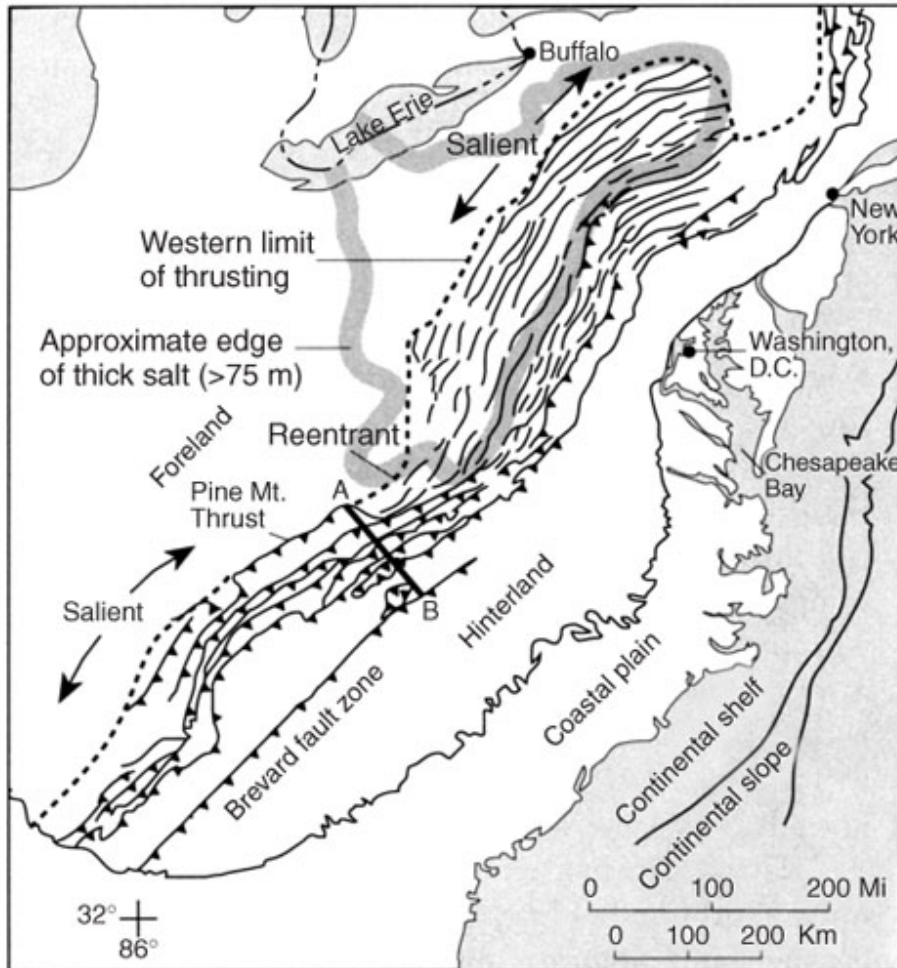
# Faltendecke



Morcles nappe,  
Switzerland

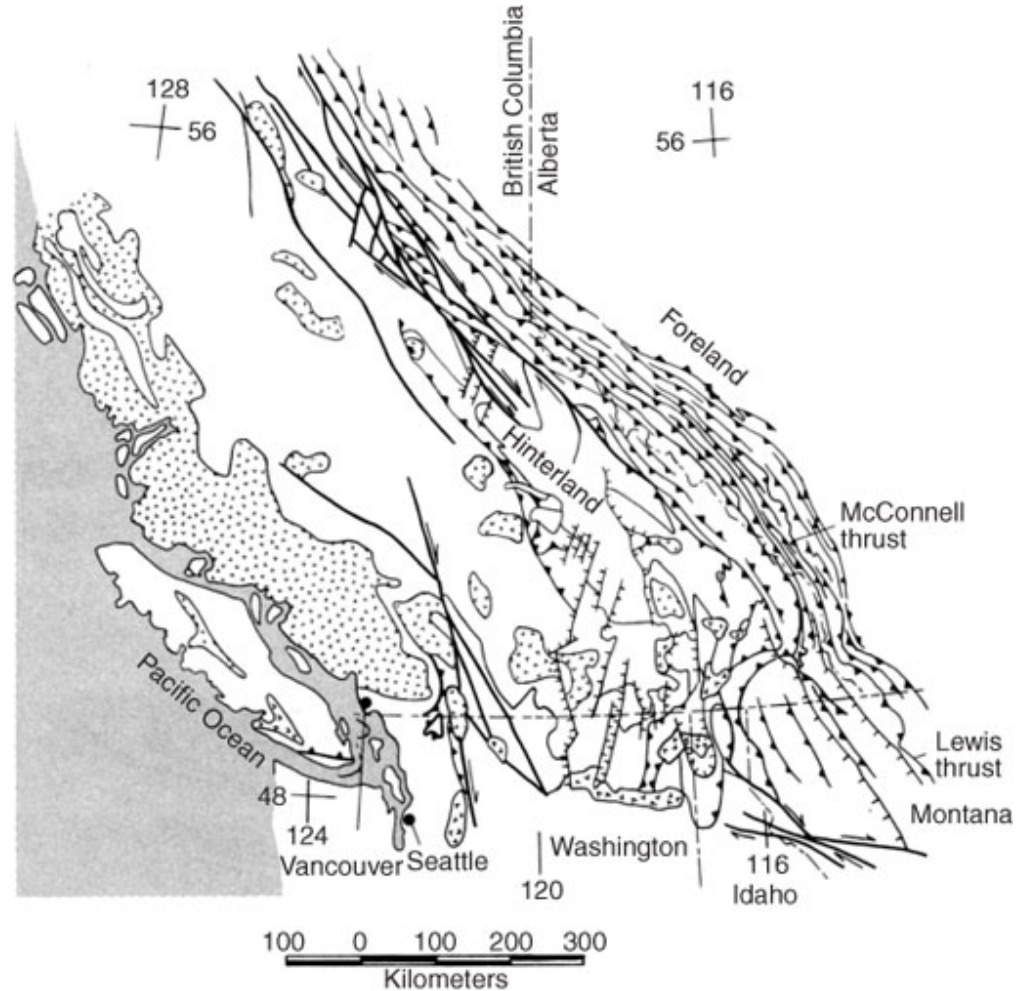


# regional thrust fault systems



A.

Appalachians

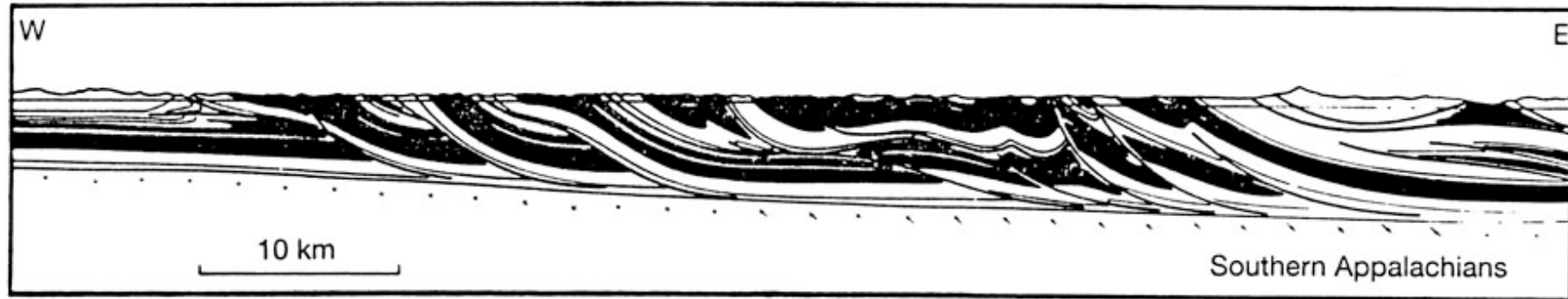


B.

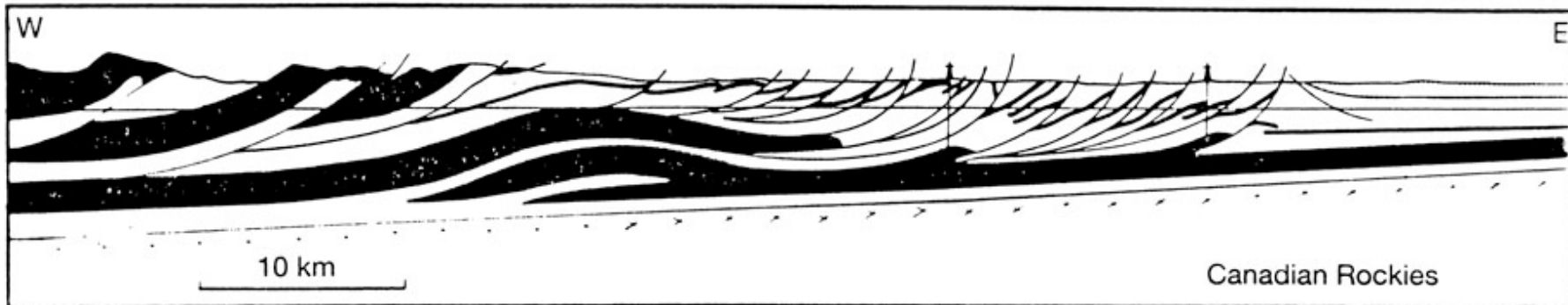
Canadian Rockies



# regional thrust fault systems



**A.**

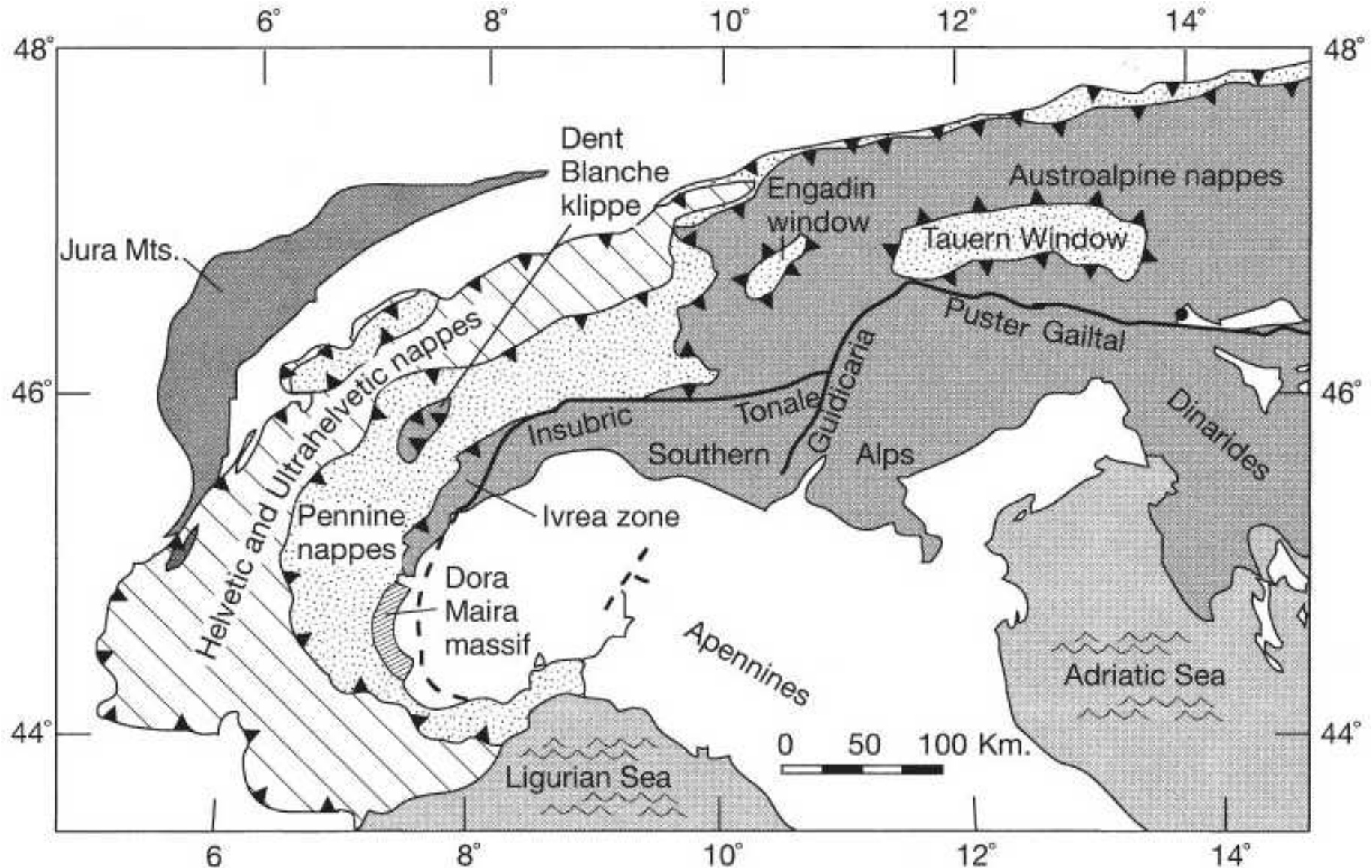


**B.**

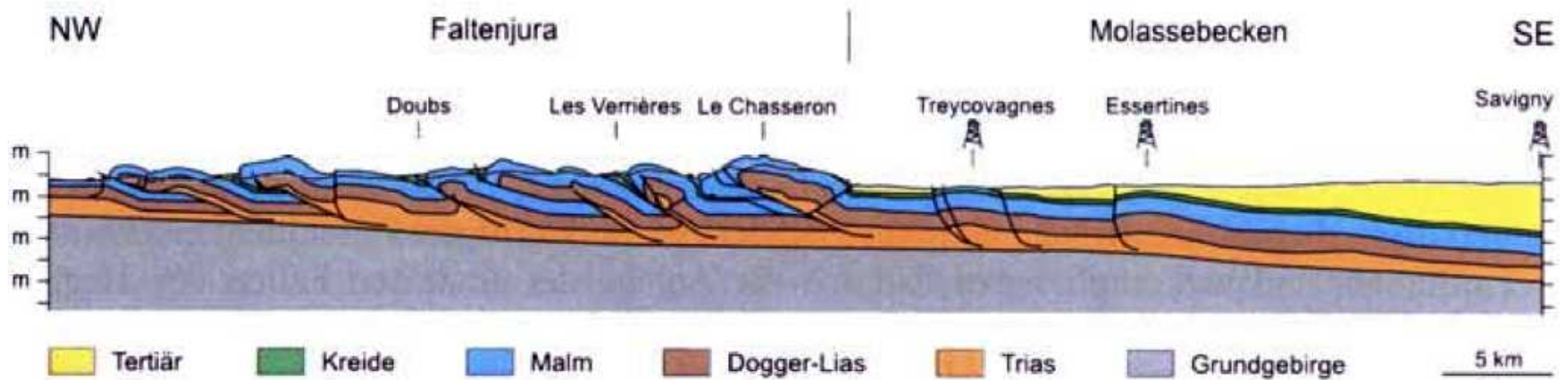
Appalachians

Canadian Rockies

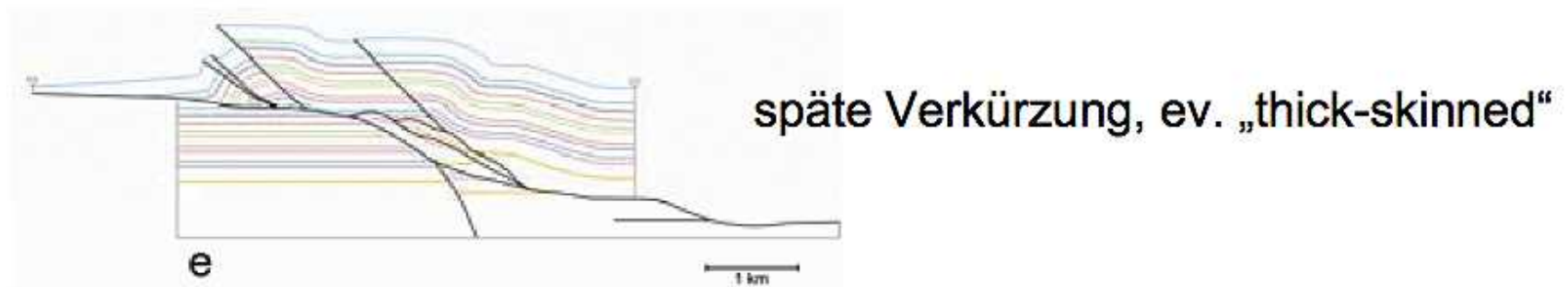
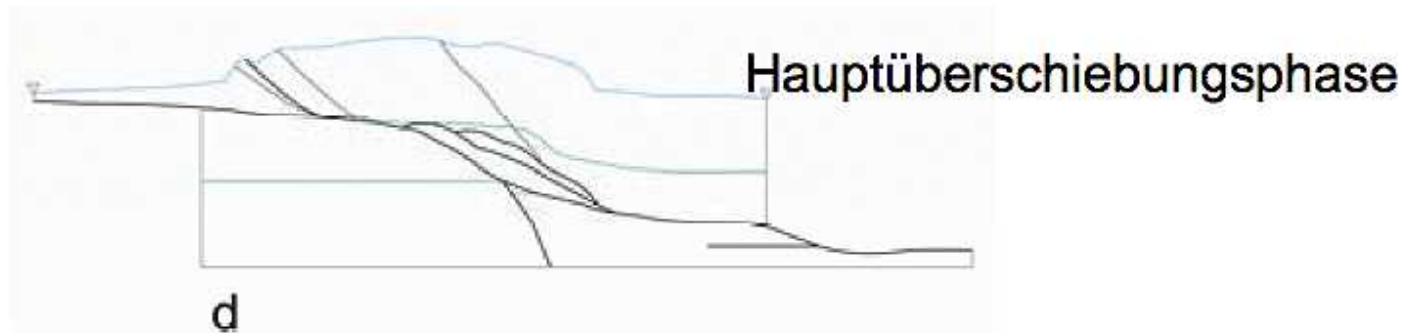
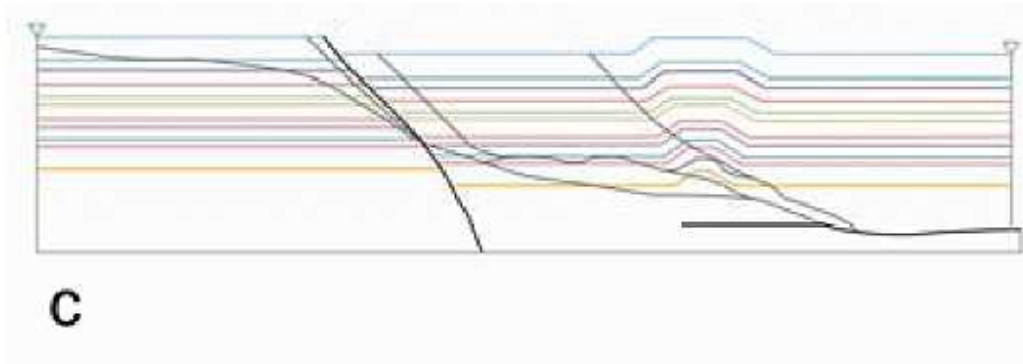
# regional thrust fault systems



# regional thrust fault systems



# regional thrust fault systems



**strike - slip faults**

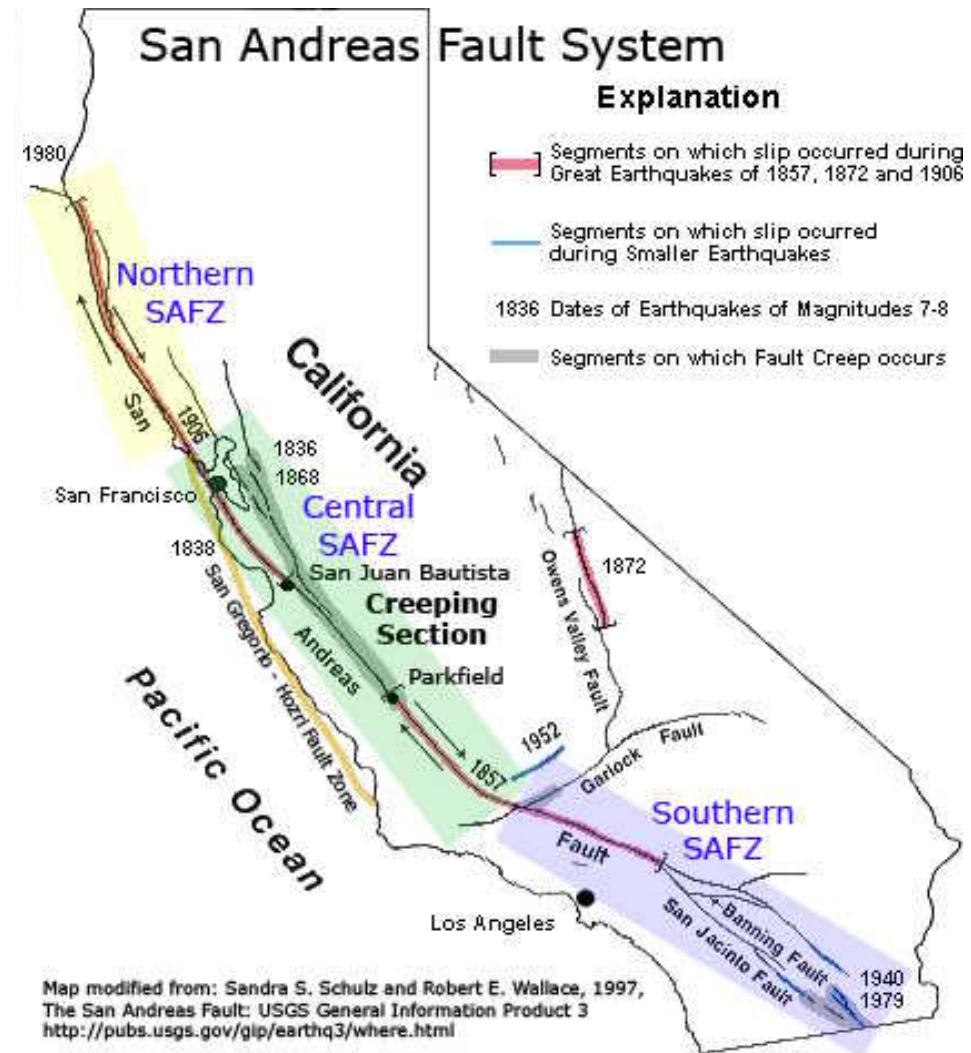
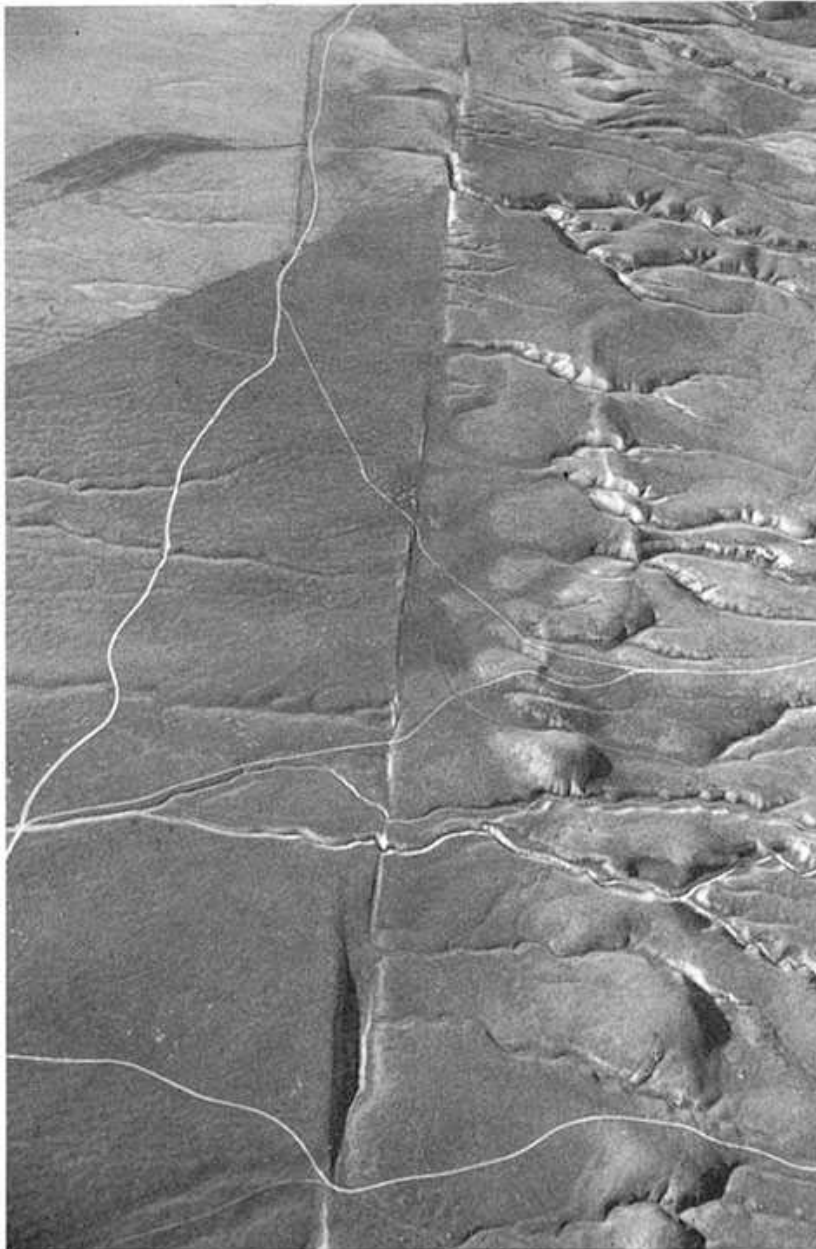


# Definition

# Blattverschiebung

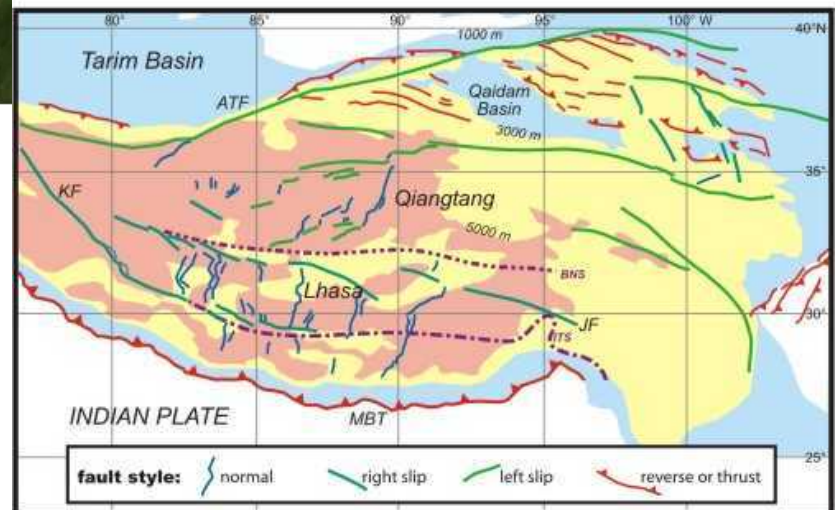
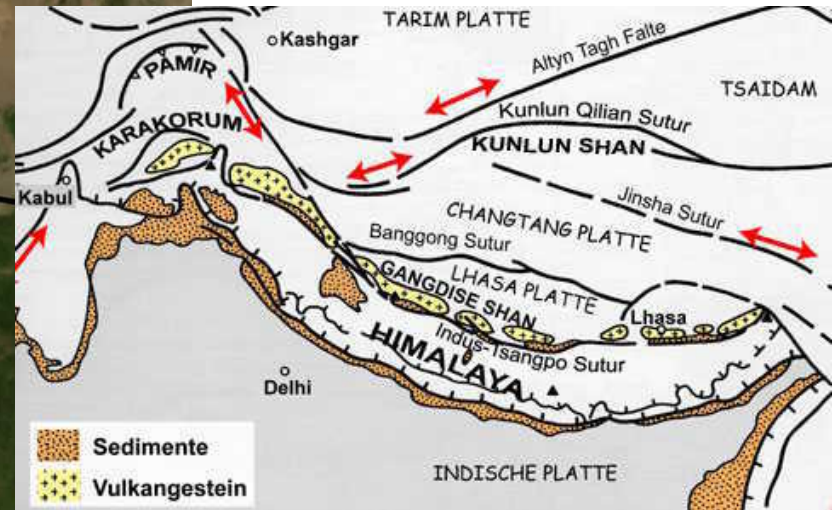
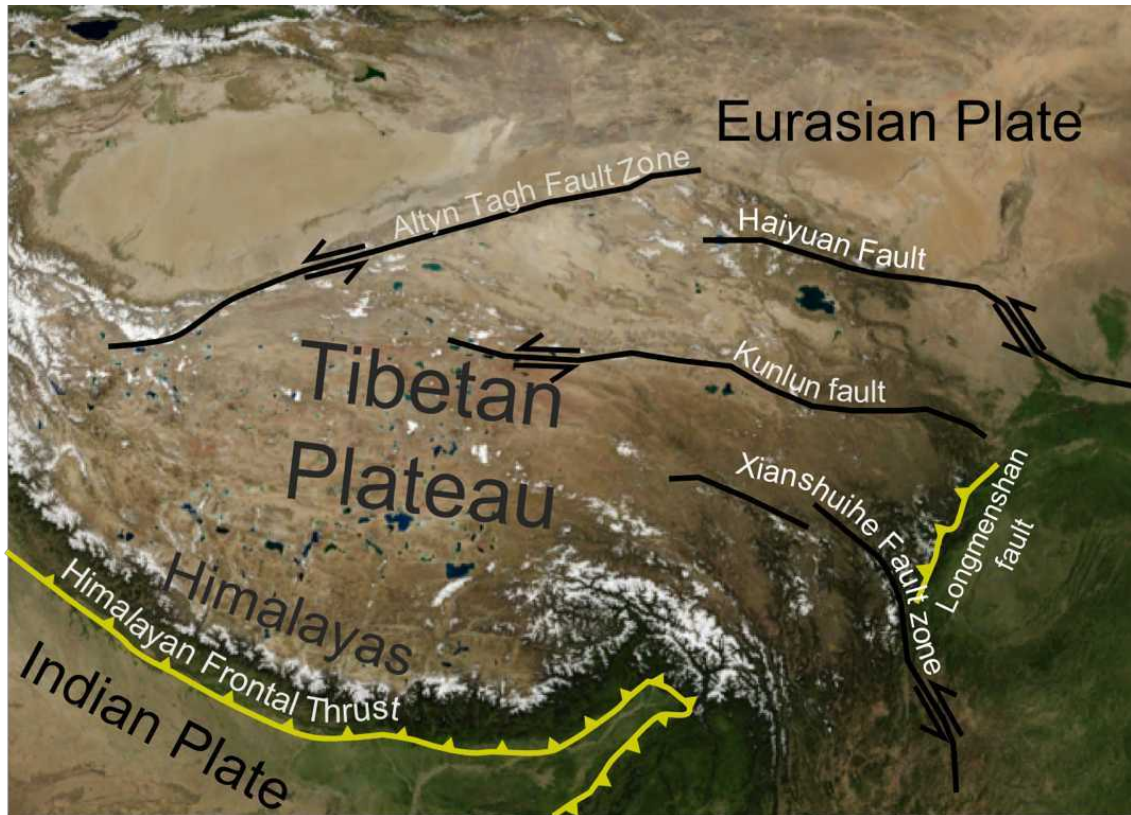
Approximately vertical faults  
with horizontal displacement

# strike slip faults



## San Andreas Fault

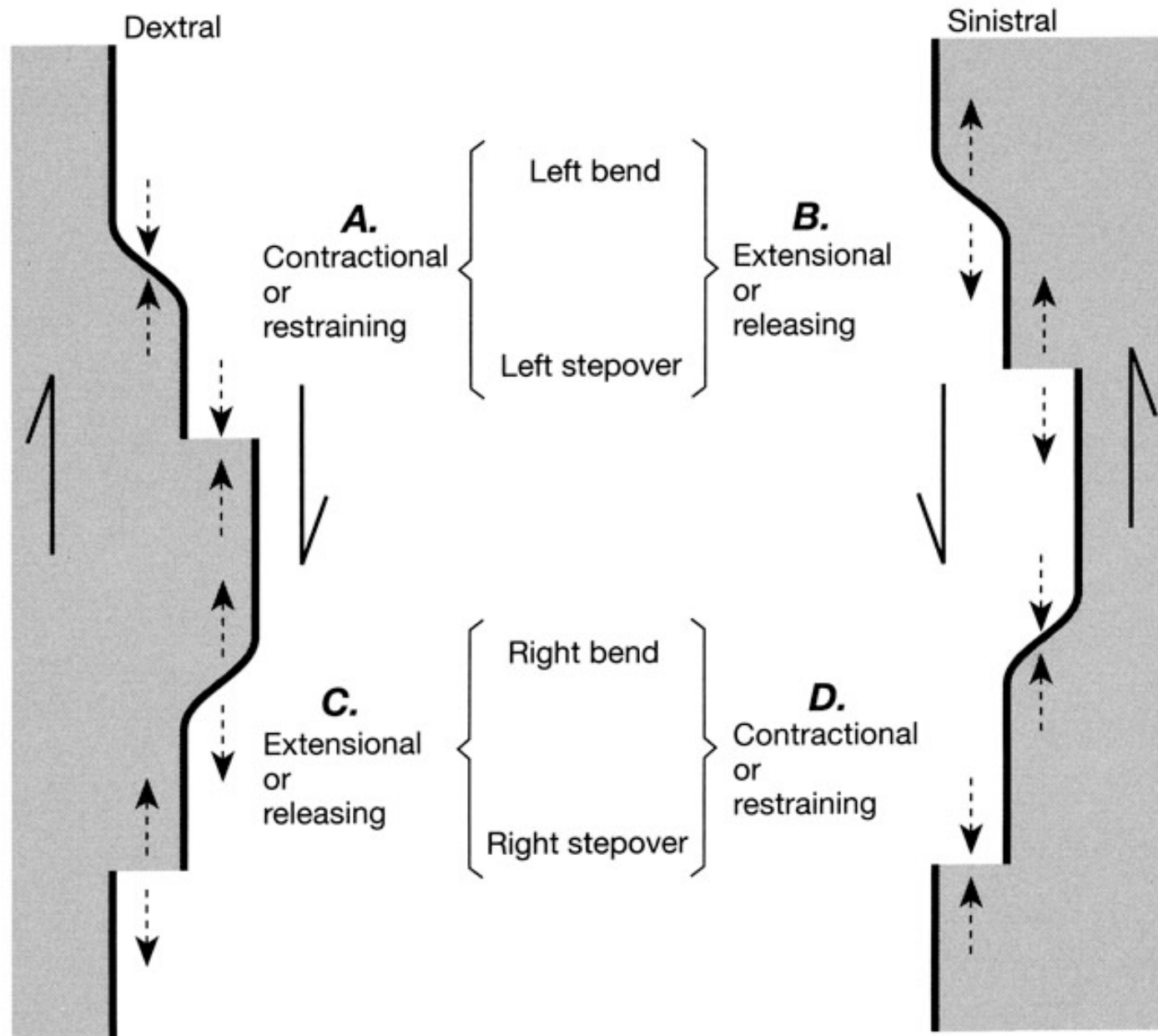
# strike slip faults



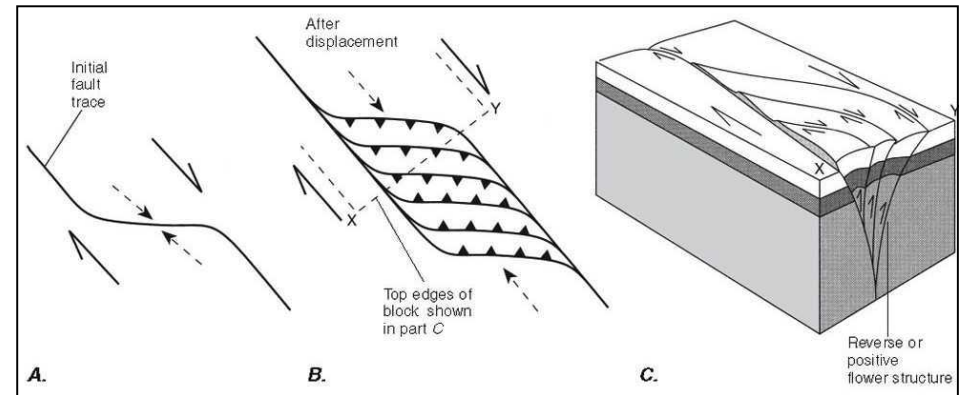
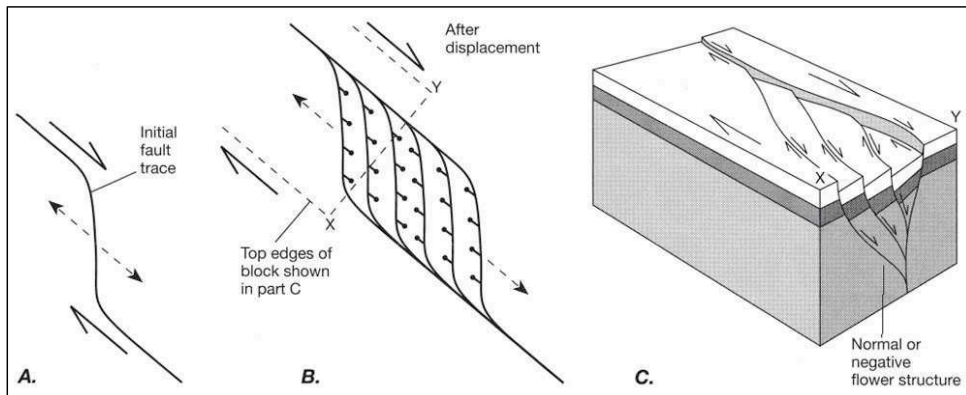
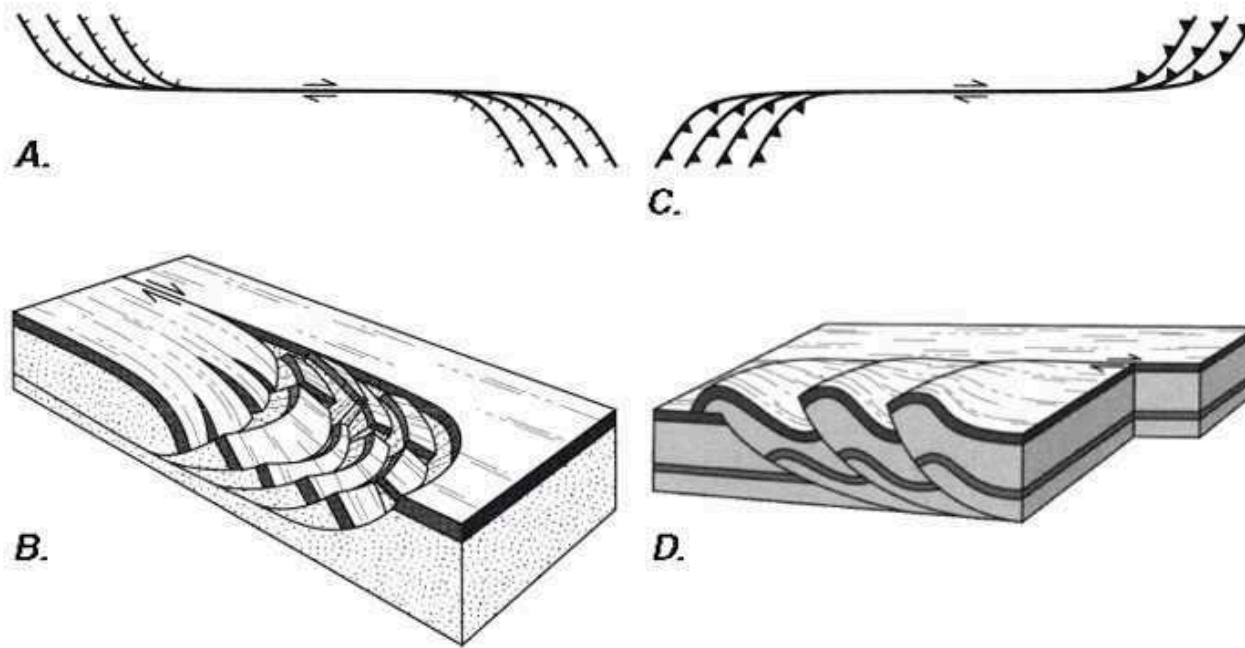
Altyn Tagh Fault



# bends in fault surface

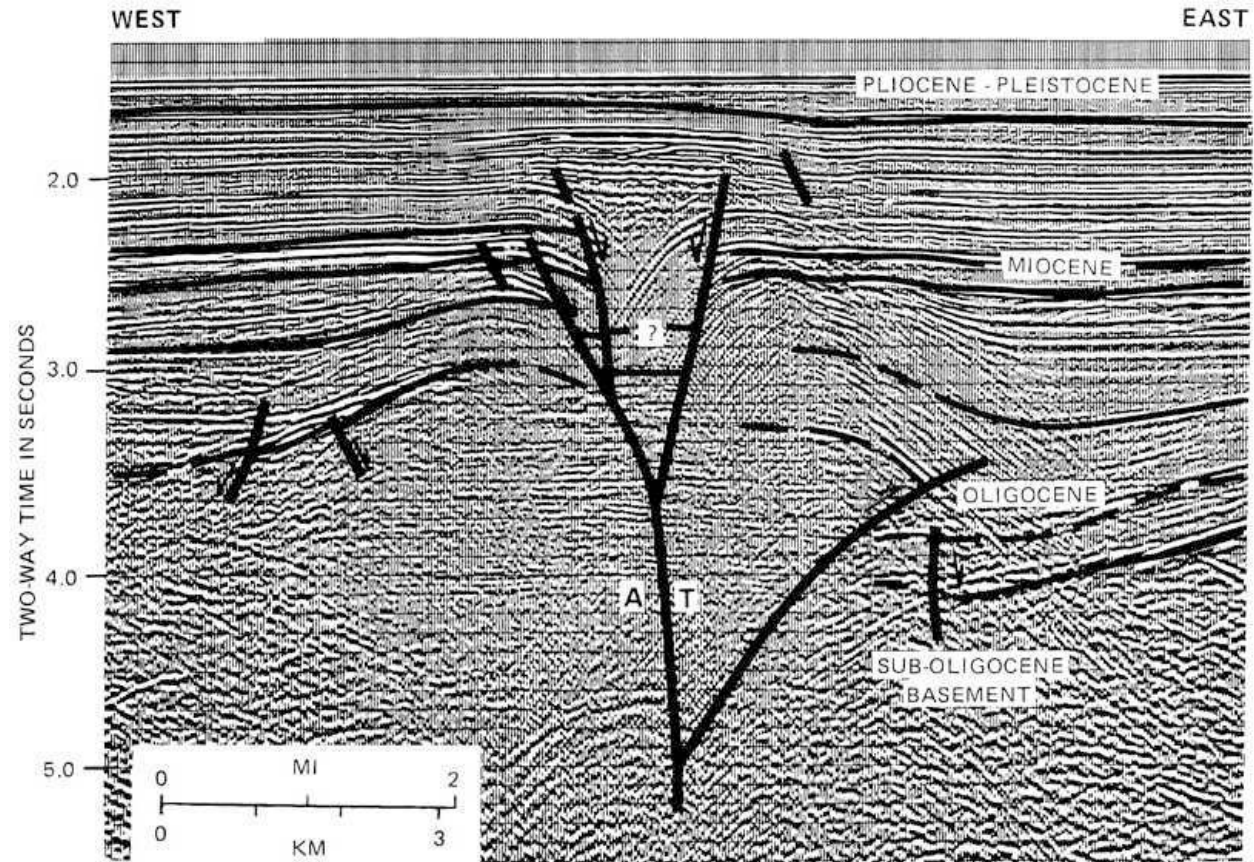
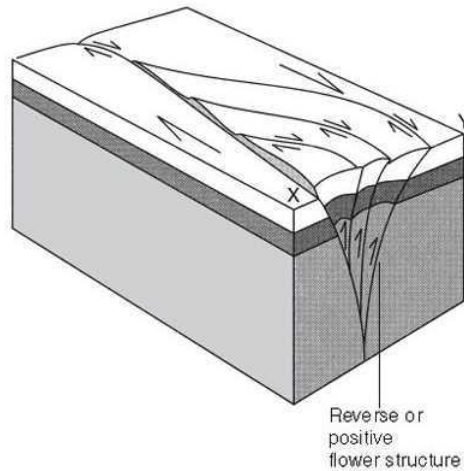


# bends in fault surface





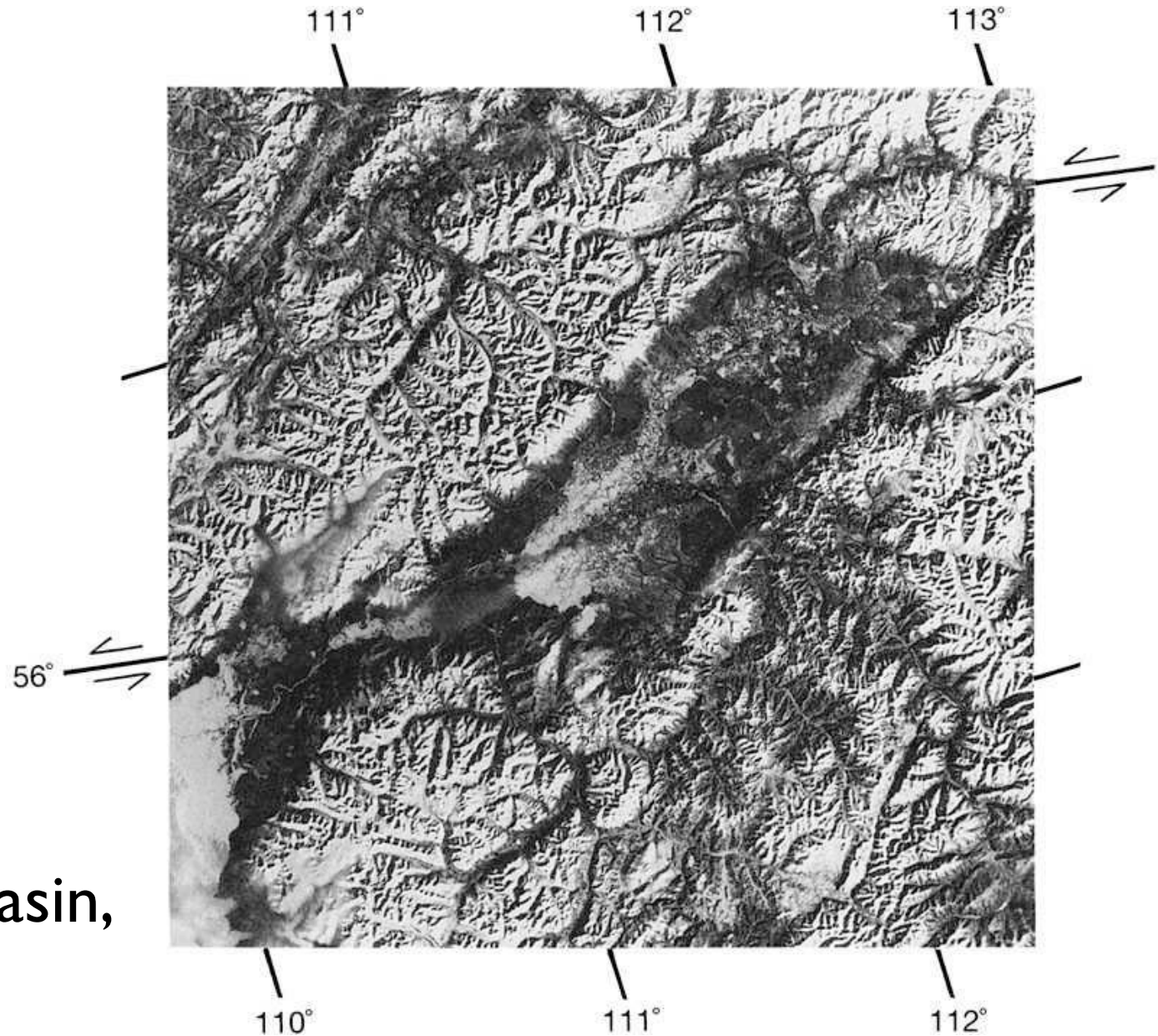
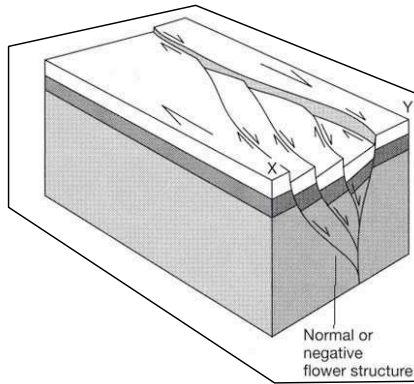
# positive flower structure



A.

Example:  
Andaman Sea (Malaysia - India)

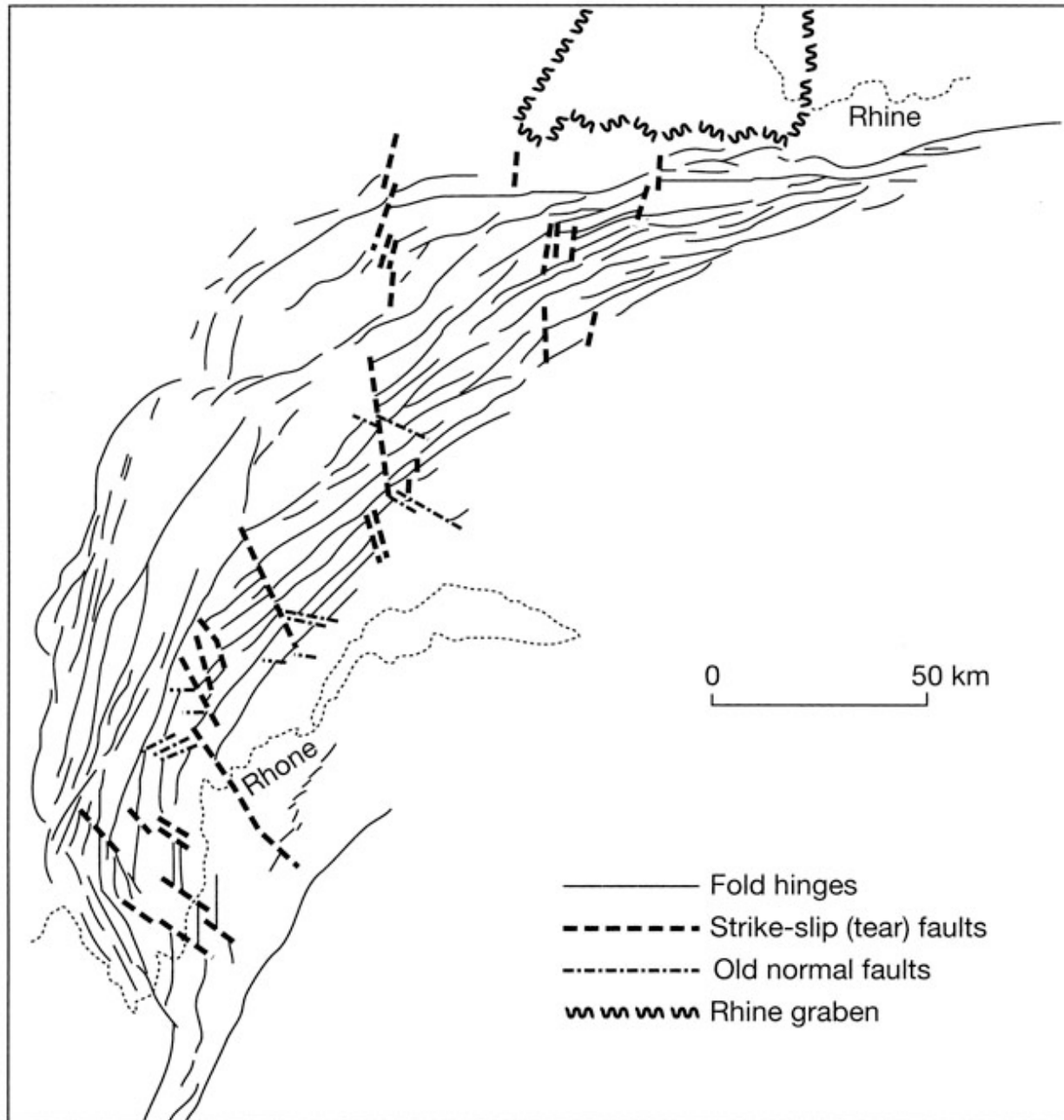
# negative flower structure



Example:  
Angara graben basin,  
Siberia



# regional tear faults



Example:  
Jura  
Mountains,  
Switzerland

5

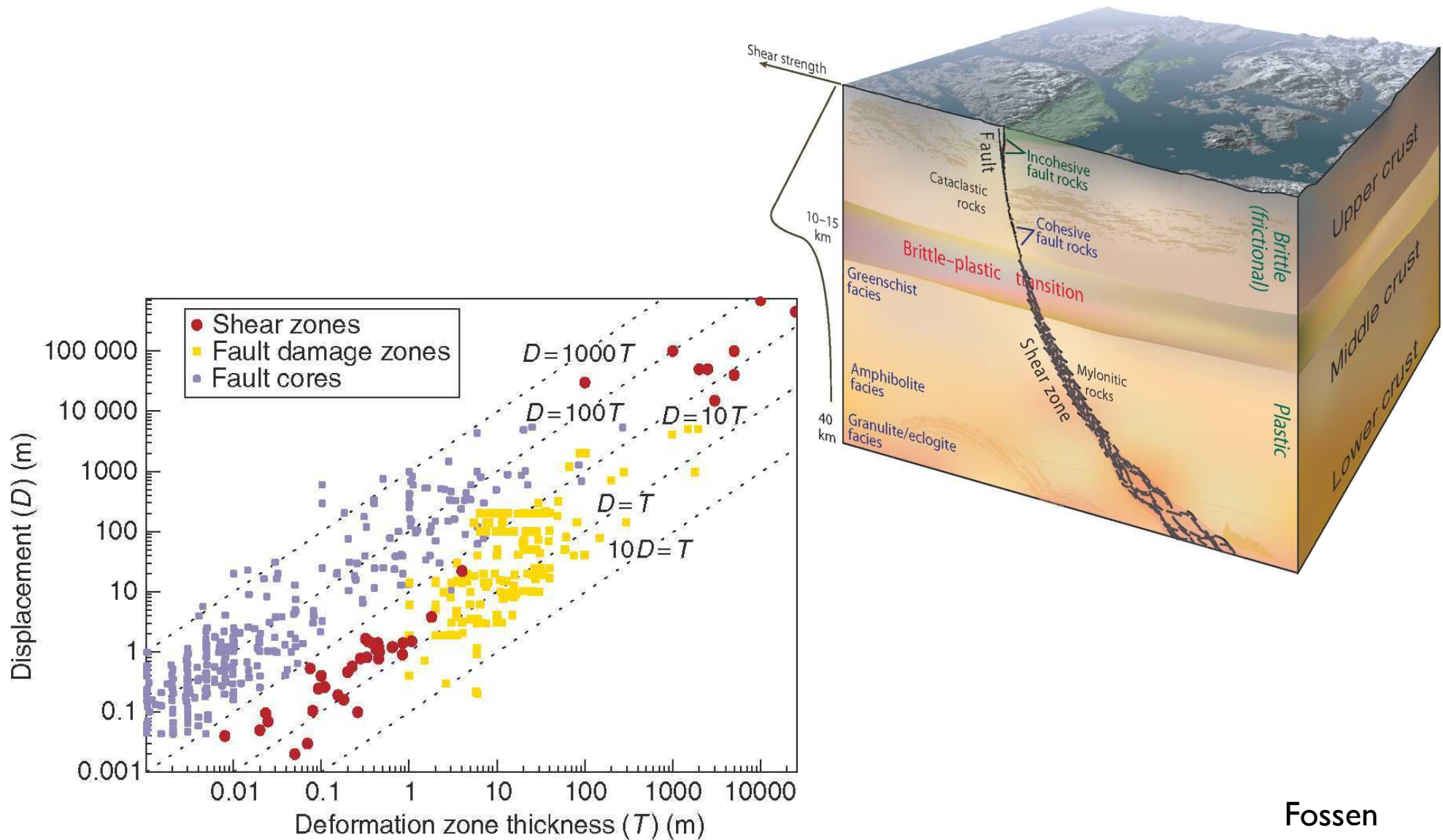
# 5 Scherzonen - Foliation - Lineation

- VL-Themen:
- Scherzonen
  - Foliation & Lineation
  - Schieferung und Verformung
  - Mechanismen der Schieferungsbildung
  - Bedeutung der Schieferung beim Kartieren
  - Lineation

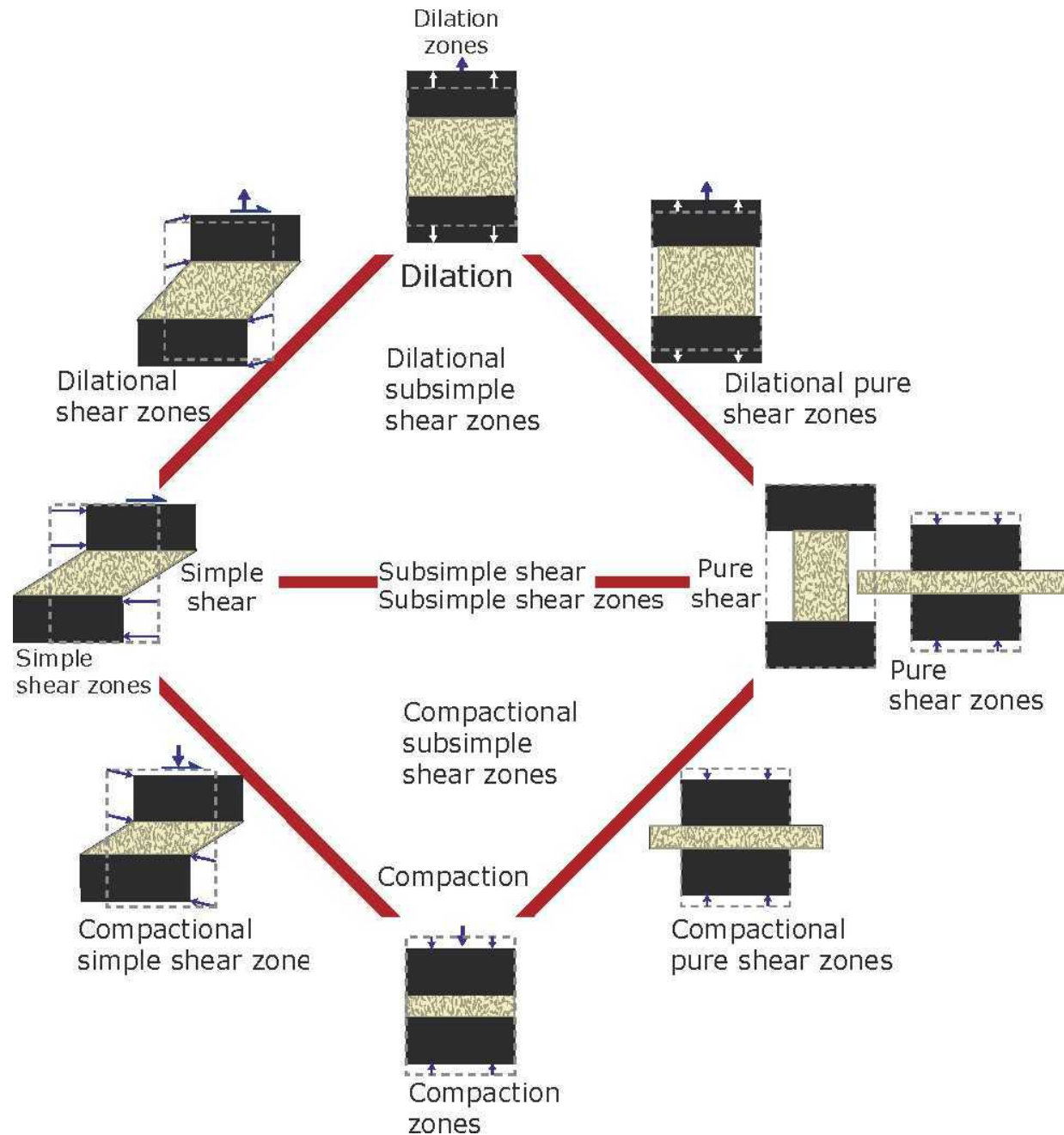


# Scherzonen

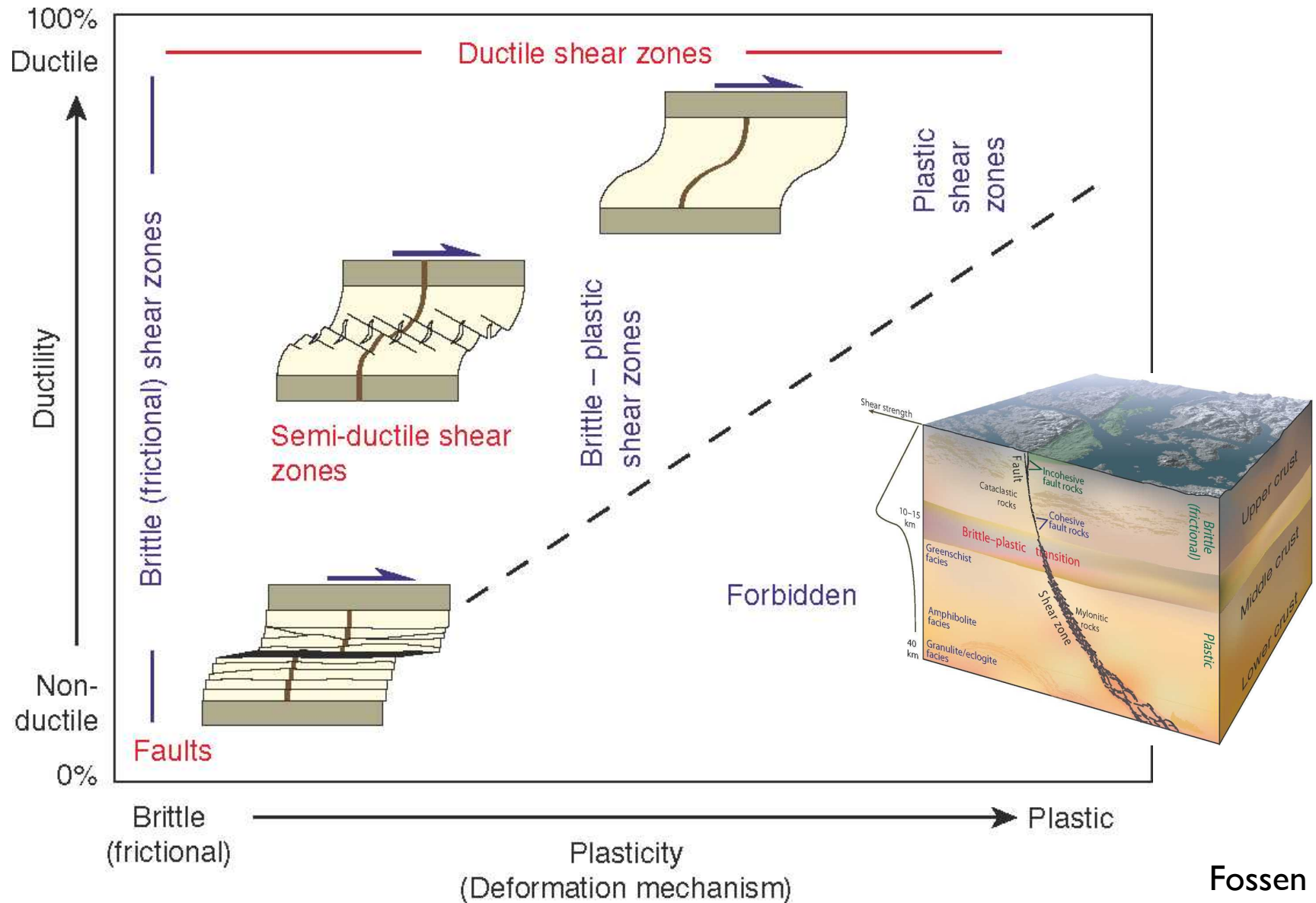
# Spröde und duktile Scherzonen



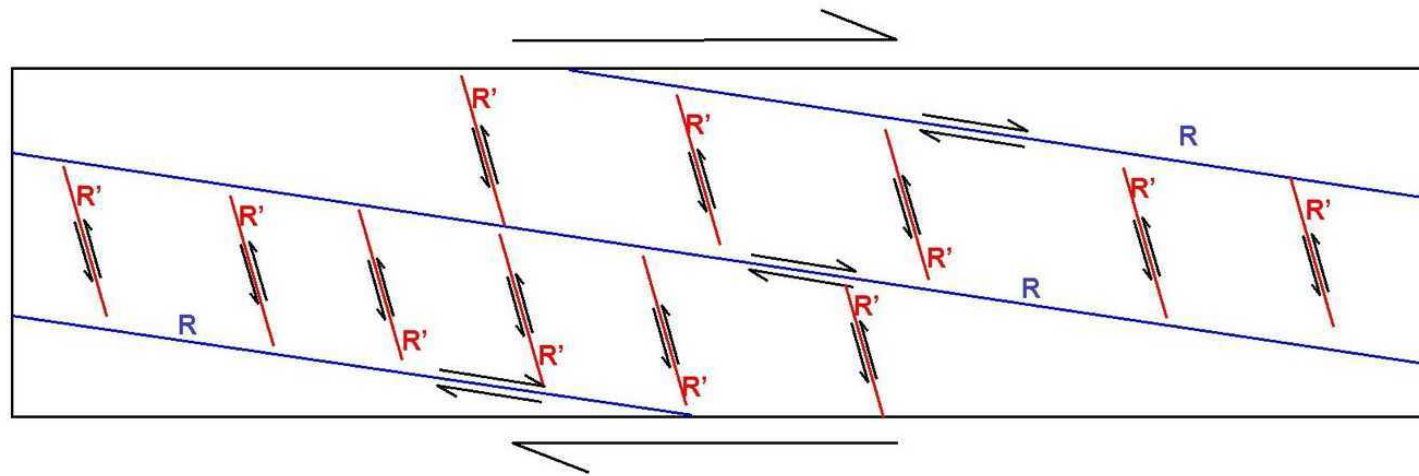
# Typen von Scherzonen



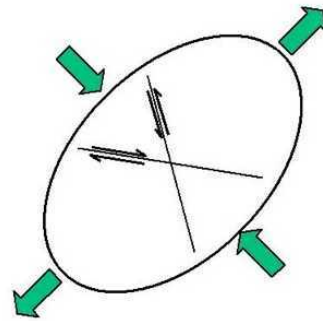
# Scherzonen Klassifikation



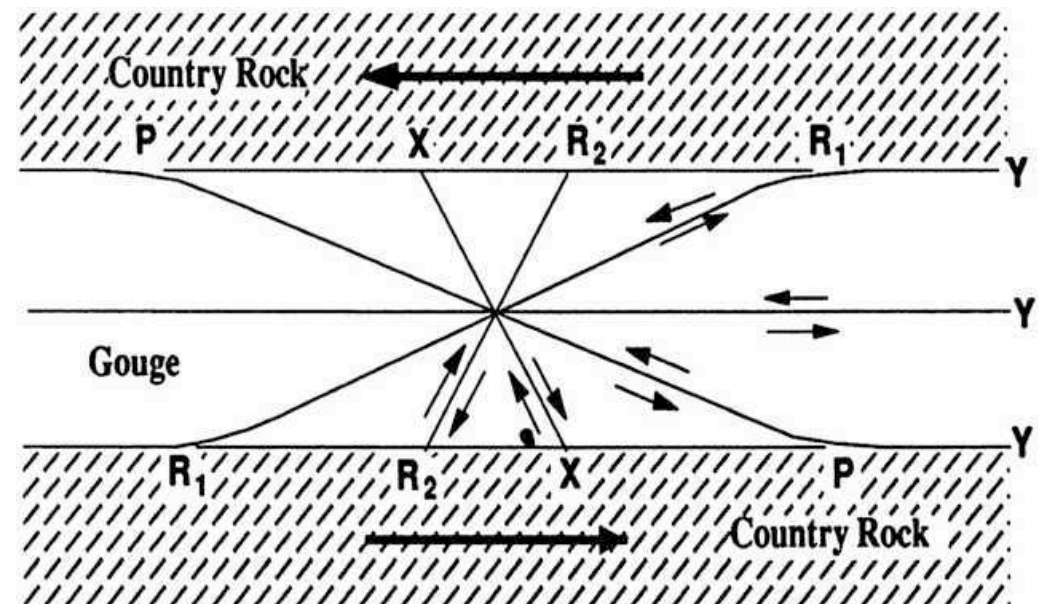
# Riedel Scherzonen



Infinitesimal strain ellipse for simple shear showing predicted fault orientations matching the two Riedel shear sets

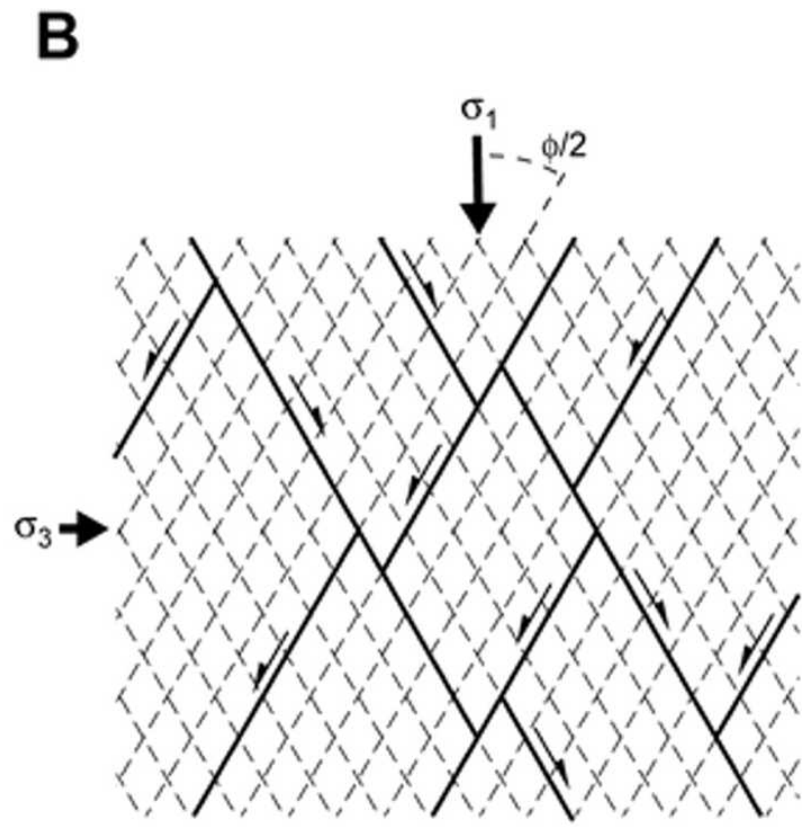
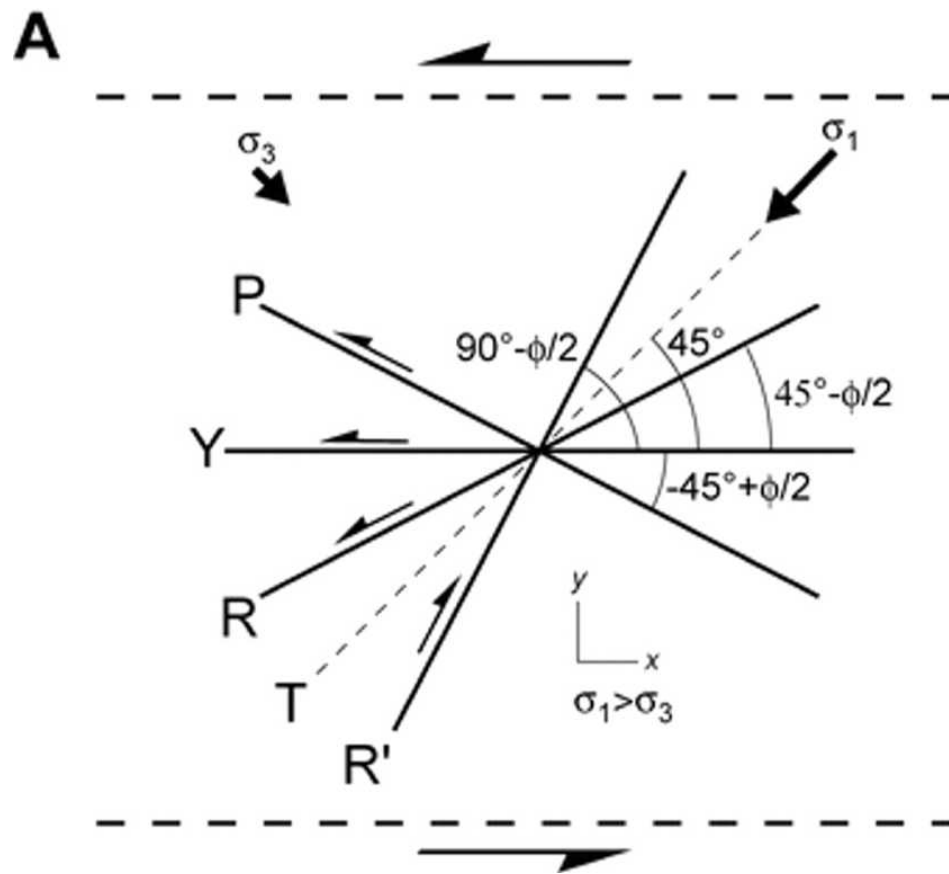


<http://upload.wikimedia.org/wikipedia/commons/f/f2/Riedel.jpg>

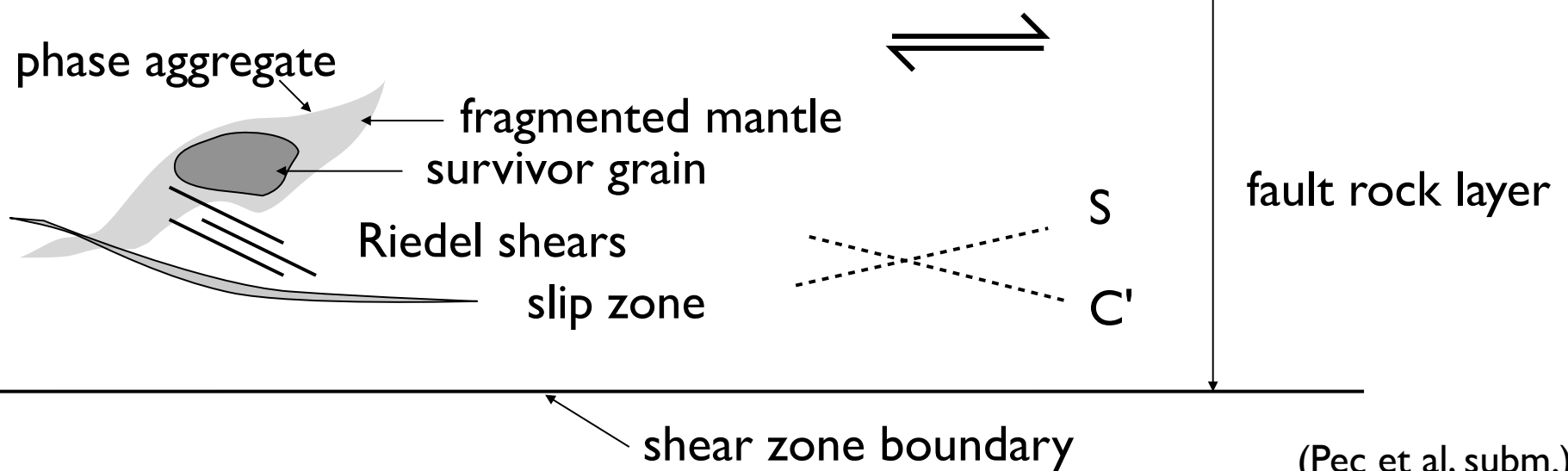
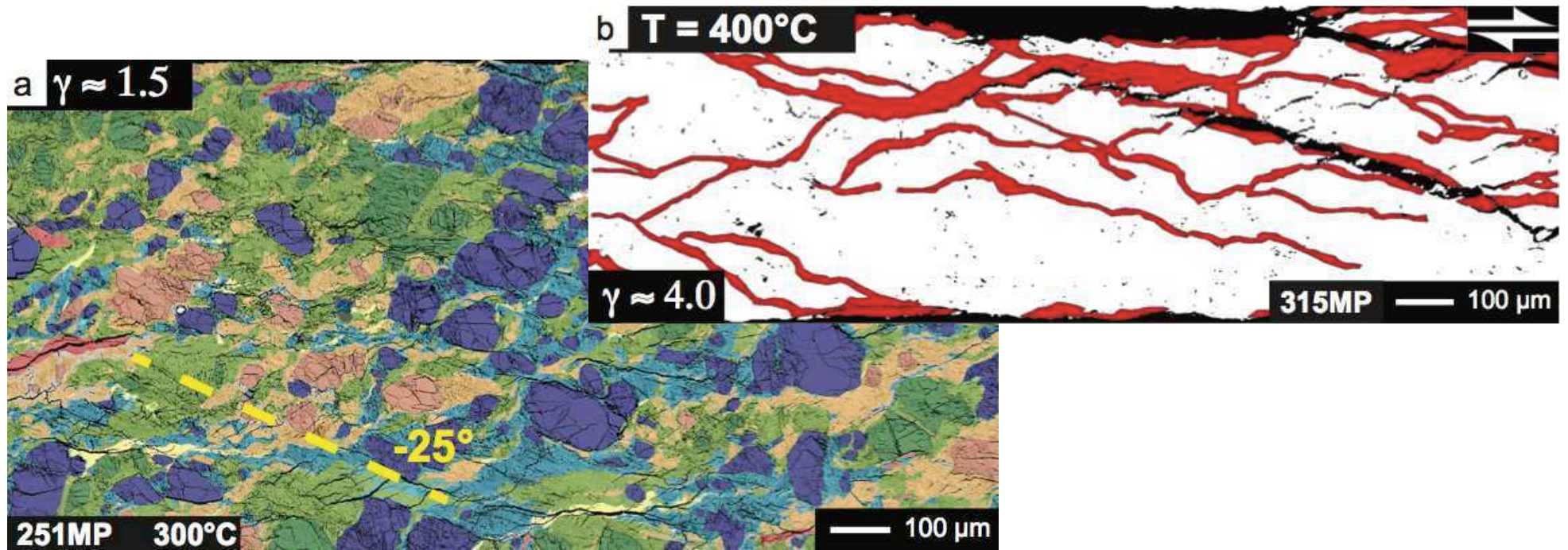




# Spannungen in Riedel Scherzonen

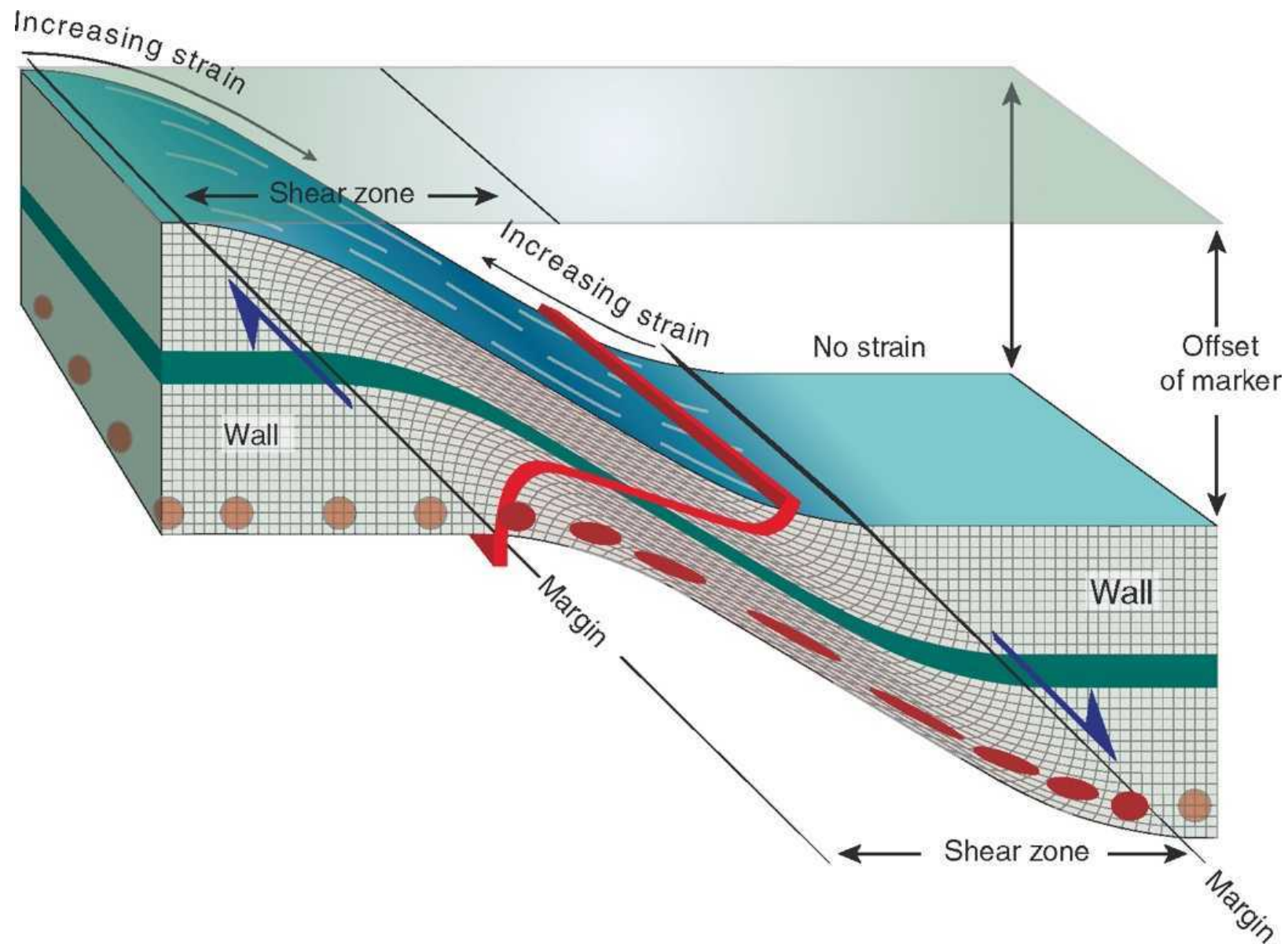


# Riedel and S-C'



(Pec et al, subm.)

# Duktile Scherzonen

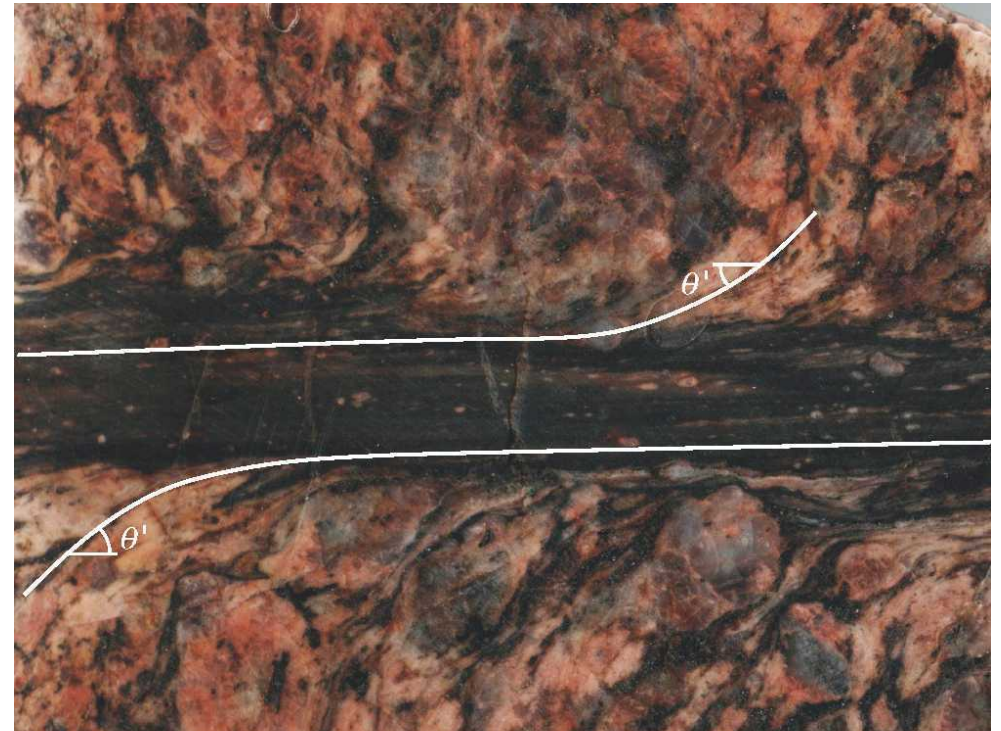




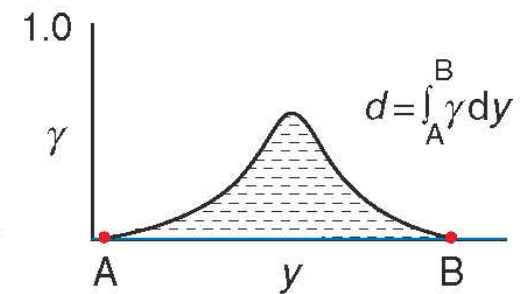
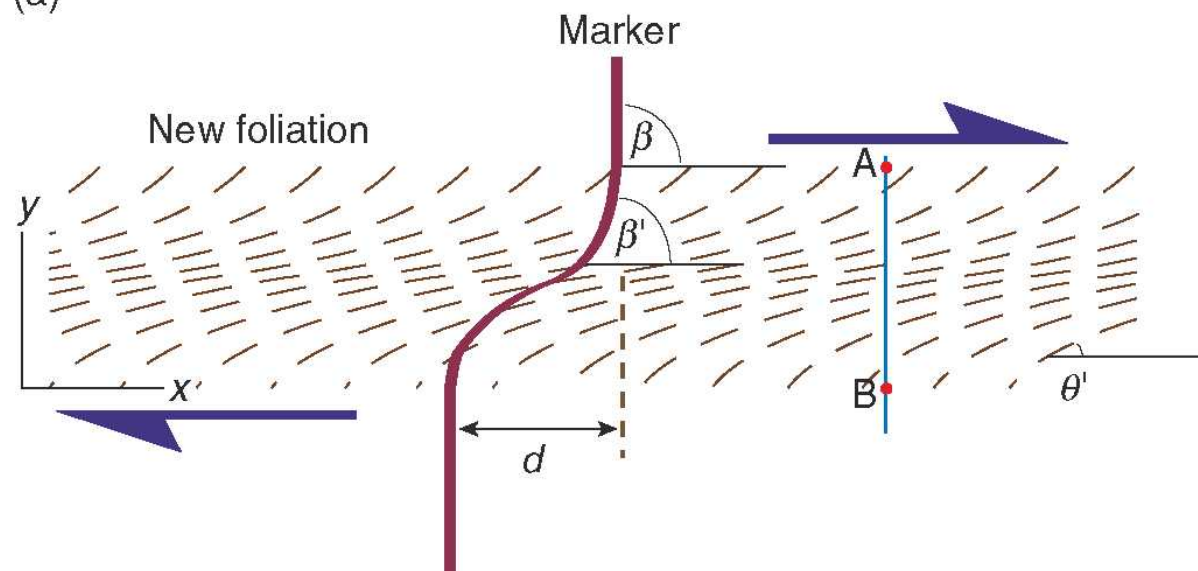
# Gefüge-Trajektorien



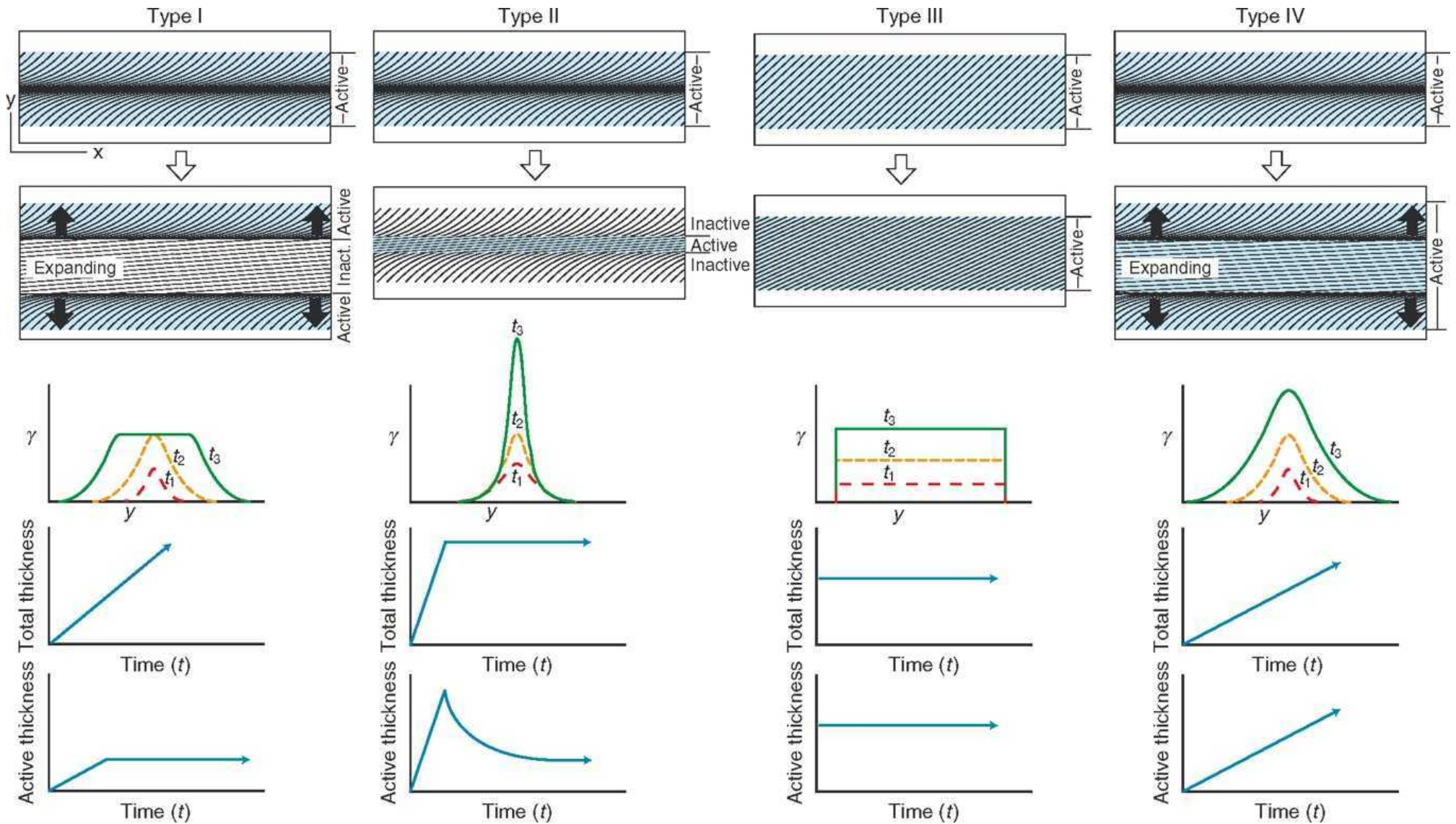
(a)



(b)

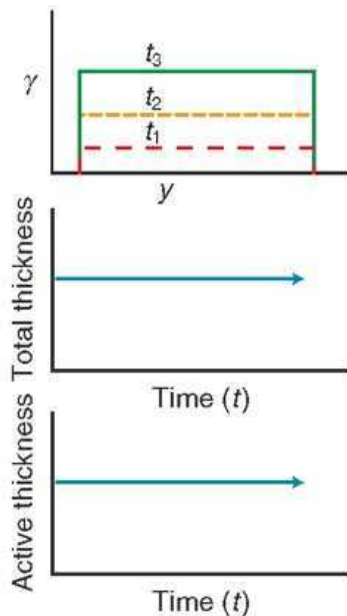
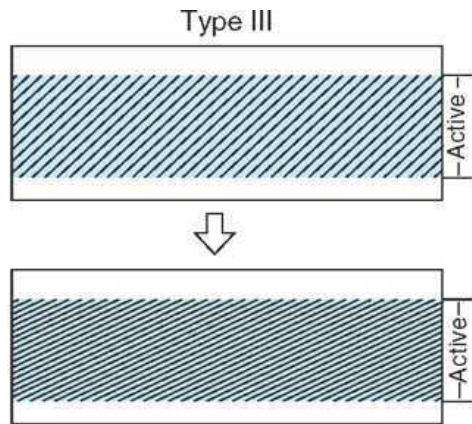


# Scherzonentypen





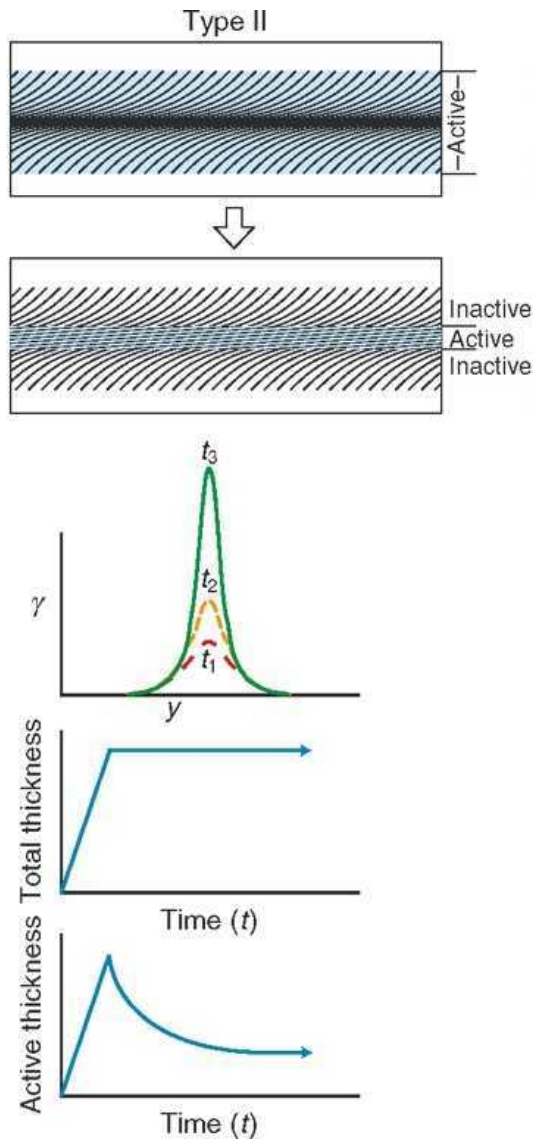
# Scherzonentypen



## Type III

- Type III shear zones initiate with a certain thickness.
- This shear zone thickness remains constant, and the entire zone is always active.
- The result is a flat strain profile.
- This type of shear zone involves no pronounced softening or hardening mechanisms.
- Some kink-bands may represent shear zones of this type.

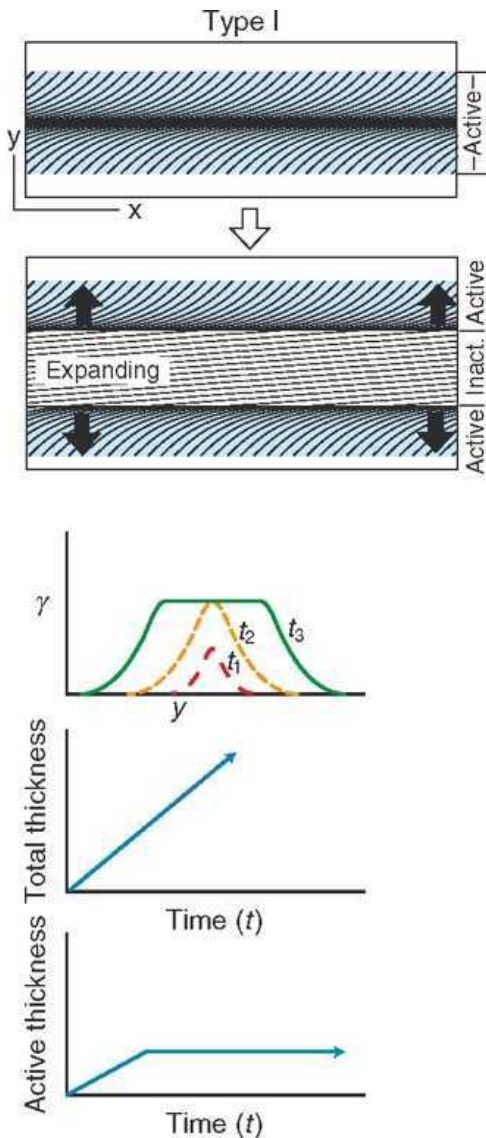
# Scherzonentypen



## Type II

- Type II shear zones expand only for a limited period of time.
- Then the margins are left inactive, and all further deformation is concentrated in the central part of the zone.
- The result is a steep peak in the central part of the strain profile across the zone.
- This type of shear zone is normally explained by strain softening.

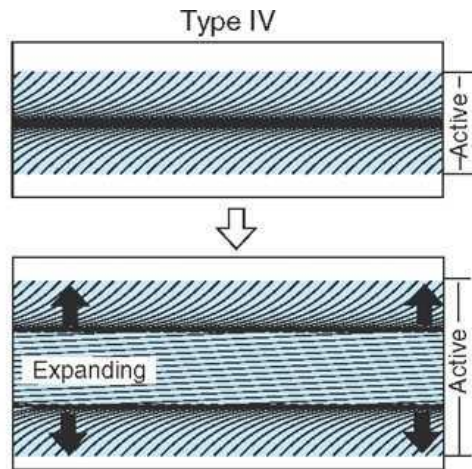
# Scherzonentypen



## Type I

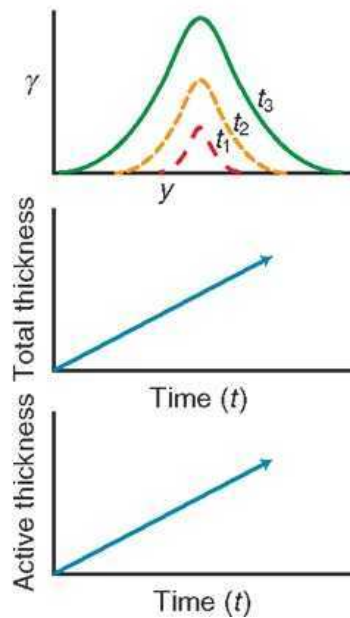
- Type I shear zones expand into its walls and thus becomes thicker with increasing offset.
- The central part of the zone is left behind (inactive) as the walls are being strained.
- The result is a flat peak in the strain profile in the central, inactive part of the zone.
- This type of development is normally attributed to strain hardening.

# Scherzonentypen



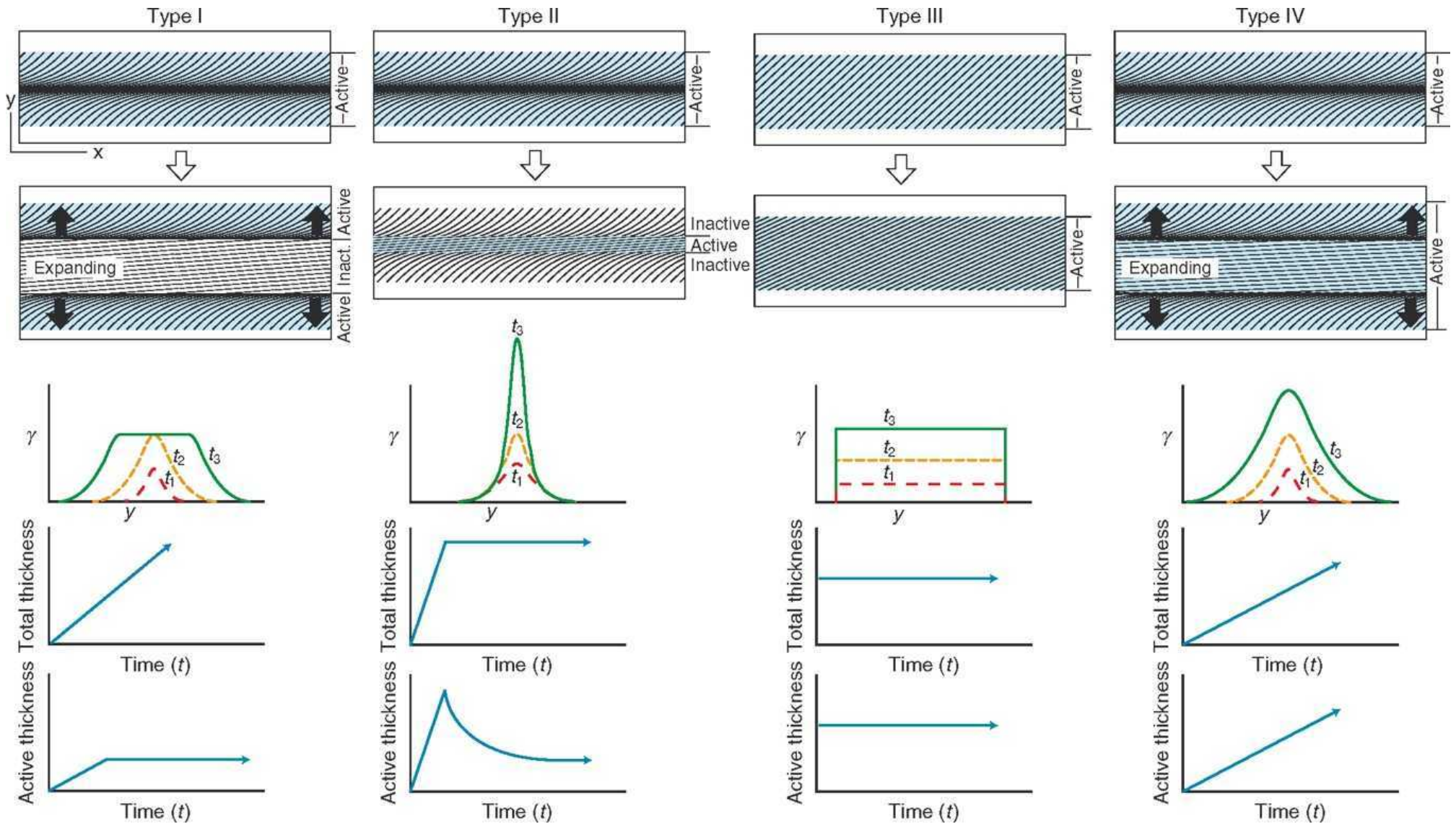
## Type IV

- Type IV shear zones expand continuously during their lifetime.
- The entire zone is always active.
- The result is a steep peak-shaped strain profile through the zone.





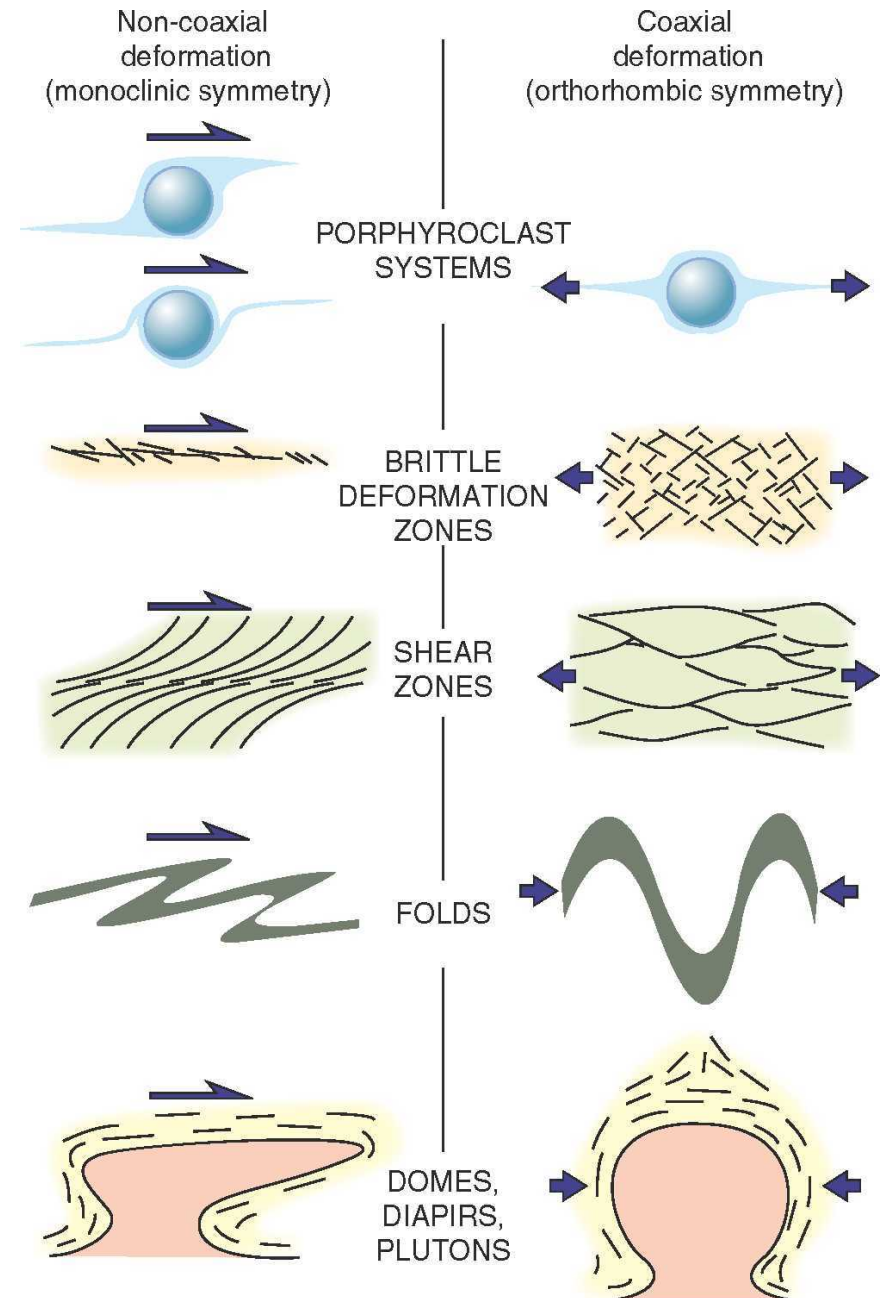
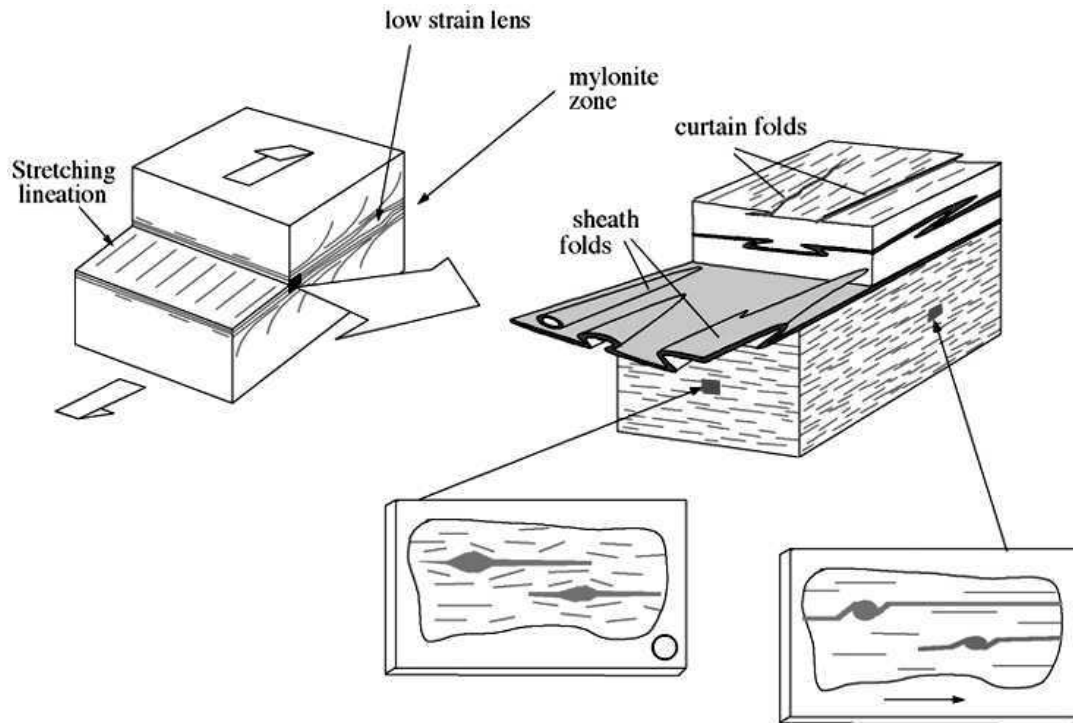
# Scherzonentypen



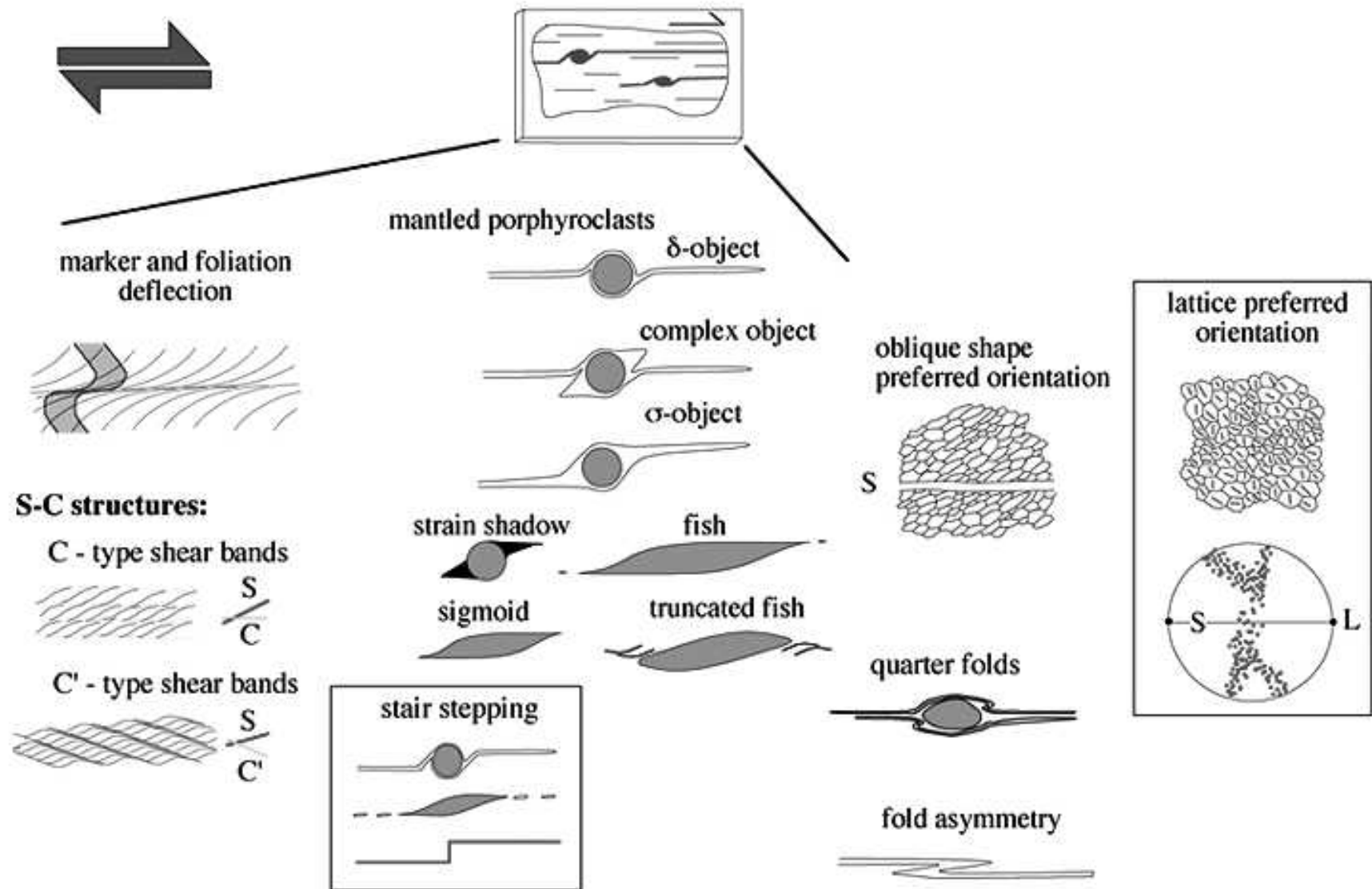


mit Scherzonen  
assoziierte  
(Mikro-)Strukturen

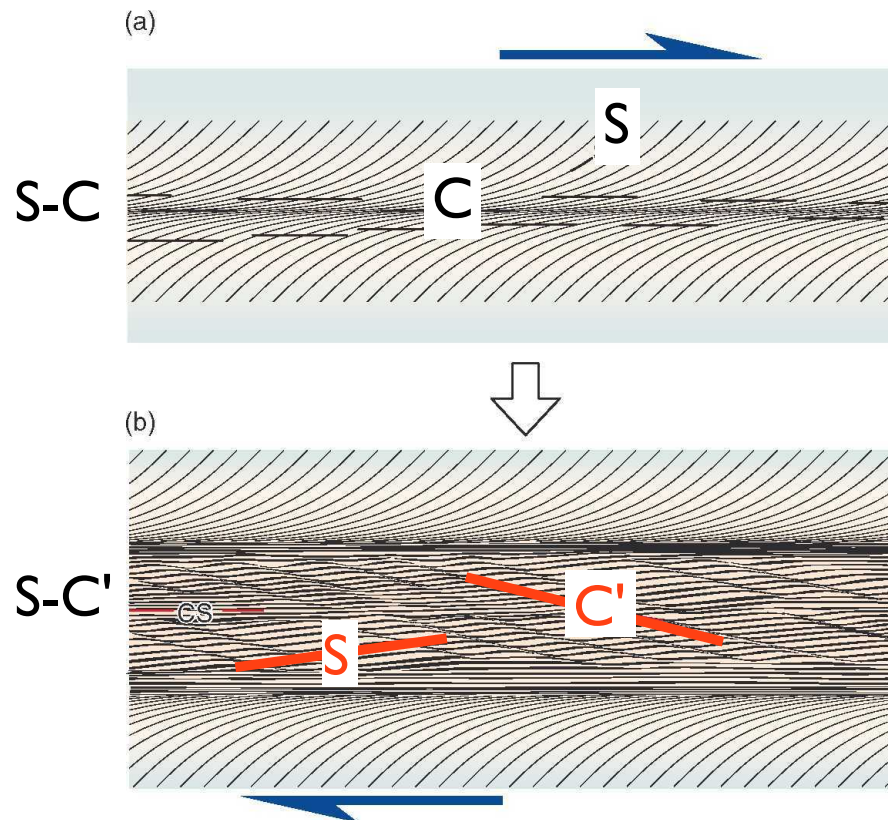
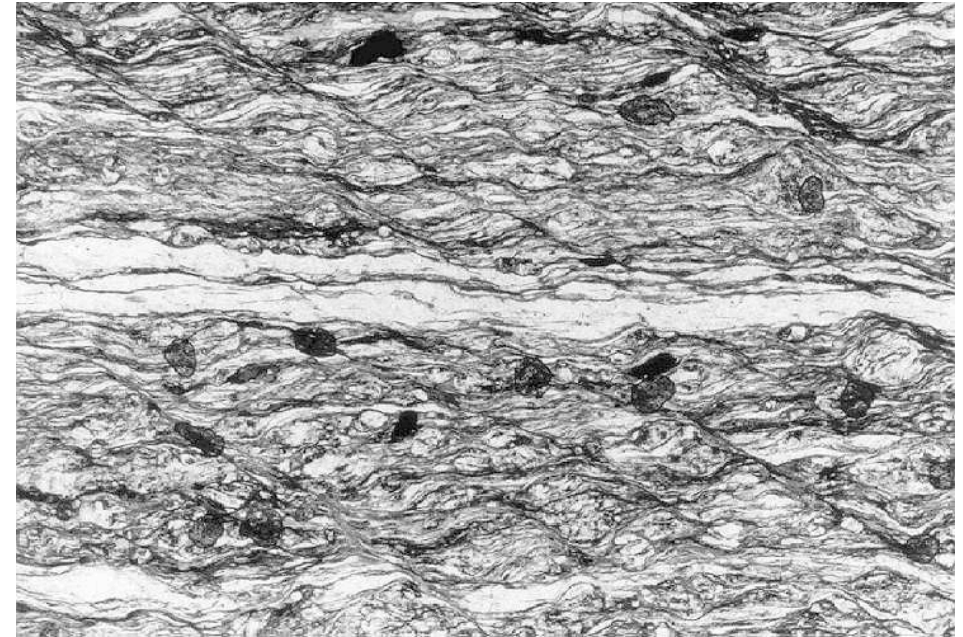
# Scherzonen in 3D



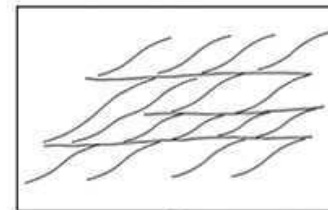
# characteristic features of shear zones



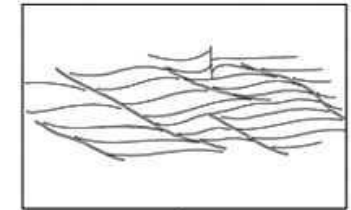
# S-C and S-C' fabrics



C-type shear bands



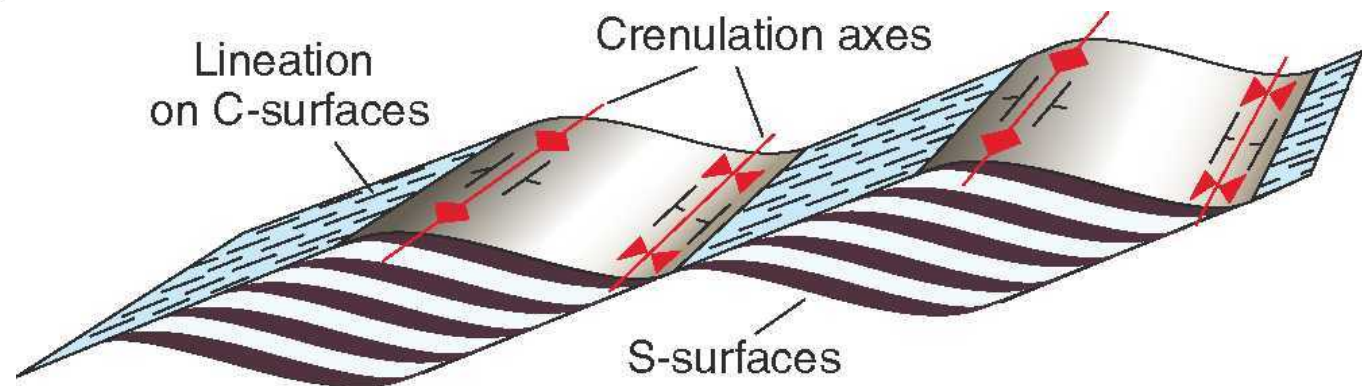
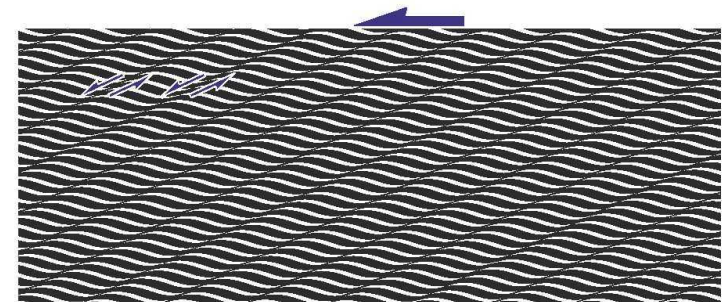
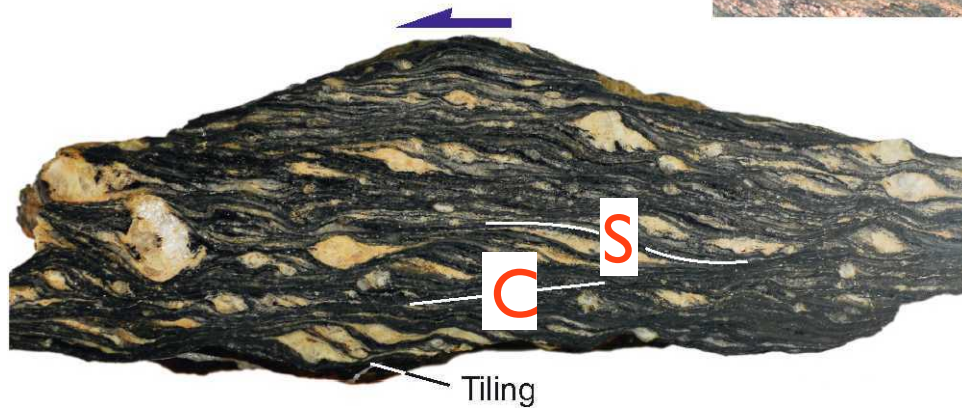
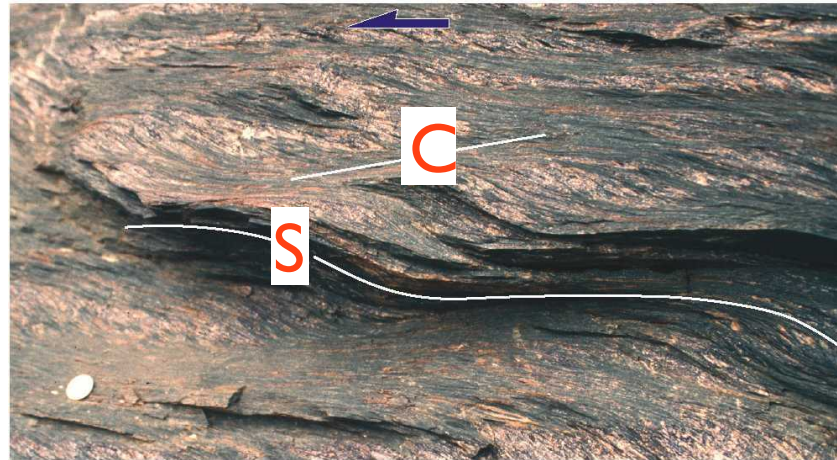
C'-type shear bands



S = schistosité  
C = cisaillement

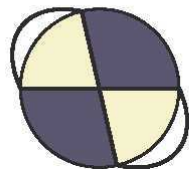
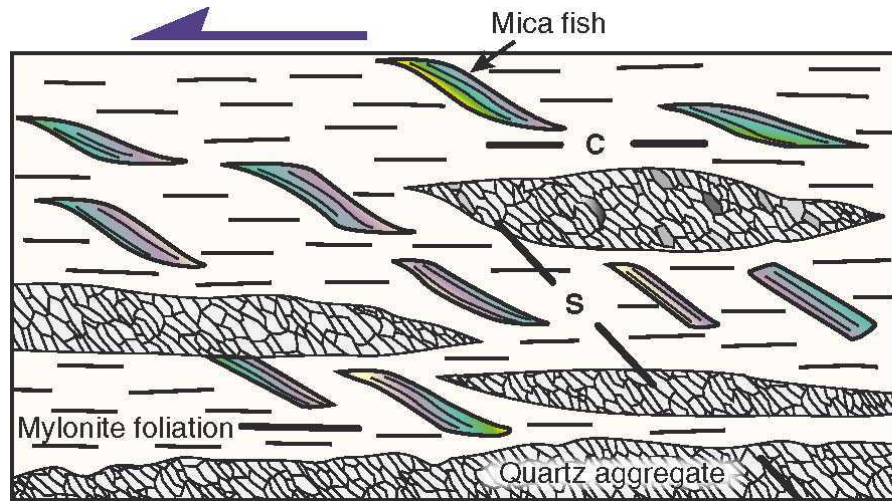


# S-C shear band geometry

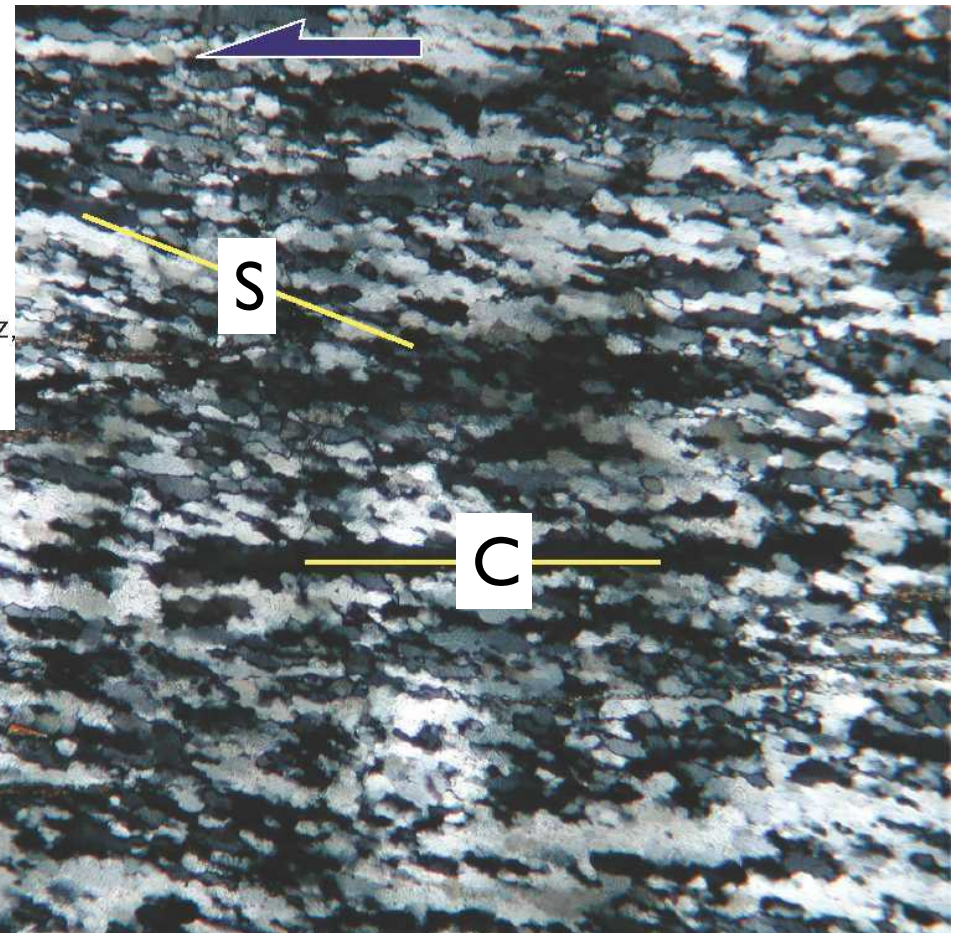




# S-C microstructures

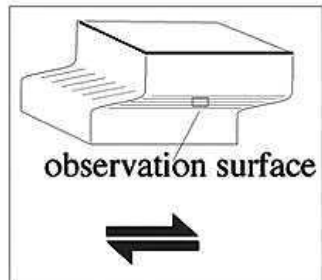


Dynamic recrystallization of quartz, reflecting last part of deformation history

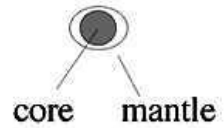




# $\sigma$ - and $\delta$ - clasts

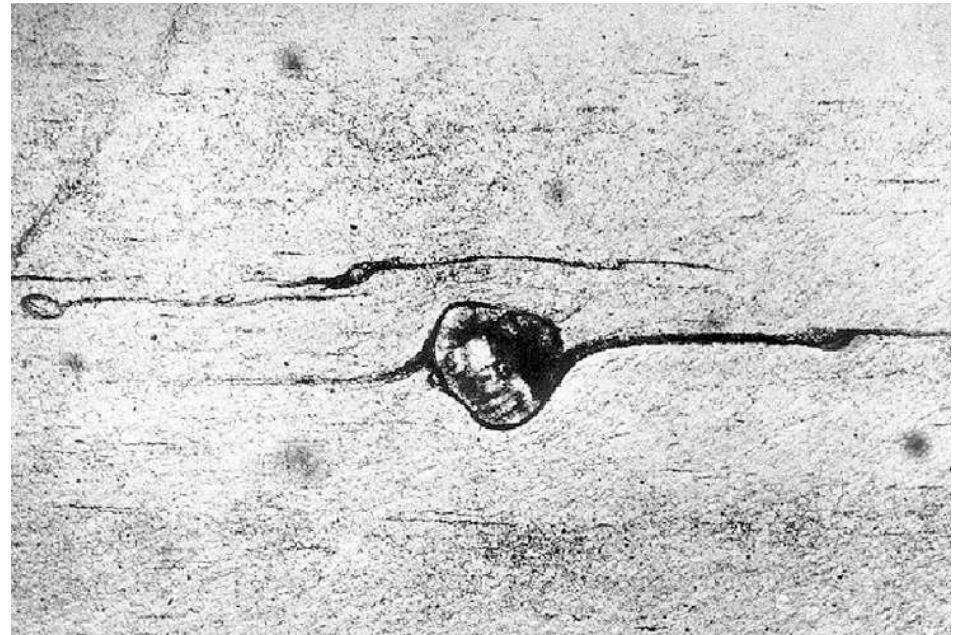
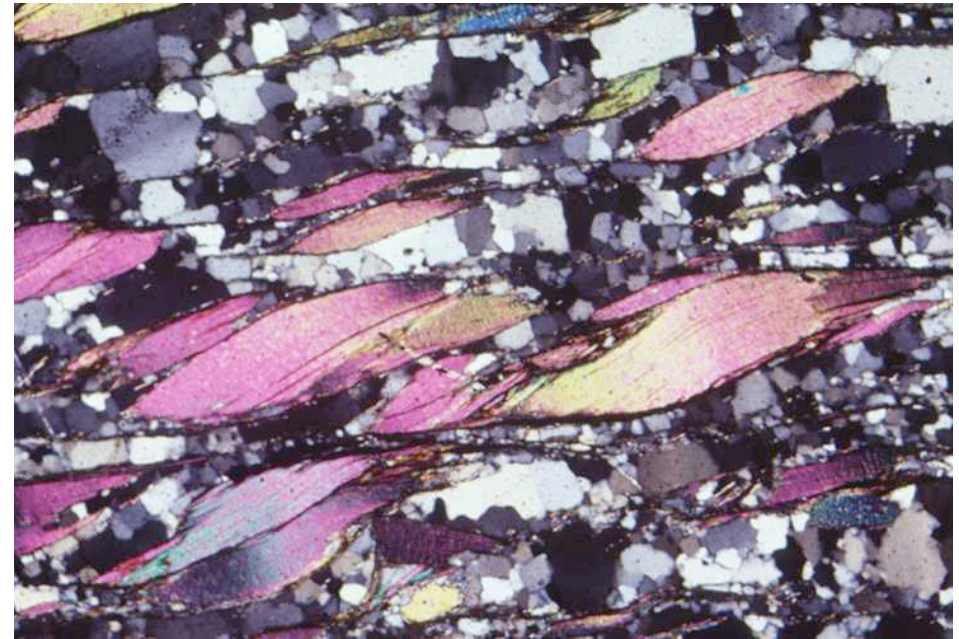
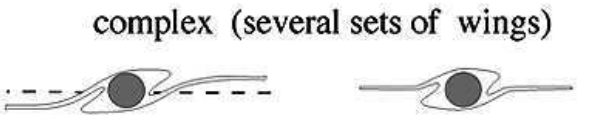
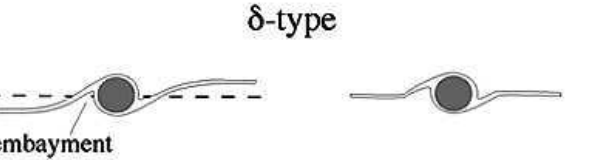


$\Theta$ -type (no wings)

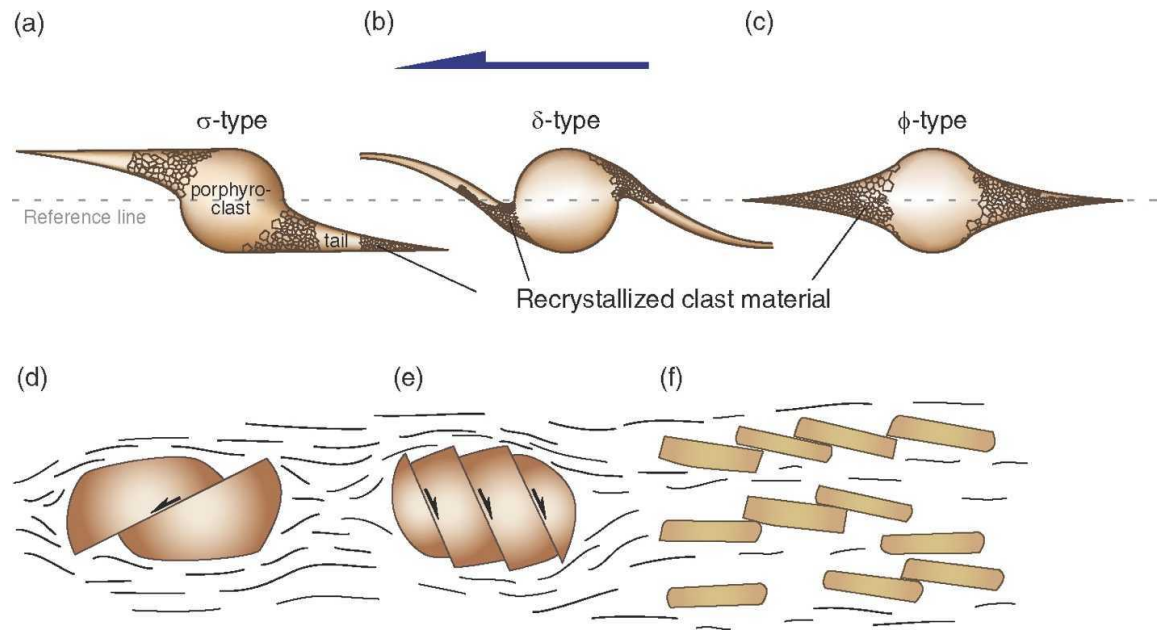
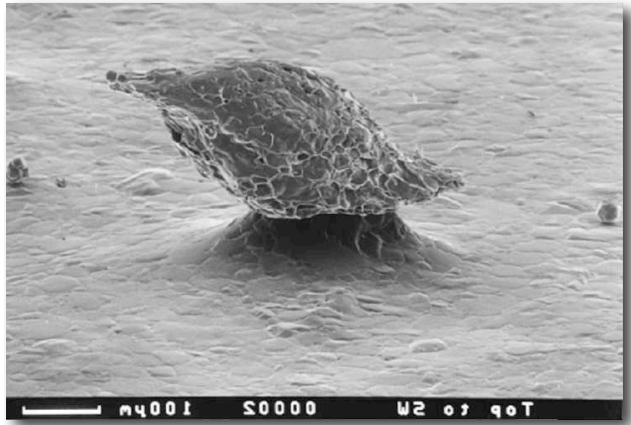


winged mantled objects :

stair stepping      no stair stepping

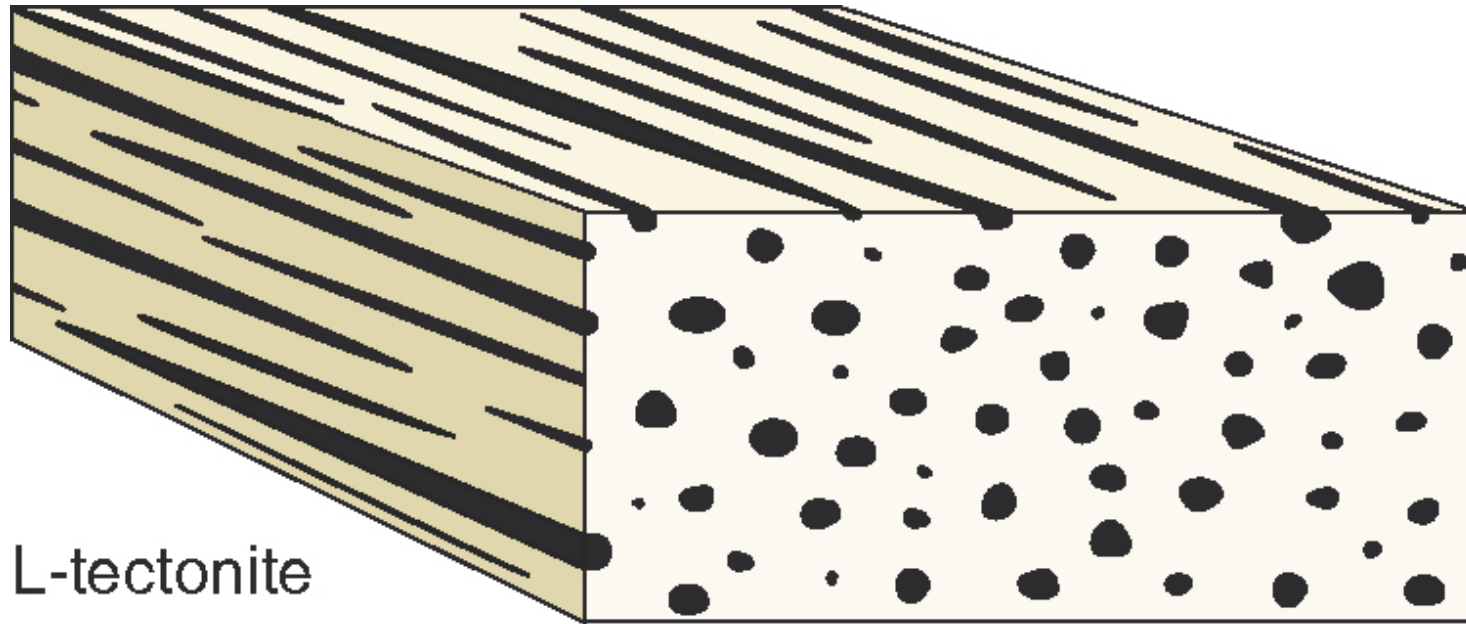


# $\sigma$ - $\delta$ - and $\phi$ - clasts

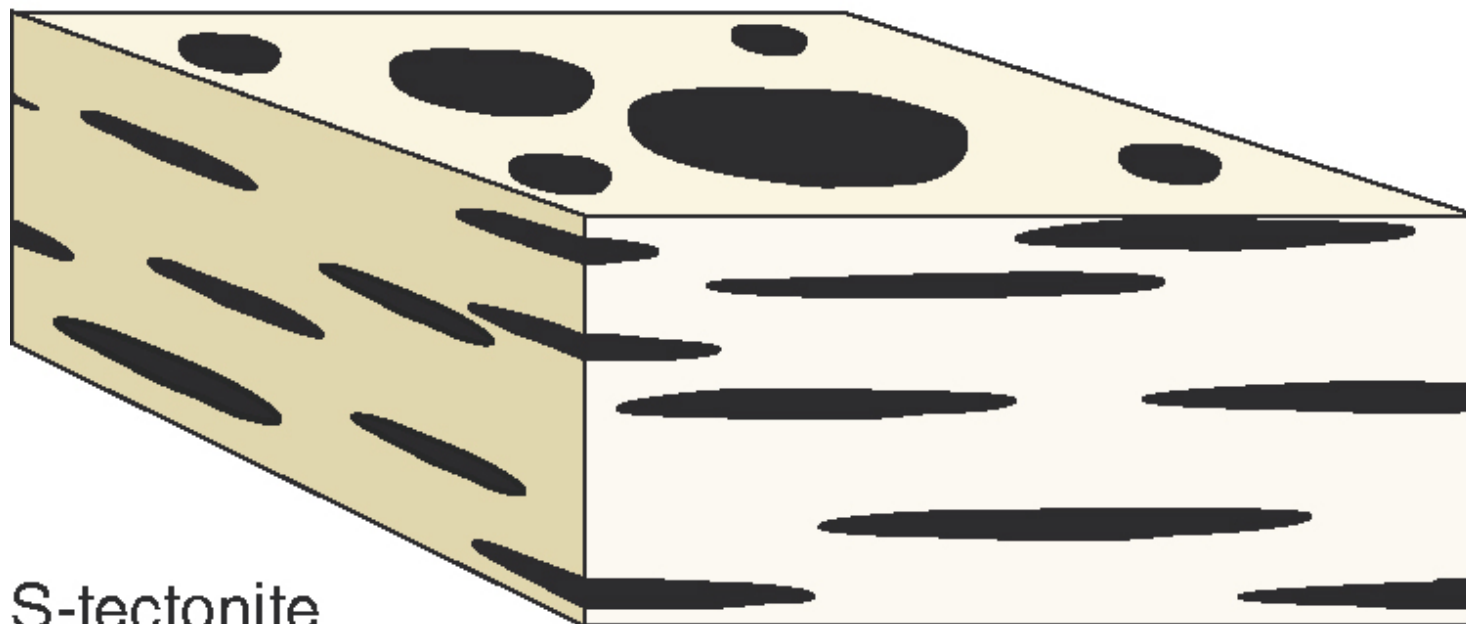


# Beschreibung von Foliation & Lineation





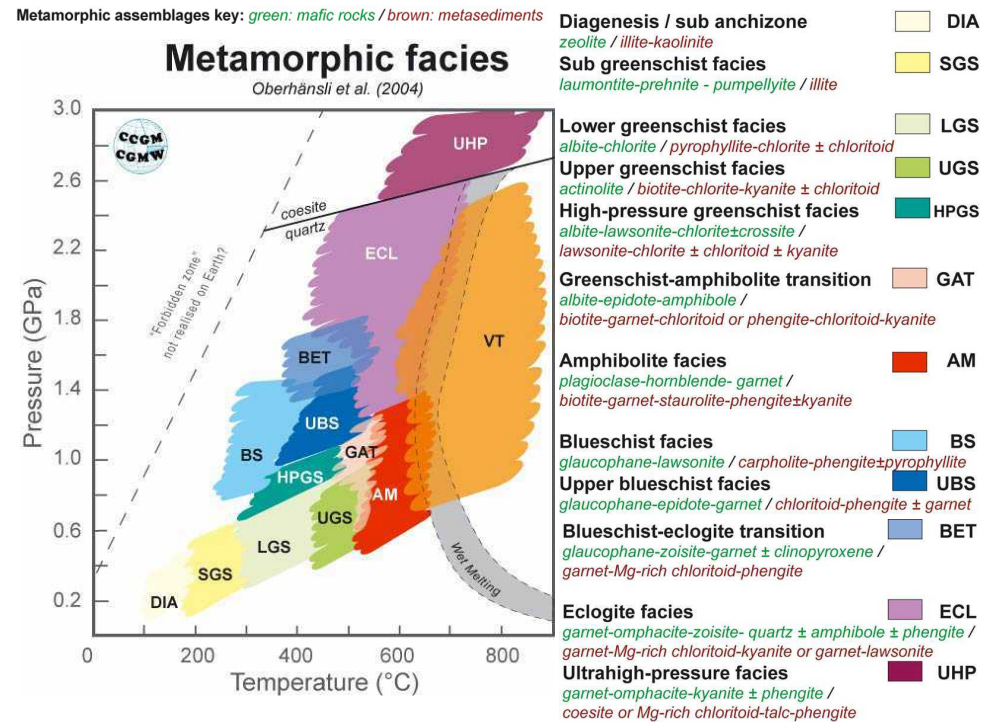
L-tectonite



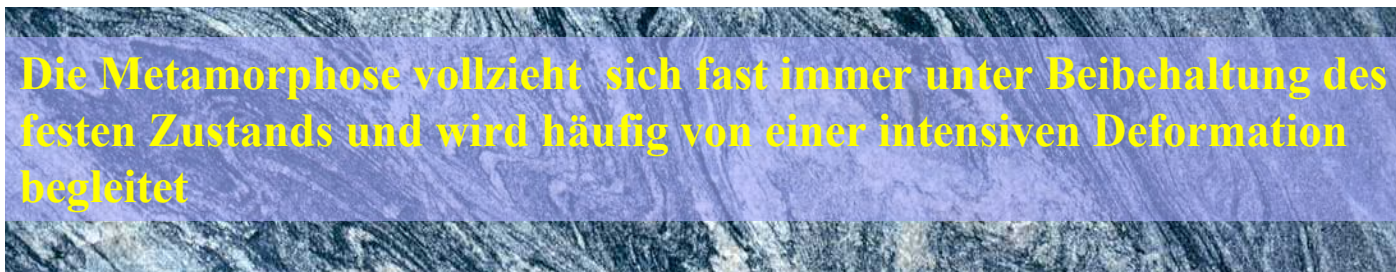
S-tectonite



# recap: System Erde - Metamorphite



Die Klassifikation der metamorphen Gesteine basiert auf der Zusammensetzung (mineralogisch oder chemisch) **und dem Gefüge**



... und umgekehrt

# Gesteine mit Planargefüge

## Tonschiefer (slate)

Kompaktes, sehr feinkörniges metamorphes Gestein mit guter Spaltbarkeit. Rauhe (nicht glänzende) Bruchflächen. Phyllit



## Phyllit

Fein geschiefertes metamorphes Gestein mit sehr feinkörnigen Phyllosilikaten (z.B. viel Serizit und Chlorit), die aber mit bloßem Auge nicht erkennbar sind. Bruchflächen erhalten dadurch einen seidigen Glanz.



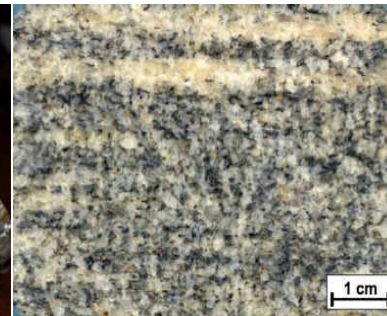
## Schiefer (schist)

Metamorphes Gestein mit deutlicher Schieferung. Im Gegensatz zu Tonschiefern und Phylliten sind in Schiefern die gefügedefinierenden Minerale (meist Glimmer) gut mit bloßem Auge erkennbar.



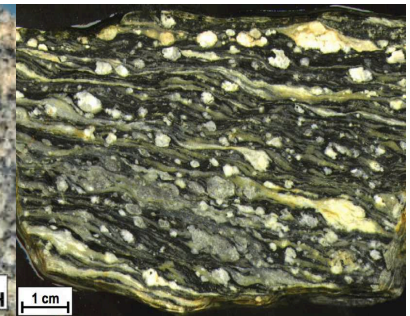
## Gneis

Metamorphes Gestein mit schwach ausgeprägter Schieferung oder Stoffbänderung im m- bis cm-Bereich; meist grobkörniges Gefüge. Spalten im dm-Bereich.

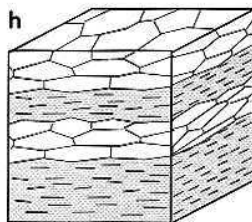
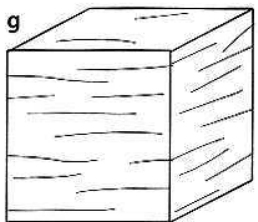
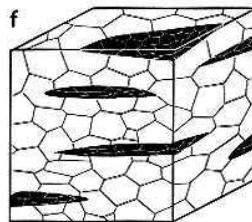
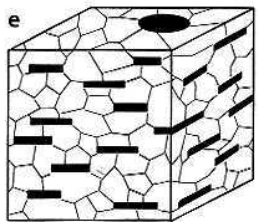
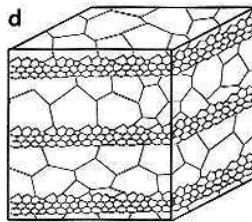
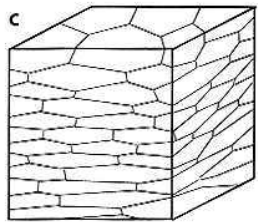
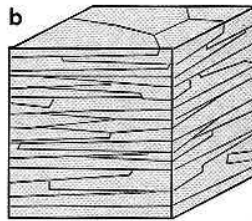
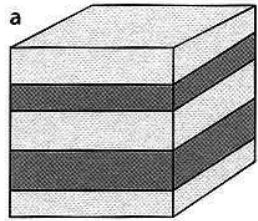


## Mylonit

Extrem stark durchbewegter, rekristallisierter Metamorphit



# Planare Gefüge (planar fabric)



a. Stoffbänderung

b. Orientierung tafeliger Minerale

a compositional layering

b preferred orientation of platy minerals

c. Deformierte Minerale

d. Korngrößen-Variation

c preferred orientation of grain shapes

d grain size variations

e. Orientierung tafeliger Minerale in einer Matrix ohne planare Gefüge

f. Orientierung linsenförmiger Mineral-Aggregate

e platy minerals in isotropic matrix

f lenticular aggregates in isotropic matrix

g. Orientierung von Rissen

h. Kombinationen

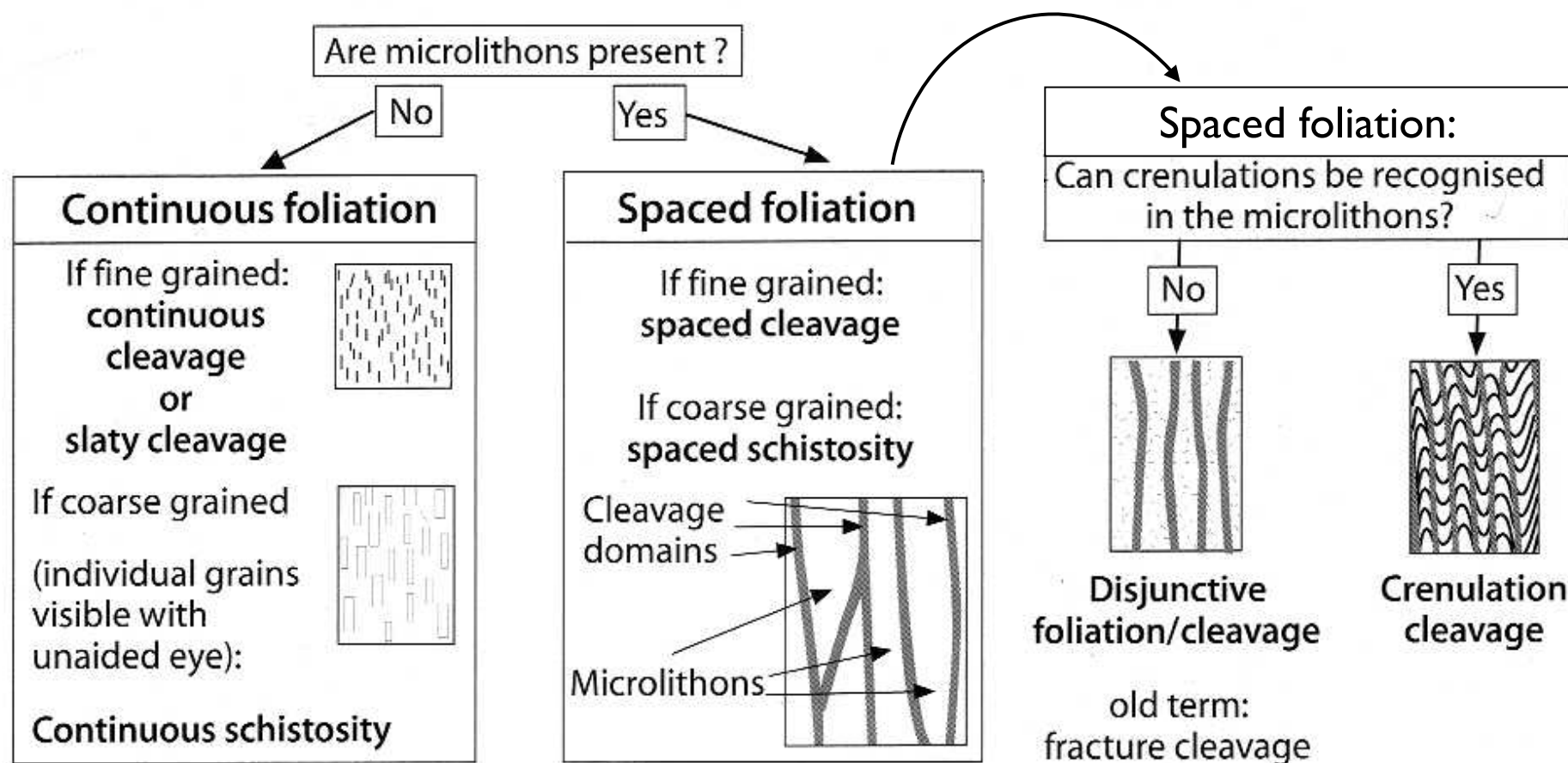
g preferred orientation of fractures

h combination a, b, c



# Klassifikation von Foliationen

Morphological classification of foliations  
(using an optical microscope)

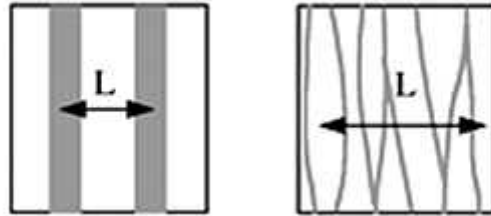


Penetrative Schieferung

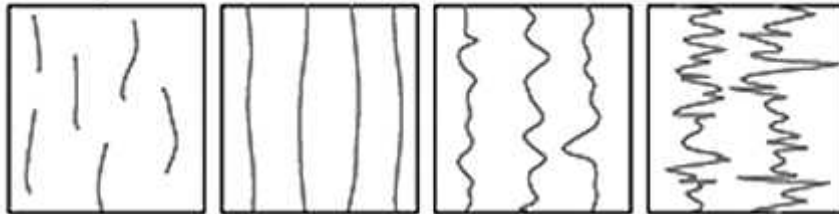
Schieferung (mit Zwischenraum)

# spaced foliation

spacing



shape of cleavage domains



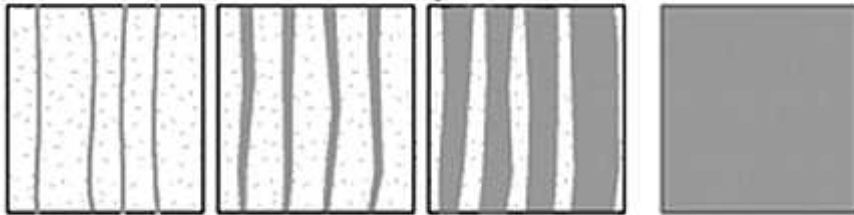
rough

smooth

wiggly

stylolytic

volume of cleavage domains



1%

20%

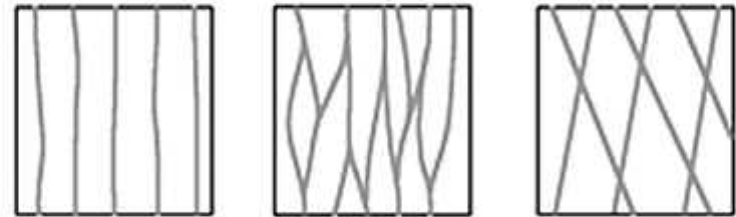
70%

100%

spaced foliations

(continuous  
foliation)

relation between cleavage domains

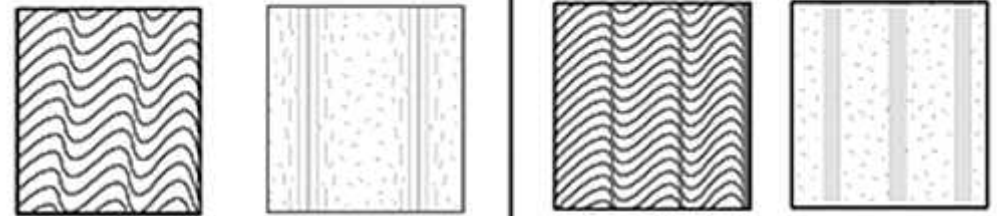


parallel

anastomosing

conjugate

transition between  
cleavage domains and microlithons

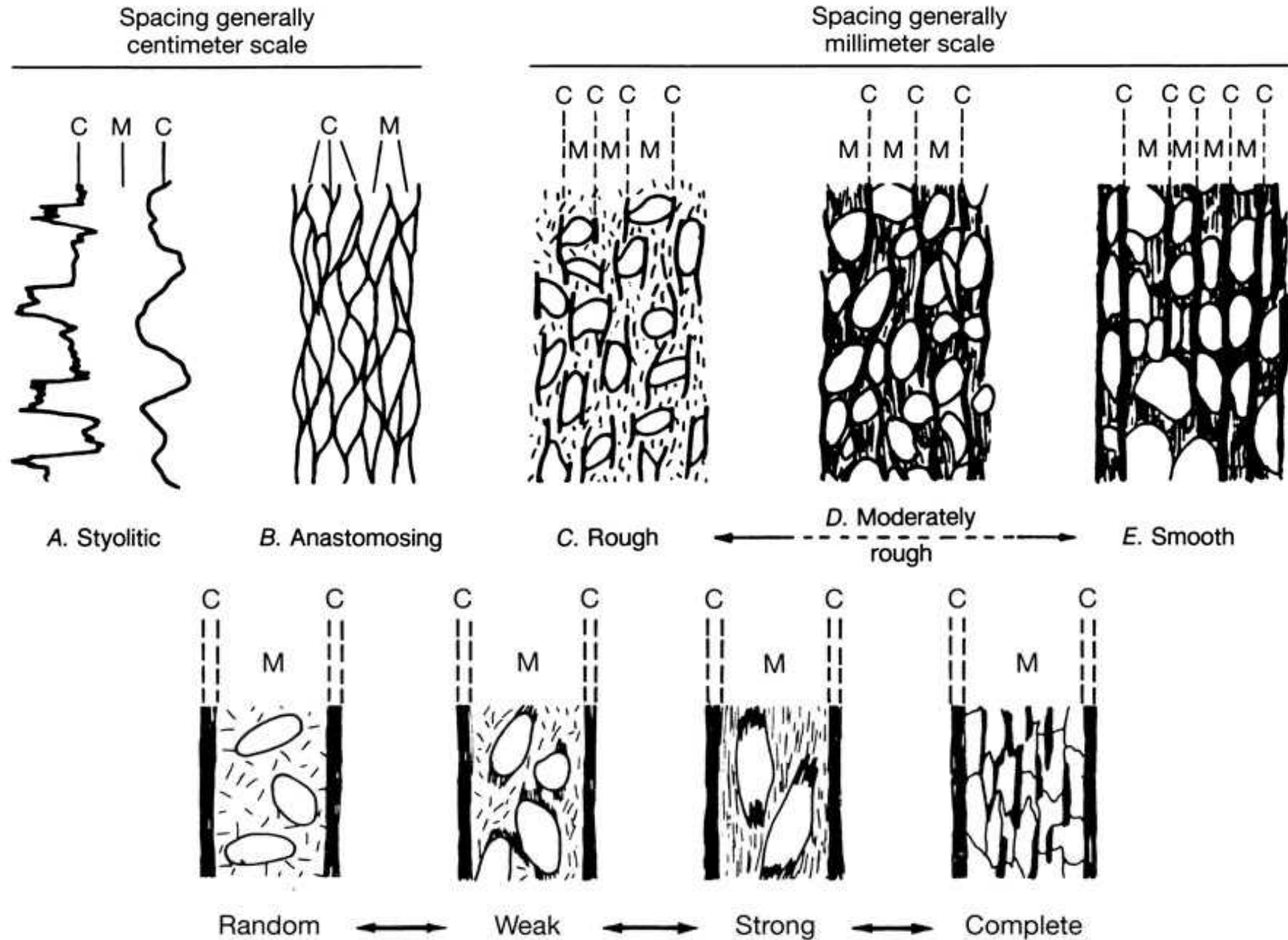


gradational

discrete



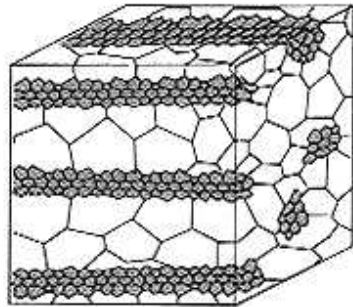
# cleavage domains & microlithons



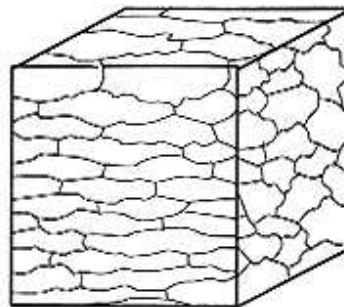
# Lineare Gefüge (linear fabrics)

## Object lineation

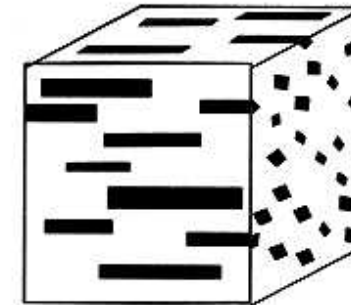
Aggregate lineation



Grain lineation (isotropic minerals)



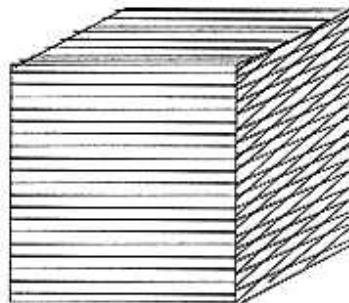
Grain lineation (anisotropic minerals)



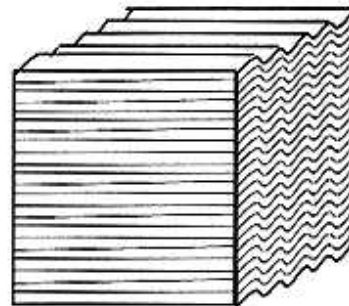
Stretching lineation

## Trace lineation

Intersection lineation

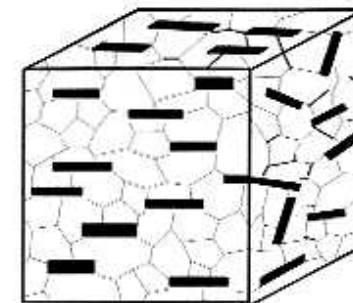


Crenulation lineation



Mineral lineations

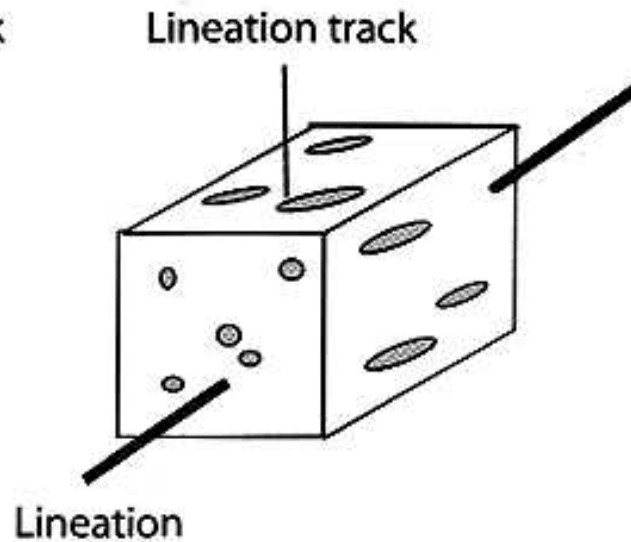
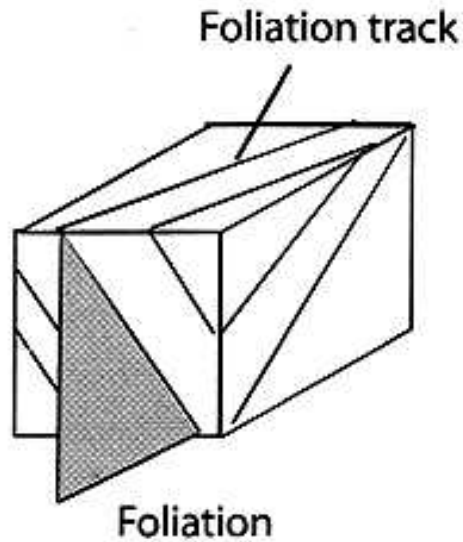
Platelet lineation



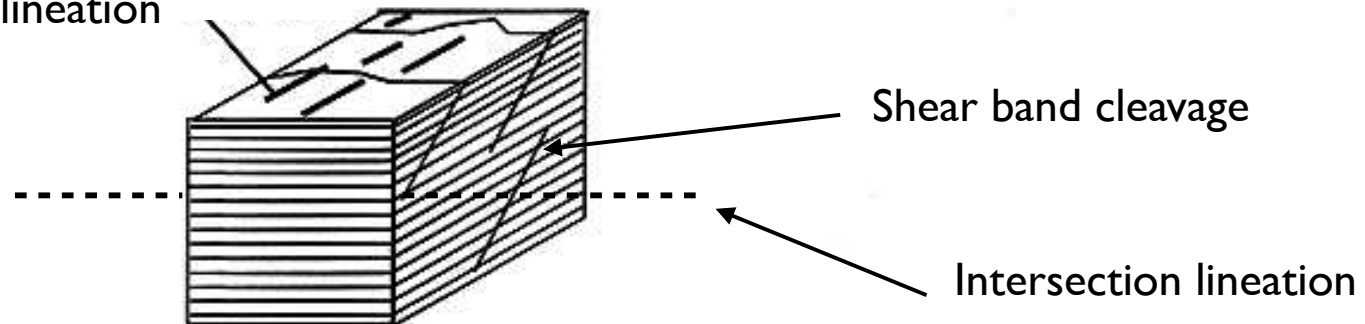
# Lination (Intersektions-)Lineare

Trace lination

Object lination



Aggregate lination



# Nomenklatur

## Foliation

Foliation and cleavage	Spaced	Compositional	Diffuse
			Banded
		Disjunctive	Styloitic
			Anastomosing
			Rough
			Smooth
	Crenulation	Zonal	
		Discrete	
	Continuous	Fine	Microcrenulation
			Microdisjunctive
Microcontinuous			
Coarse		Mineral grain	
	Discrete		

Schichtung  $s_0$

Foliation: tektonometamorph entstandenes Planargefüge

Schieferung: cleavage (Transversalschieferung)  
schistosity (Kristallisationsschieferung)

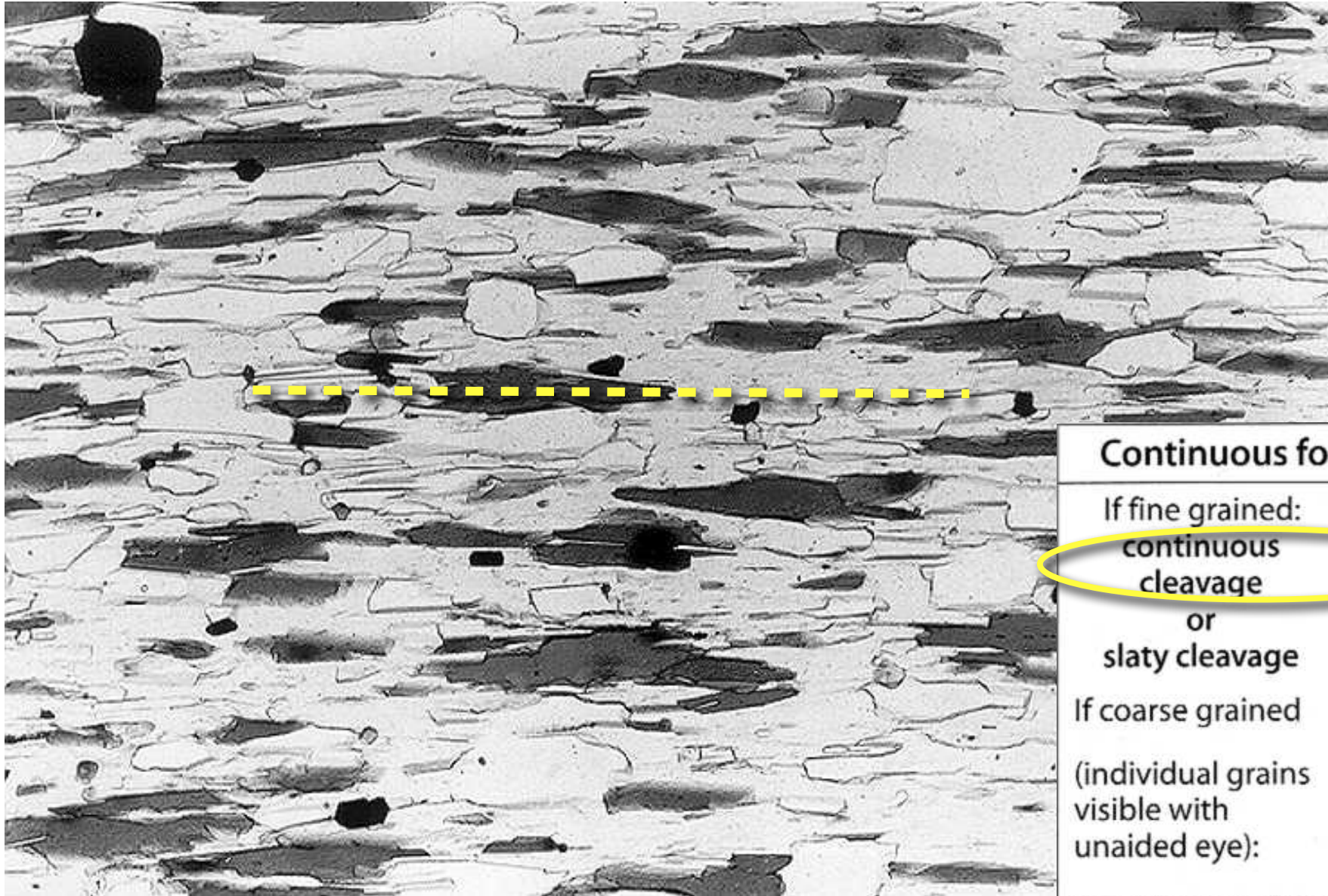
## Lineation


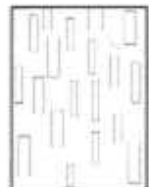
Lineations in tectonites (surficial or penetrative)	Structural	Discrete	Pebbles Ooids Fossils Alteration spots
			Constructed
		Mineral	
	Mineral grain		Acicular habit grains Elongated grains Mineral fibers Fibrous vein filling Slickenfibers Fibrous overgrowths

# Beispiele



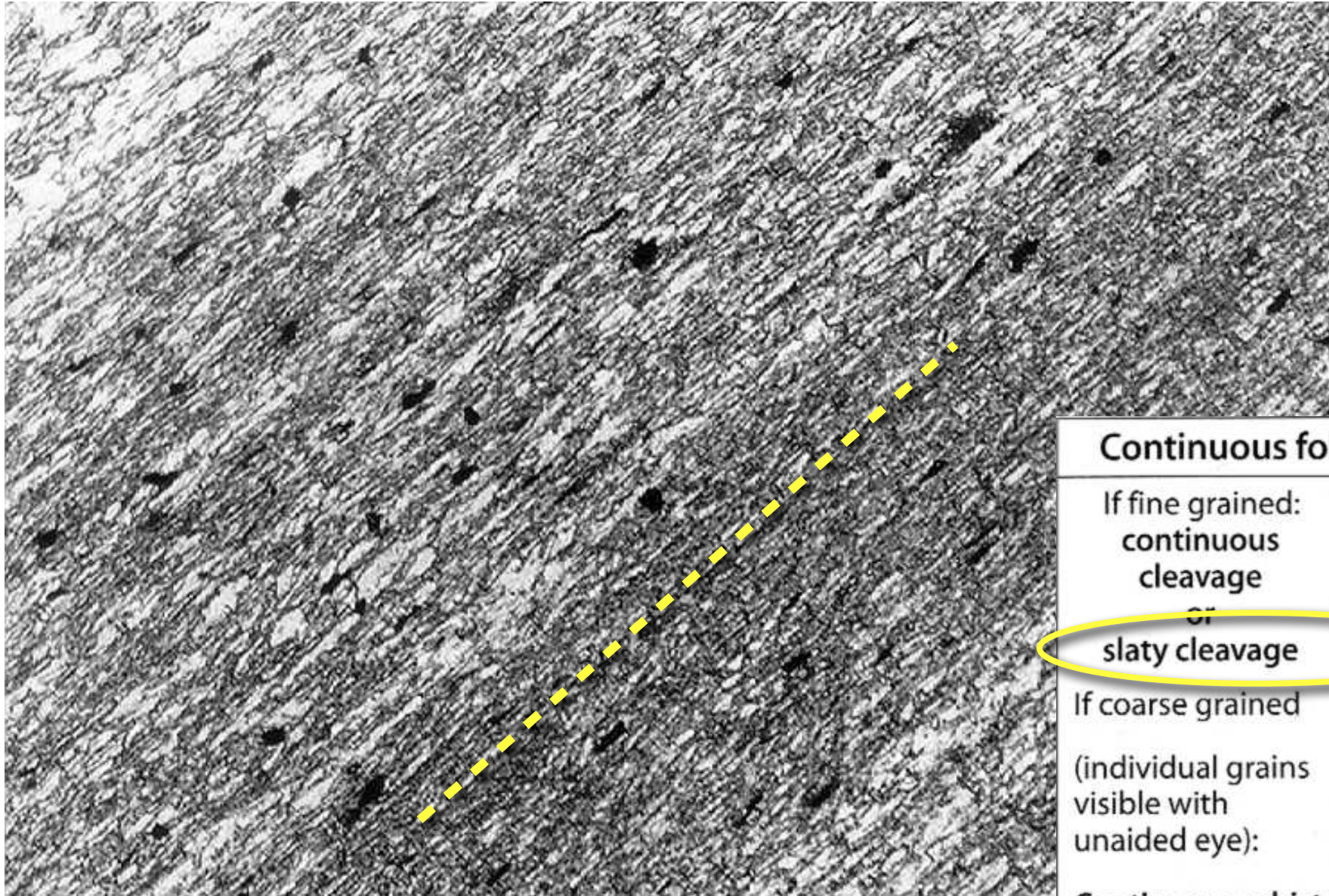
# continuous schistosity


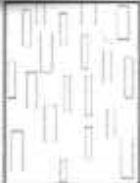


<b>Continuous foliation</b>	
If fine grained: <b>continuous cleavage</b> or slaty cleavage	
If coarse grained (individual grains visible with unaided eye):	
<b>Continuous schistosity</b>	



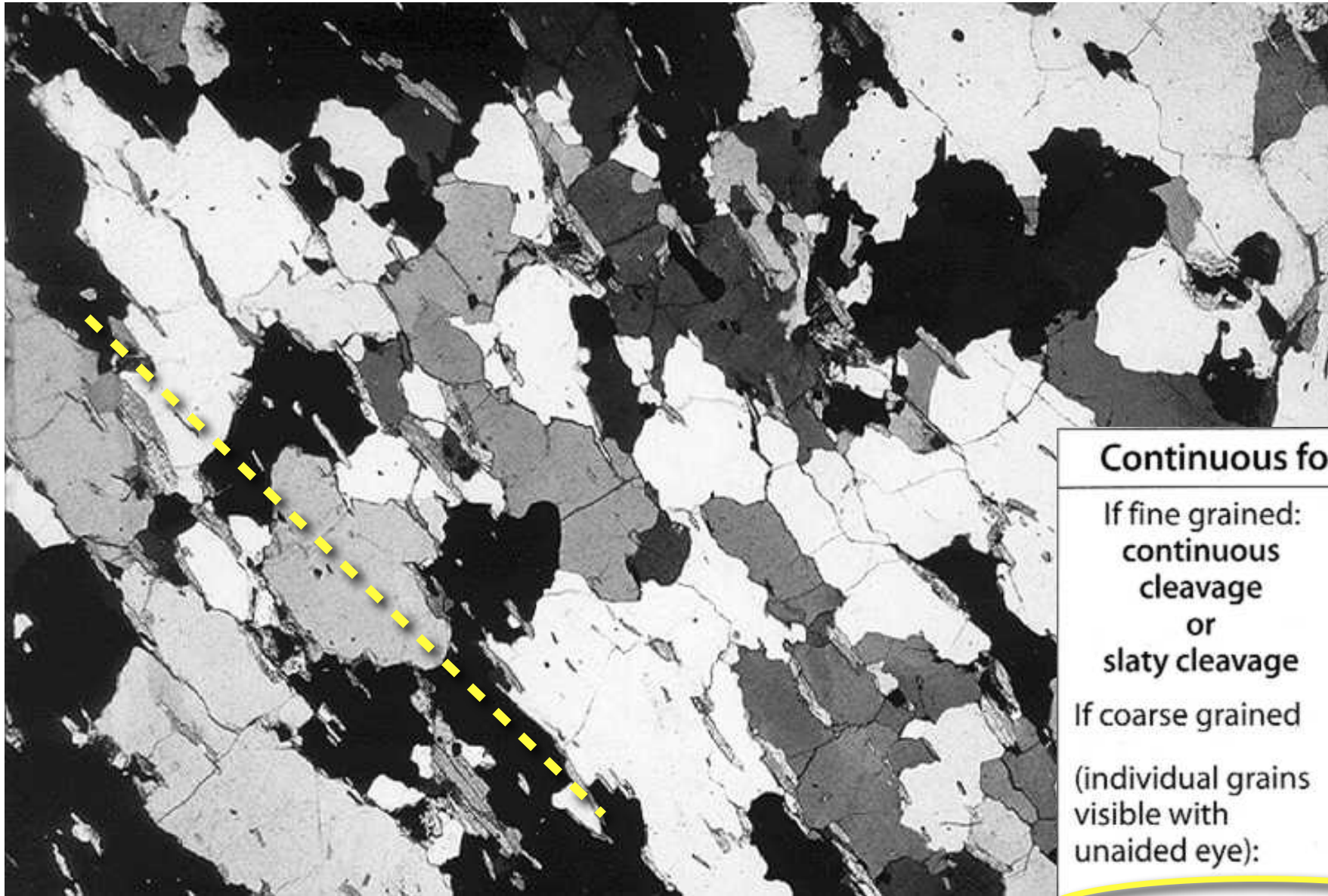
# slaty cleavage



<b>Continuous foliation</b>	
If fine grained: <b>continuous cleavage</b> or <b>slaty cleavage</b>	
If coarse grained (individual grains visible with unaided eye):	
<b>Continuous schistosity</b>	

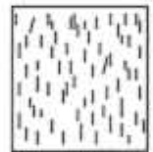


# continuous foliation (schistosity)

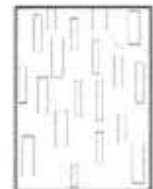


## Continuous foliation

If fine grained:  
continuous  
cleavage  
or  
slaty cleavage



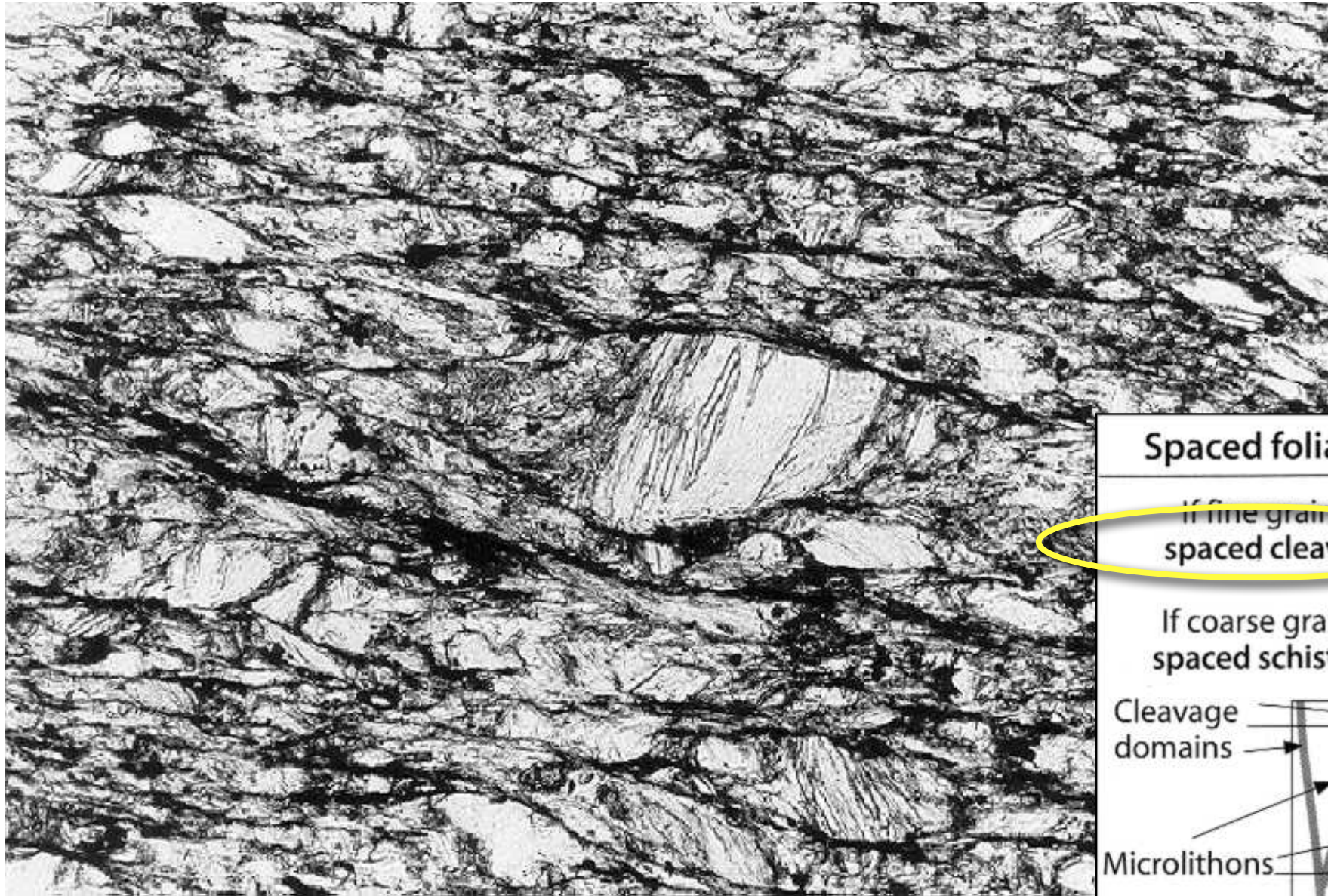
If coarse grained  
(individual grains  
visible with  
unaided eye):



**Continuous schistosity**



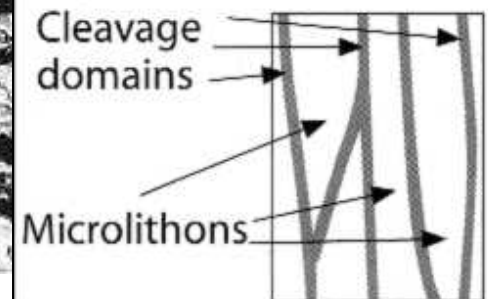
# domainal spaced cleavage



## Spaced foliation

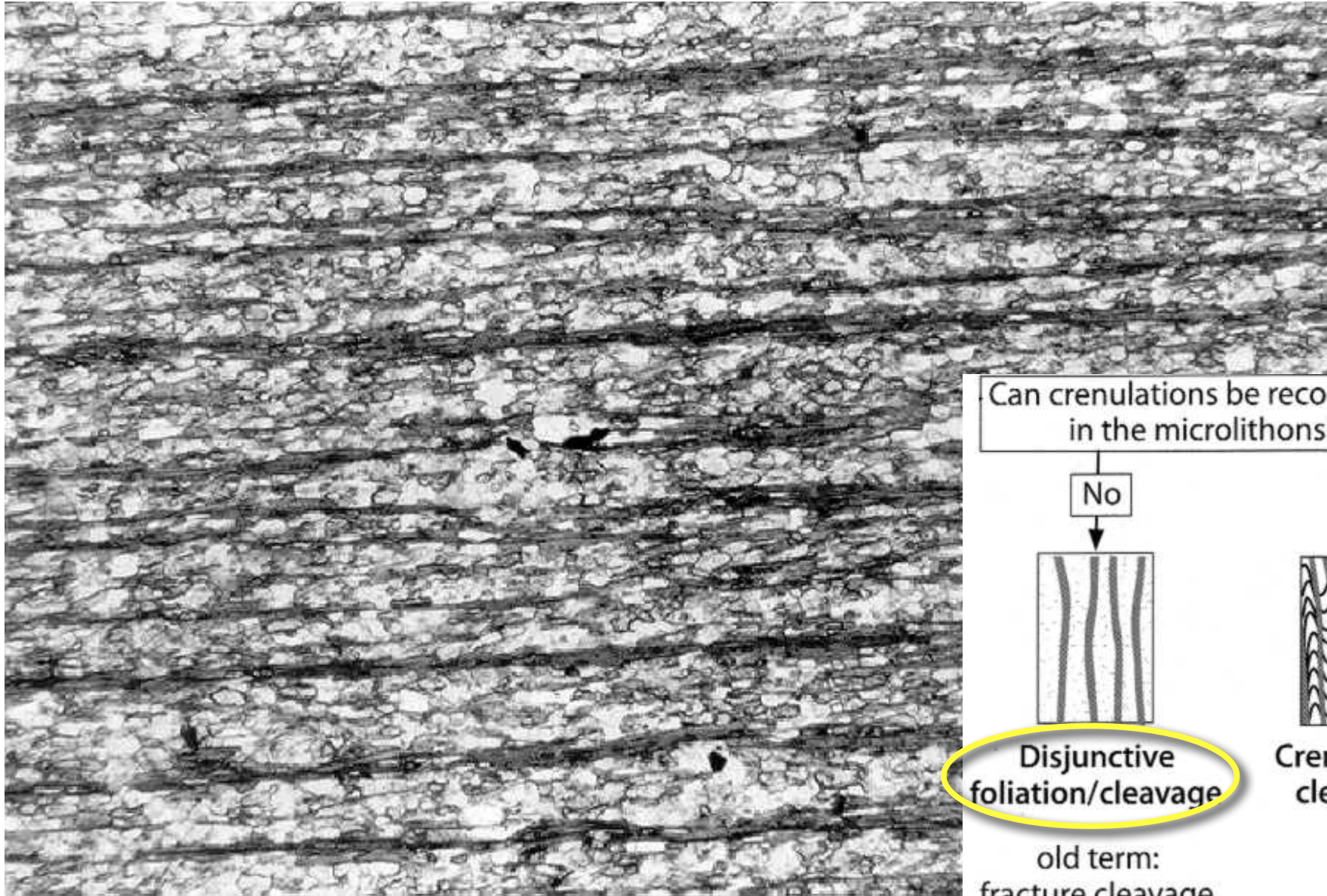
If fine grained:  
spaced cleavage

If coarse grained:  
spaced schistosity





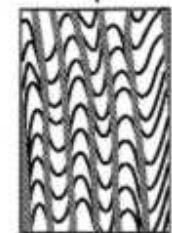
# disjunctive cleavage



Can crenulations be recognised in the microlithons?

No

Yes



**Disjunctive  
foliation/cleavage**

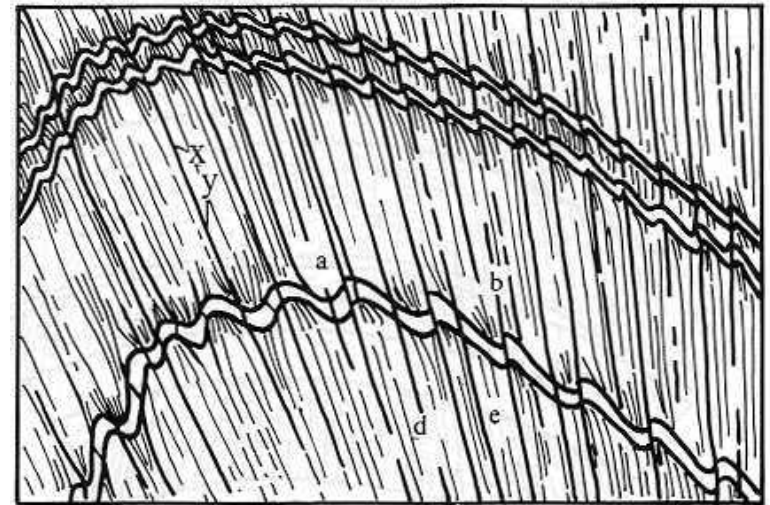
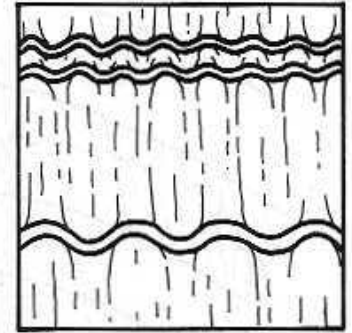
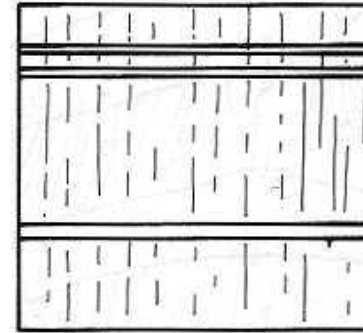
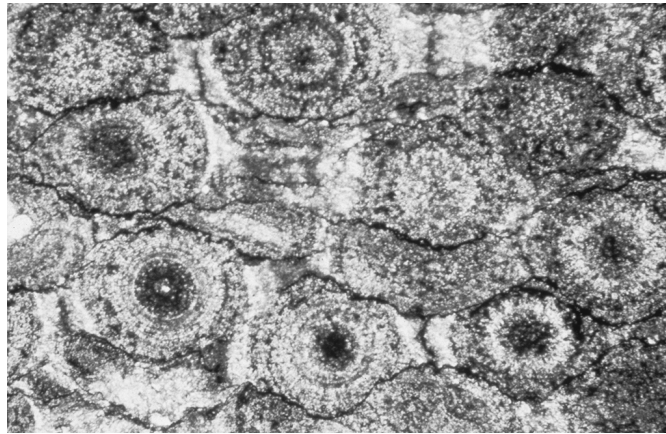
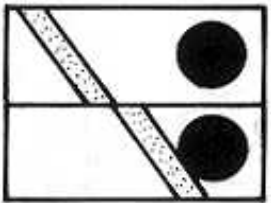
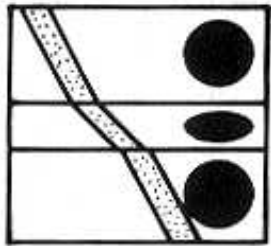
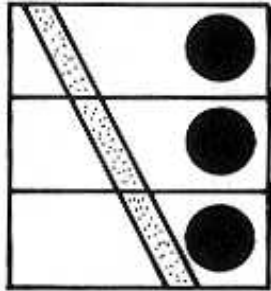
Crenulation  
cleavage

old term:  
fracture cleavage

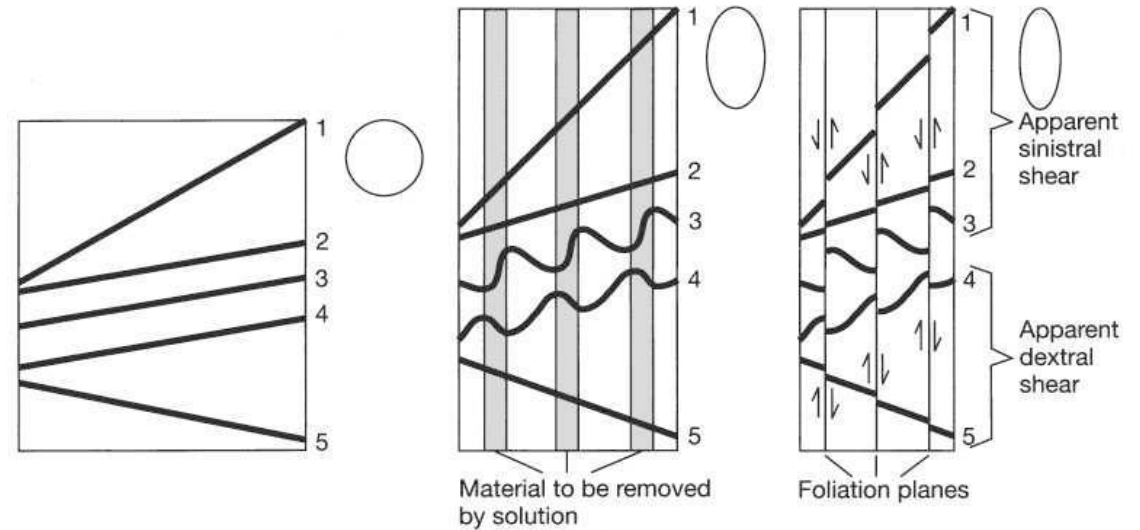
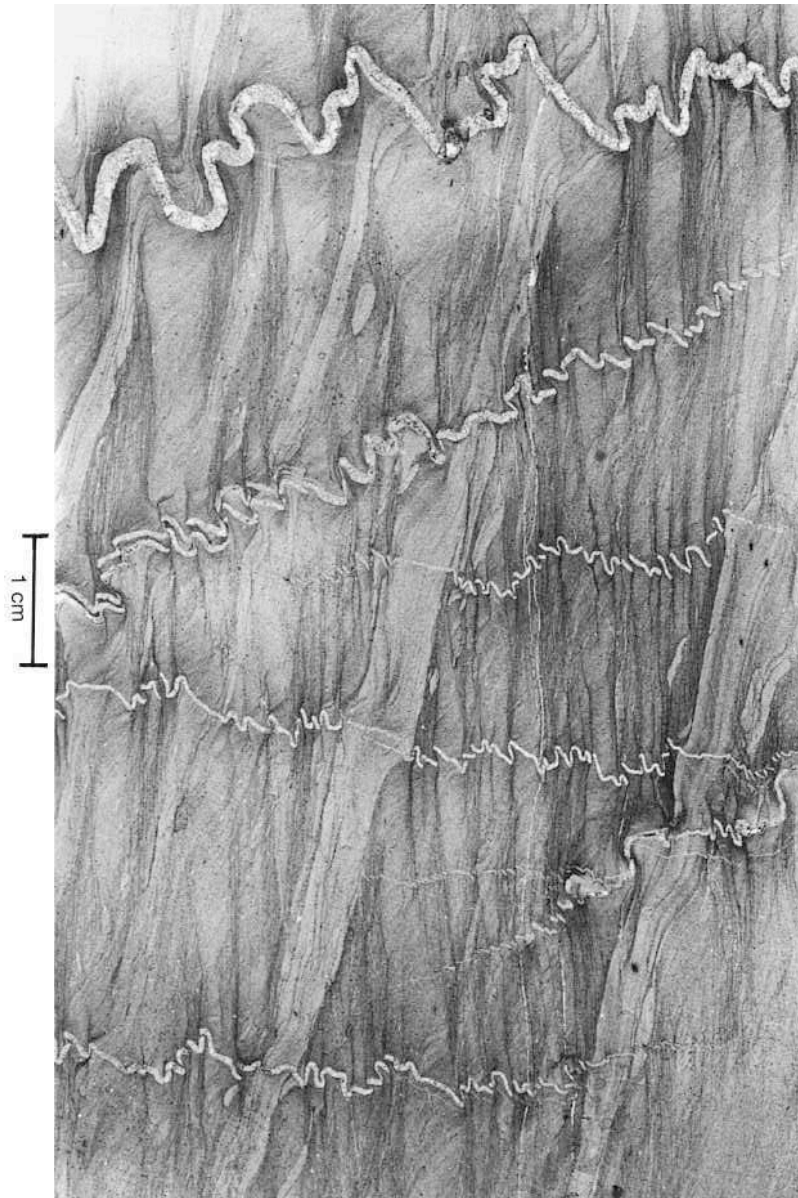


# Spezielle Schieferungstypen

# solution cleavage



# Drucklösung - pressures solution



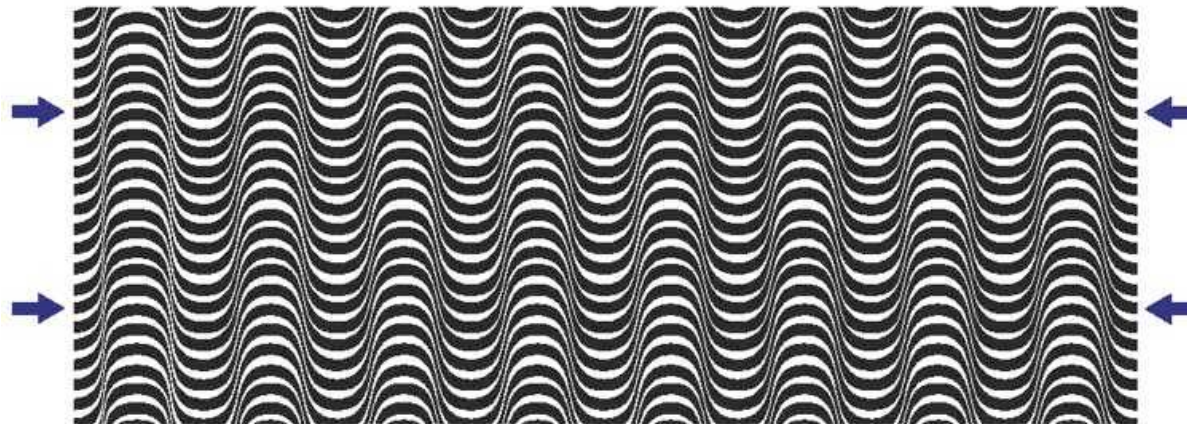
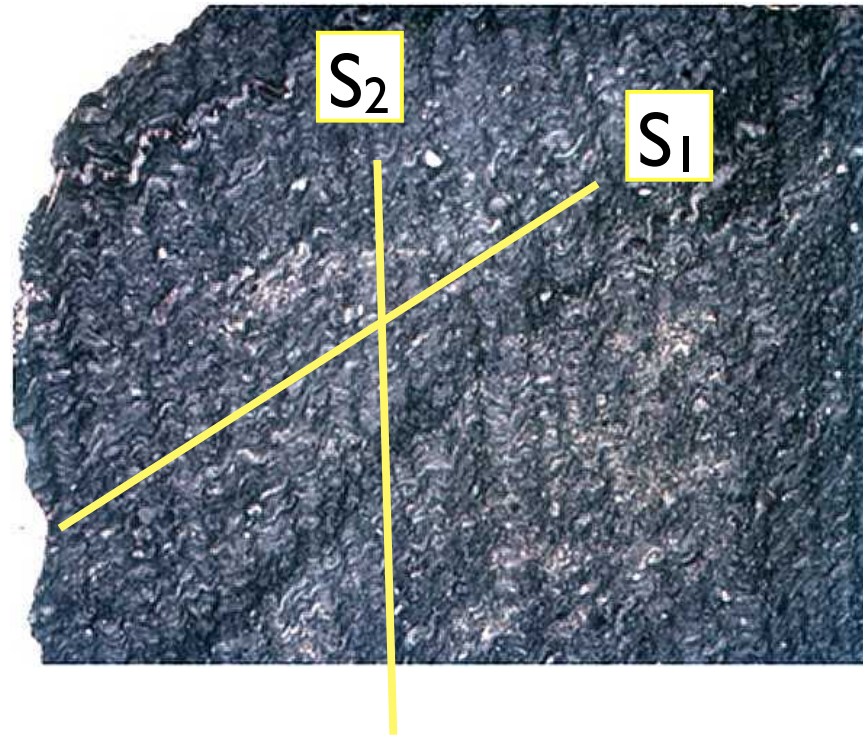
Apparent contradictory shear displacement from solution features



Apparent contradictory shear displacement from out-of plane shear

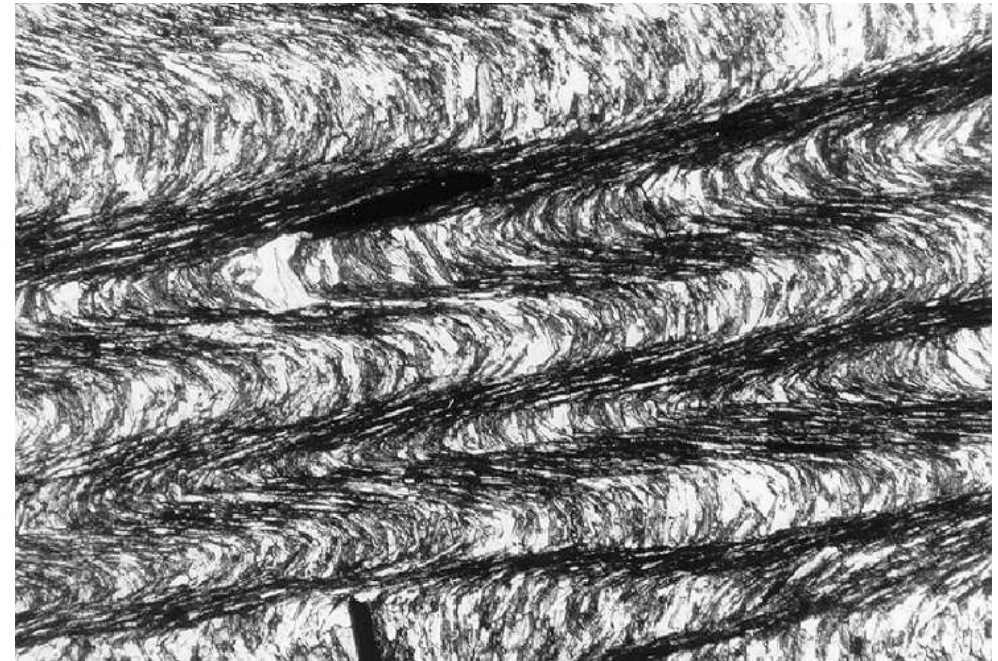
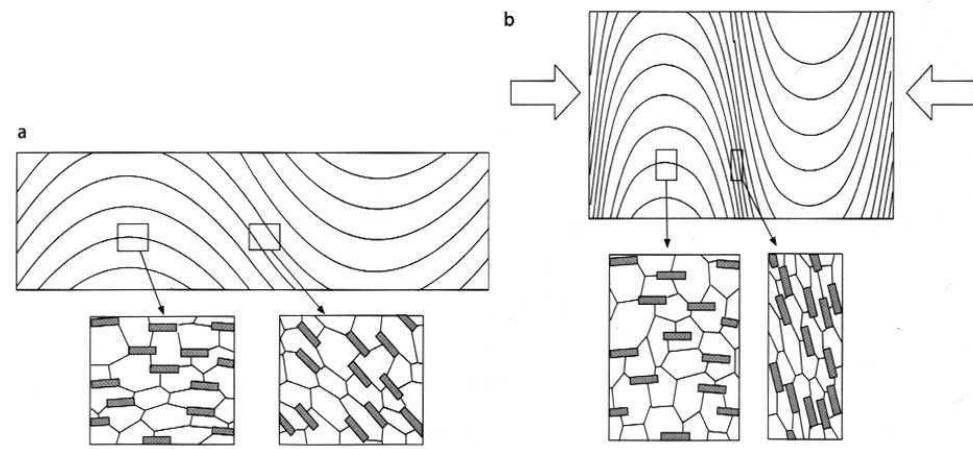
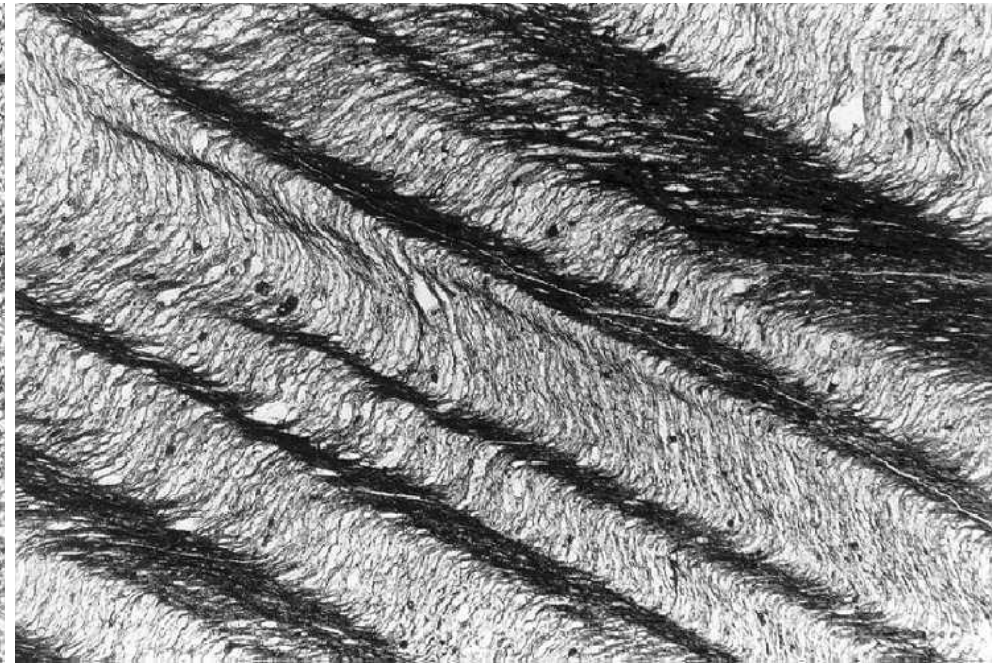
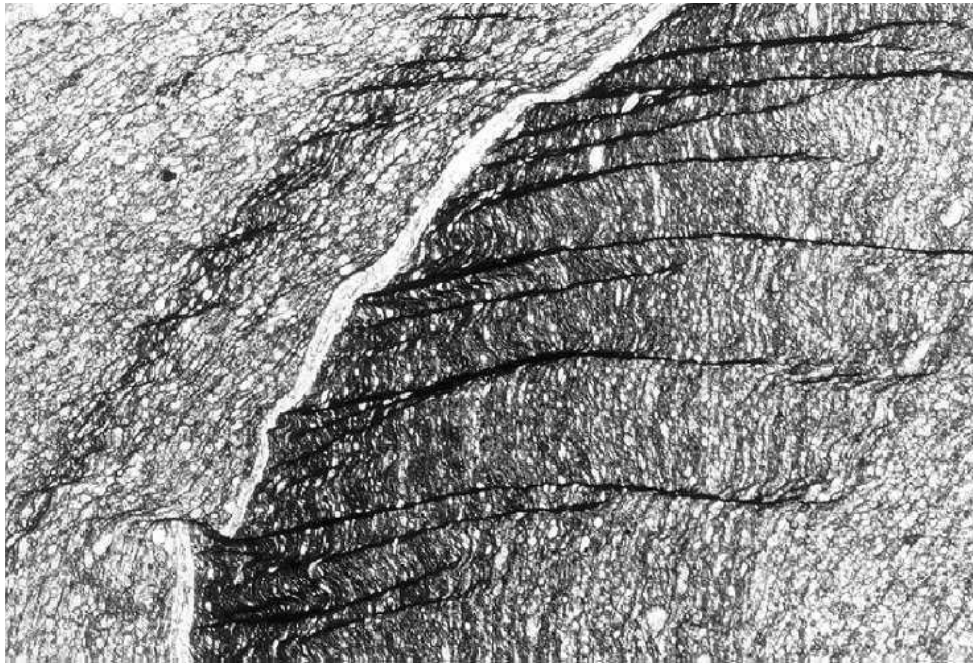


# Krenulations- (Runzel-) Schieferung



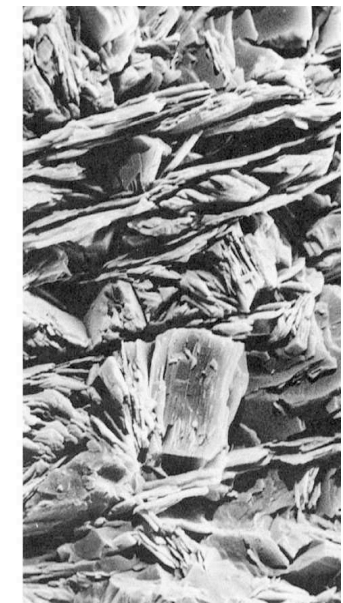
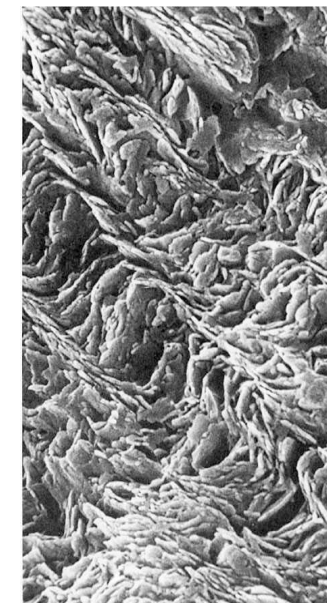


# Krenulations- (Runzel-) Schieferung





# ... von cm bis $\mu\text{m}$ Masstab



Fossen

A.

5  $\mu\text{m}$

B.

10  $\mu\text{m}$



# Not foliations ...

**Fracture "cleavage"** is a term from the past that reappear from time to time, but most modern structural geologists avoid using this term. It is used about densely spaced parallel fractures may look similar to cleavage, but the formation and kinematics are very different:

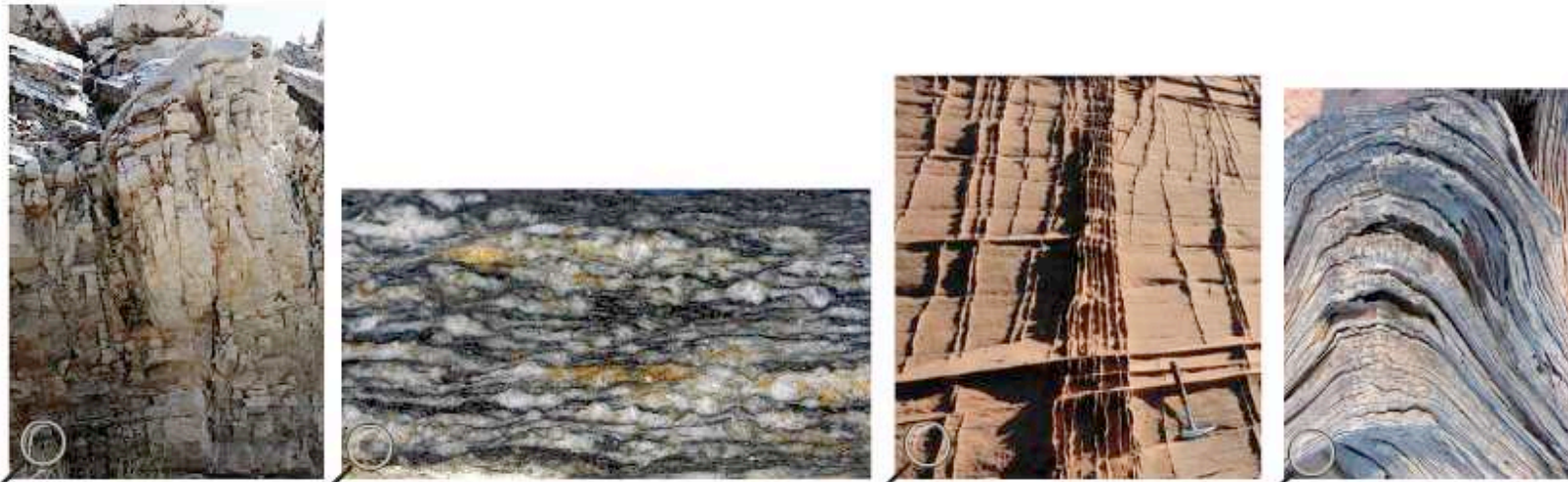
**Cleavage** involves shortening across the planar structure.

**Fractures** involve slip (shear fractures) or dilation (joints).

**Shear bands** in plastic shear zones have been called foliations by some geologists. Most of us prefer not to, because they do not involve shortening perpendicular to the bands.

Densely spaced shear bands in granular material (porous sandstone), known as **deformation bands**, may be regularly distributed to form a penetrative fabric, but do not classify as foliations. This is partly because they involve shear and partly because the deformation mechanism (frictional slip) is different.

**Compaction bands**, a type of deformation bands that form in highly porous sandstones, get very close since they involve compaction across the bands, but these are quite rare and seldom spaced densely enough to be confused with cleavage.

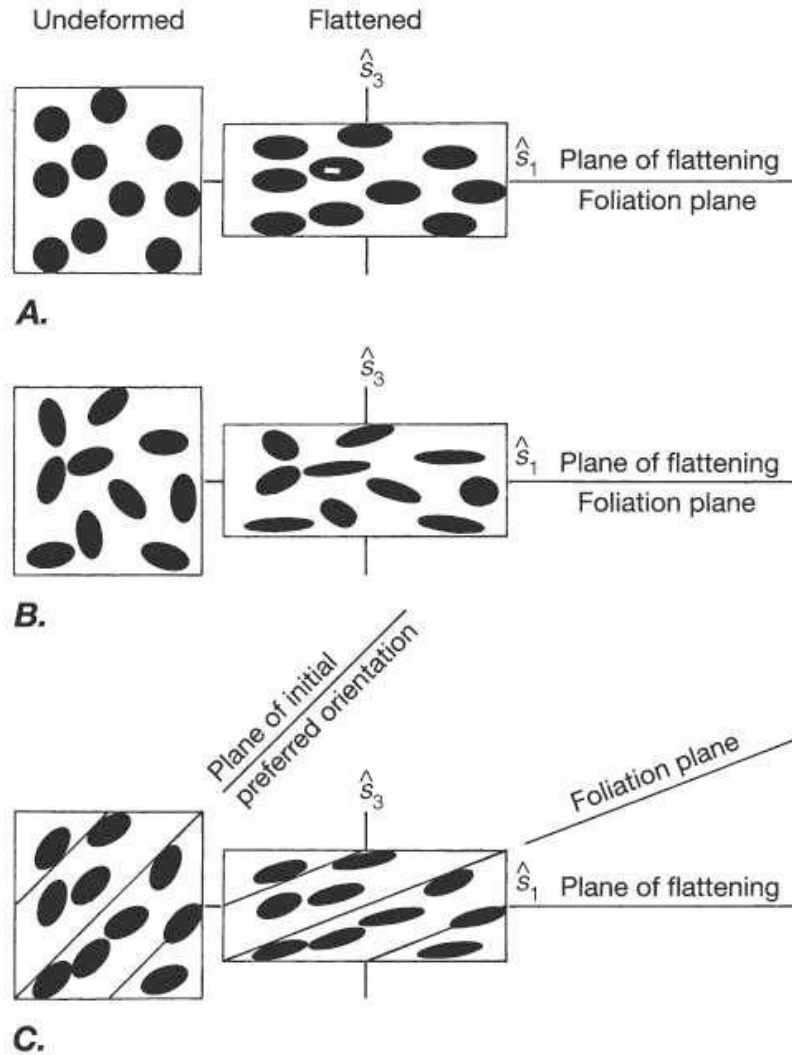


*Various structures that are not foliations. Click to explore.*

# Schieferung und Verformung



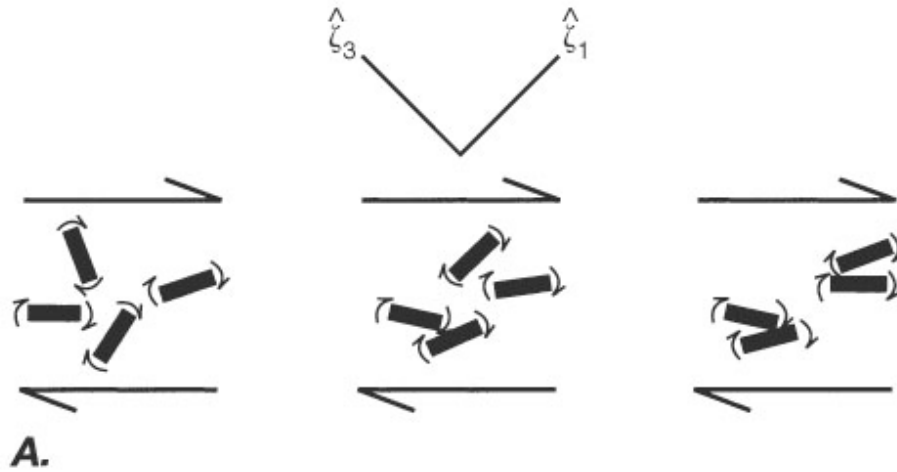
# foliation - strain



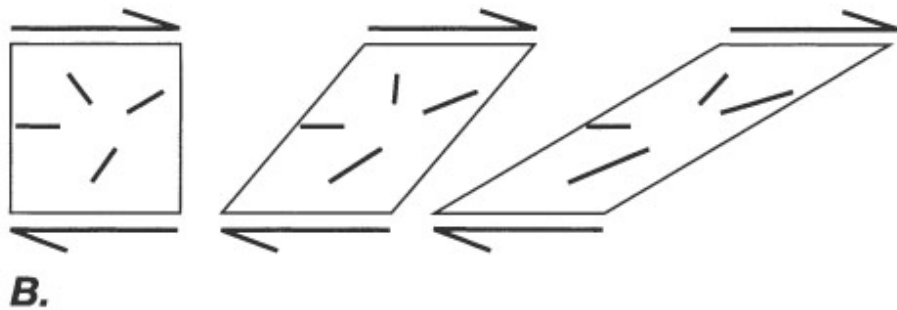
foliation =  
plane of flattening  
of the strain ellipsoid

foliation  $\neq$   
plane of flattening

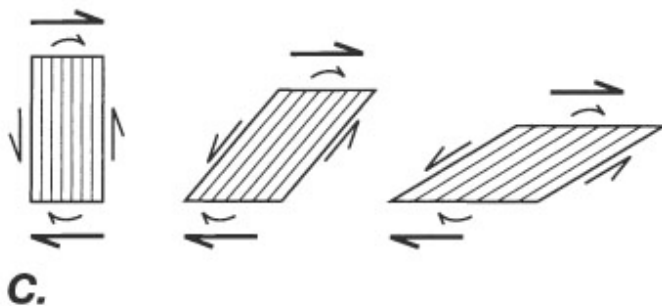
# Schieferung: Geometrische Entwicklung



A.  
Jeffrey model:  
rotation of rigid particles

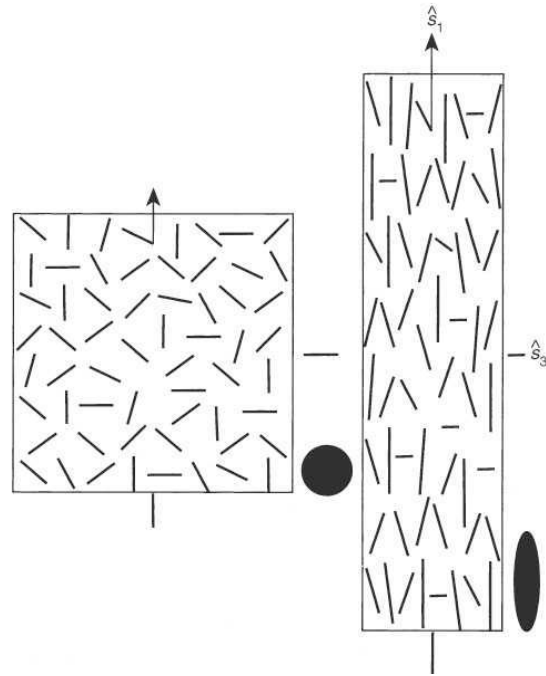


B.  
March model:  
rotation of passive markers

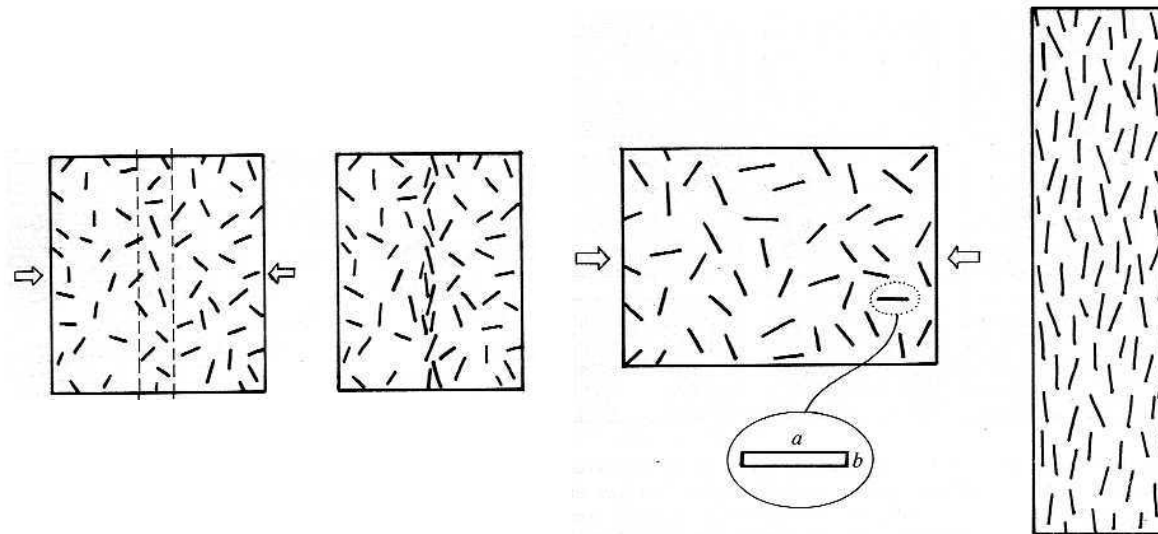


C.  
Taylor-Bishop-Hill model:  
rotation of crystallographic  
planes

# Schieferung: Geometrische Entwicklung

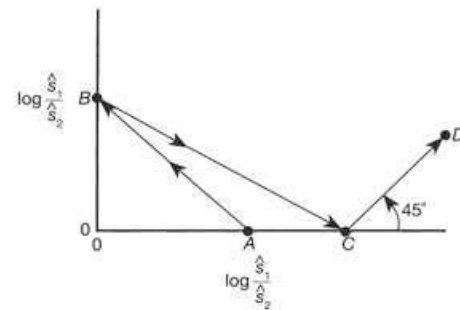
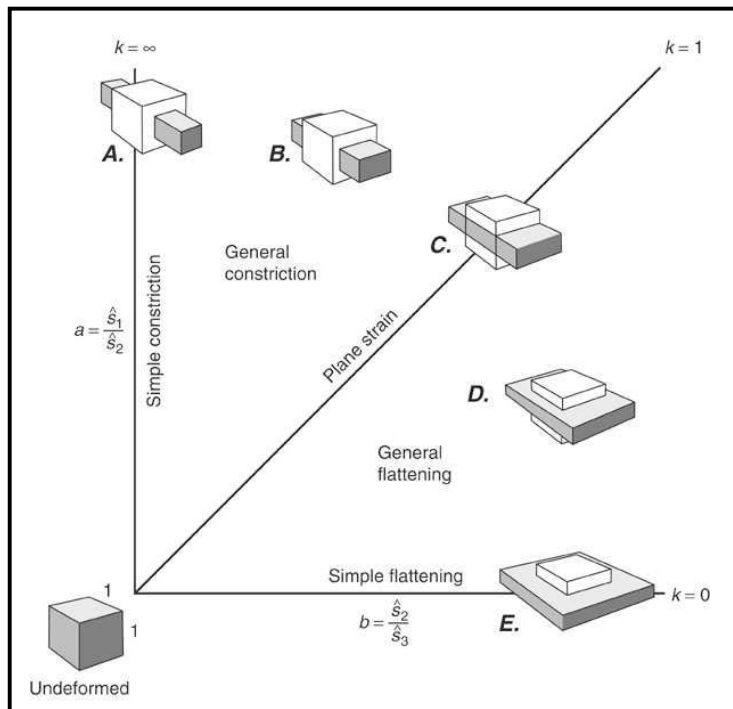
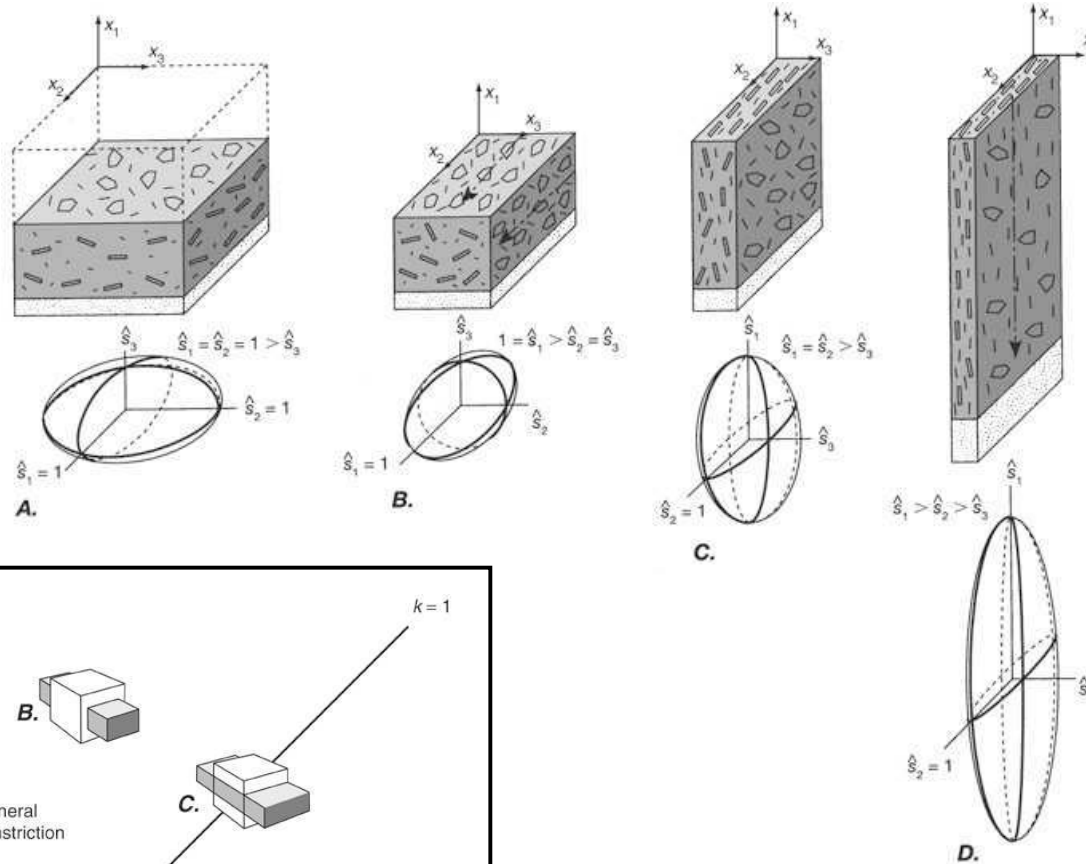


March model:  
Rotation of passive  
markers in pure shear



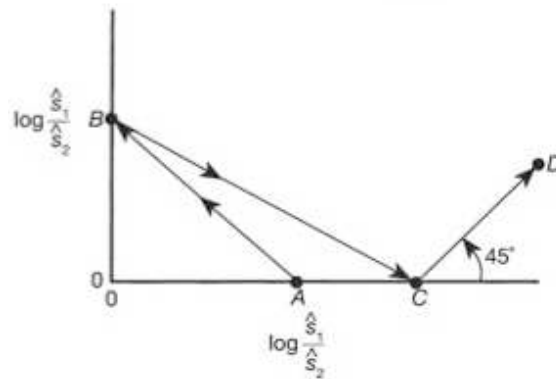
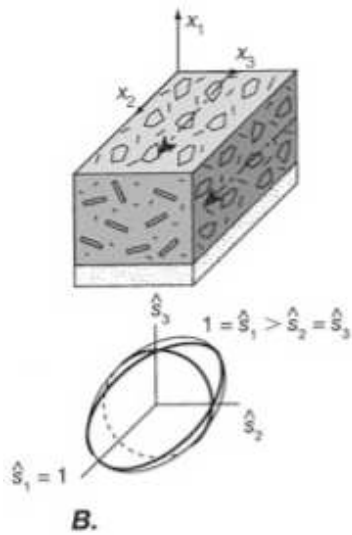
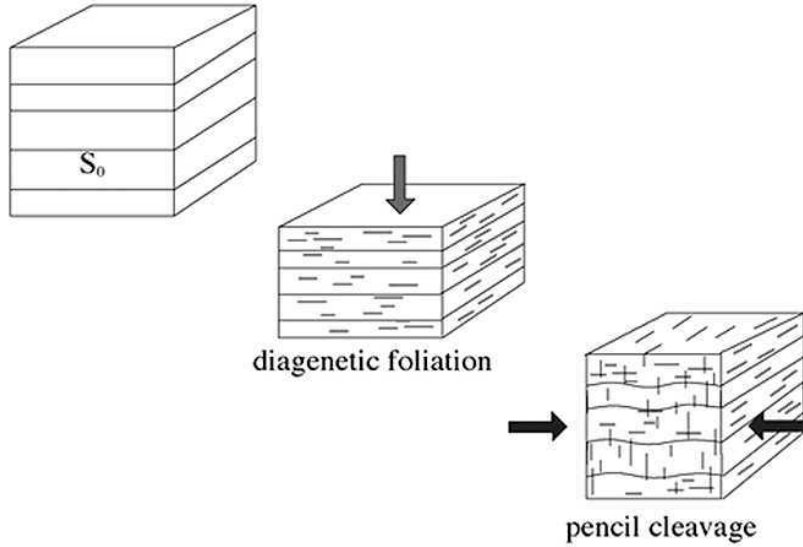
Observed:  
Formation of a foliation  
by preferential  
orientation of marker  
planes normal to the  
shortening direction

# cleavage development - Flinn diagram





# pencil cleavage



# Achsenflächen-Schieferung und strain

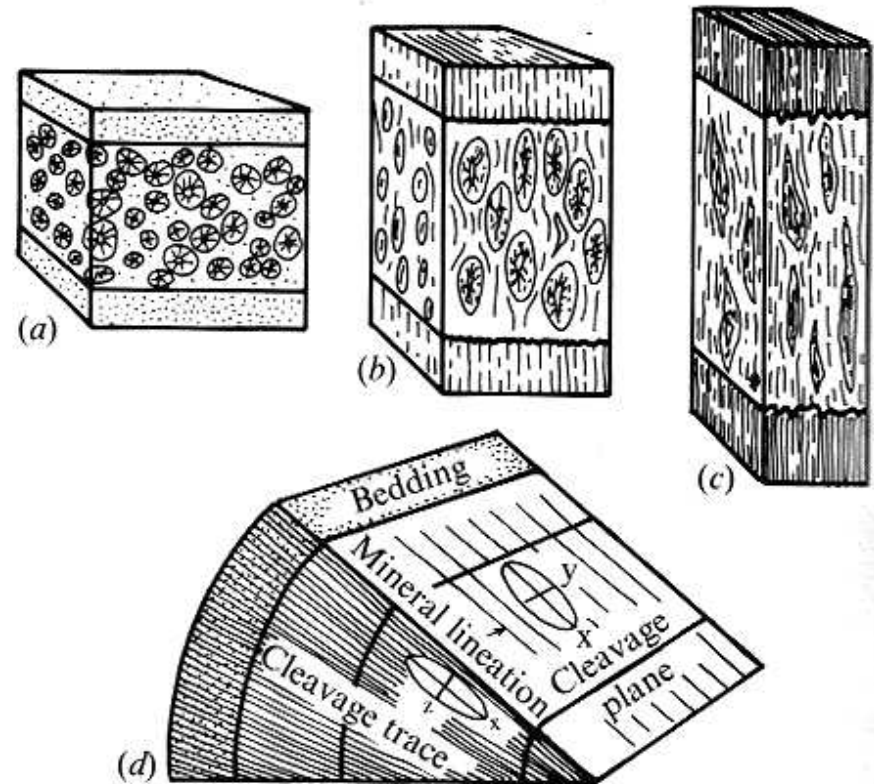
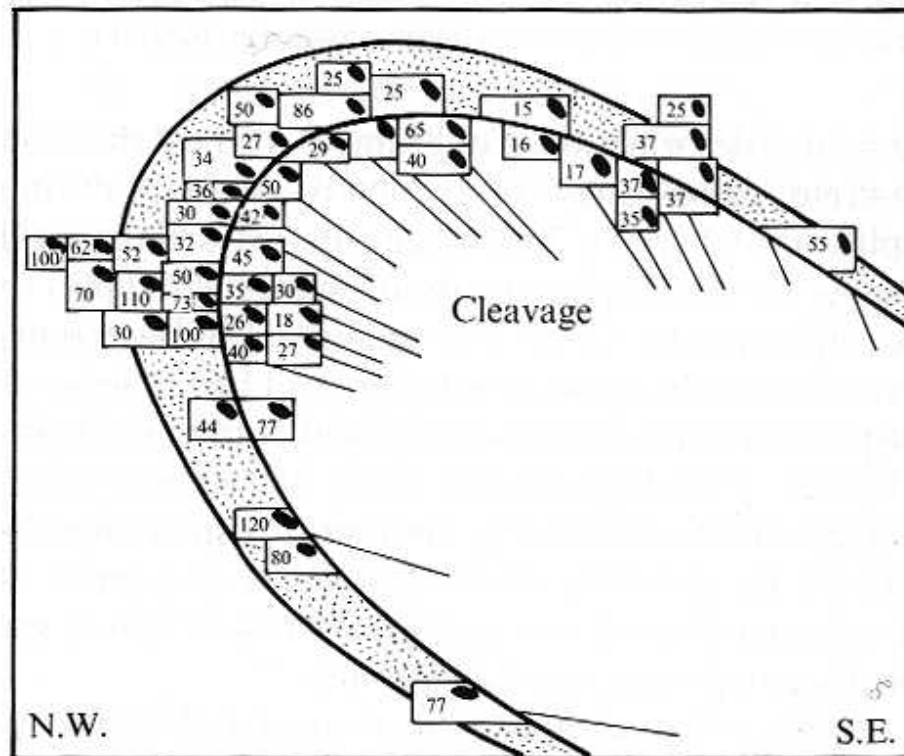
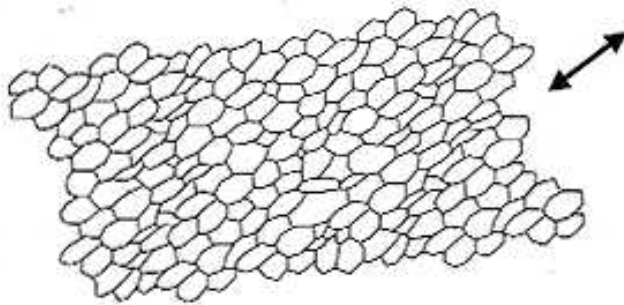


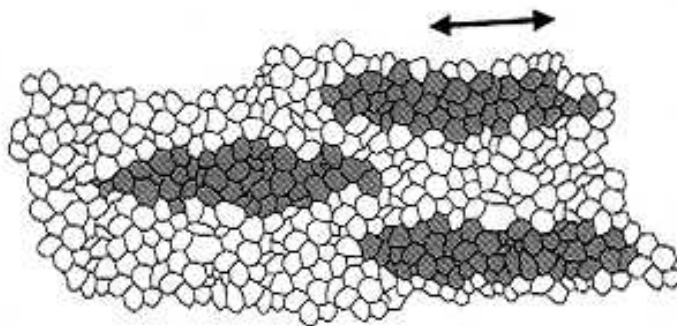
Fig. 17.37. Block diagrams of (a) an undeformed oolitic limestone sandwiched between beds of different lithologies; (b) appearance of (a) after 50 per cent deformation and (c) after 100 per cent deformation. (d) The relationship between the deformed oolites and the cleavage. The major and intermediate axes of the oolite lie in the cleavage plane and the major axis is parallel to the mineral lineation. (After Cloos, 1947.)

# Mikrostruktur

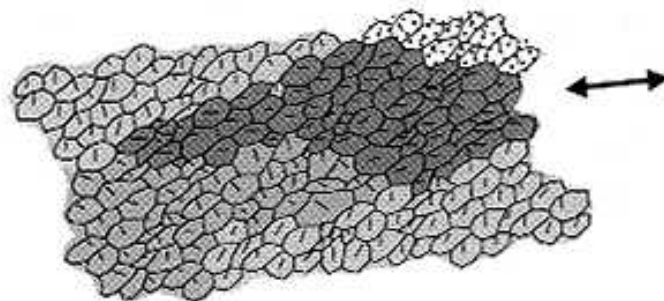
a GSPO (grain shape preferred orientation)



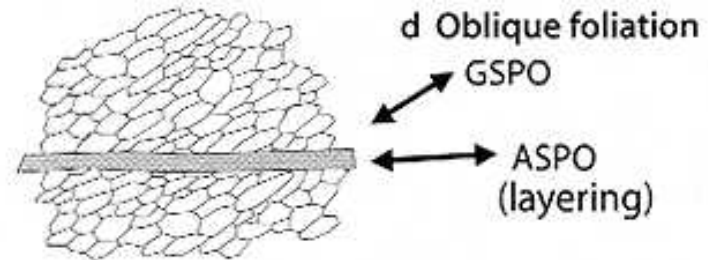
b ASPO (aggregate shape preferred orientation)



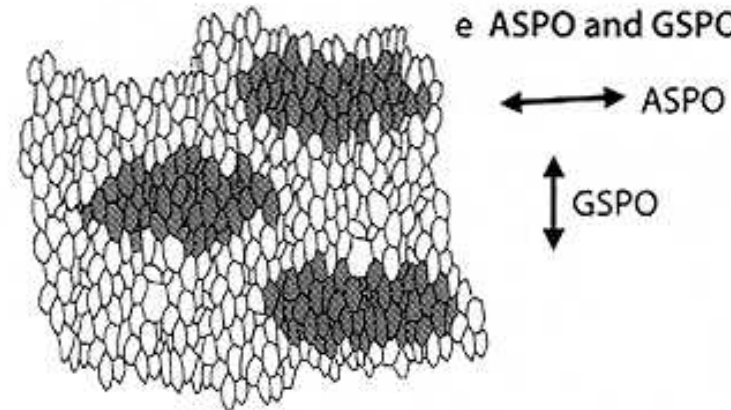
c DSPO (LPO-Domain shape preferred orientation)



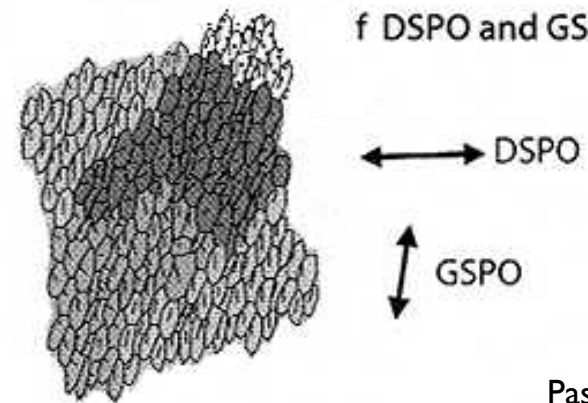
d Oblique foliation



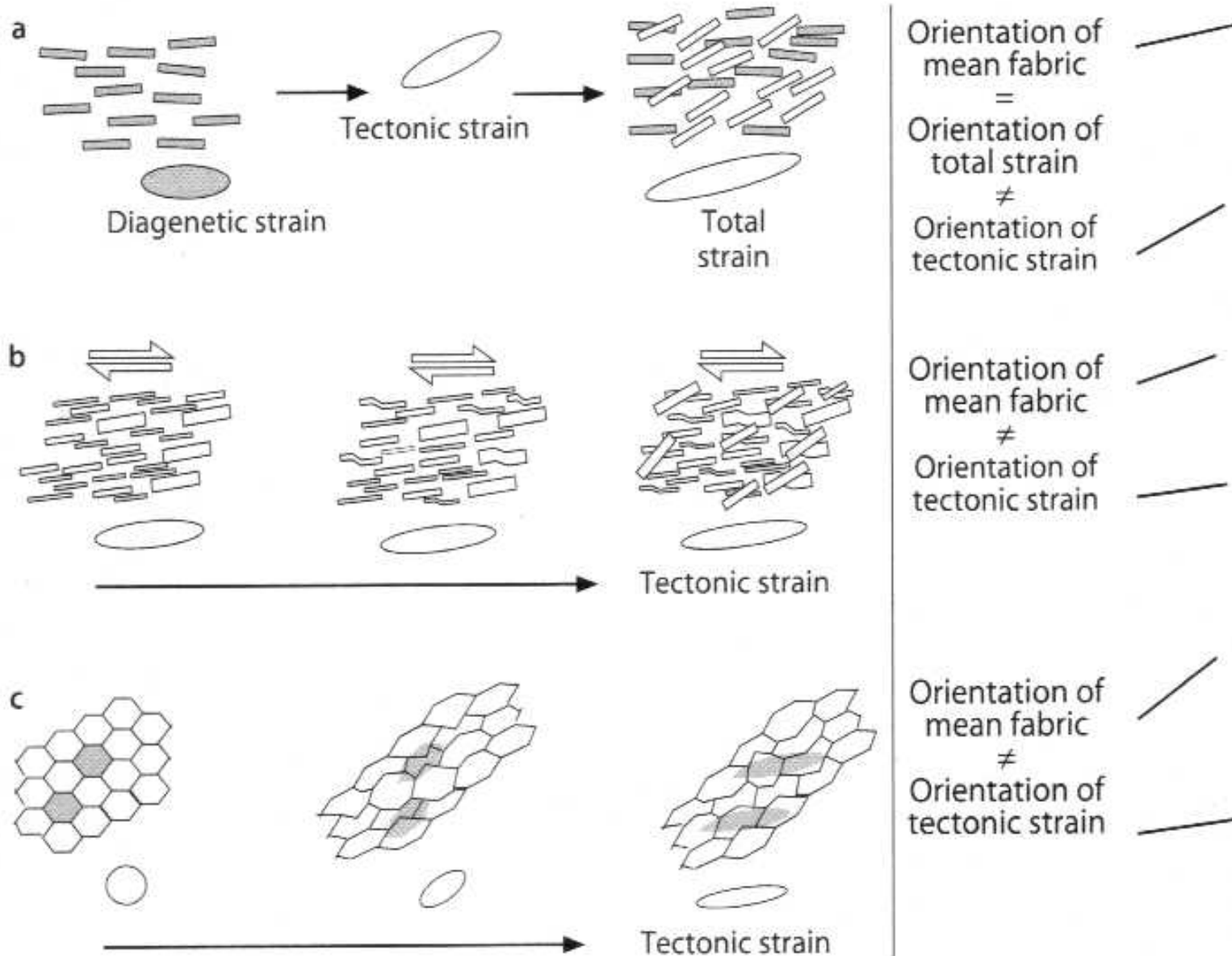
e ASPO and GSPO



f DSPO and GSPO



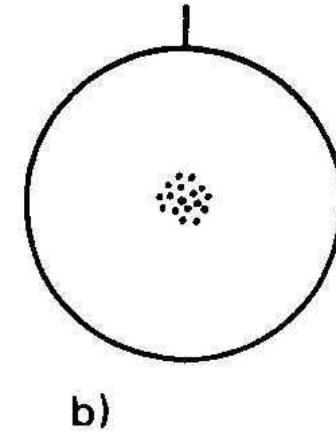
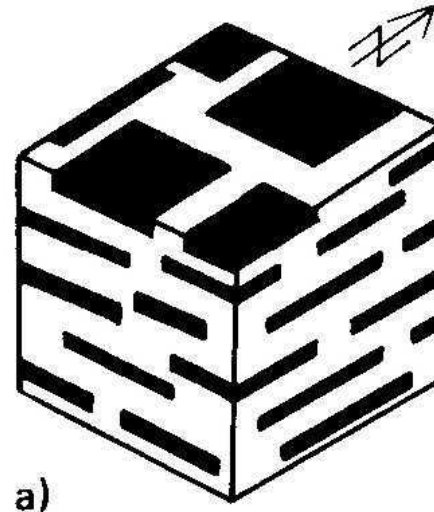
# foliation microstructure



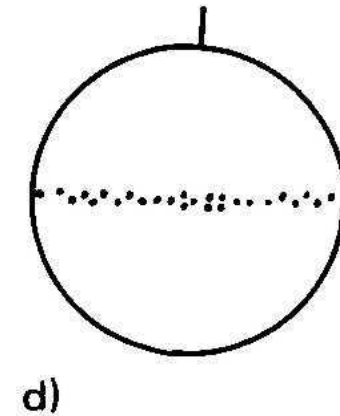
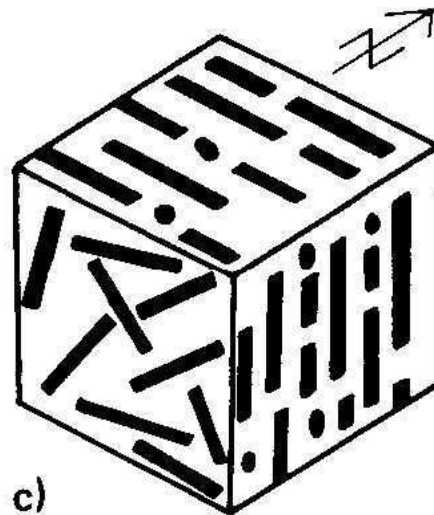


# Mineralregelung

plattig

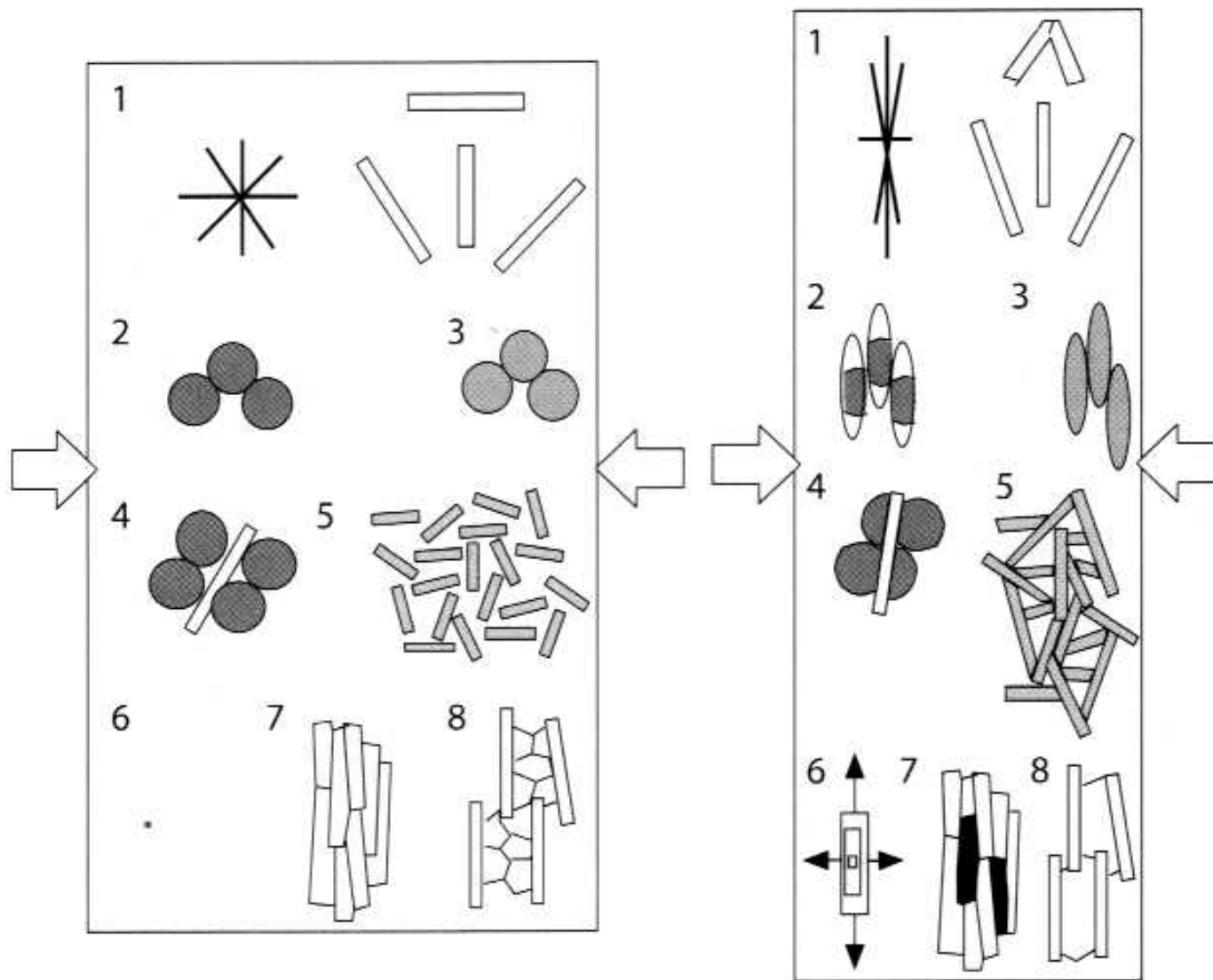


stengelig



# Mechanismen der Schieferungsbildung

# mechanisms for foliation development



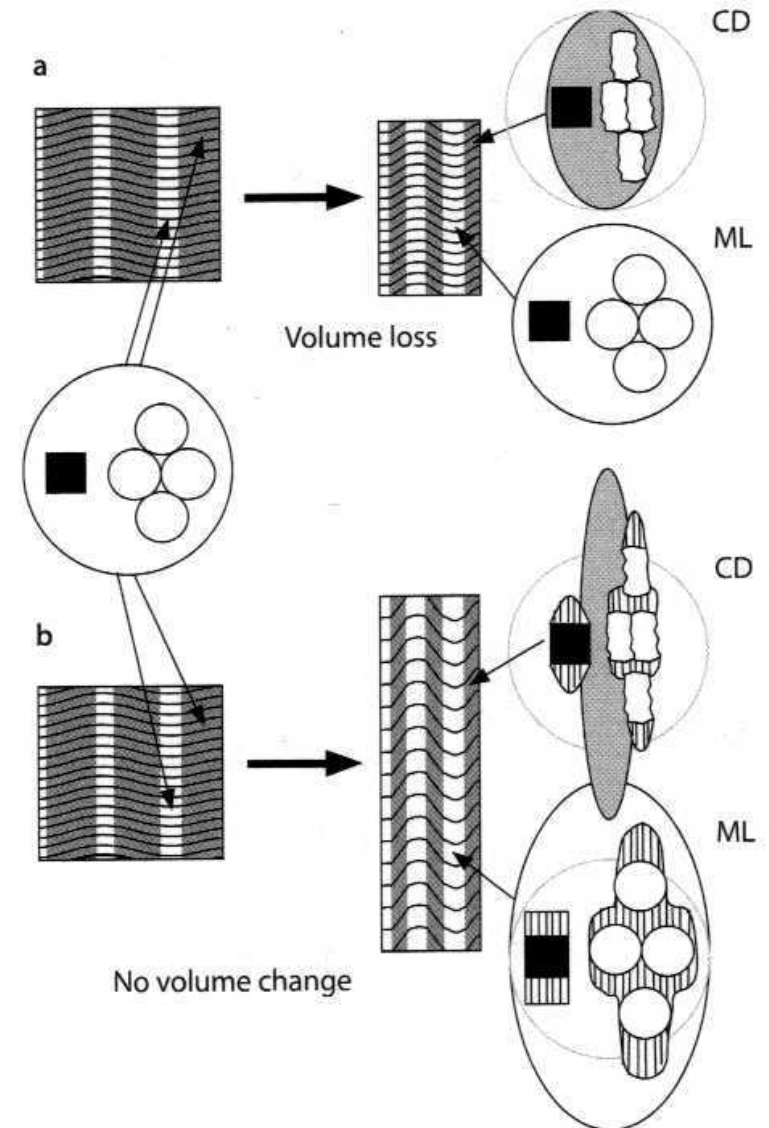
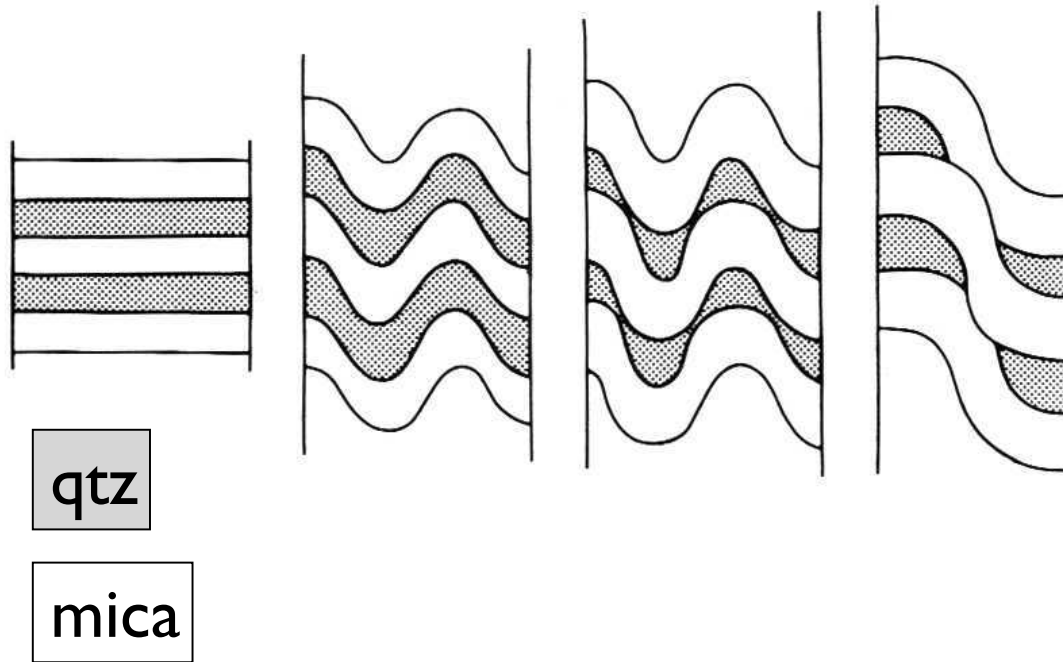
passive markers  
rotate, bend

monomineralic:  
solution-precipitation  
crystal plasticity

polymineralic:  
foliation formation  
growth //(001)

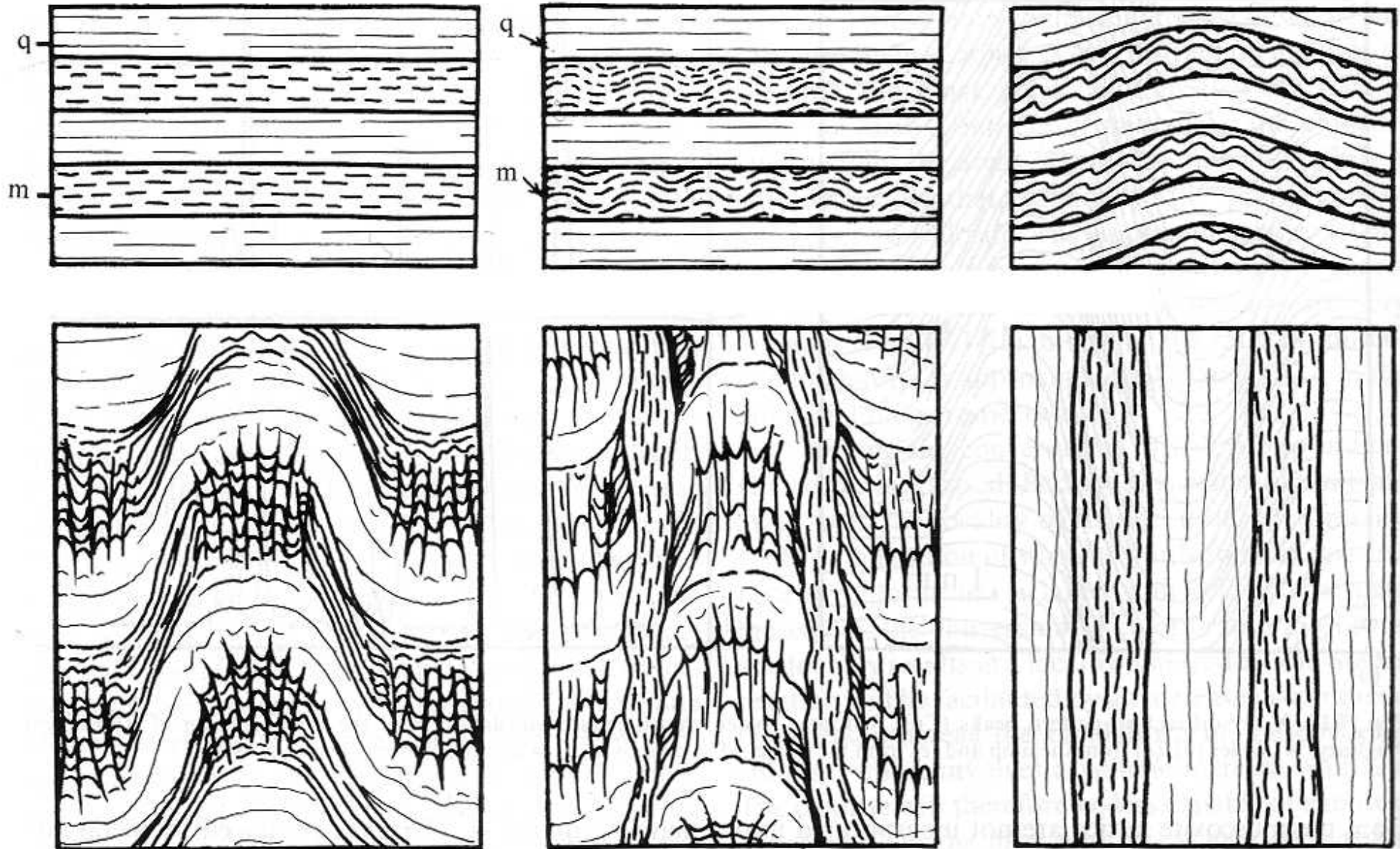
growth // stress field  
restricted growth  
- foliation  
- platy minerals

# crenulation cleavage in multilayer





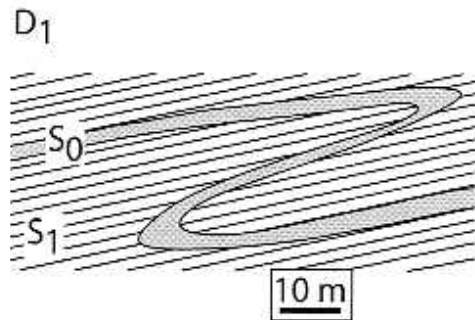
# Schieferungsentwicklung



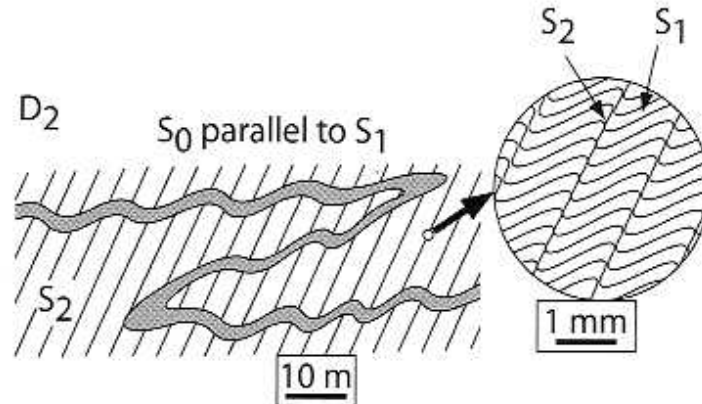
Anwendung in der  
Strukturgeologie:  
Überprägung  
 $S_0, S_1, S_2, \text{etc.}$

# Beispiel für Schieferungsentwicklung

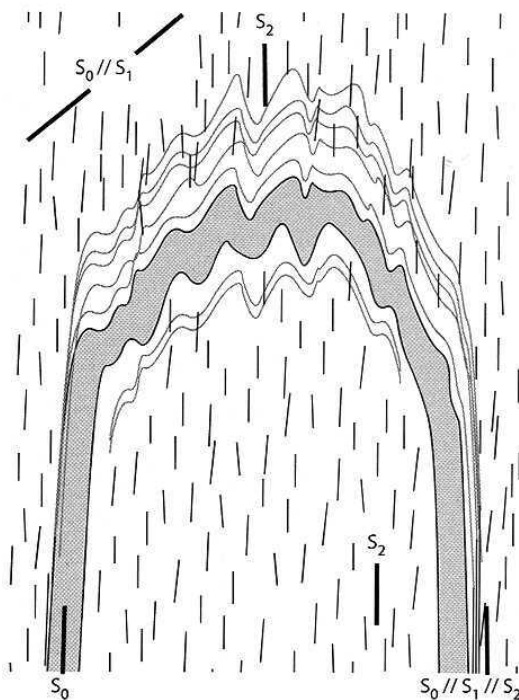
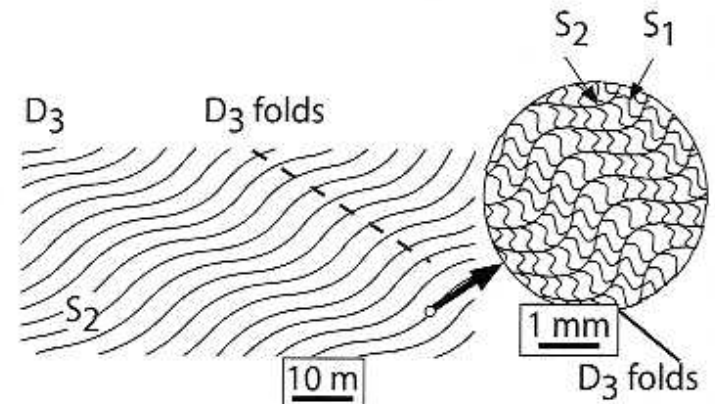
Deformationsphase 1



Deformationsphase 2



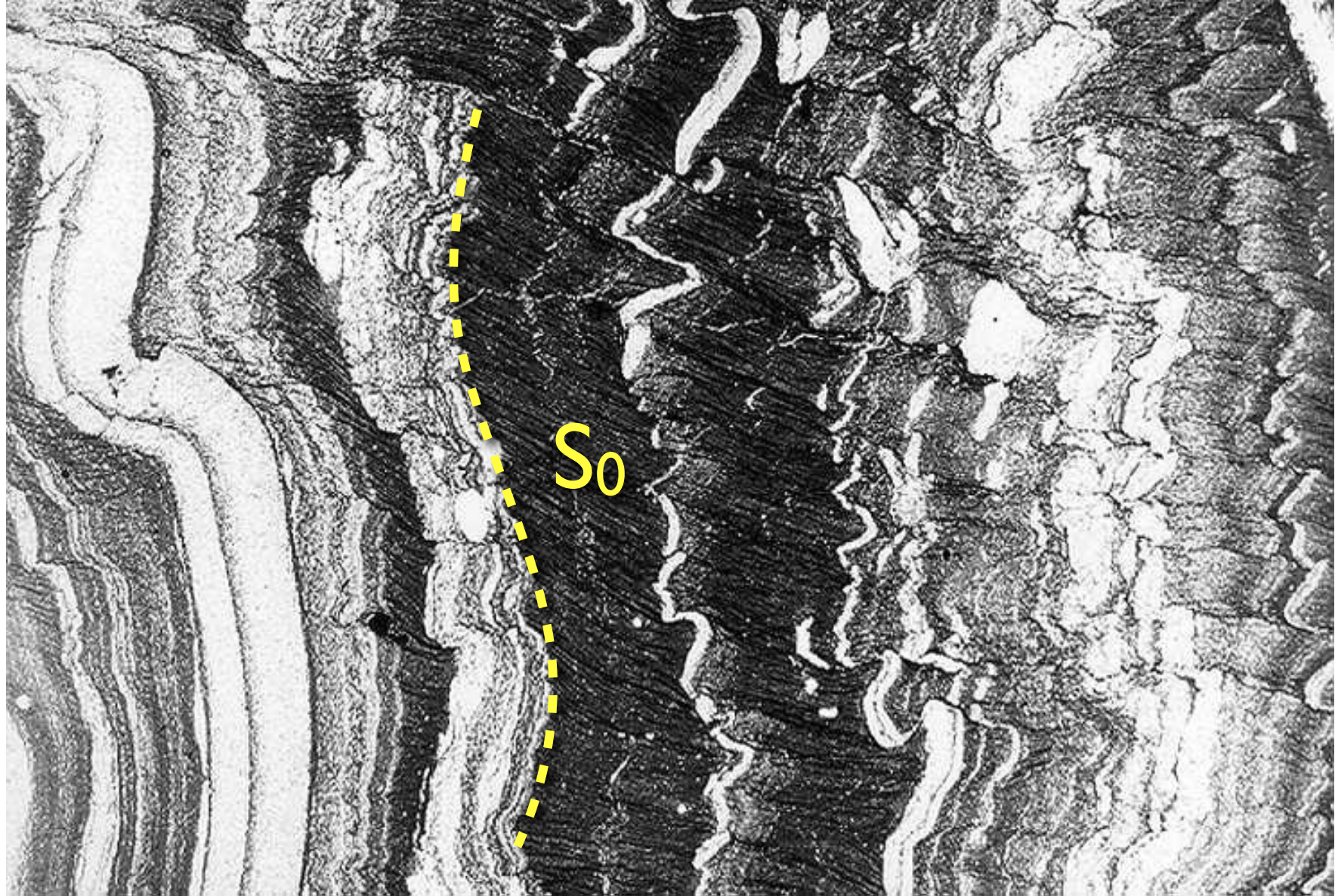
Deformationsphase 3



- $S_0$  Ablagerung
- $D_1$  Isoklinalfalten: Schieferung  $S_1$
- $D_2$  Krenulationsschieferung  $S_2$
- $D_3$  Offene Falten:  $S_3$

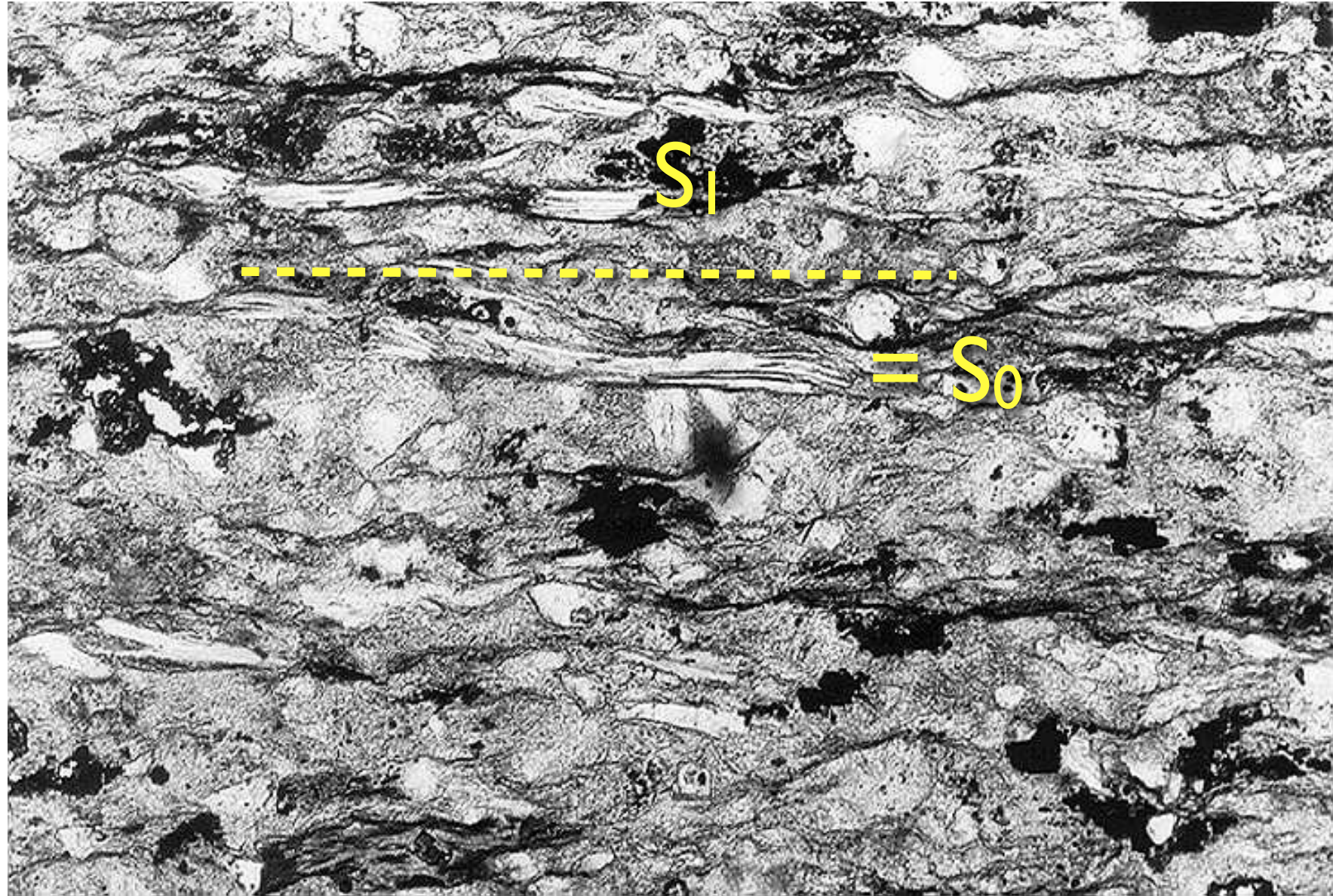


# primary foliation $S_0$



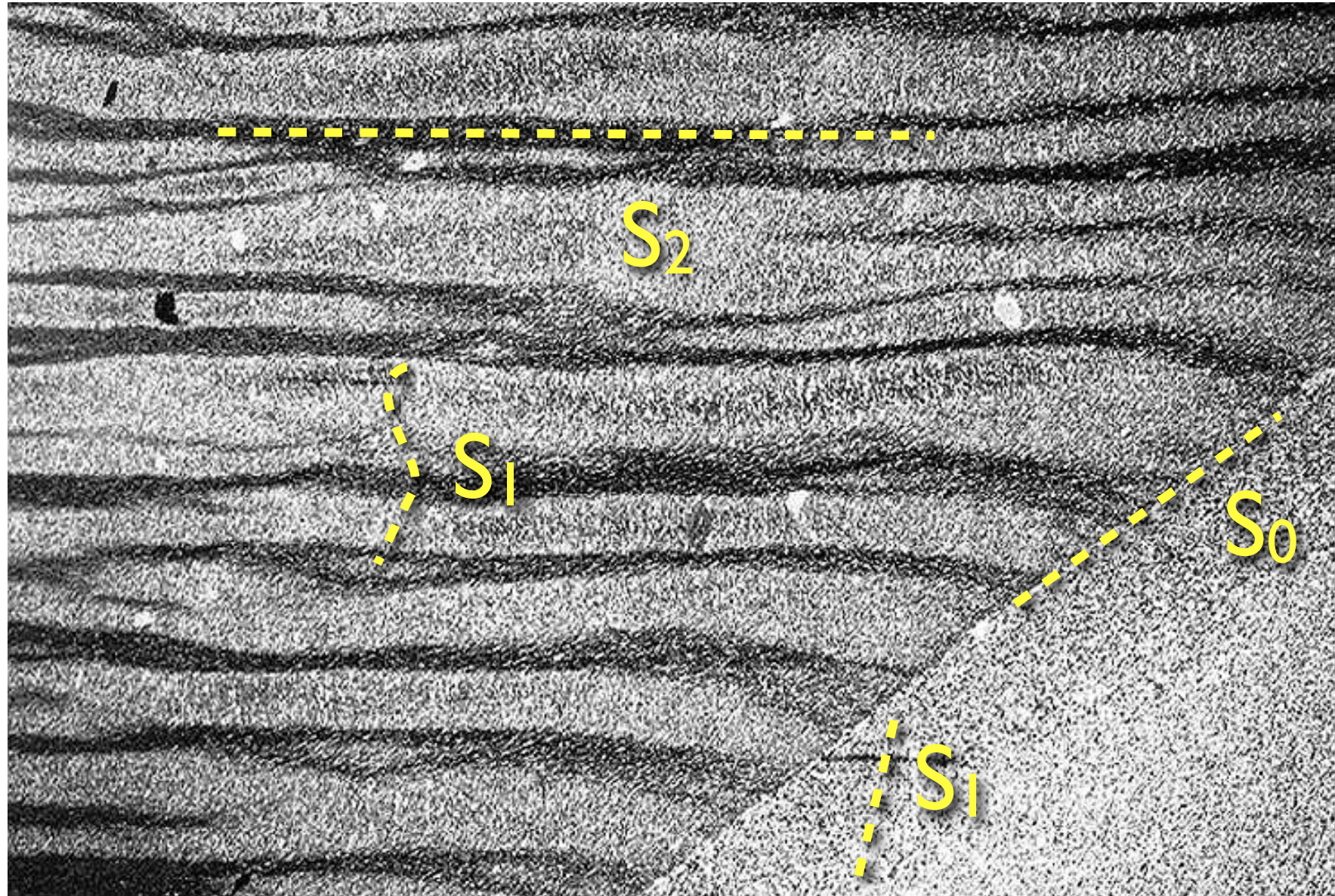


# diagenetic (bedding parallel) foliation





# secondary foliation



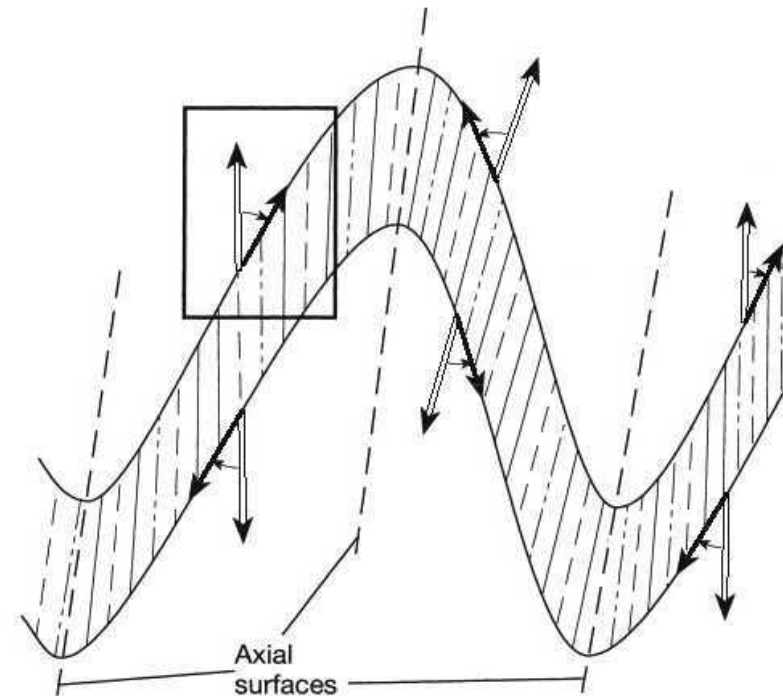
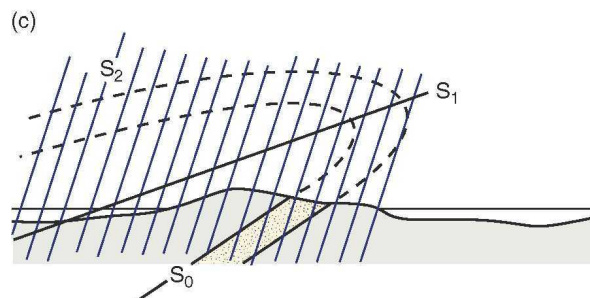
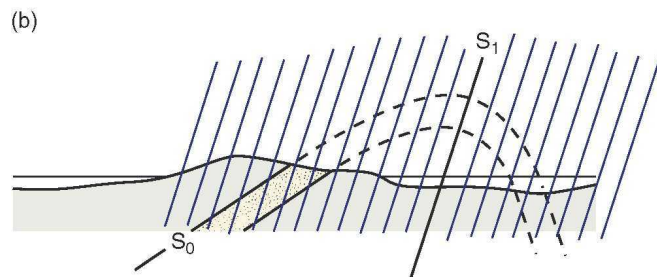
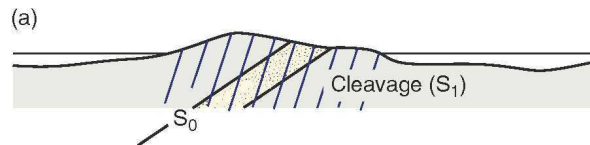
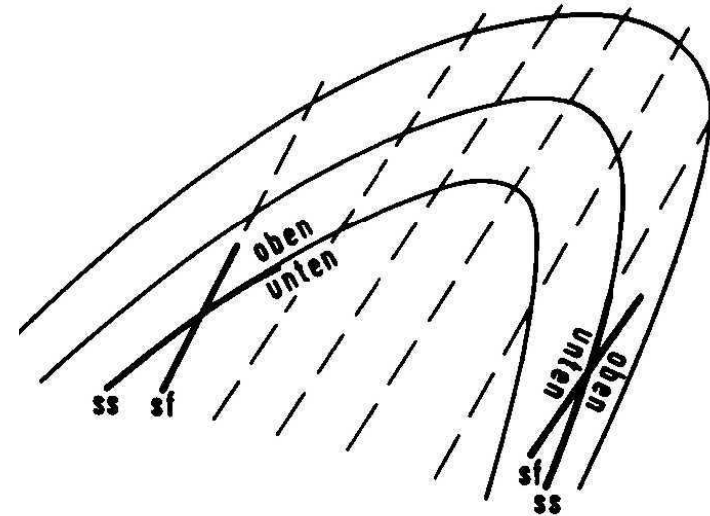
# Bedeutung der Schieferung beim Kartieren



# Achsenflächen-Schieferung

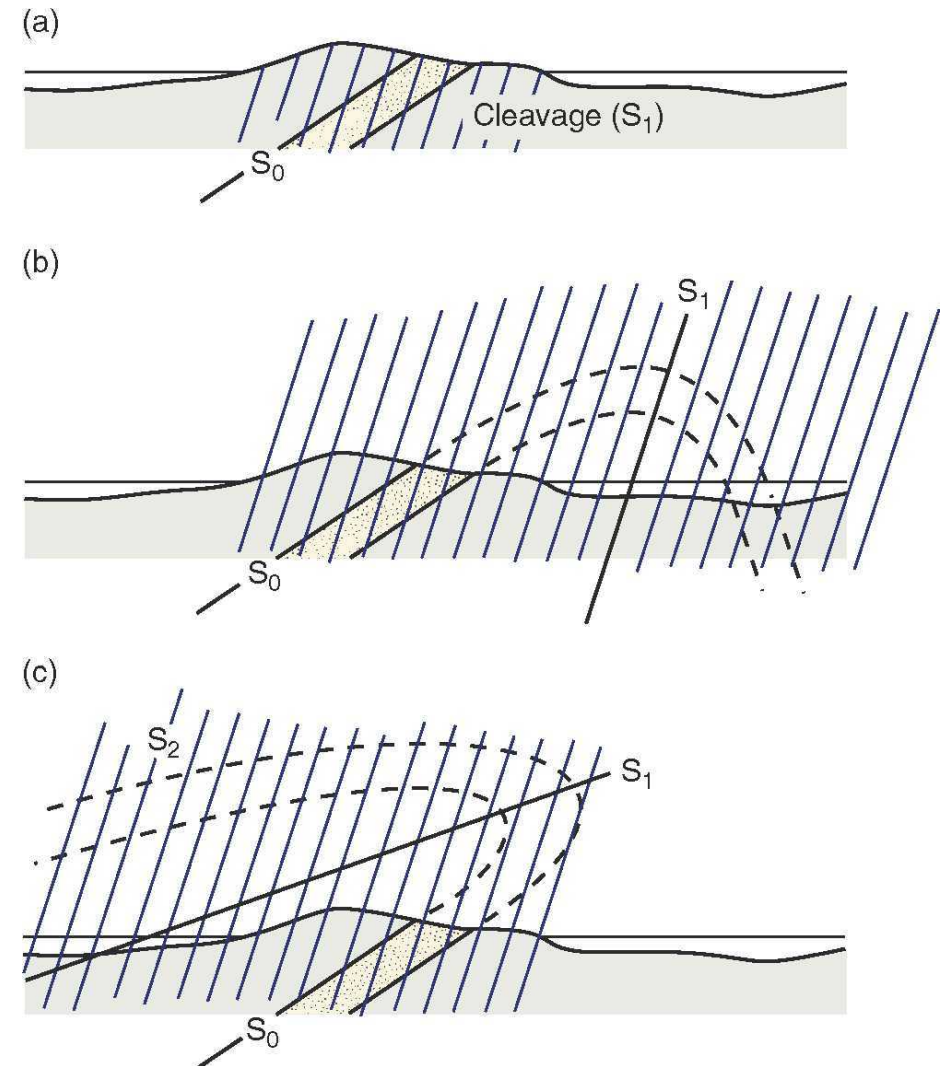
normale Lagerung  
 $s_f$  steiler als  $s_s$

inverse Lagerung  
 $s_f$  flacher als  $s_s$



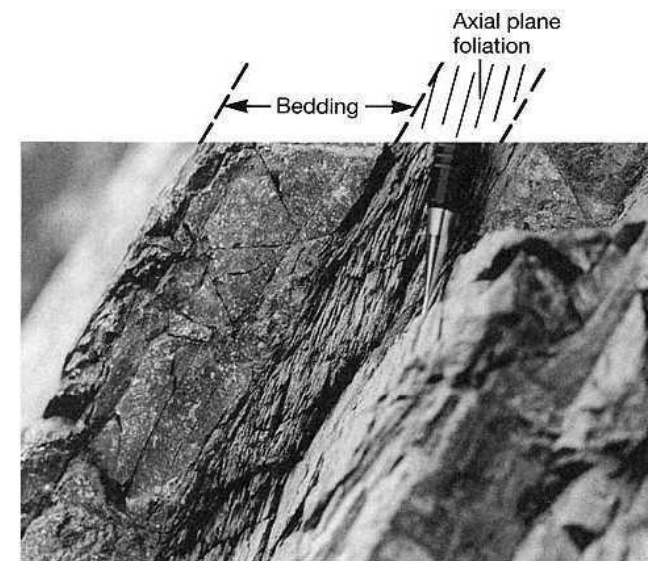
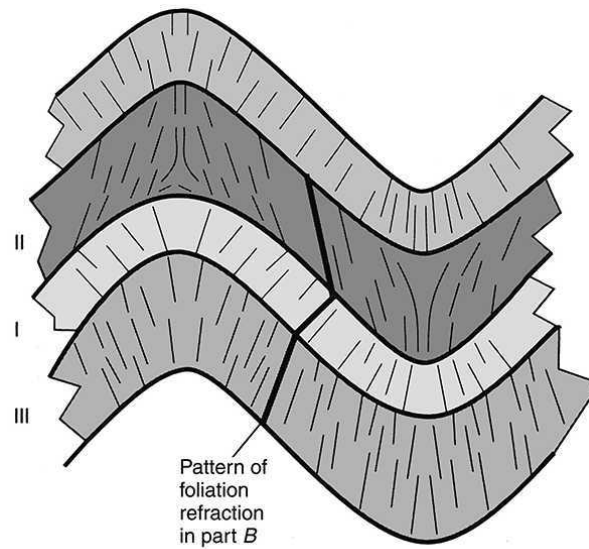
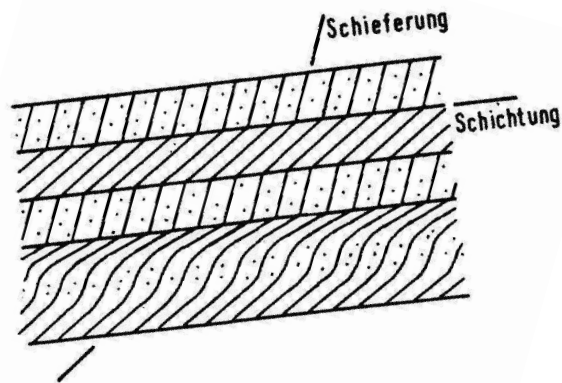


# Schieferungsüberprägung

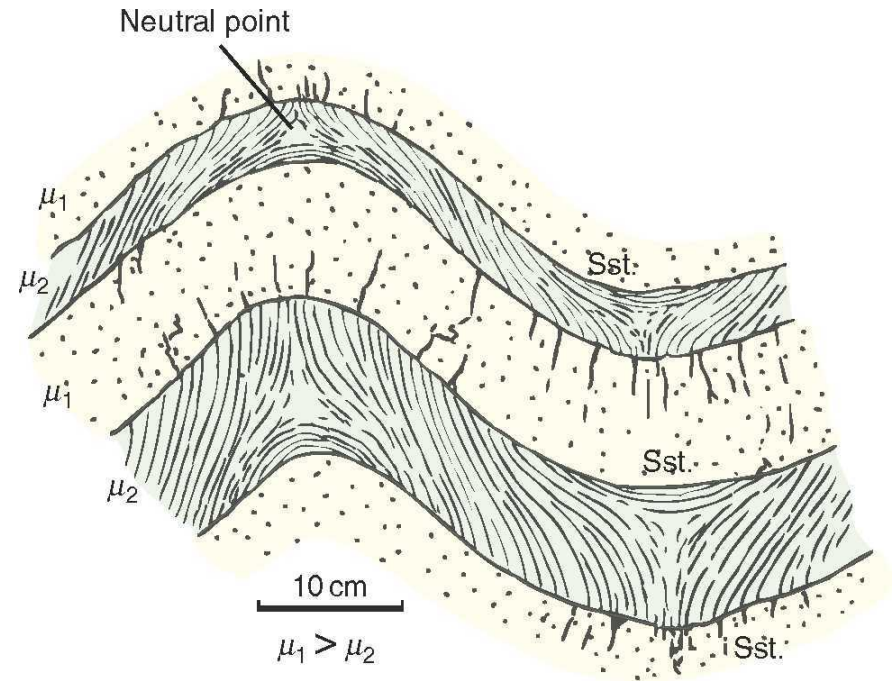
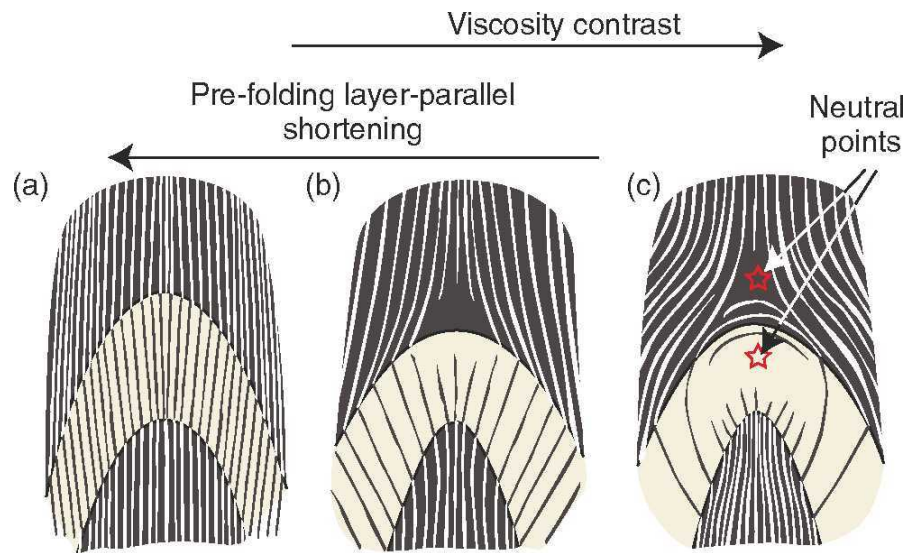




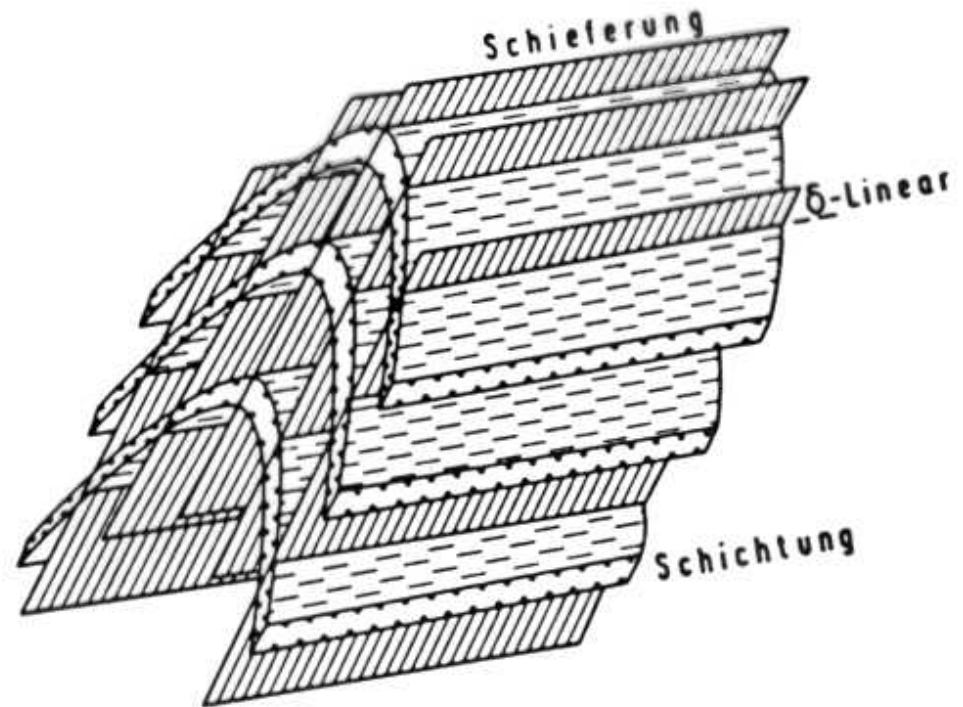
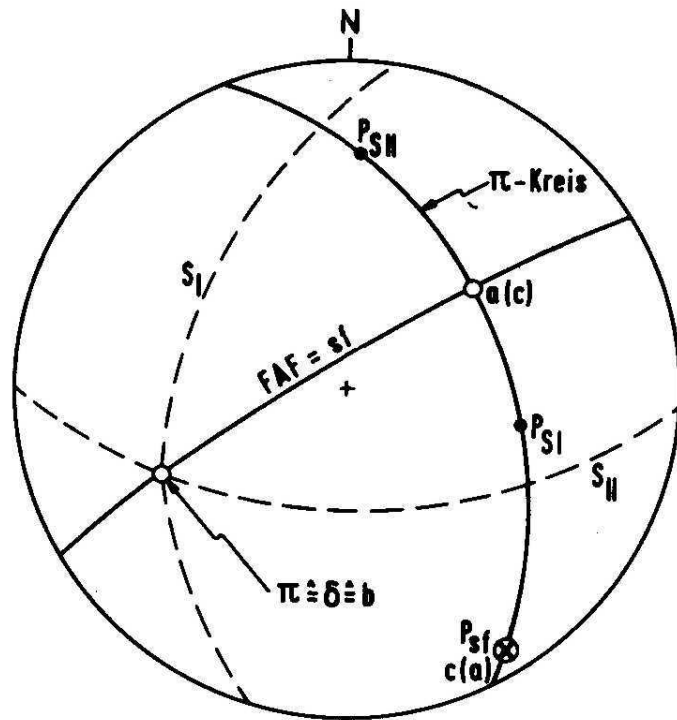
# Schieferungsbrechung



# Mechanische Bedeutung



# Schieferung-Schichtung $b - \delta - \pi$



$\pi$  = Pol zum  $\pi$ -Kreis

$b$  = Linear = Faltenachse

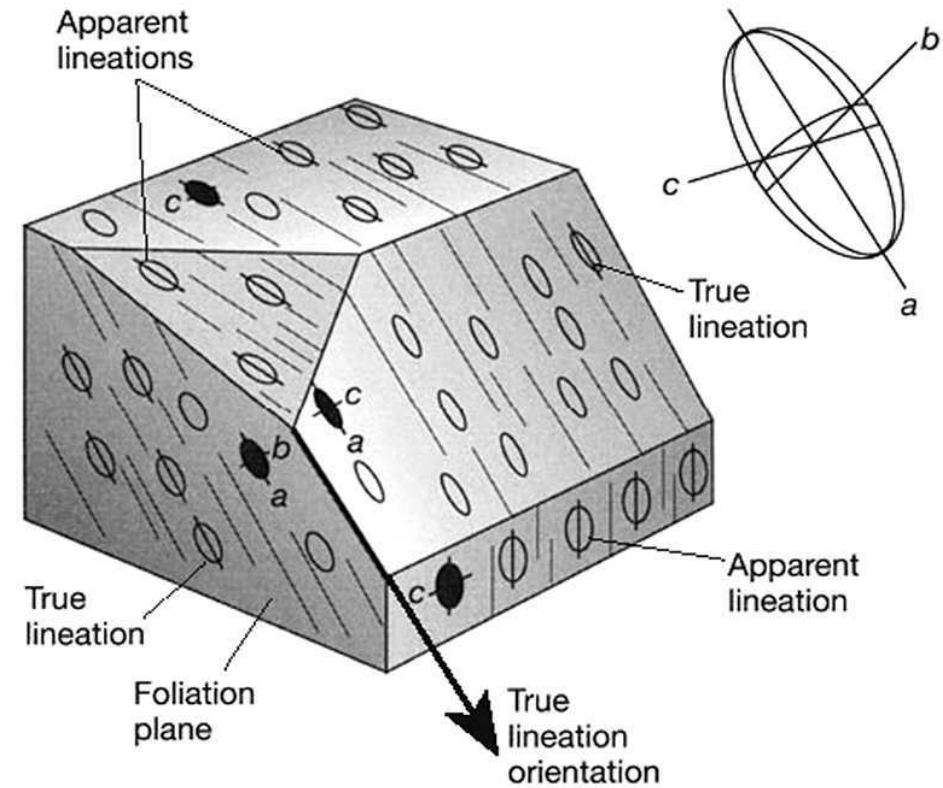
$\delta$  = Linear = Intersektion (Achsenflächenschieferung / Schichtung)



# Lineare Gefüge

# Lineation

penetrative	stretching mineral rodding
geometric (virtual)	fold axes intersection lineation
surface	mullions slickenfibres striations, corrugations





# penetrative lineation

stretching lineation



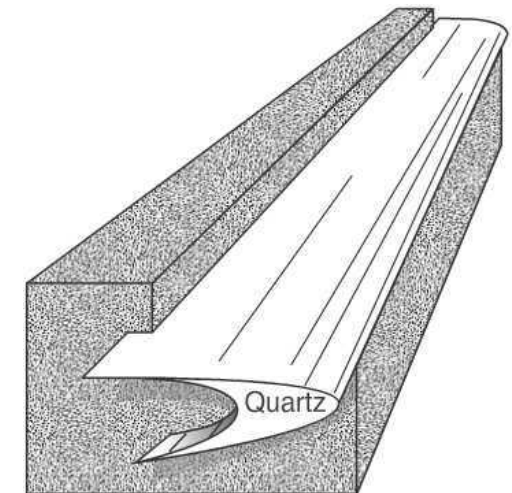
mineral lineation



mineral lineation

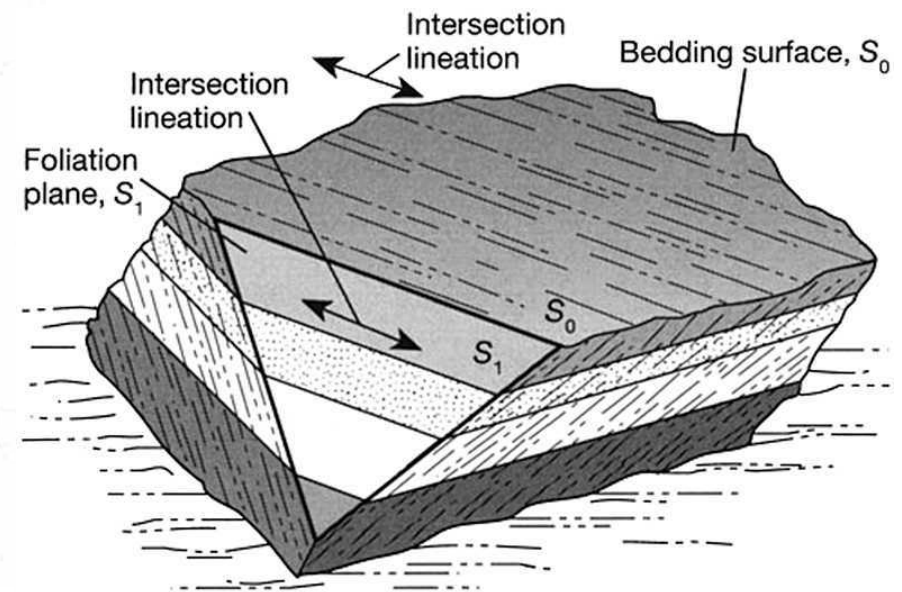
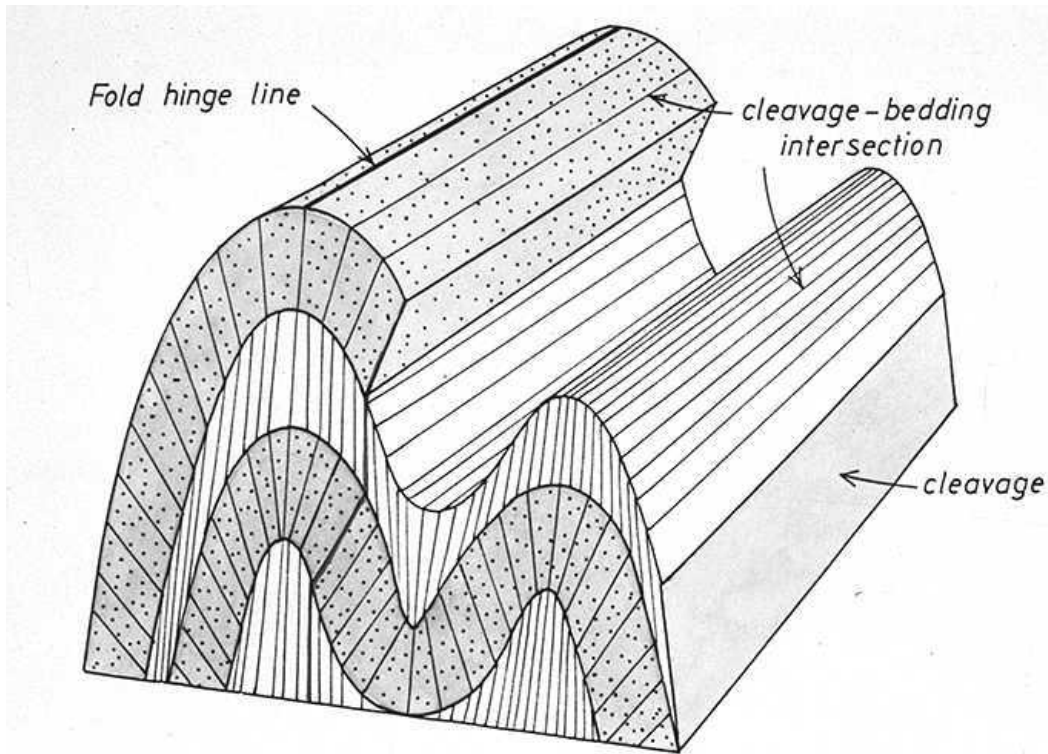


rodding lineation





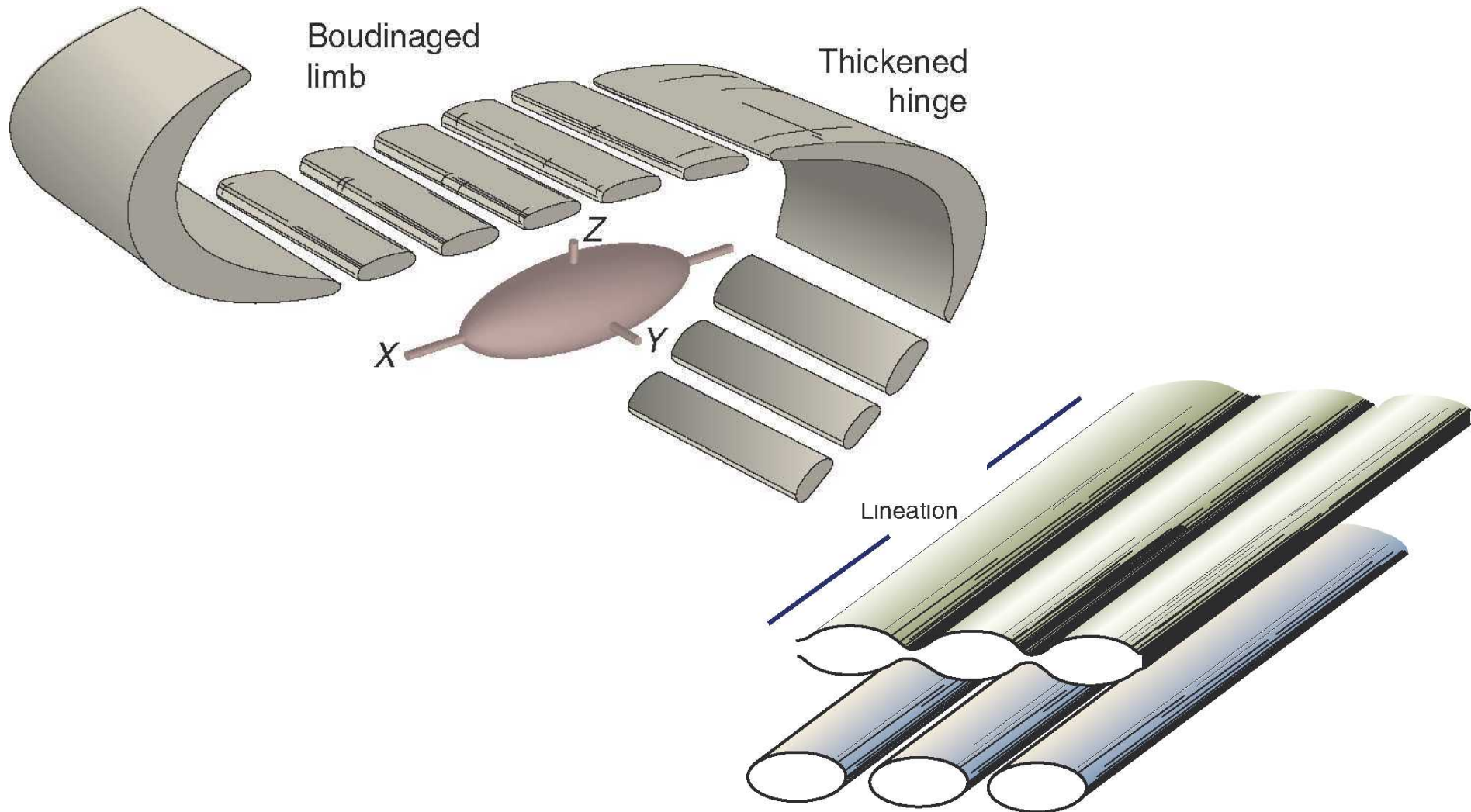
# geometric (virtual) lineations



Intersektionslineare  
Faltenachsen



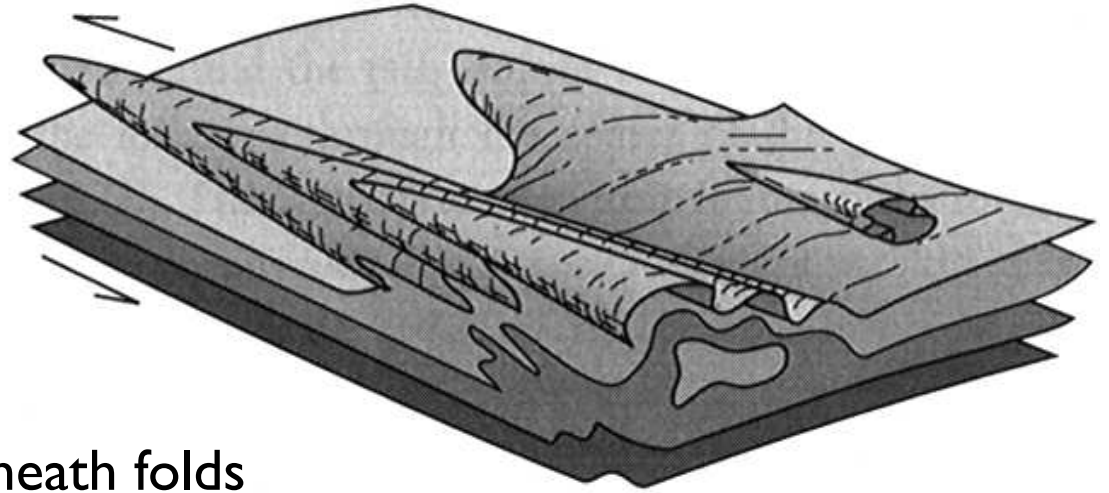
# boudinage



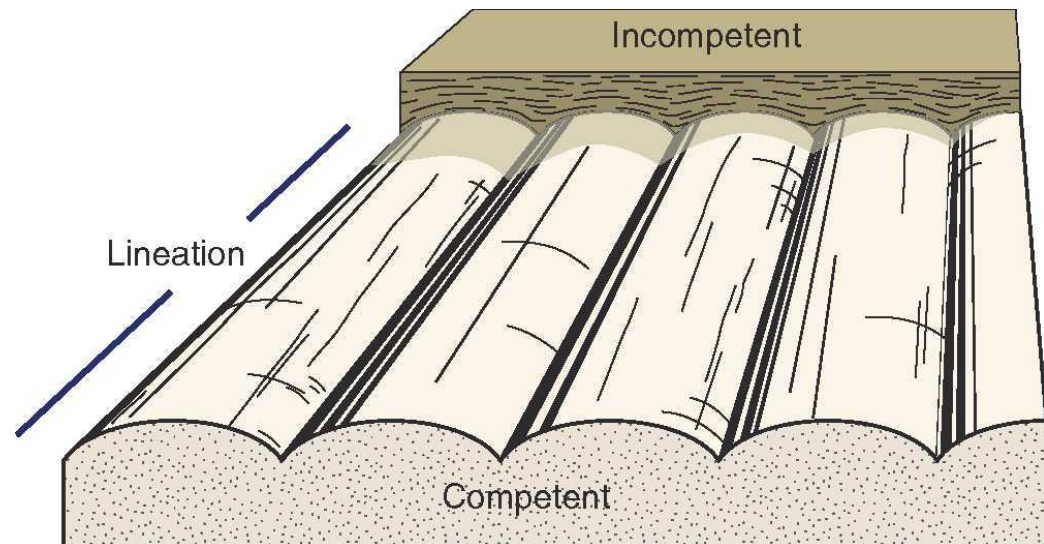
# surface lineations



mullions

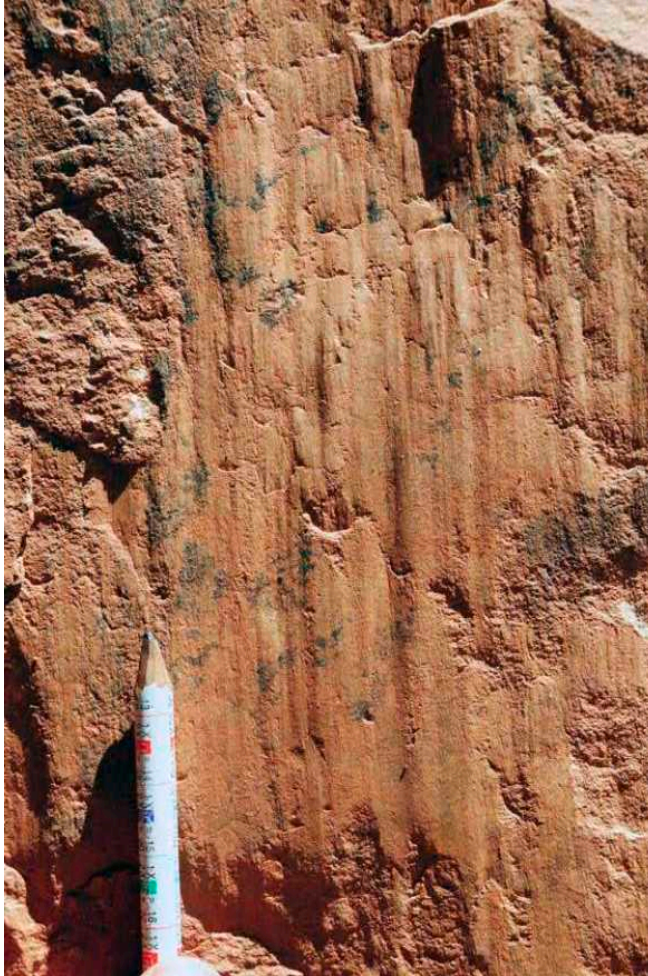


sheath folds

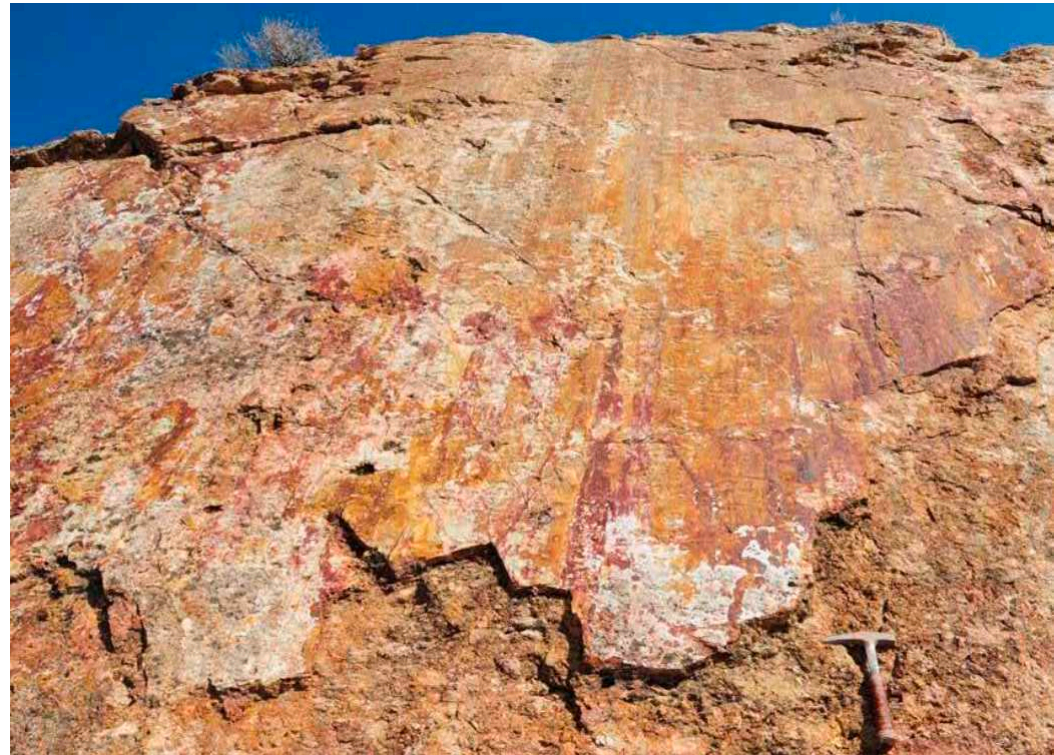




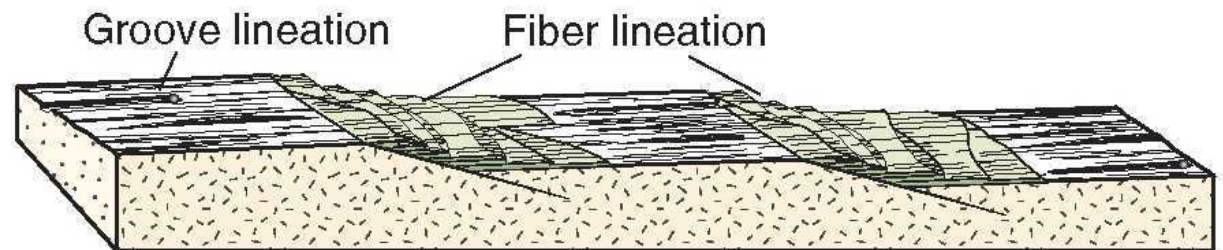
# surface lineations



striations

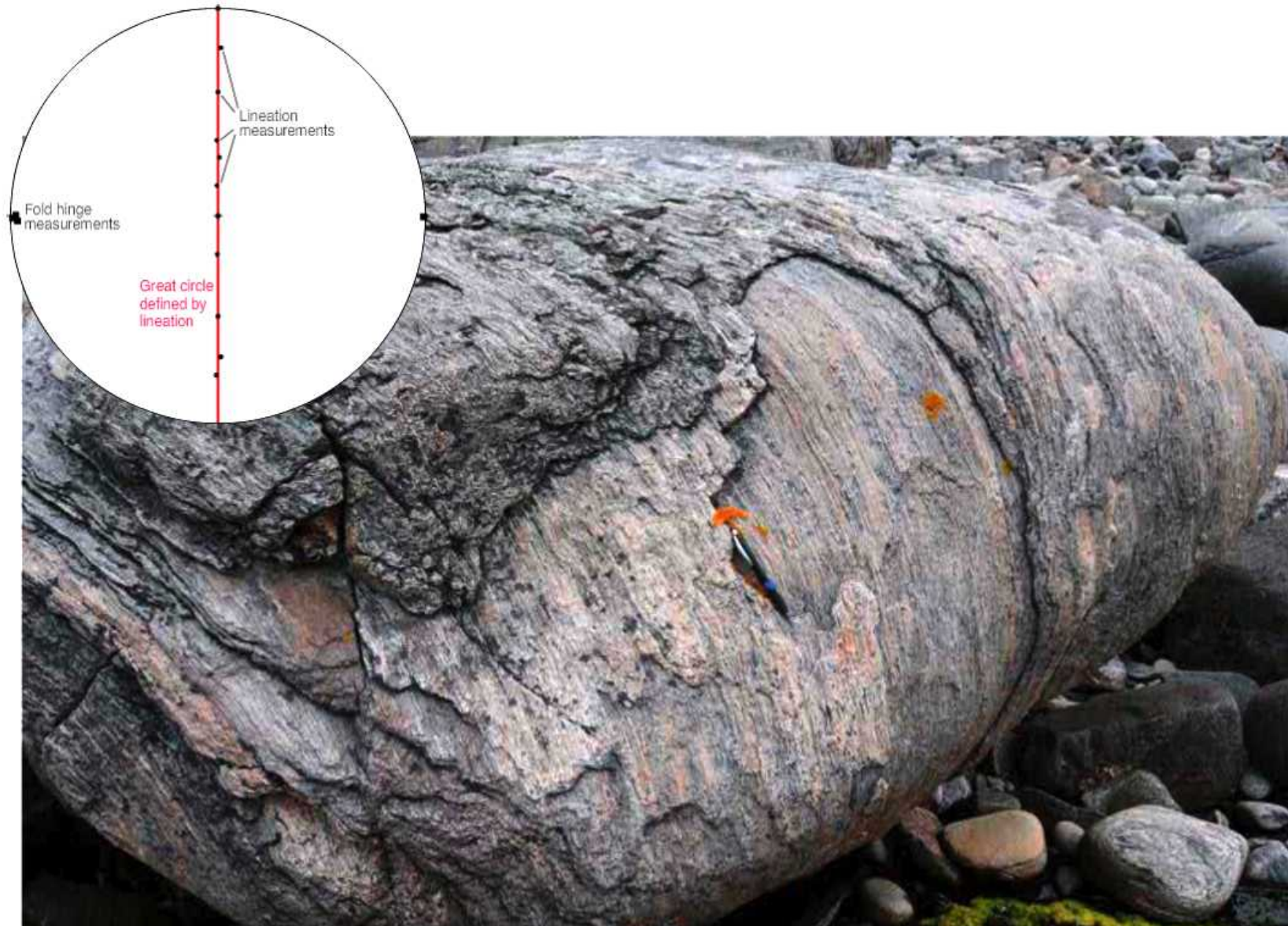


slickenfibres





# gefaltete Lineare





6

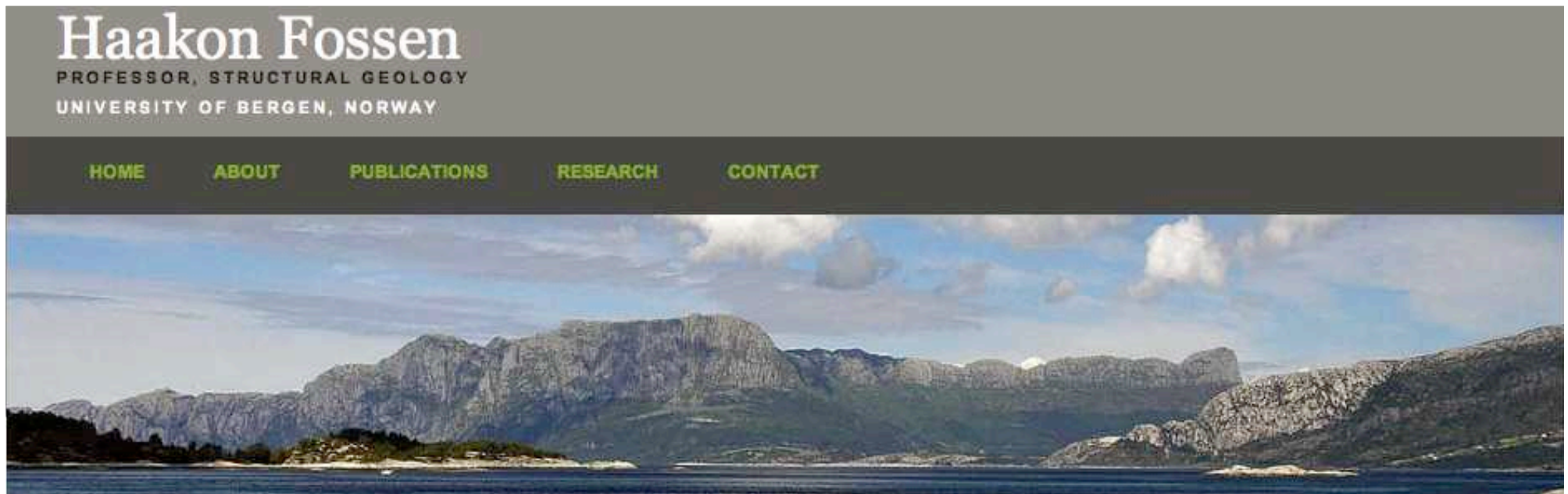
# Semesterplan FS 2016

	Datum		Thema		Übungen	abgeben
1	26. Feb.	1	Druck, Spannung, Mohr Kreis, Spannungsfeld			
2	4. März	2	Deformation, Strainellipse, strain marker, Strainmessung			
3	11. März		fällt aus (Tromsø workshop)			
4	18. März		fällt aus (Tromsø workshop)	1	Stress	29. 3.
5	25. März		fällt aus (Ostern)	2	Strain	29. 3.
6	1. April	3	Mohr-Coulomb, Reibung, Klüfte und Brüche		-	
7	8. April	4	Bruchsysteme, Stereonetz Verwerfungen	3	Mohr-Coulomb	13. 4.
8	15. April	5	Scherzonen, Foliation, Lineation	4	Klüfte Mönthal	27. 4.
9	22. April		fällt aus (EGU)	5(6)	Inv. SURFOR, Fry	27. 4.
10	29. April	6	Falten, Geometrie, Faltenbildung		Trajektorien	11. 5.
11	6. Mai		fällt aus (Himmelfahrt)	7		
12	13. Mai	7	Mikrostrukturen, Deformationsmechanismen, Rheologie	8	Def.Mech.	18. 5.
13	20. Mai	8	Subduktion, Gebirgsbildung, Transformstörungen	9	Critical taper	25. 5.
14	27. Mai	9	Extensionstektonik, rifting, MOR, MCC, LANF			
15	3. Juni	10	Test			

# 6 Falten - Geometrie - Faltenbildung

- VL-Themen:
- Faltengeometrie
  - superposed folding
  
  - Falten im Stereonetz
  - Strain in Falten
  - Falten in tektonischen Strukturen
  
  - Faltung (Mechanik)  
buckling - bending - passive

# folds

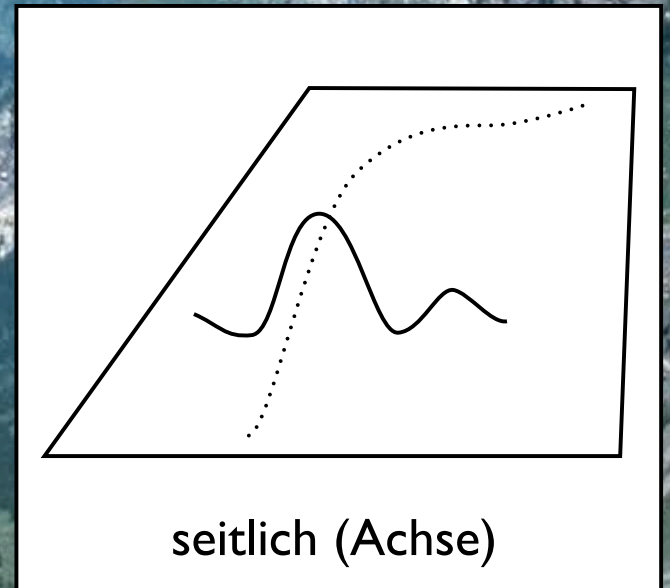
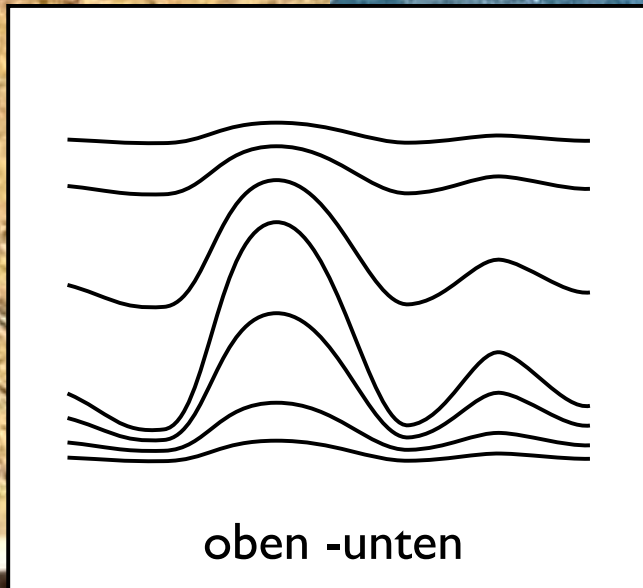
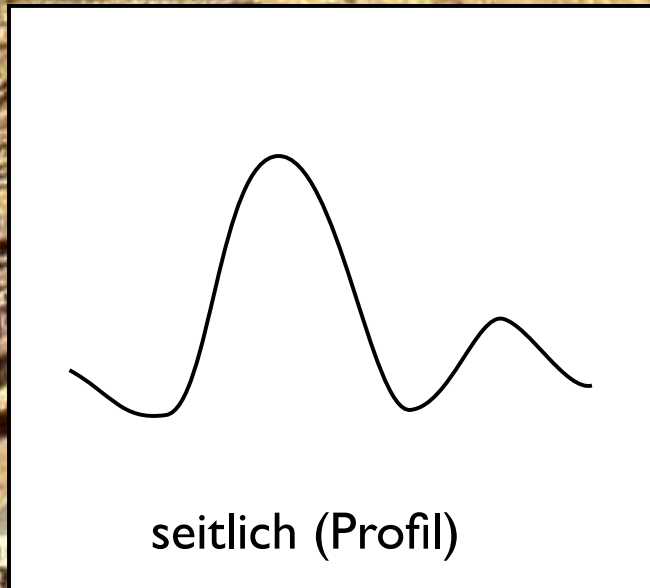


<http://folk.uib.no/nglhe/e-modules/Chapter%20I%20I%20I%20Folding.swf>

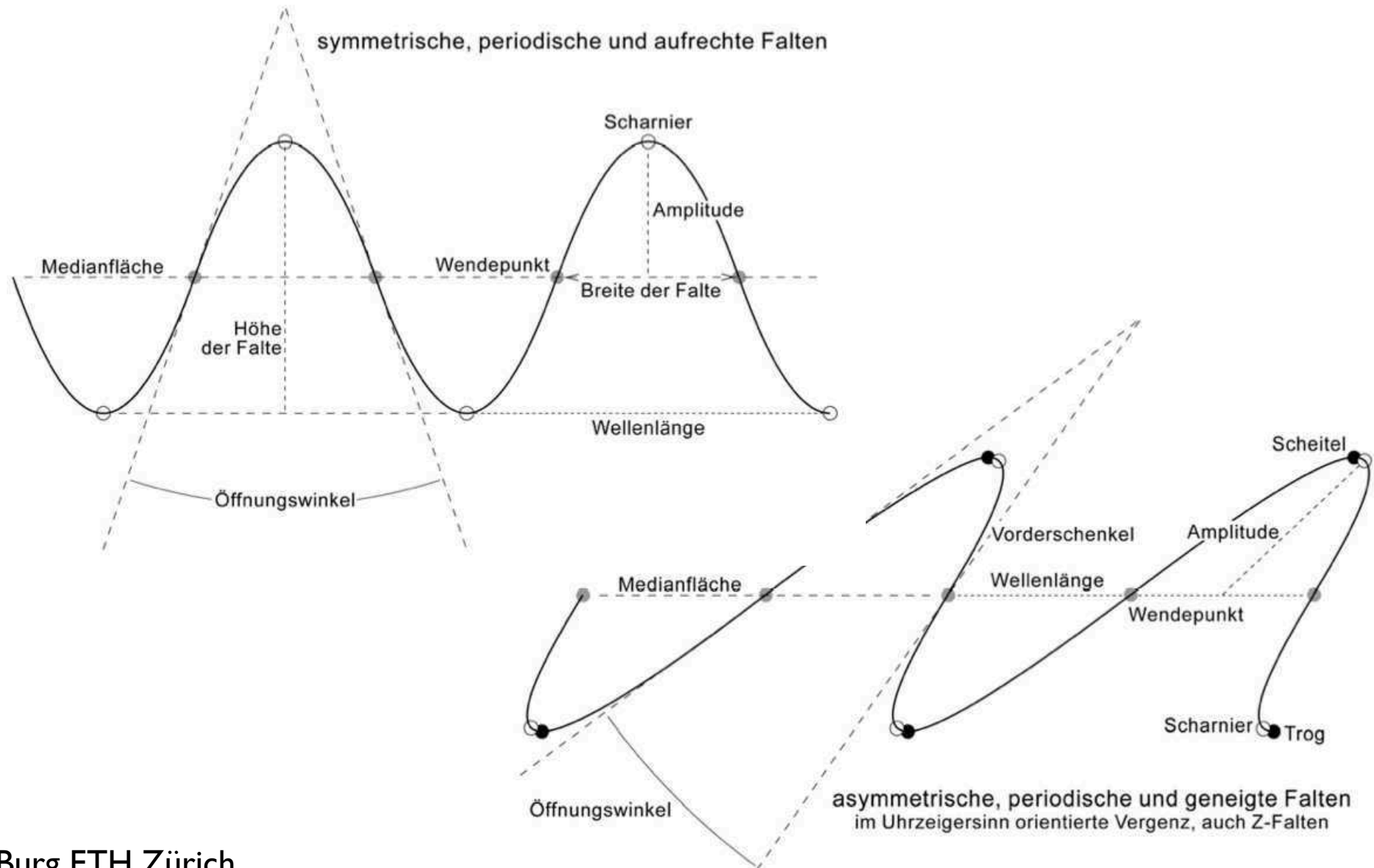


fold  
geometry

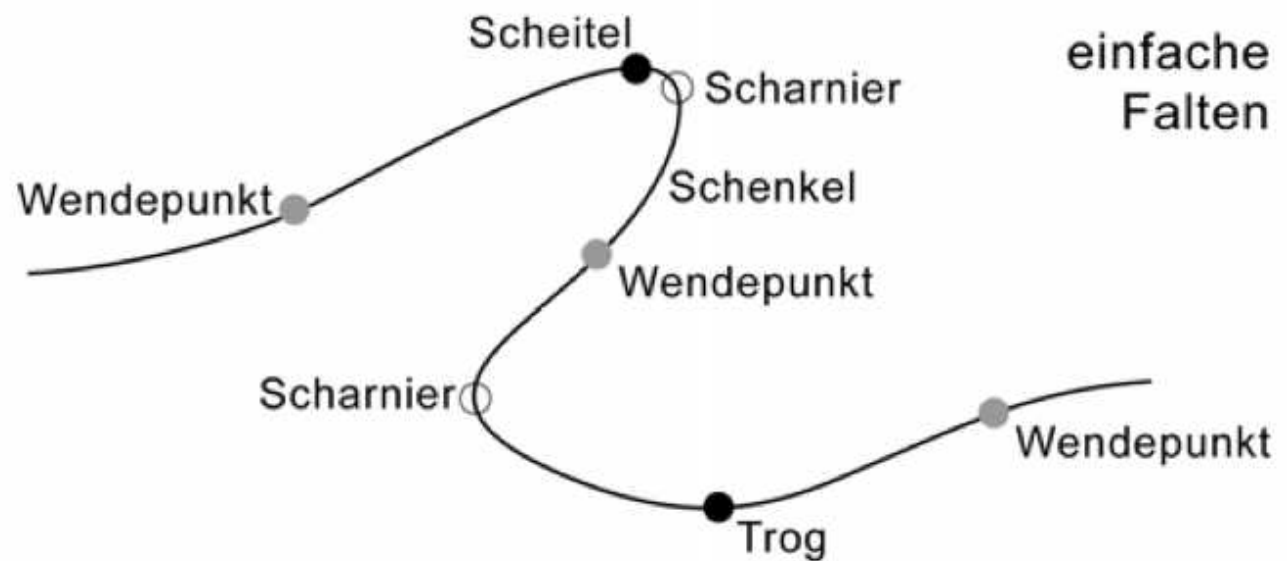
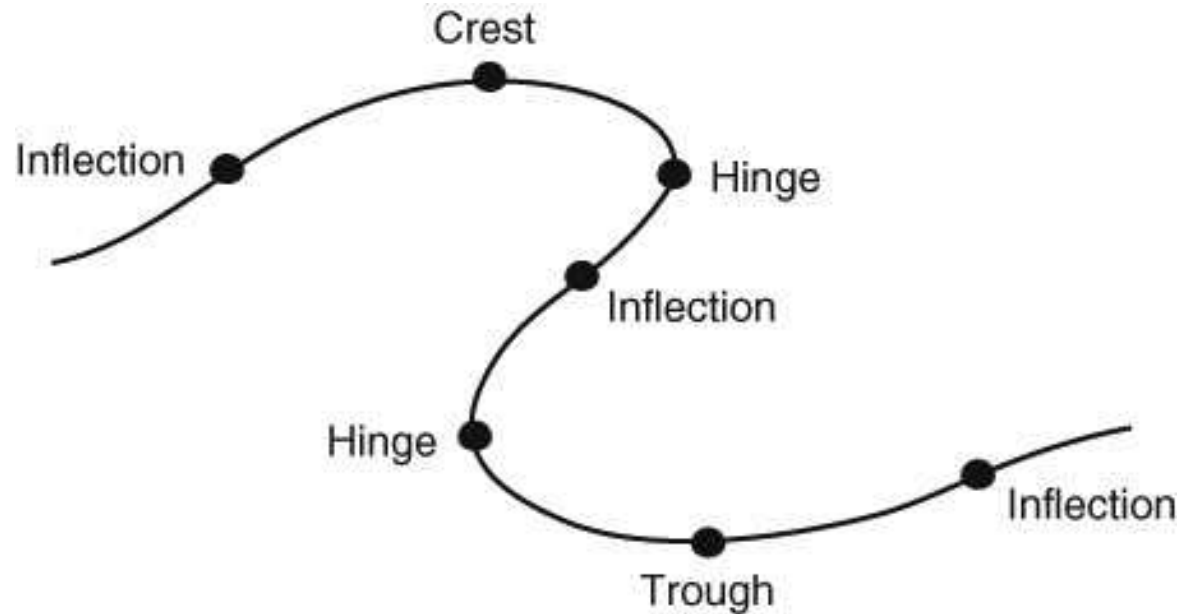
# Falten = 3D Objekte



# Falte als Welle

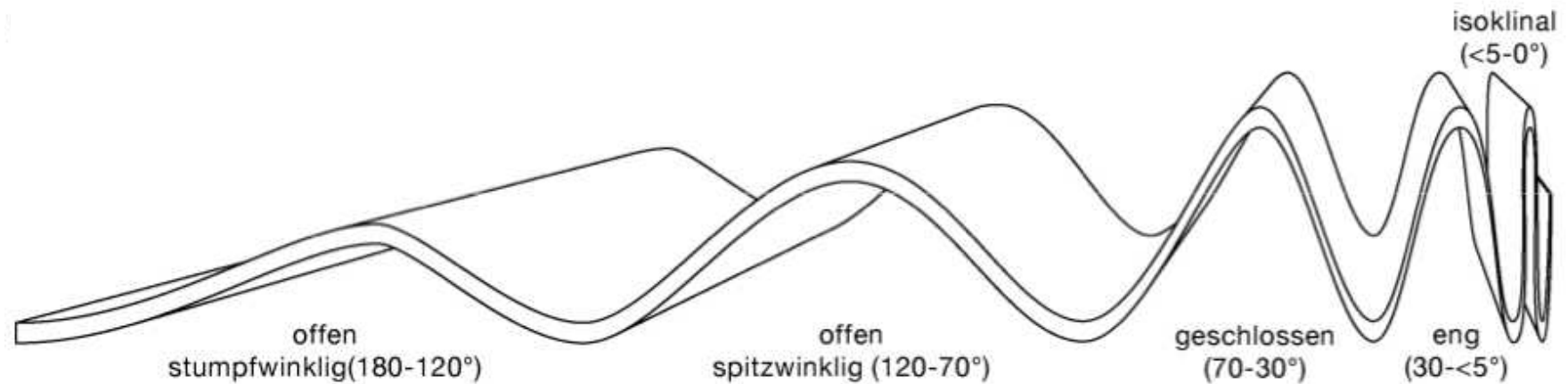


# Falten: Terminologie

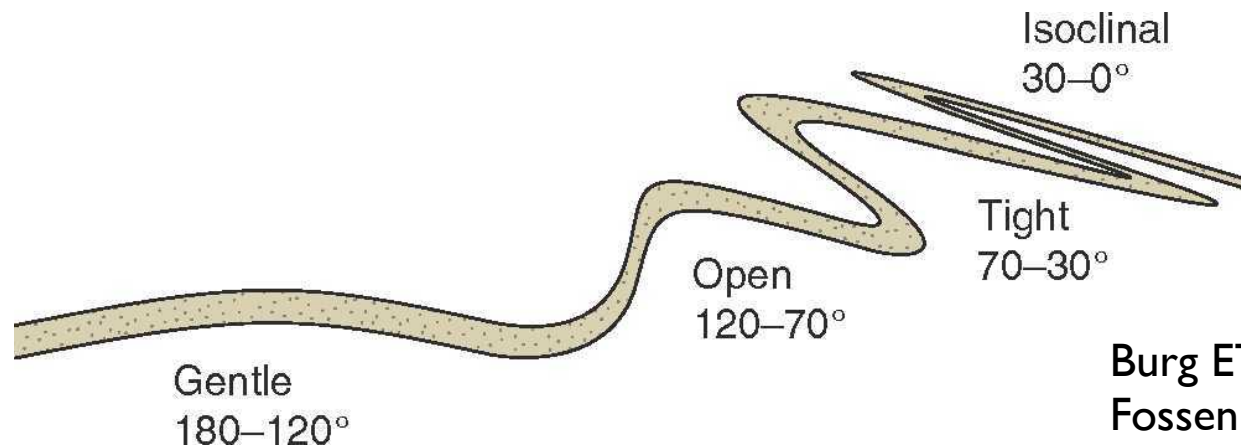




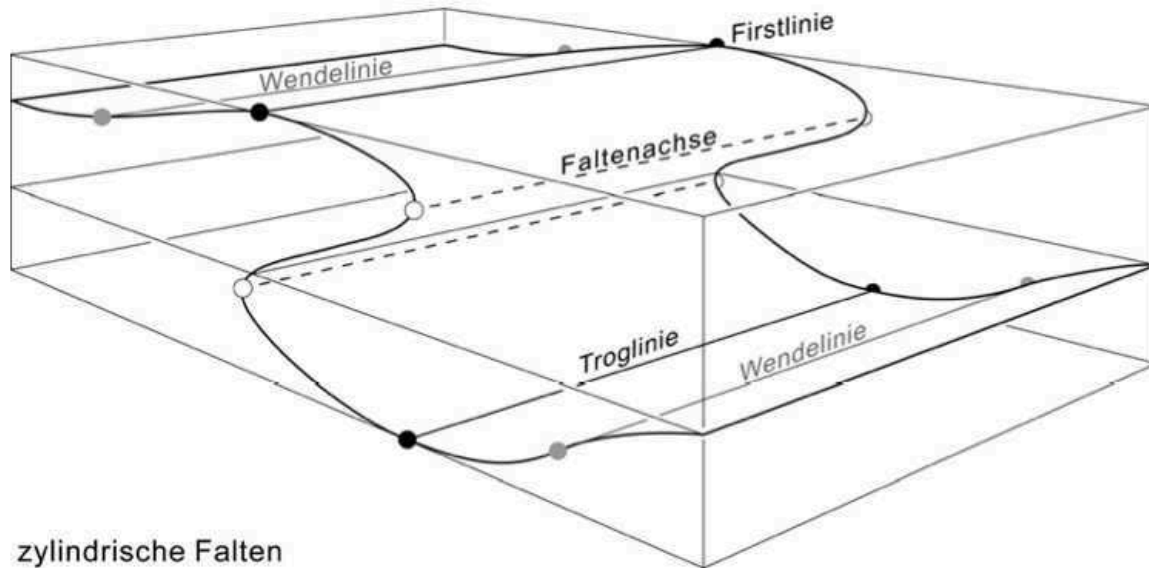
# Öffnungswinkel



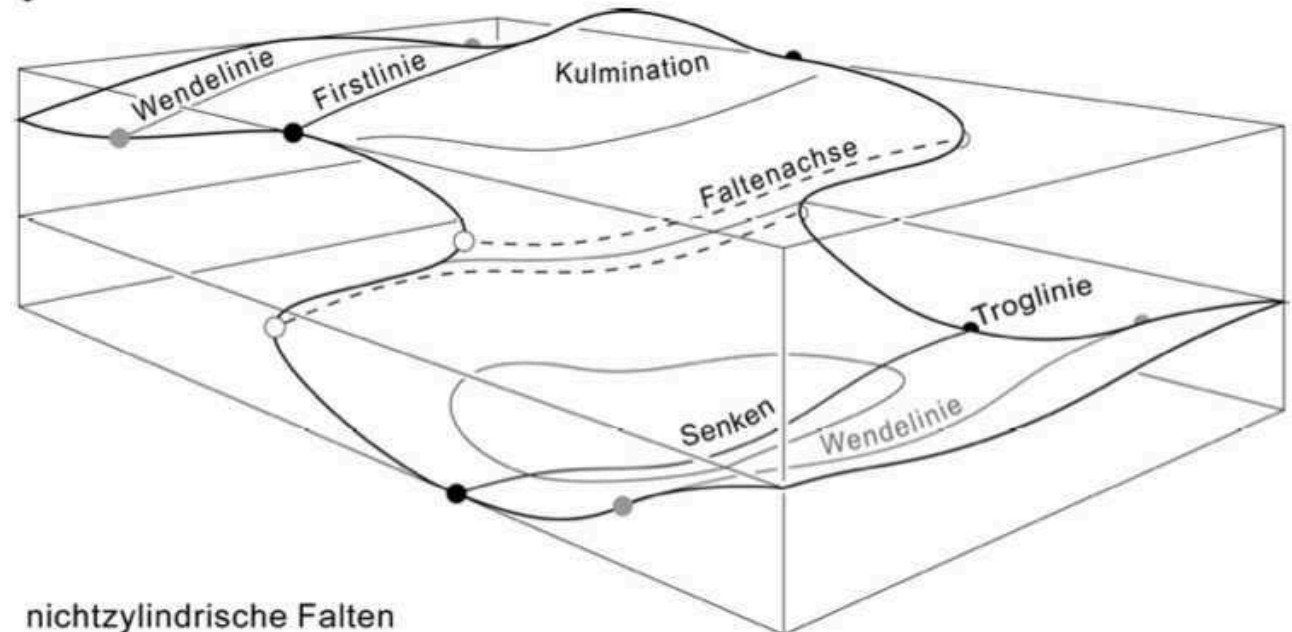
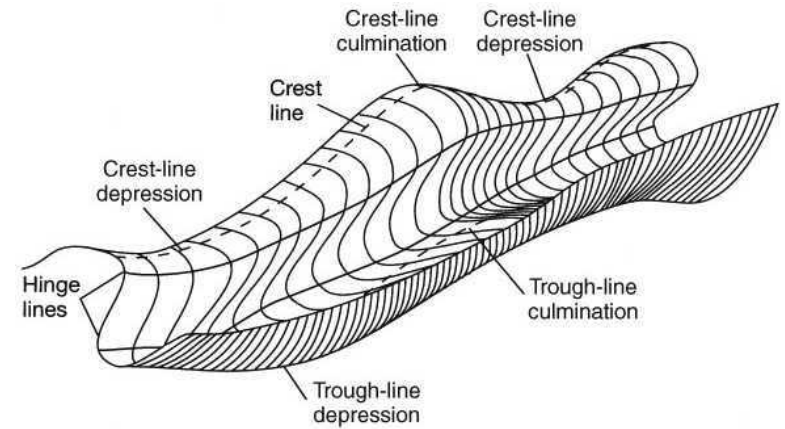
Öffnungswinkel	Klassen	englische Bezeichnung
180 bis ca. 120°	<b>offen stumpfwinklig</b>	<i>gentle</i>
120 -- 70°	<b>offen spitzwinklig</b>	<i>open</i>
70 -- 30°	<b>geschlossen</b>	<i>close</i>
kleiner als 30°	<b>eng</b>	<i>tight</i>
0°, d.h. parallele Schenkel	<b>isoklinal</b>	<i>isoclinal</i>



# zylindrisch - nichtzylindrisch

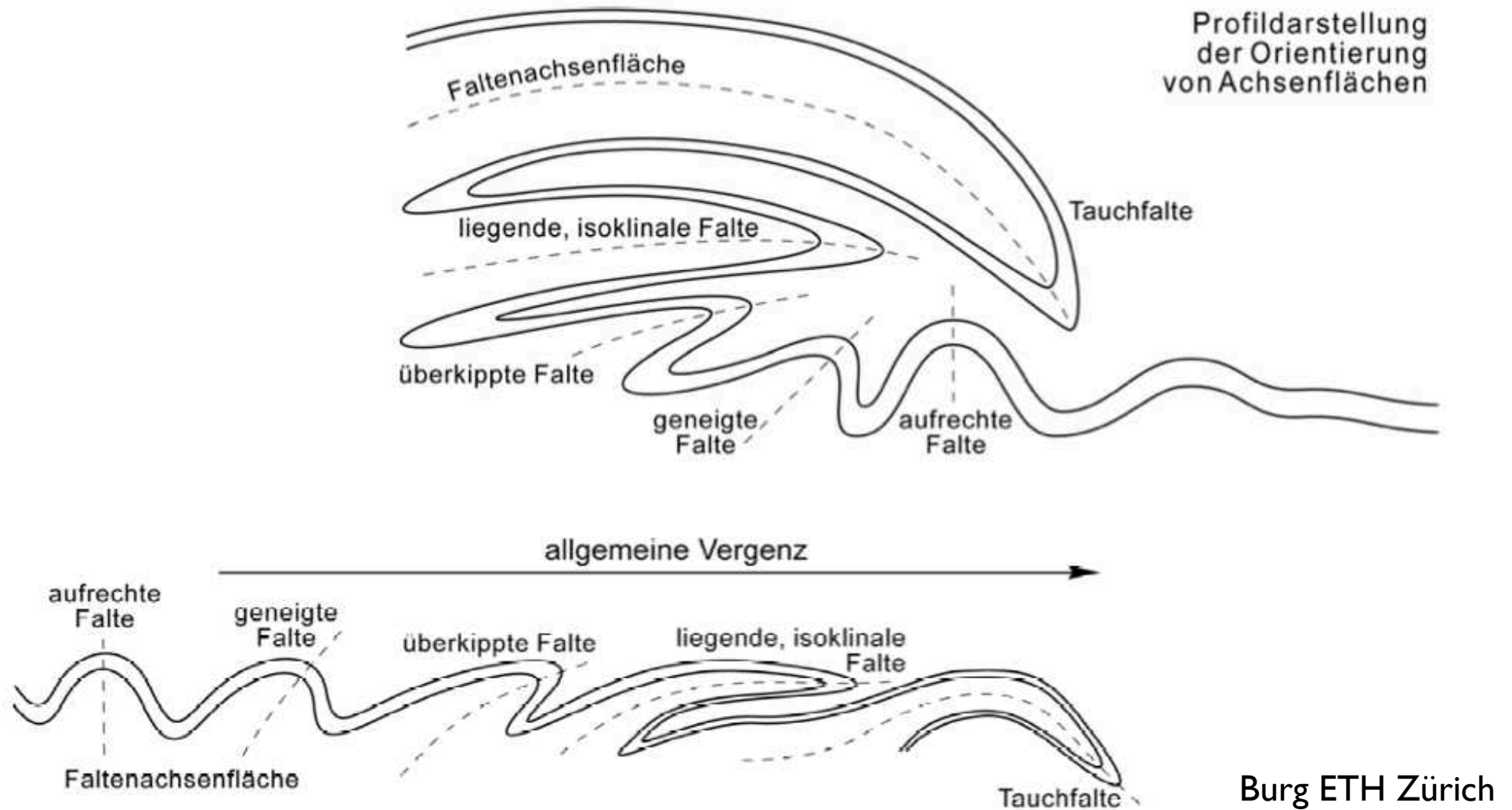


zylindrische Falten



nichtzylindrische Falten

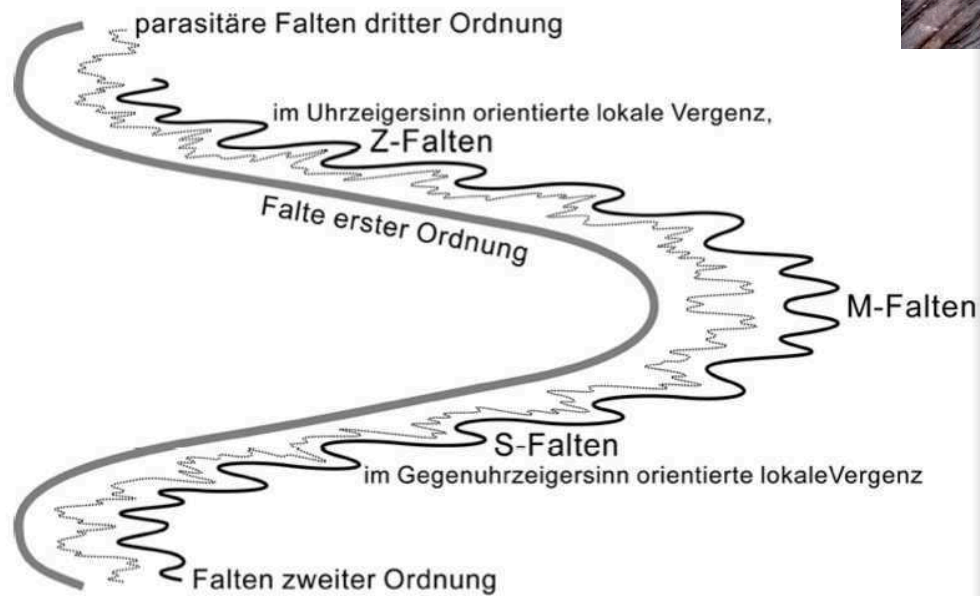
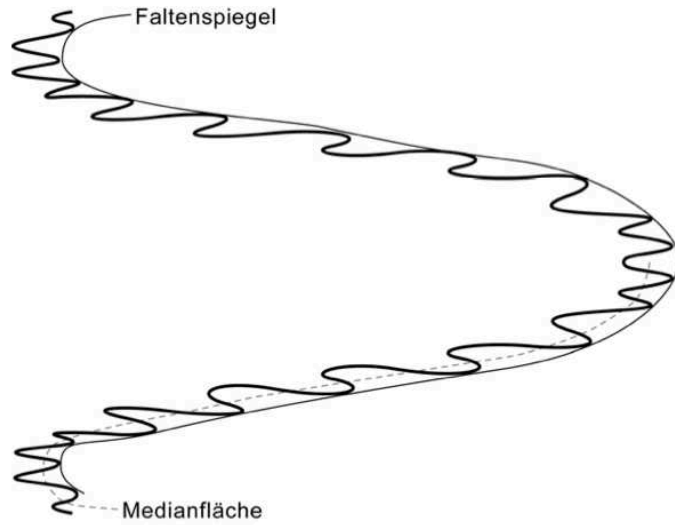
# Vergenz



Facing (= wahre Vergenz):  
younging direction along the fold axial surface

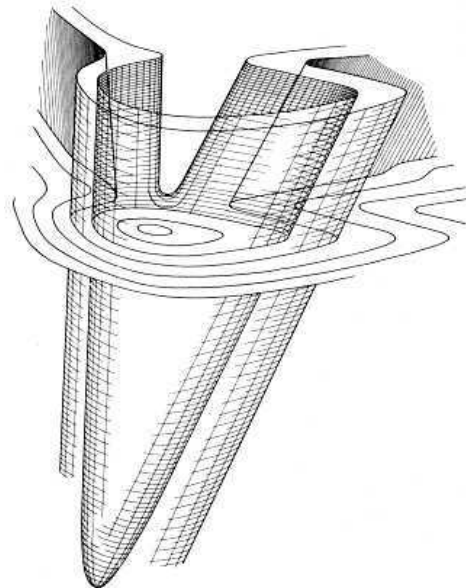
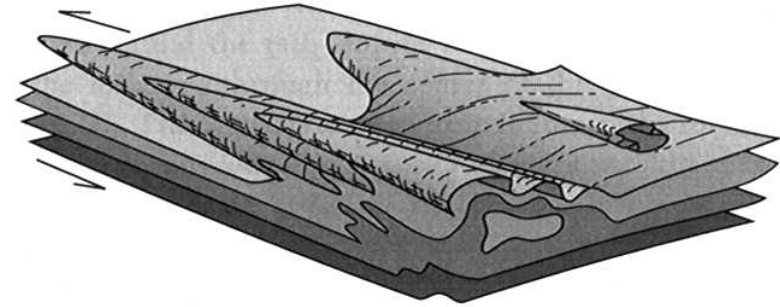
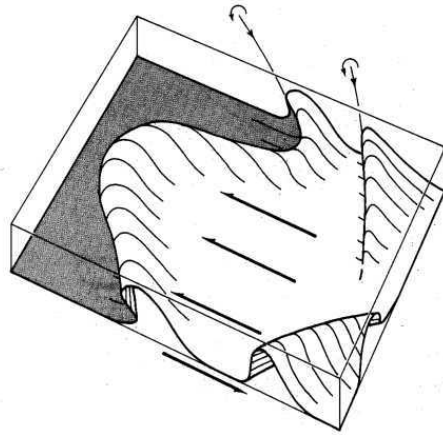
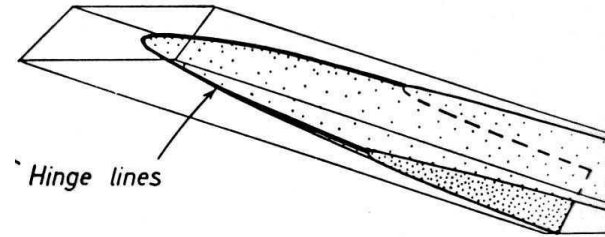
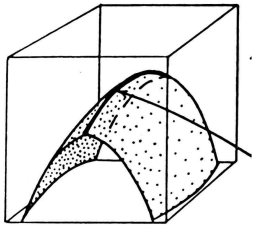


# Falten höherer Ordnung

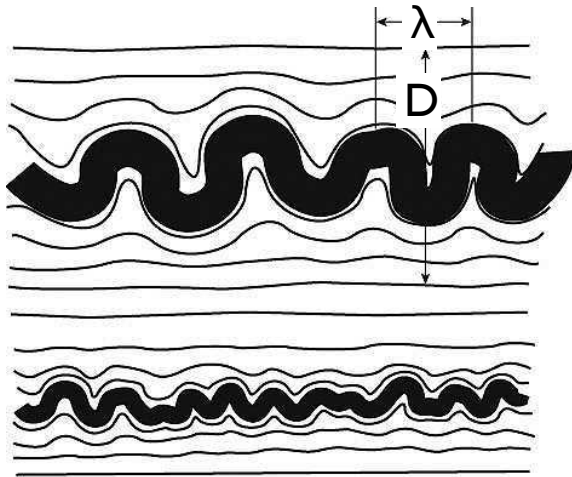




# Spezialfall: Futteralfalte (sheath fold)



# Harmonie - Disharmonie



$$H = 2D/\lambda \approx 5$$



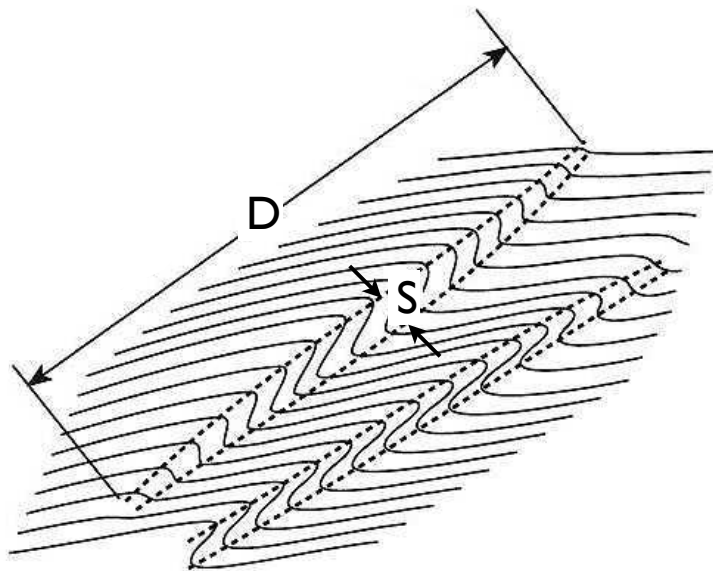
H = harmony ratio

$$H = D/S = 2D/\lambda$$

D = Ausdehnung der Falte // Achsenfläche

λ = Wellenlänge

S = λ / 2 = Schenkel

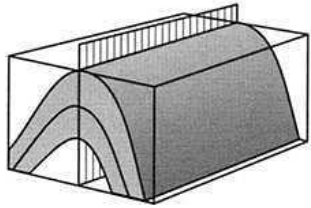


$$H = D/S \approx 12$$

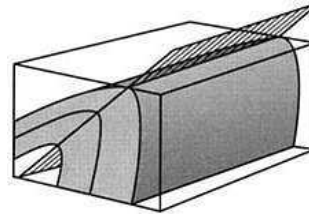
fold

**Klassifikationen**

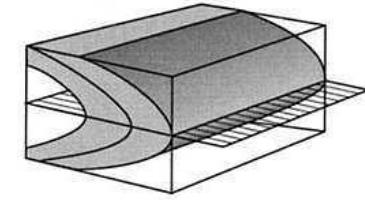
# Klassifikation: Faltenachse / Achsenfläche



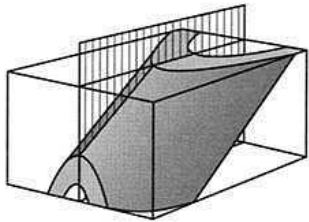
Upright horizontal



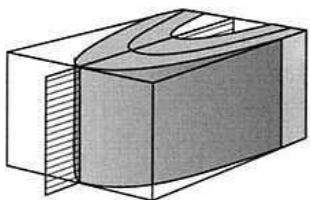
Moderately inclined horizontal



Recumbent

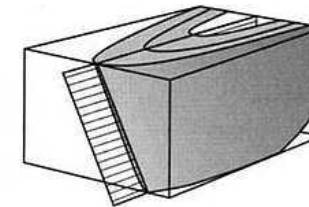
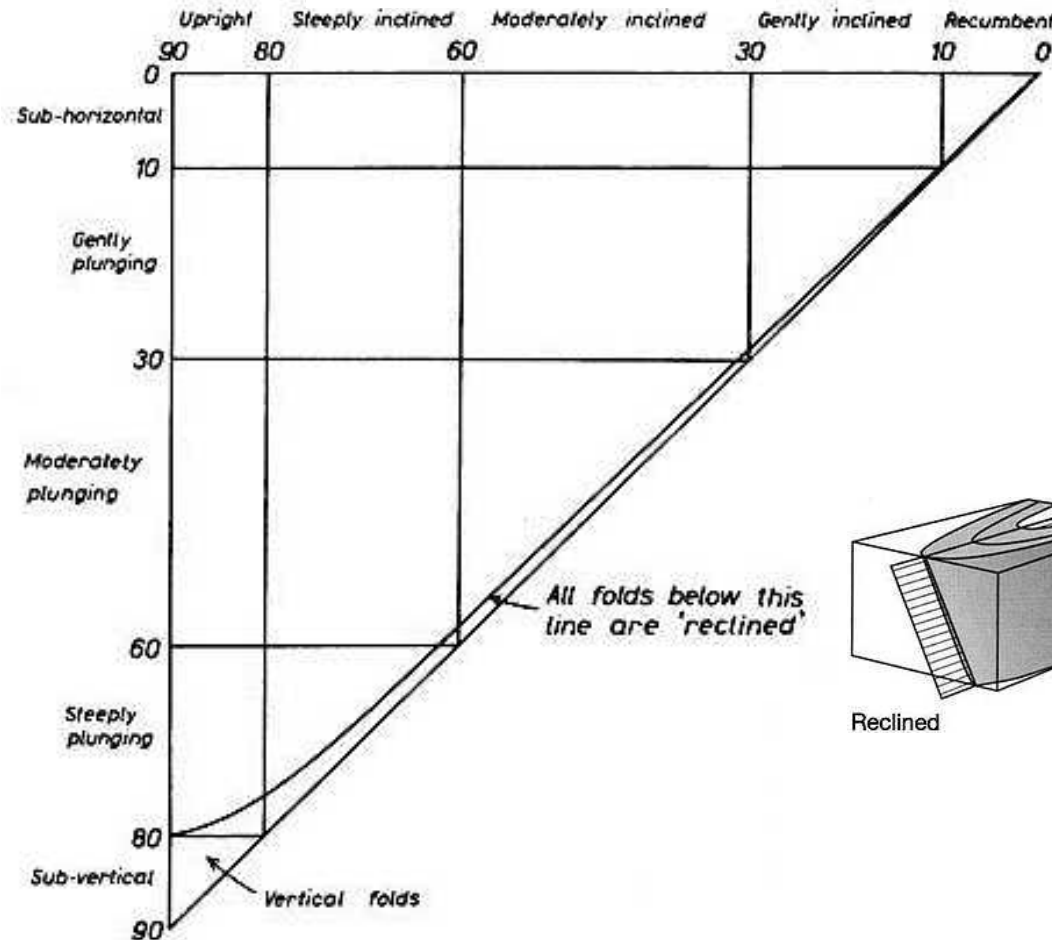


Upright moderately plunging



Vertical

Eintauchen der Faltenachse



Reclined

Einfallen der Achsenfläche

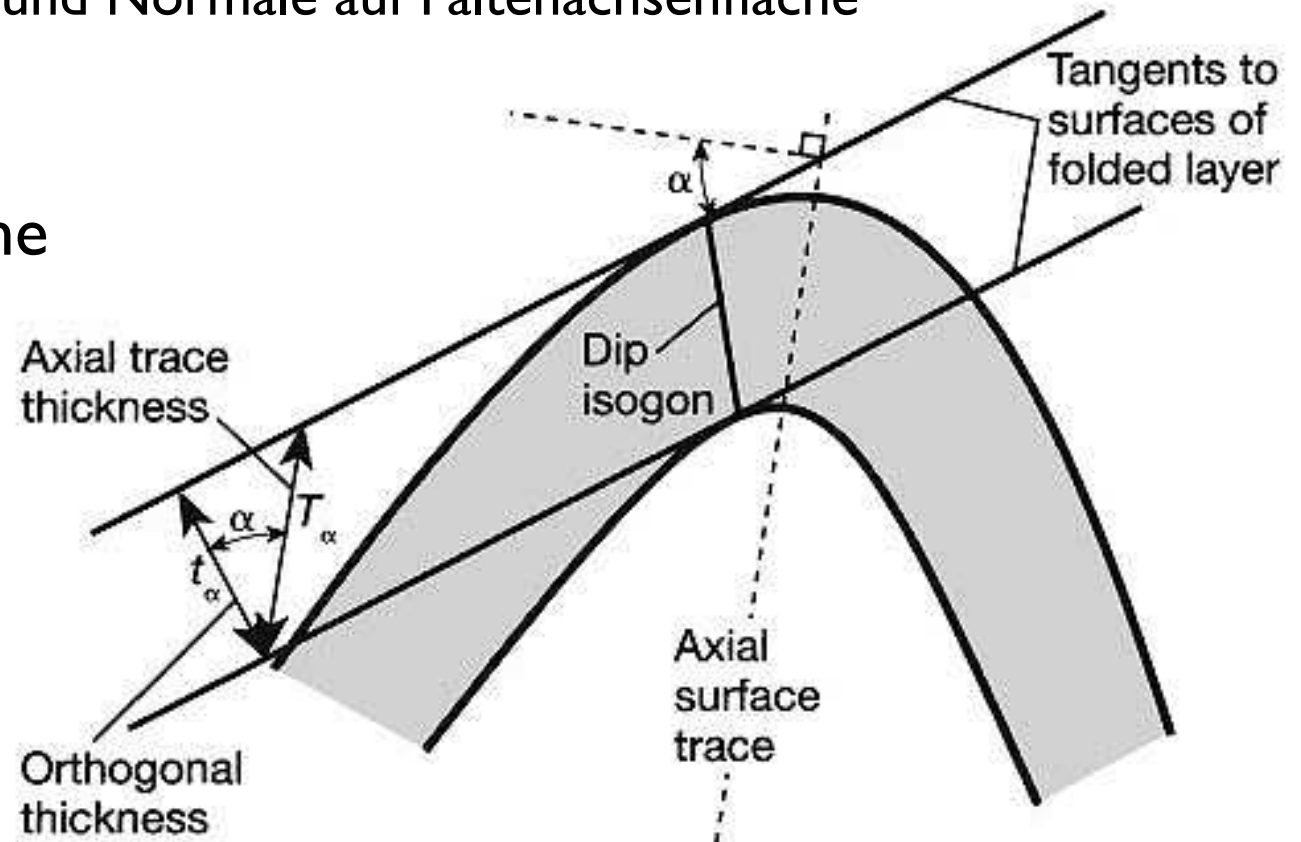


# Isogonen

$\alpha$  = Winkel zwischen Schicht  
und Normale auf Faltenachsenfläche

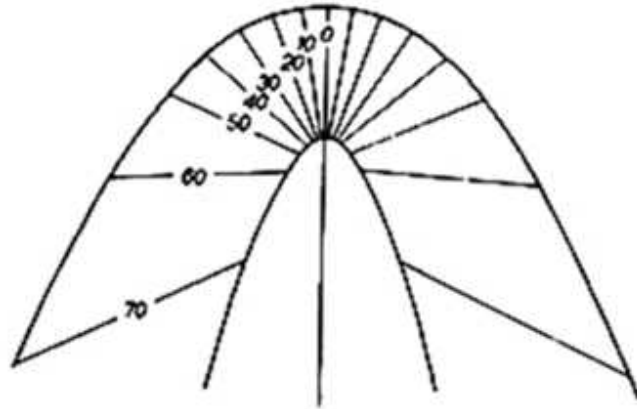
$T$  = Dicke der Schicht  
parallel zur Achsenfläche

$$t_{\alpha} = \cos(\alpha) \cdot T_{\alpha}$$

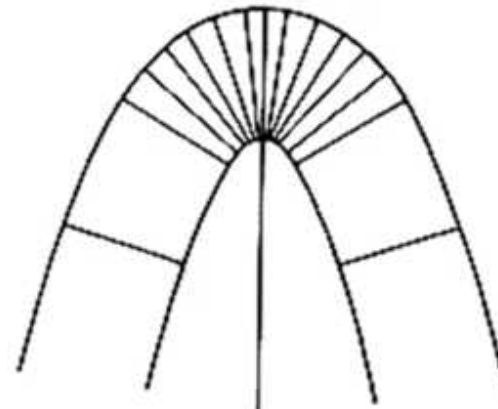


$t$  = Dicke der Schicht  
senkrecht zur Schichtfläche

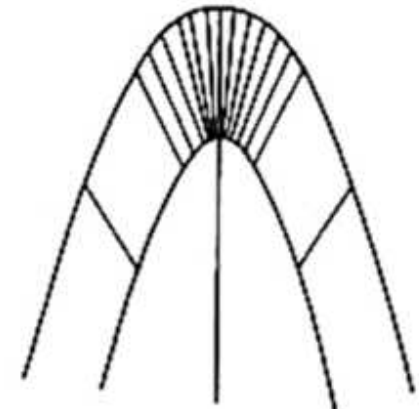
# Ramsay's classification of folds



Typ 1A



Typ 1B parallele Falte



Typ 1C

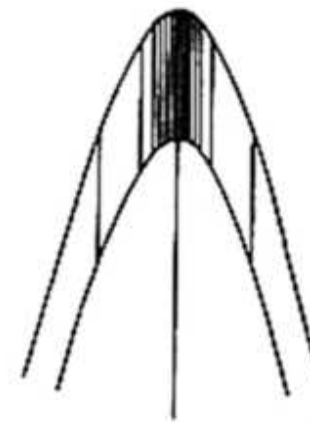
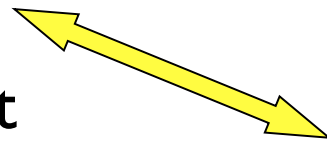
Isogonen sind im...

Typ 1 - konvergent

Typ 2 - parallel

Typ 3 - divergent

... bezüglich des Faltenkerns



Typ 2 kongruente Falte



Typ 3

Schichtdicke nimmt zu

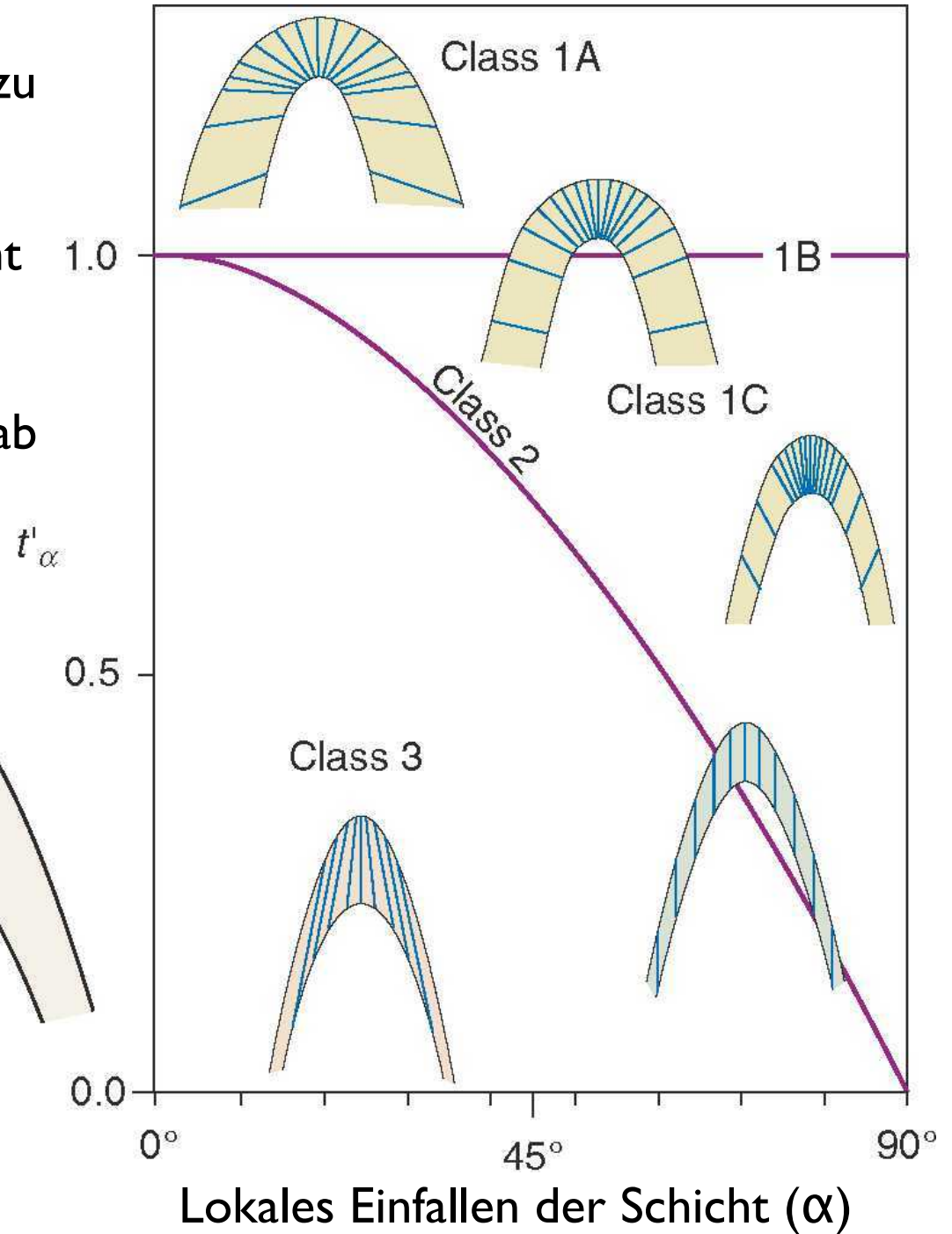
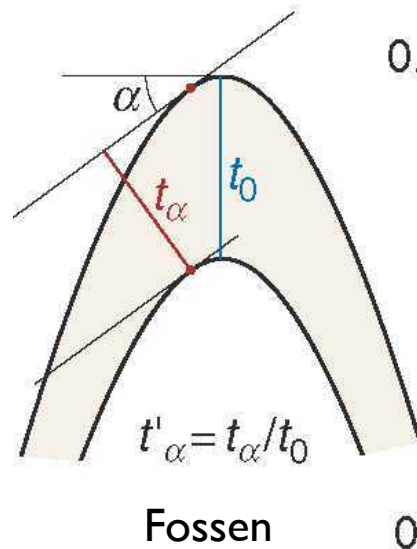


Schichtdicke konstant

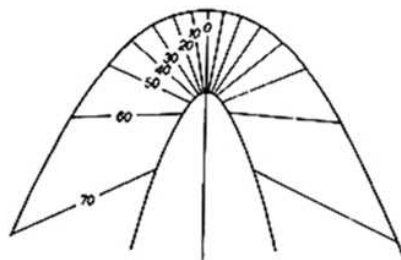


Schichtdicke nimmt ab

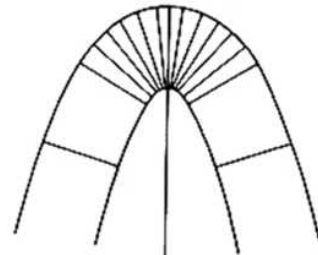
$\alpha$   
Winkel zwischen Schicht  
und Normale auf  
Faltenachsenfläche  
=  
Einfallswinkel der  
Schicht, wenn  
Achesfläche senkrecht



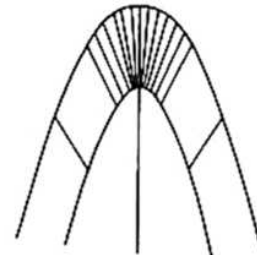
# Beispiel



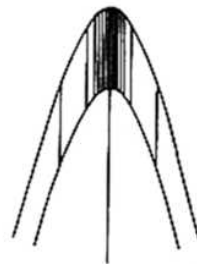
Class 1A



Class 1B: Parallel fold



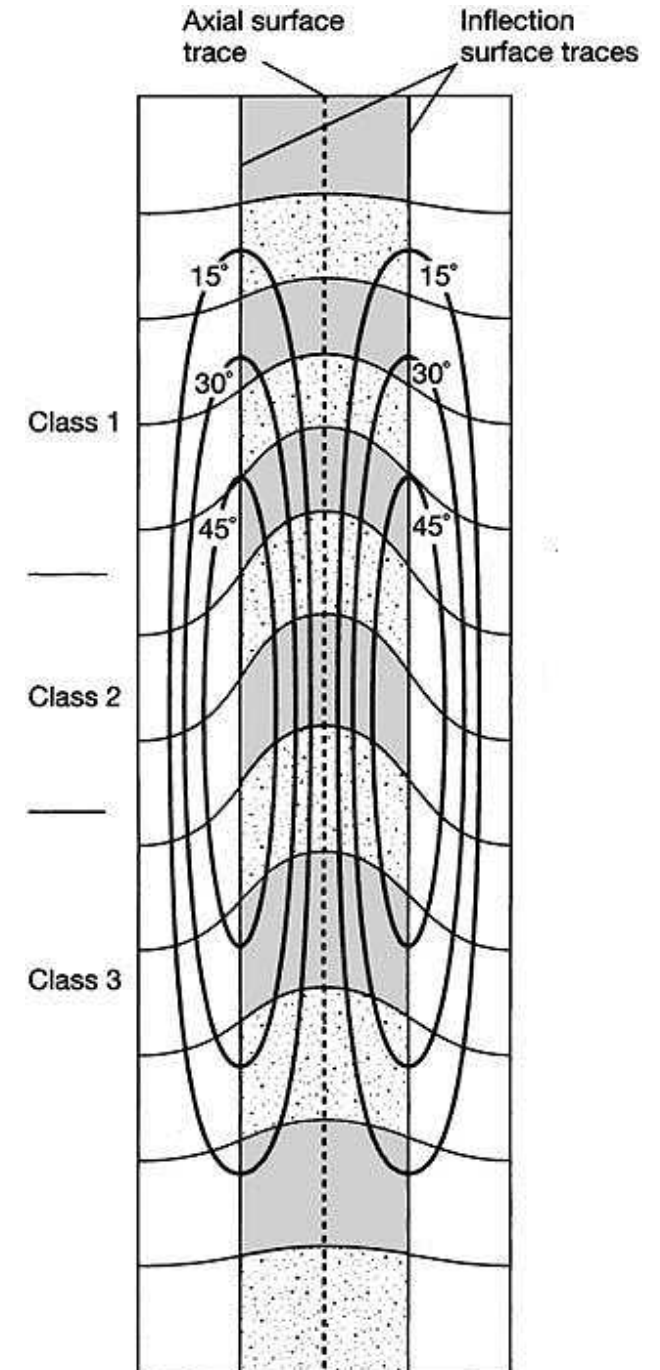
Class 1C



Class 2: Similar fold



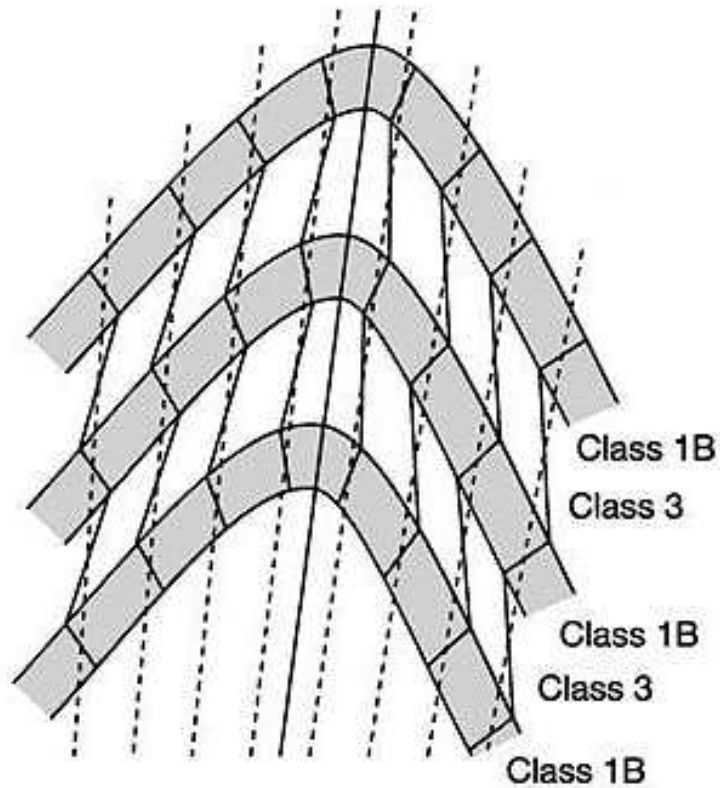
Class 3



oberes Ende: Class 1  
 Mitte: Class 2  
 unteres Ende: Class 3

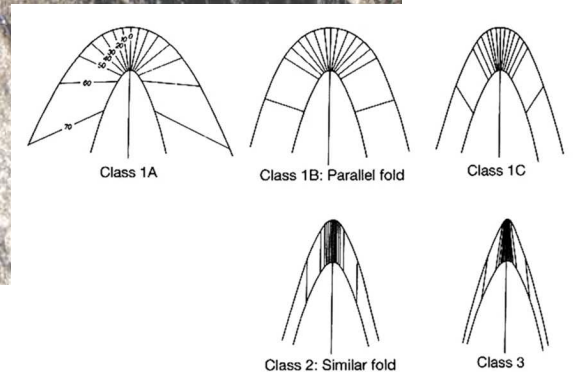
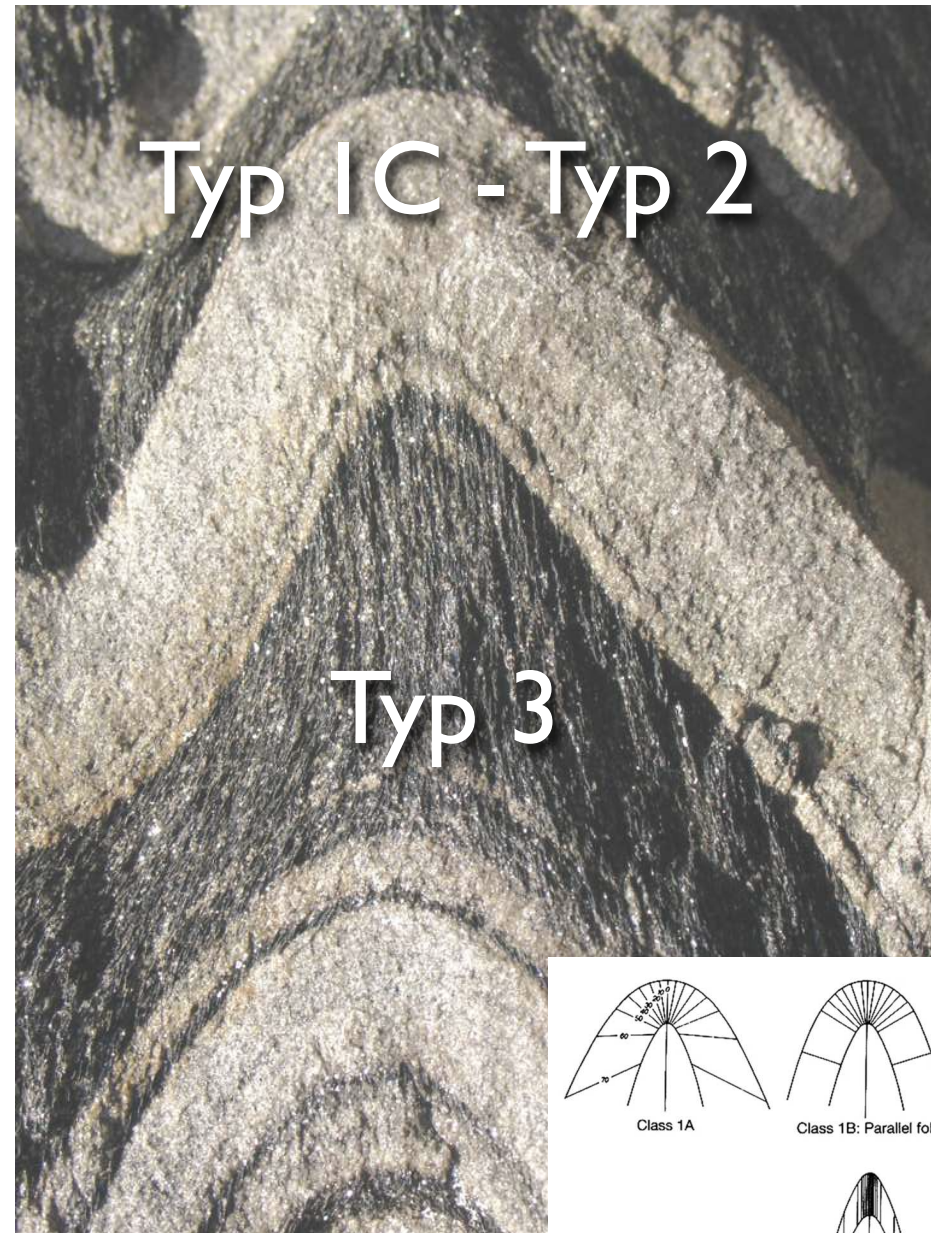


# Beispiel



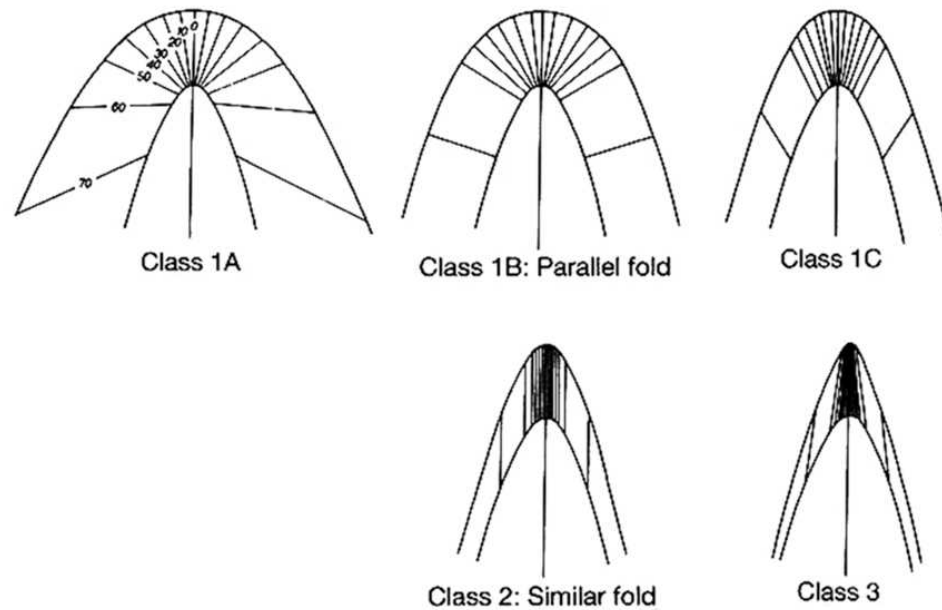
Twiss & Moores

Verschieden Schichten:  
 Grau: Class 1B  
 (konstante Schichtdicke)  
 Weiss: Class 3  
 (divergente Isogonen)

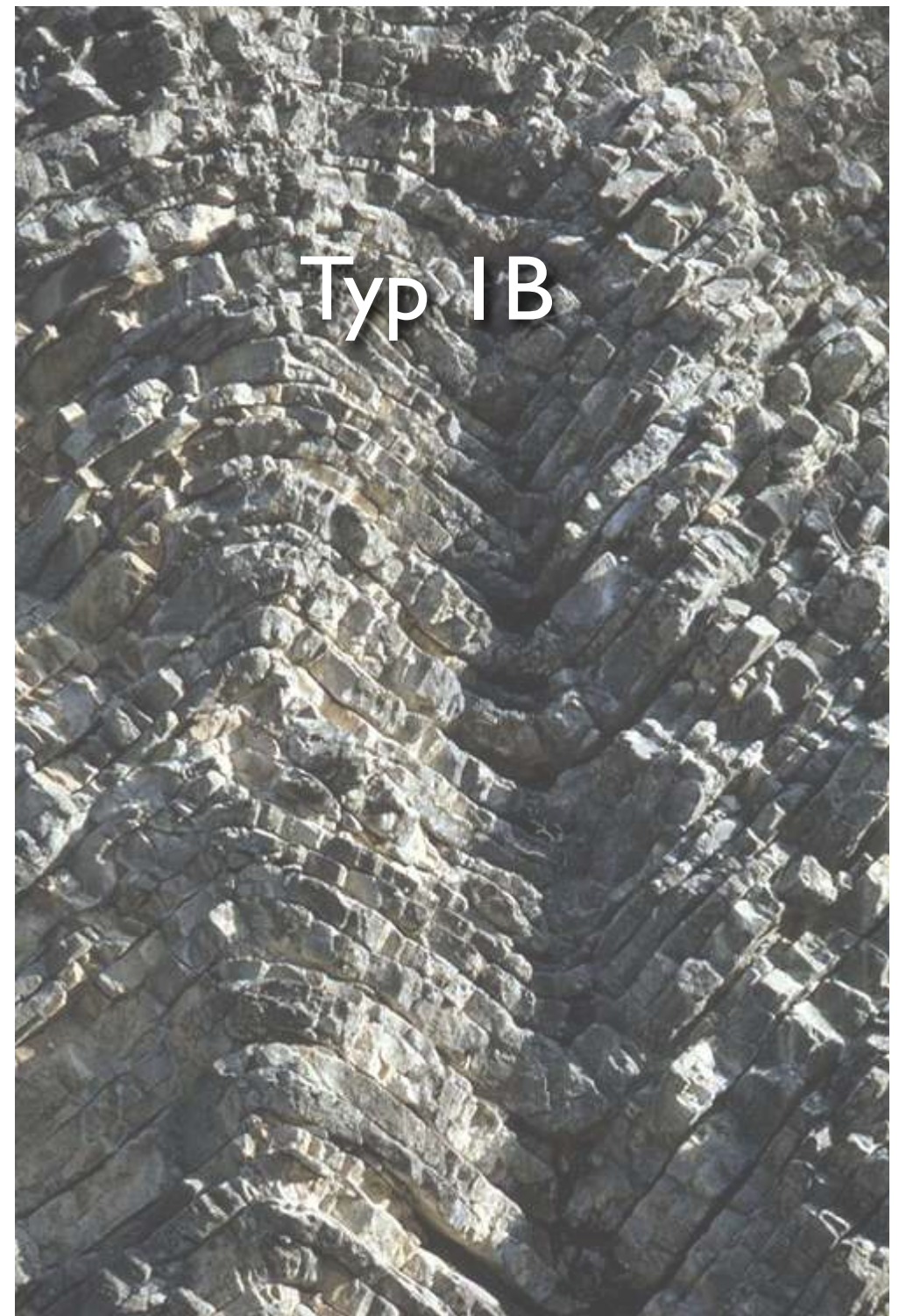




# Beispiel



Knickfalten im Jura und  
Chaines Subalpines:  
Konstante Schichtdicke

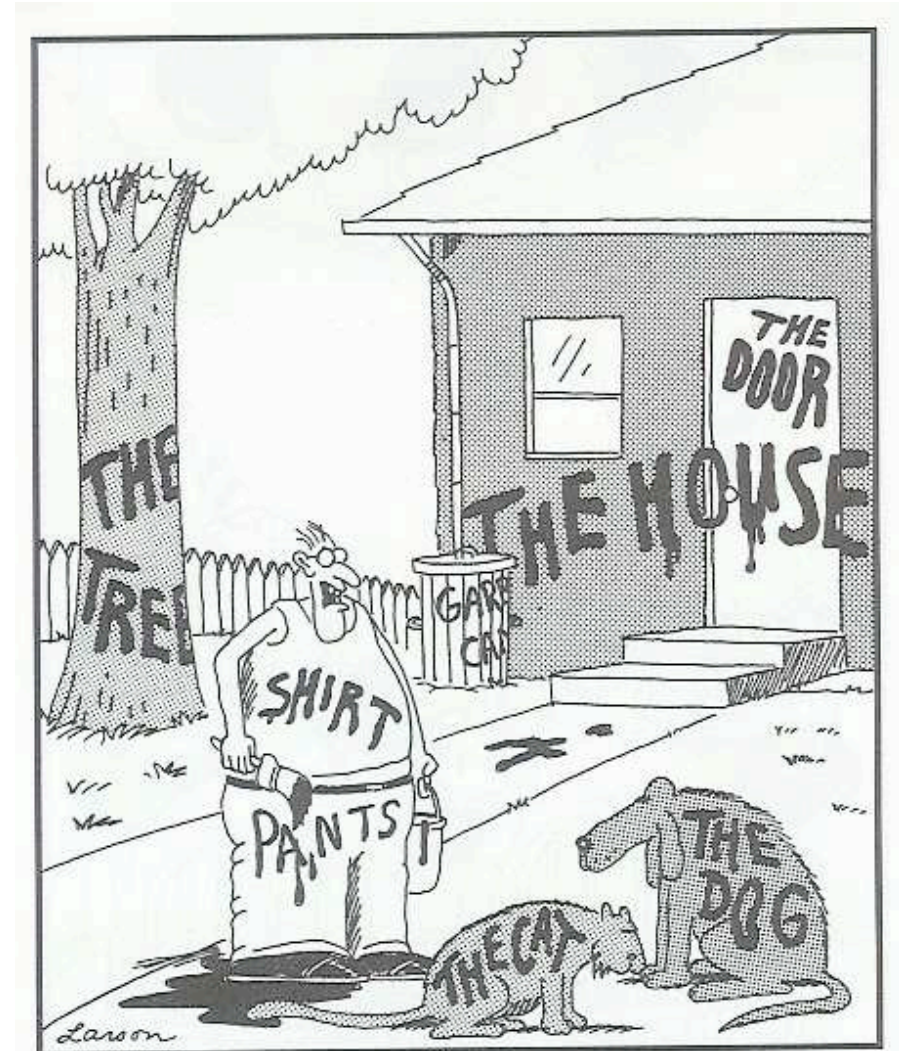


# Klassifikation sind ...

... gut,  
wenn sie beschreibend  
geometrisch  
(beobachtbar)

... schlecht,  
wenn sie genetisch,  
interpretierend  
prozess-abhängig  
(nicht beobachtbar)

sind

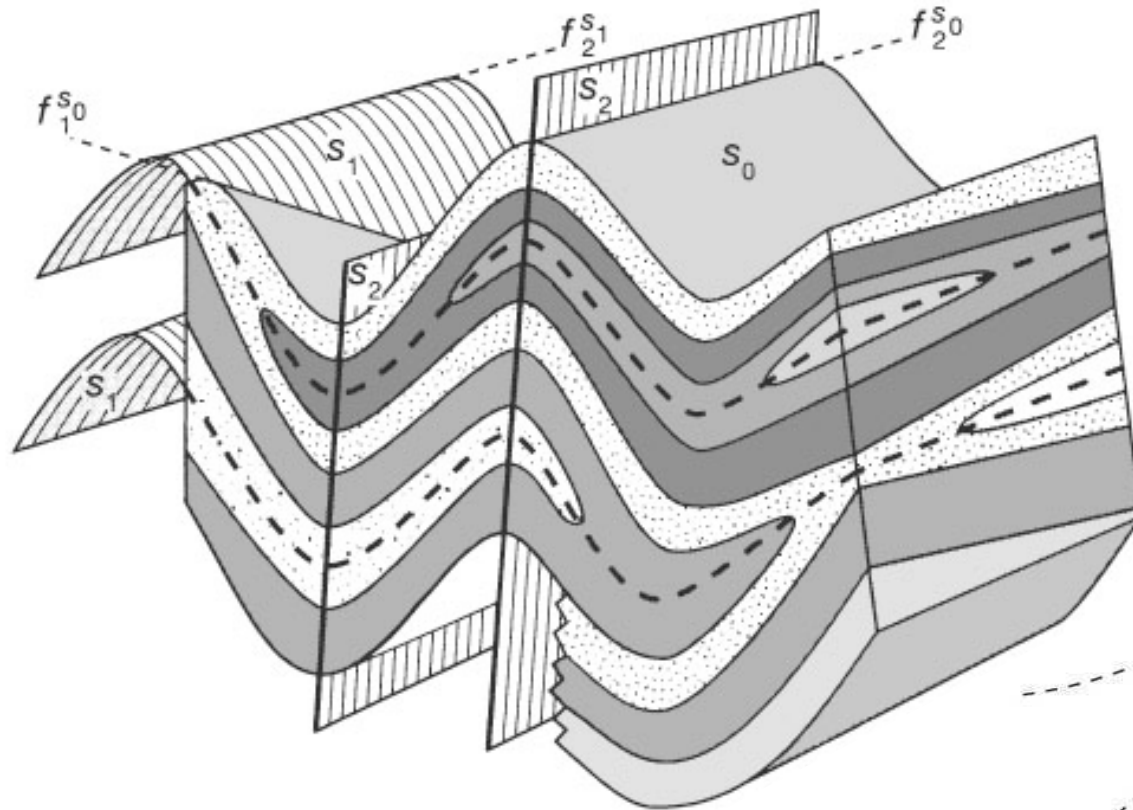


"Now! ... That should clear up  
a few things around here!"

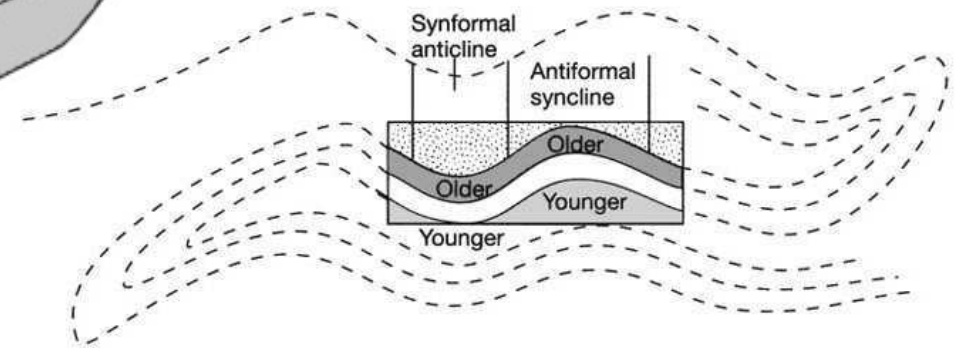
superposed folding



# Superposed folding



Twiss & Moores



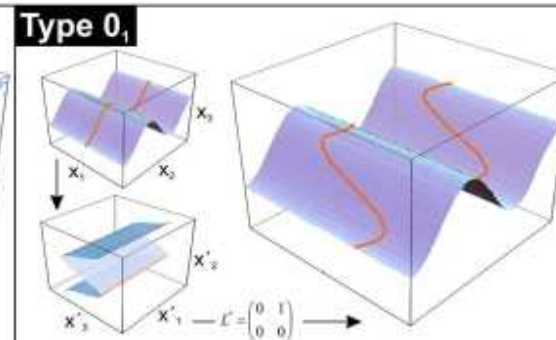
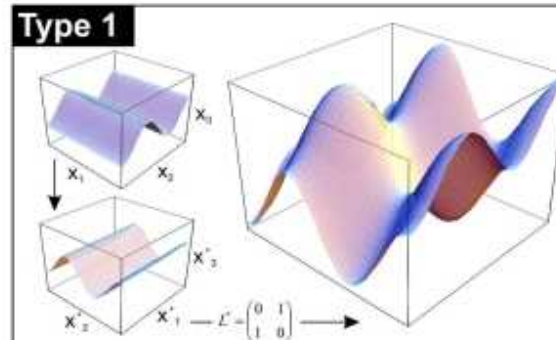
several phases of folding;  
requires geometric analysis;  
most important: **fold axial surfaces ( $S = \text{plane}$ ), fold axis ( $F = \text{direction}$ )**  
fold axis = axis of rotation

# Superposition

$$S_1 \perp S_2$$

$$F_1 \perp F_2$$

$$F_1 \# S_2$$



$$S_1 \perp S_2$$

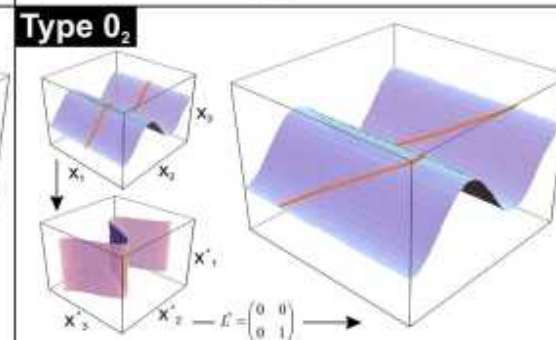
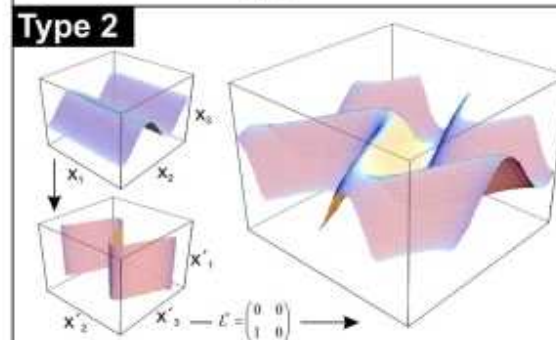
$$F_1 \perp F_2$$

$$F_1 // S_2$$

$$S_1 \perp S_2$$

$$F_1 \perp F_2$$

$$F_1 \# S_2$$



$$S_1 \perp S_2$$

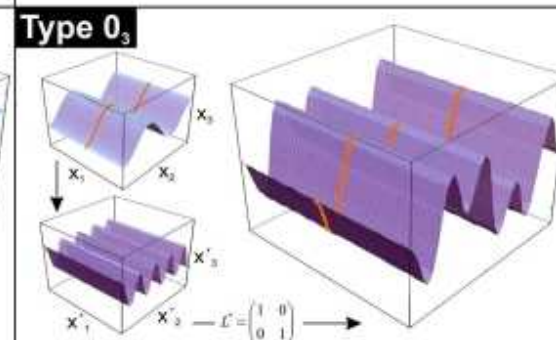
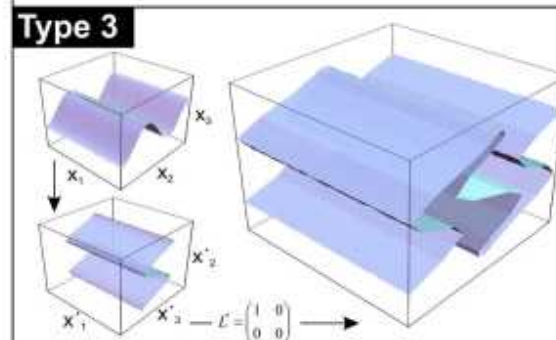
$$F_1 \perp F_2$$

$$F_1 // S_2$$

$$S_1 \perp S_2$$

$$F_1 // F_2$$

$$F_1 // S_2$$



$$S_1 // S_2$$

$$F_1 // F_2$$

$$F_1 // S_2$$

University College Dublin <http://www.fault-analysis-group.ucd.ie/>

Faltenachsenfläche (S), Faltenachse (F)

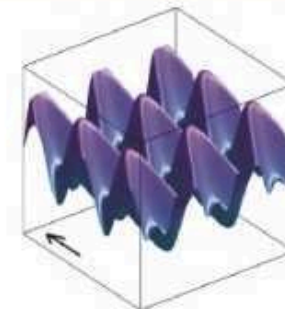
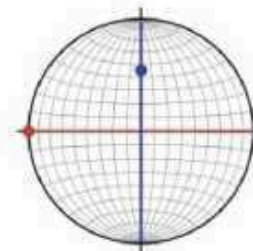


- [FAG Home](#)
- [Project Listing](#)
- [Current Projects](#)
- [Recent Projects](#)
- [Publications](#)
- [Lectures](#)
- [Gallery](#)
- [Educational Material](#)
  - [Papermodels](#)
  - [Structure](#)
  - [Contours](#)
  - [Superposed Folds](#)
- [Software](#)
- [Staff](#)
- [Postgrads](#)
- [Collaborators](#)
- [Employment Opportunities](#)
- [Contact FAG](#)

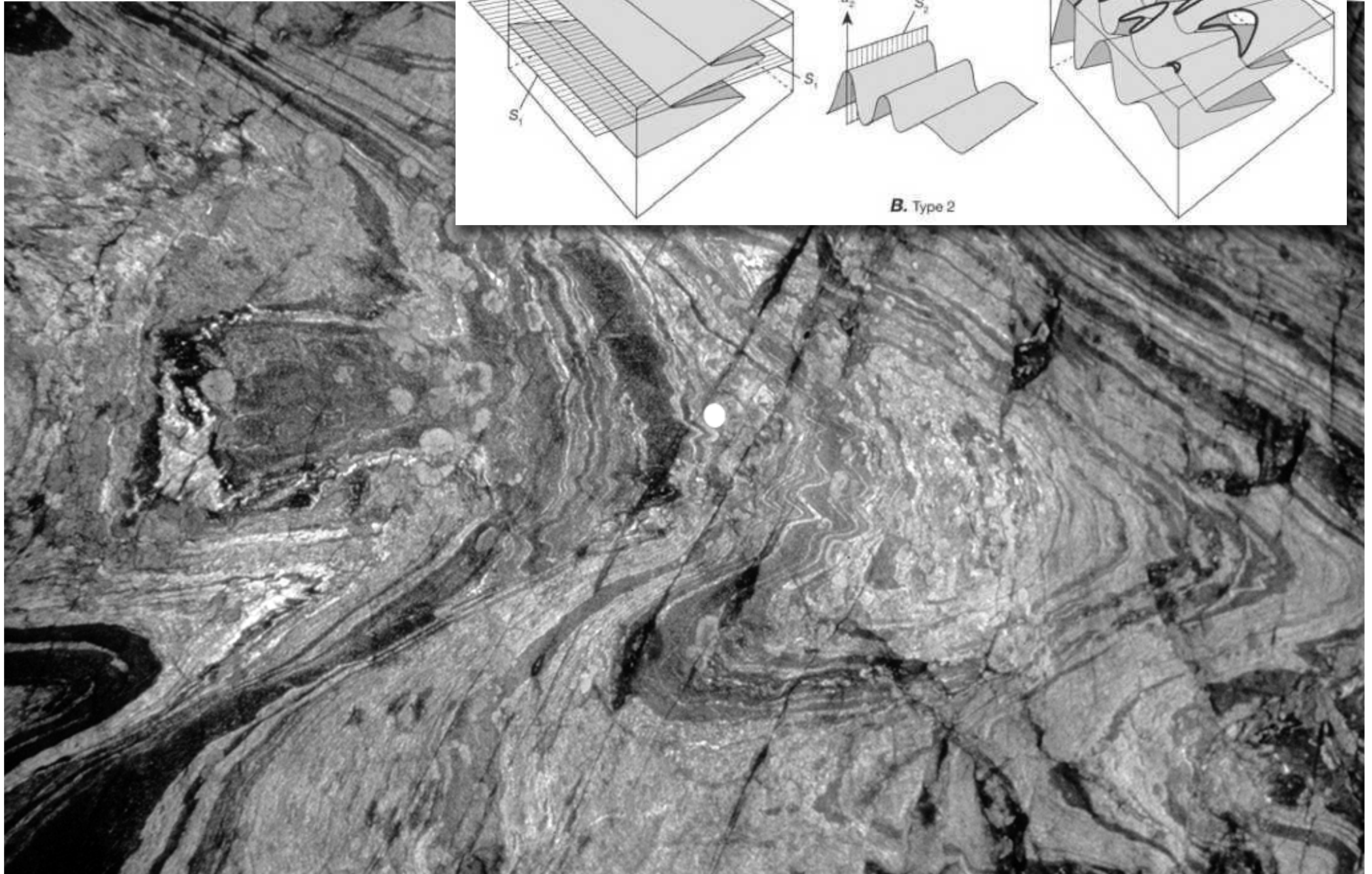
## Superposed Folding Papermodels

### Introduction

Superposition of folding can lead to very complex layer geometries, which when observed in 2D (e.g. outcrop) are called interference patterns. Commonly used names for the different patterns are 'crescent', 'mushroom', 'hook', 'bird's head', dog's tooth' and 'S-Z-W-M' shapes. Many Structural Geology textbooks illustrate idealised patterns either as 2D sections or as block diagrams. Computer programs and animations are also available that provide 2D and 3D visualisation of refolded folds. However, students (and teachers, including myself) often find it difficult to visualise these complex geometries in 3D. Here we provide a range of papermodels of superposed folds that hopefully will help students to improve their ability to infer the 3D geometry from 2D sections. Because drawing interference patterns is by no means trivial I have written a Matlab script which can be downloaded for free and with which users can create their own papermodels.

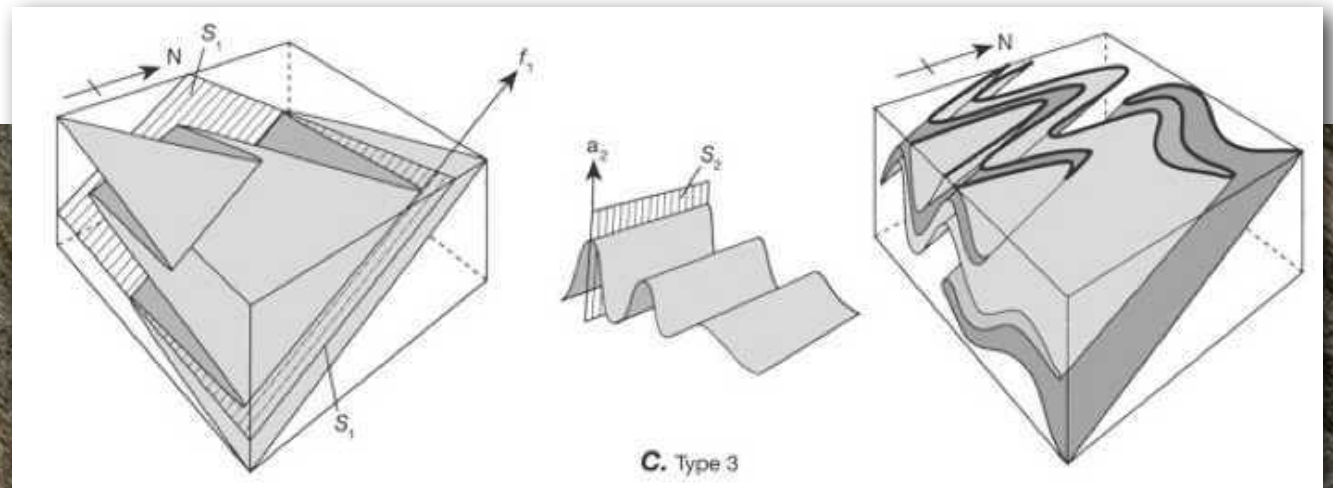
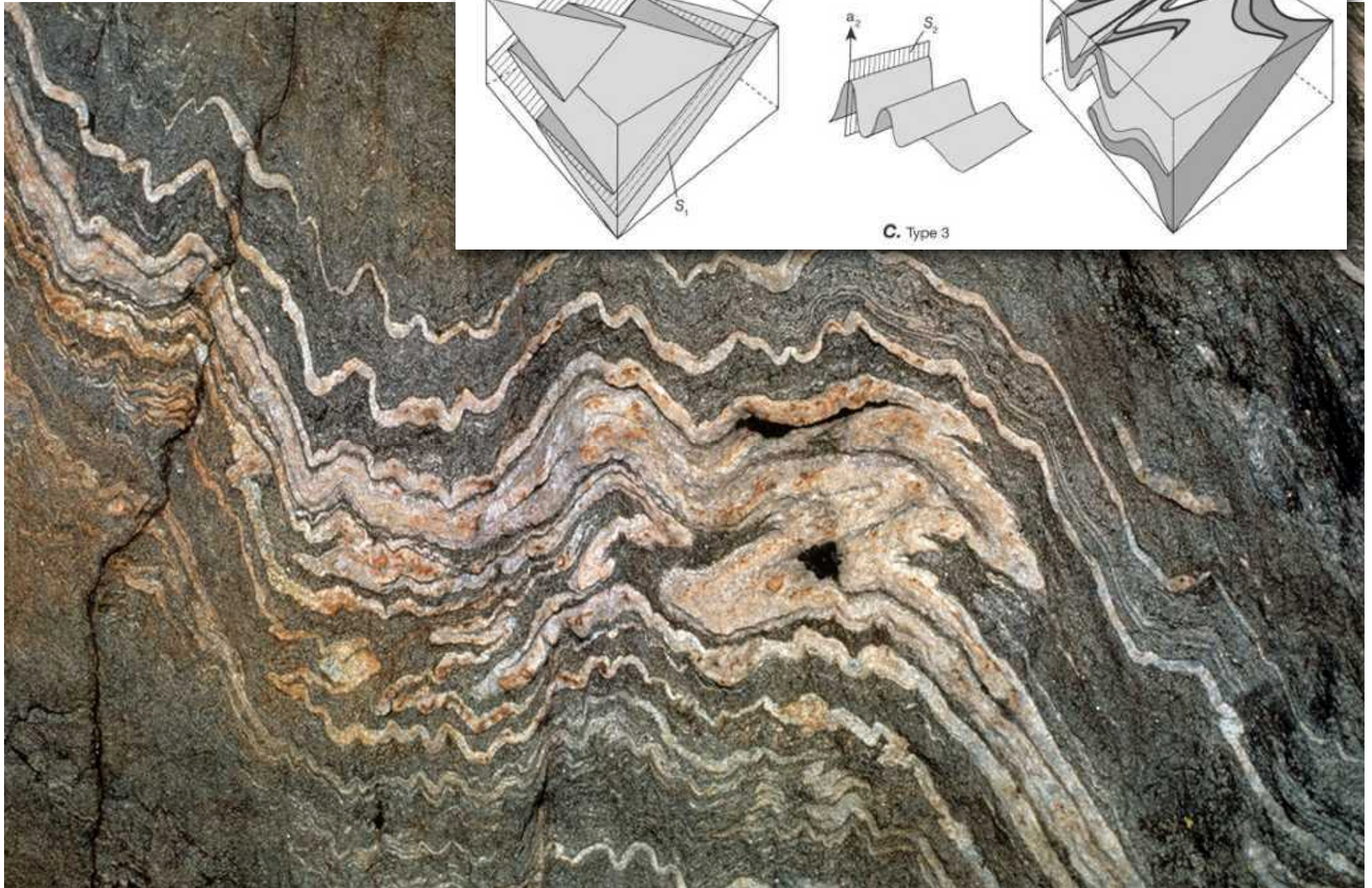


# Beispiel





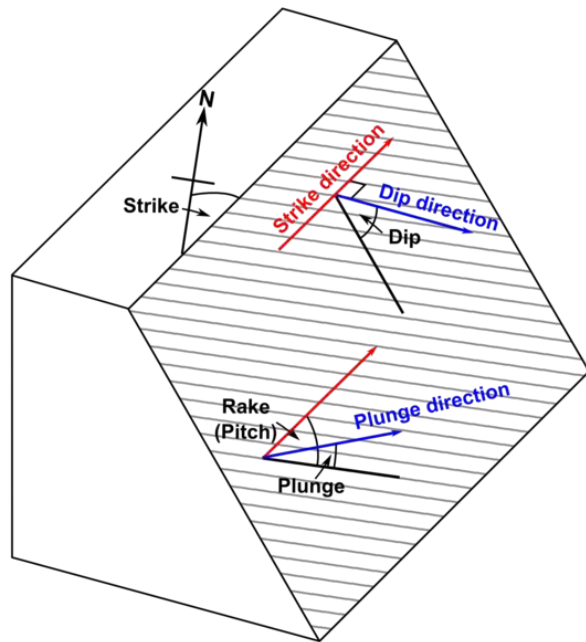
# Beispiel



# Falten im Stereonetz



# Flächen, Lineare im Stereonetz



Fläche:

Fallazimuth

dip direction

Fallen

dip

Streichen

strike (direction)

Lineare:

Azimuth

plunge direction

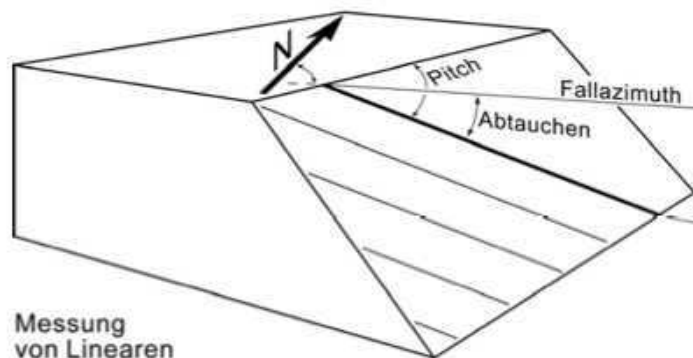
(Ab-)Tauchwinkel

plunge

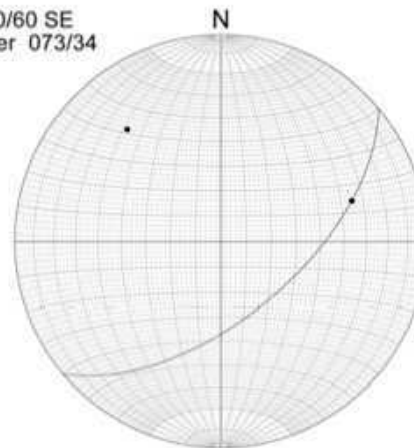
Winkel mit Streichen

rake, pitch

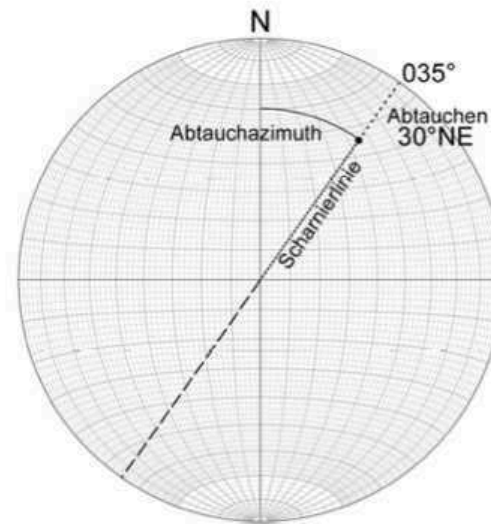
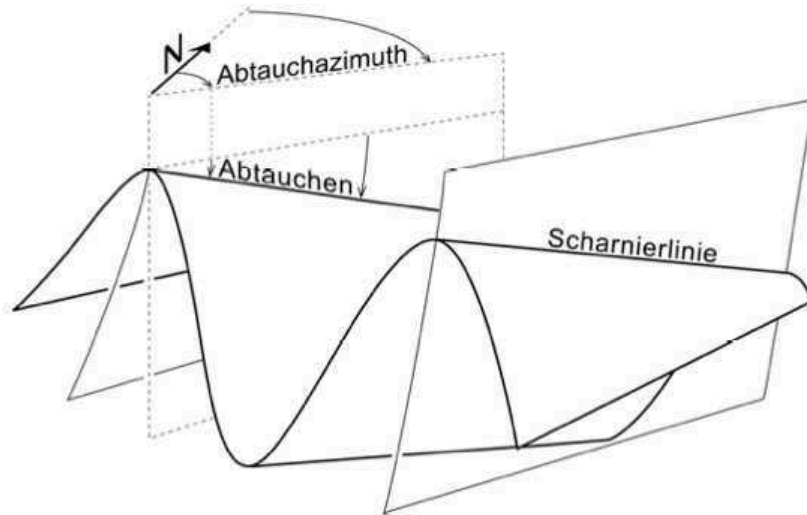
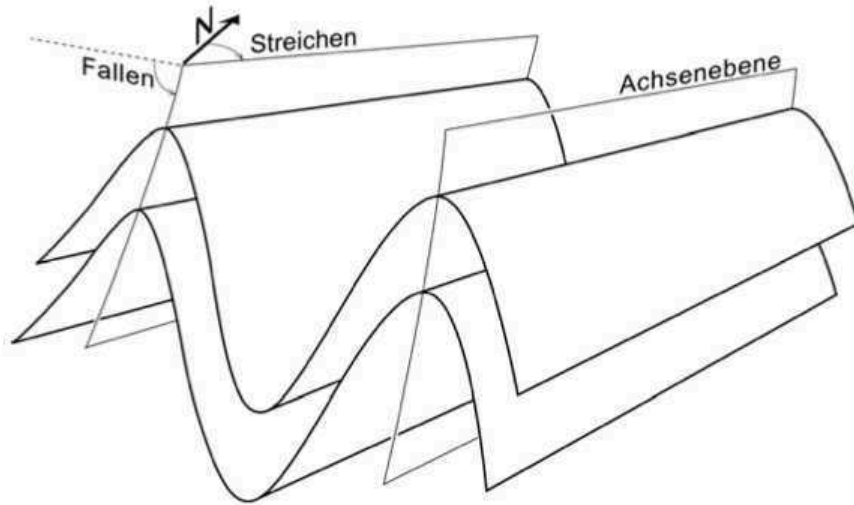
Fläche 140/60 oder 050/60 SE  
Lineation rake 40° NE oder 073/34



Messung  
von Linearen

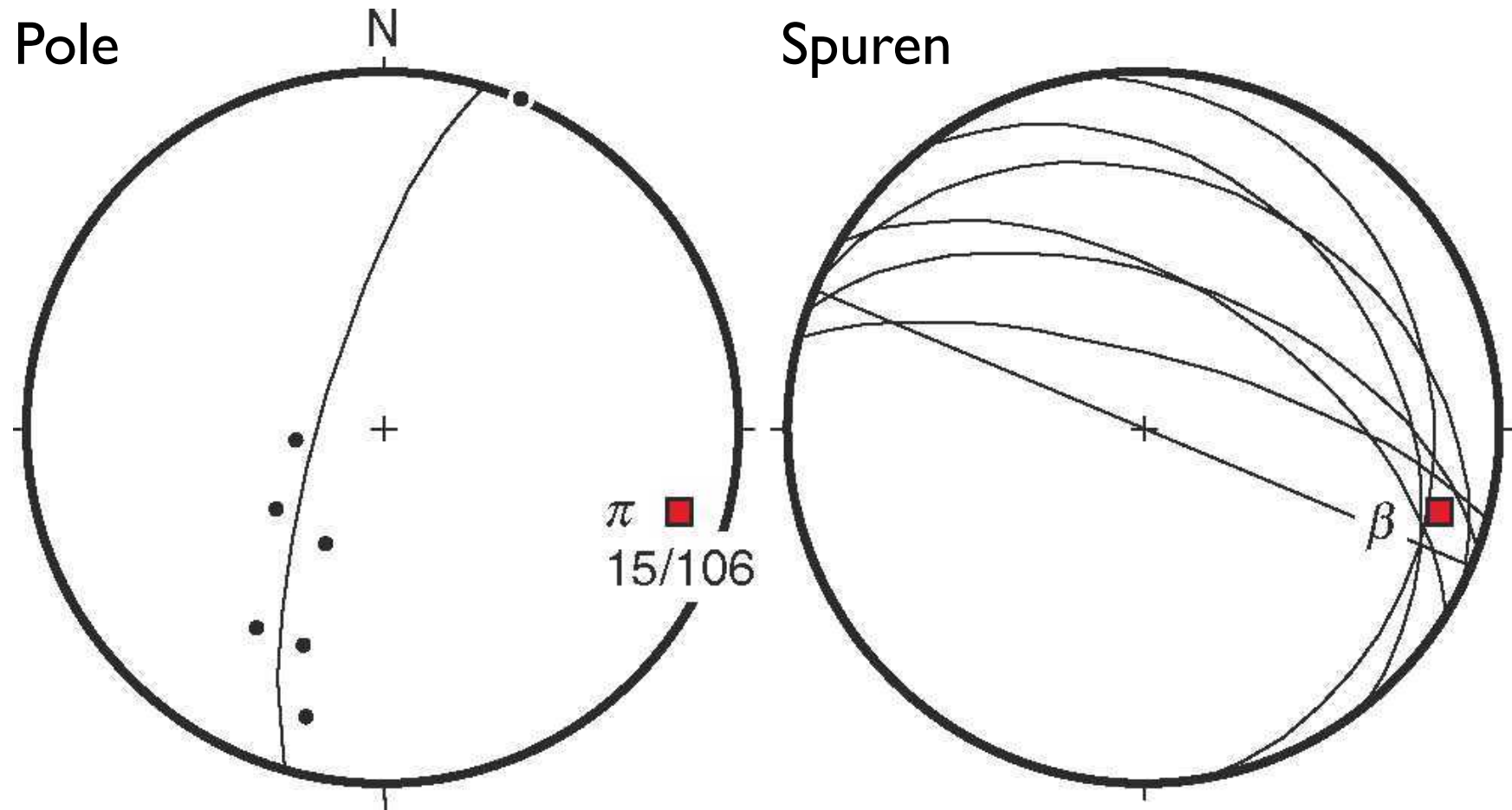


# Achsebene, Scharnier im Stereonet





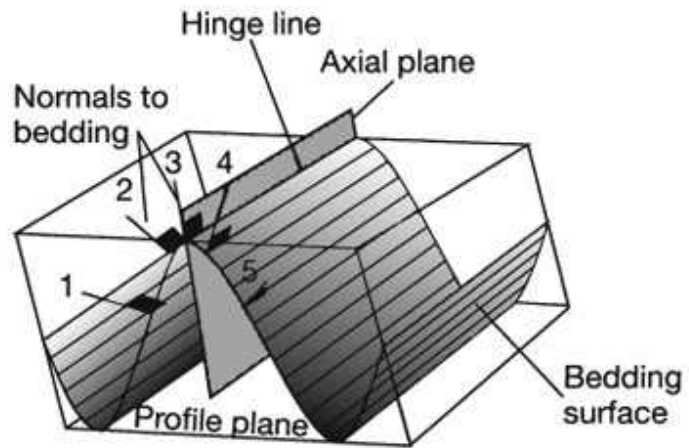
# Konstruktion der Faltenachse



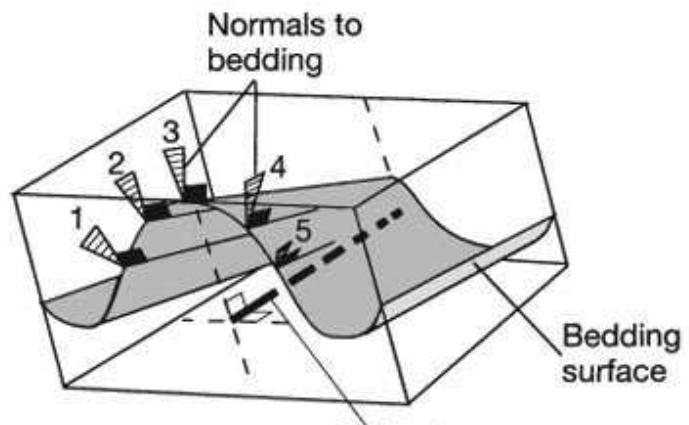
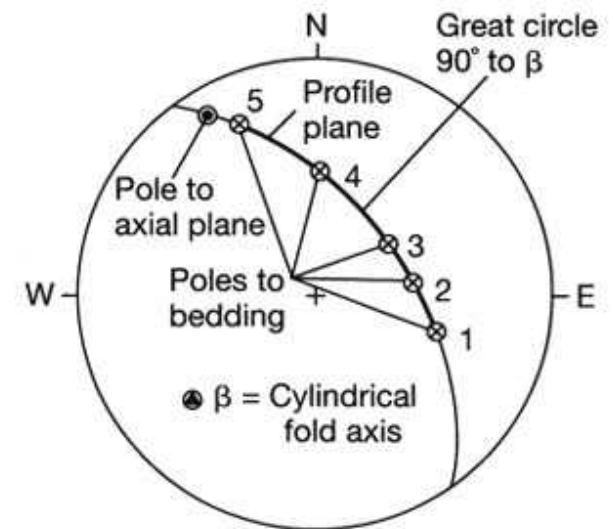
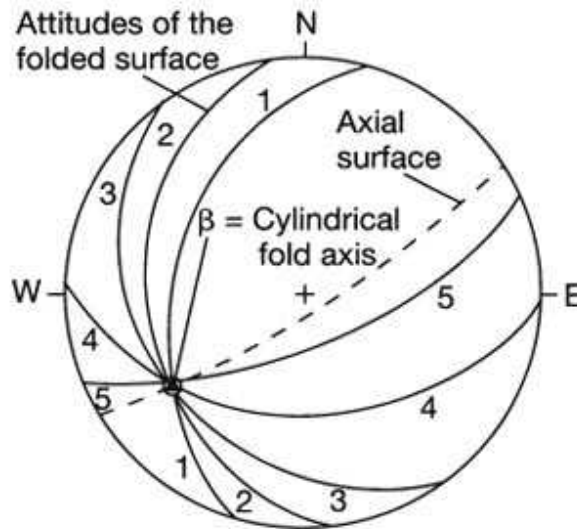
Faltenachse ( $\pi$ ) =  
Pol zur Fläche durch Flächenpole

Faltenachse ( $\beta$ ) =  
Schnittpunkt der Flächenspuren

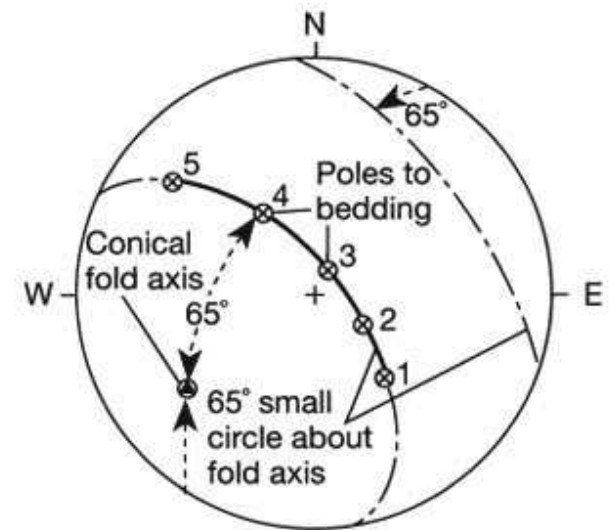
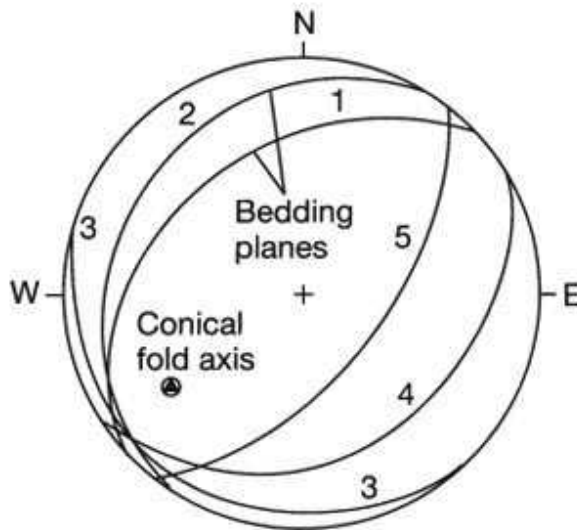
# zylindrische - konische Falten



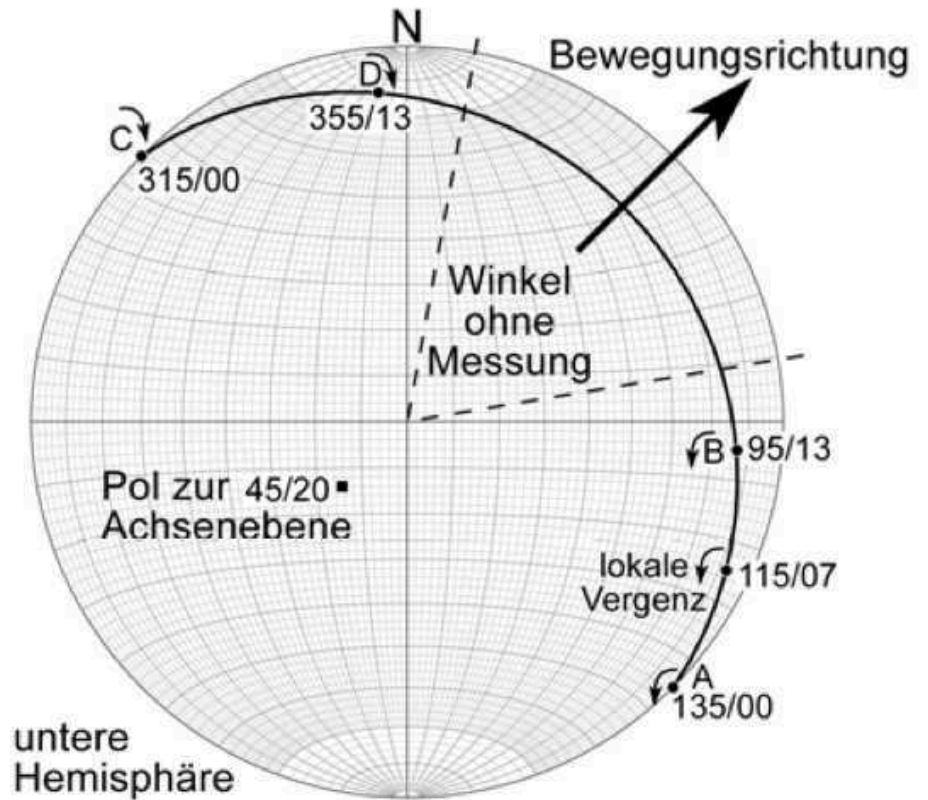
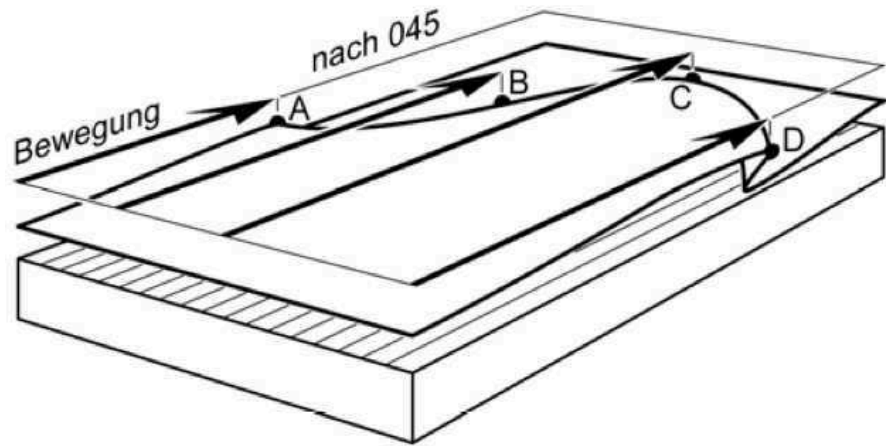
zylindrisch



konisch



# shear folds (Zungenfalten)



Lokale Vergenz berücksichtigen



dextral (C, D)



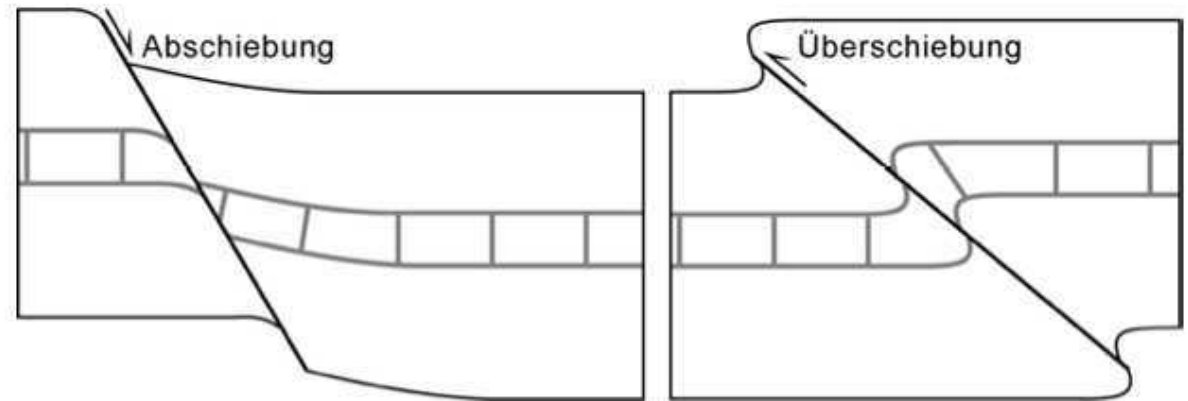
sinistral (A, B)

**folds and structures**

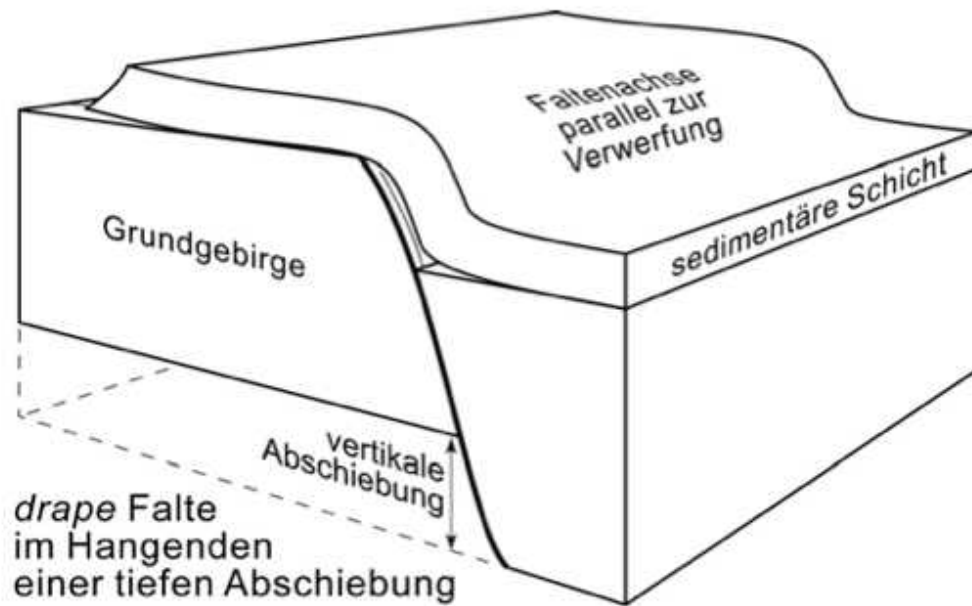


# Schleppfalten

... an Verwerfungsflächen



# Falten an Abschiebungen



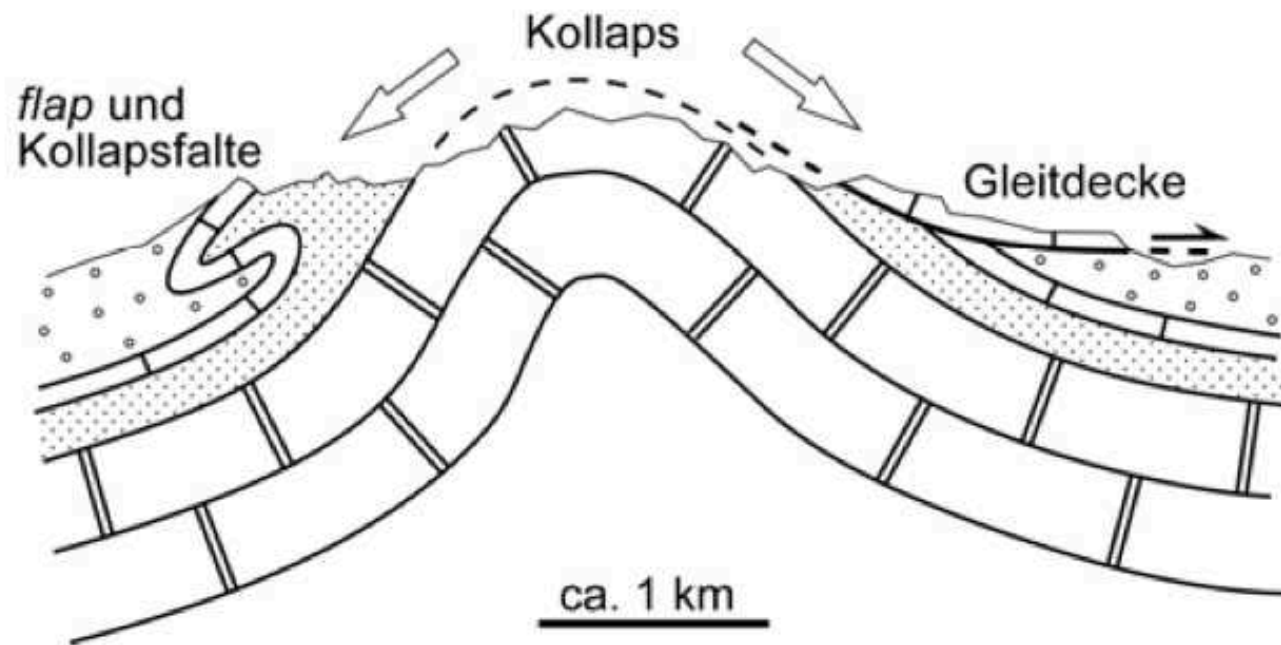
Burg ETH Zürich



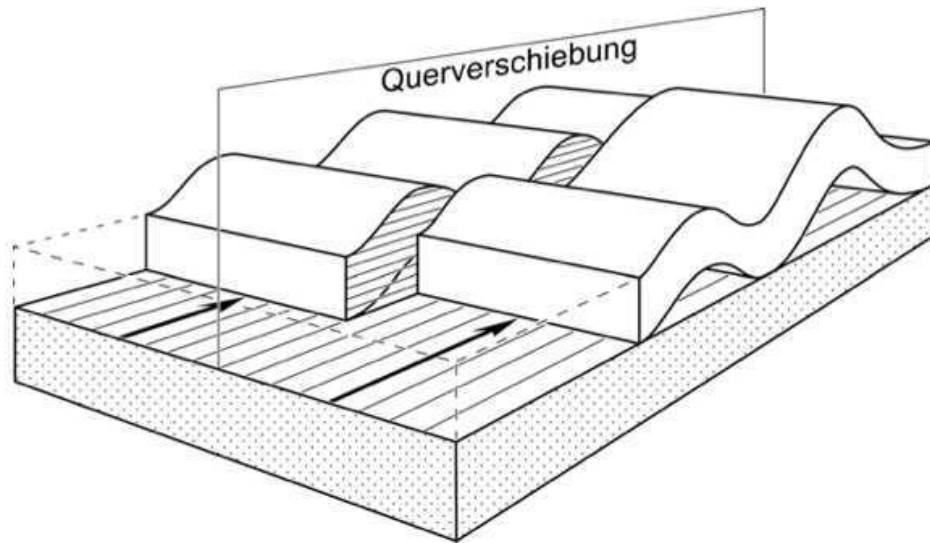
extensives regime

# Kollapsfalten

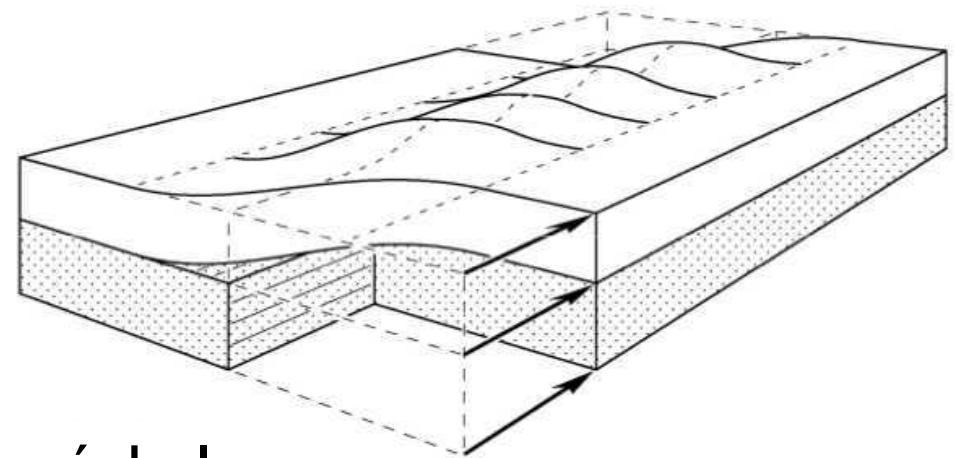
Kollaps Strukturen an den Schenkeln einer grossen Antiklinale  
nach Harrison & Falcon 1934 *Geol. Mag.* 71, 529-539



# Falten an Blattverschiebungen



Burg ETH Zürich

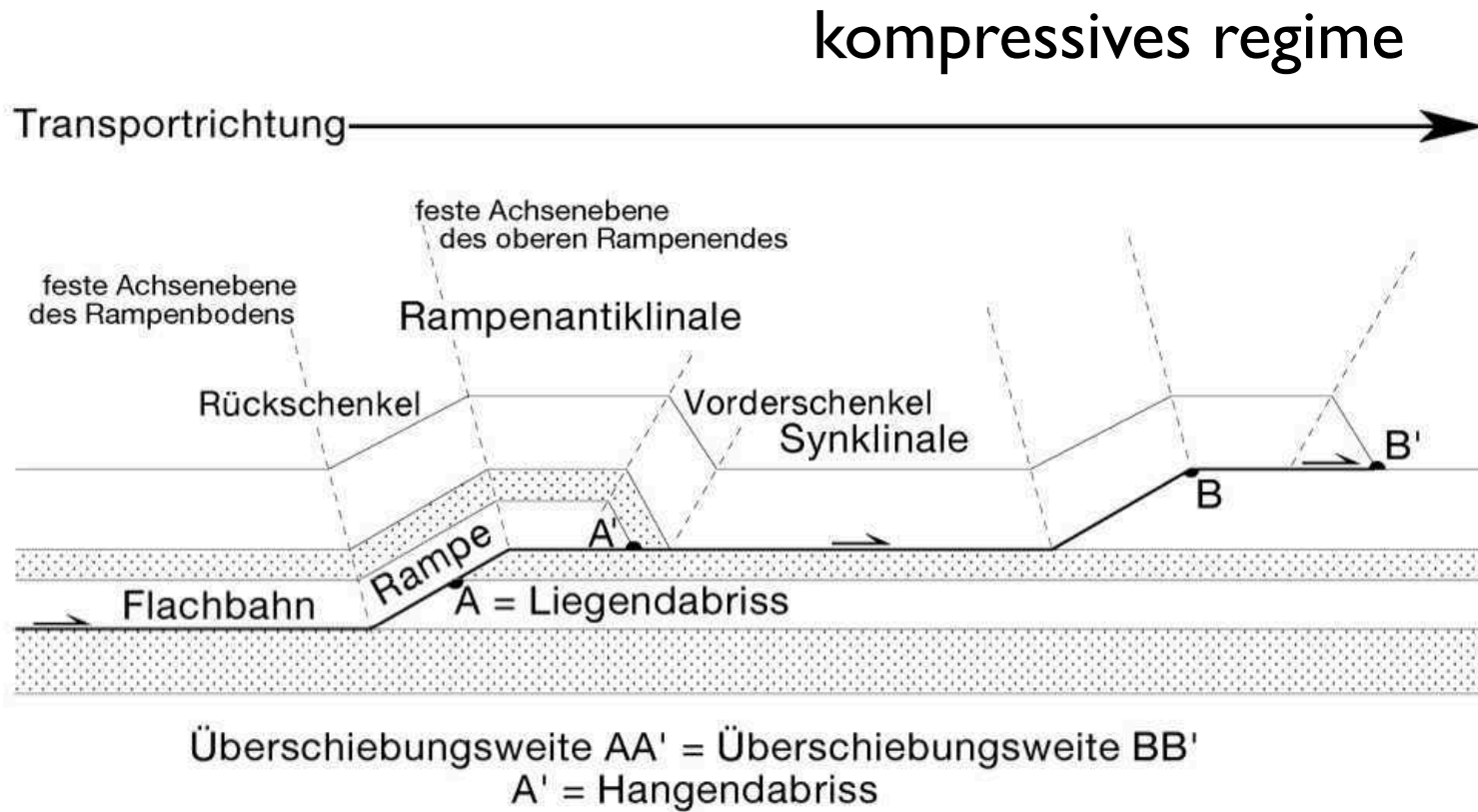


en échelon

strike slip regime

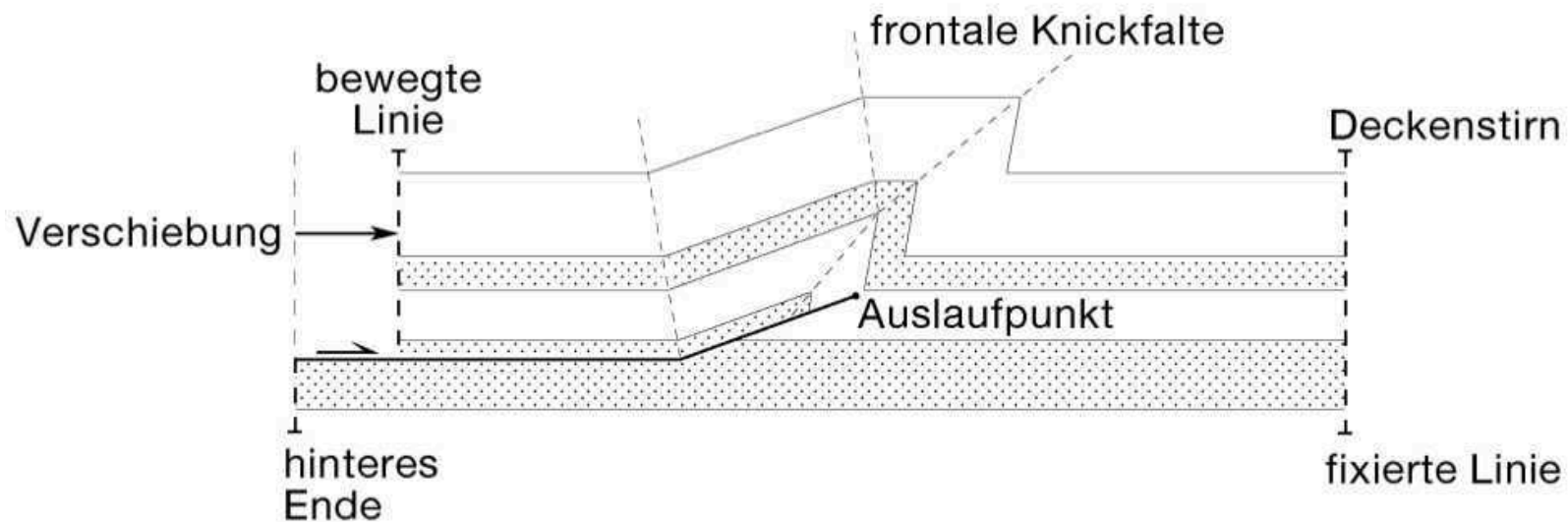
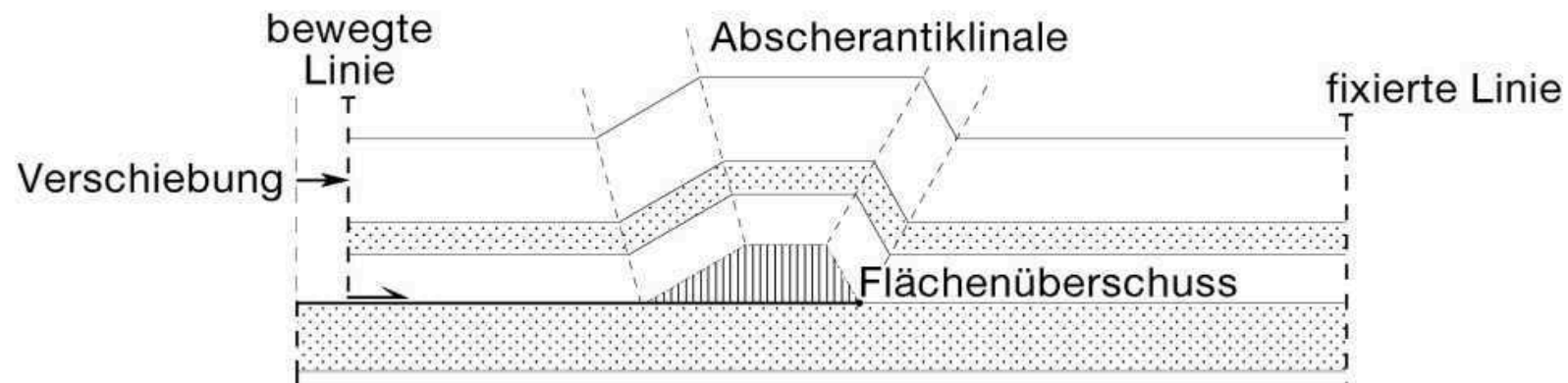


# Rampenfalten FBF überschiebungsbezogen fault bend fold



# Rampenfalten FPF überschiebungsbezogen

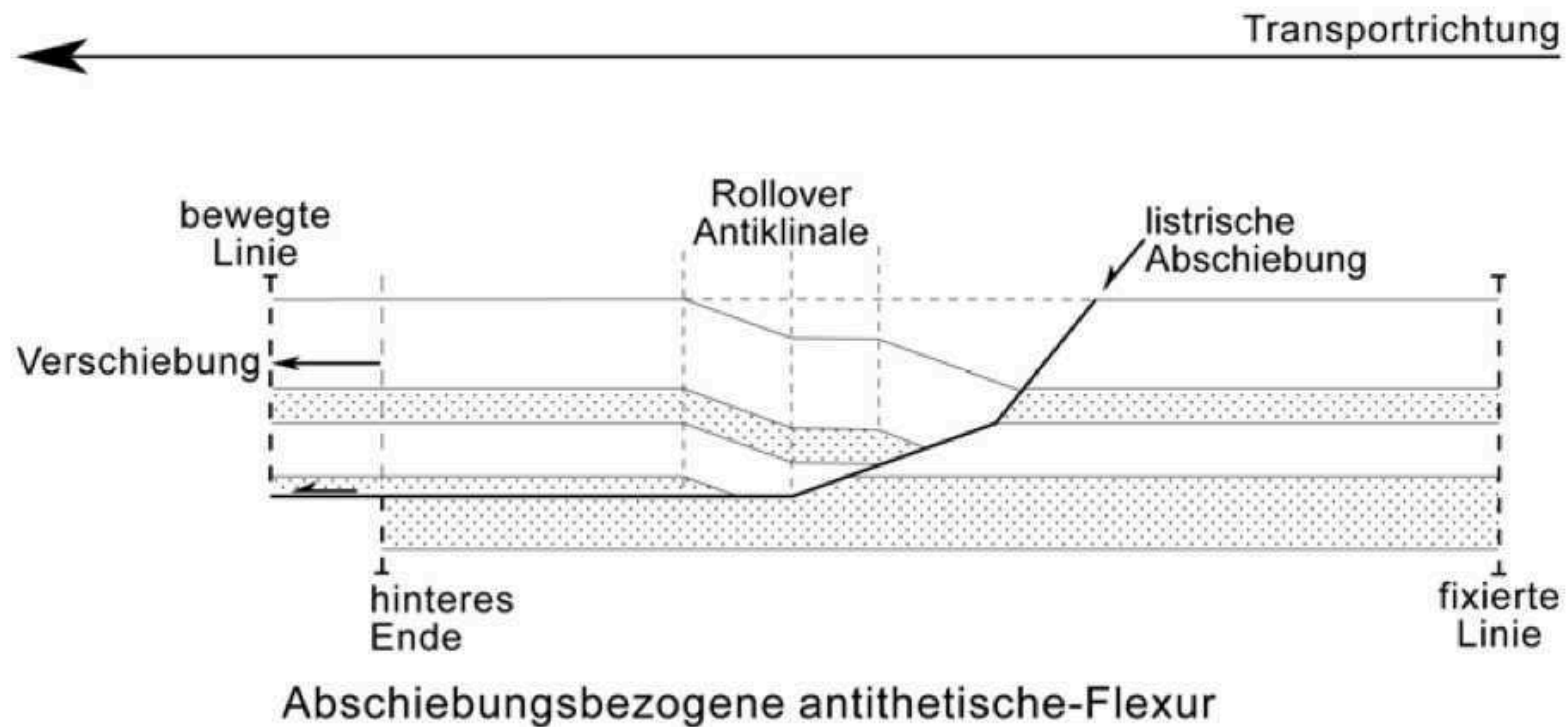
## fault propagation fold



# Rampenfalten

abschiebungsbezogen

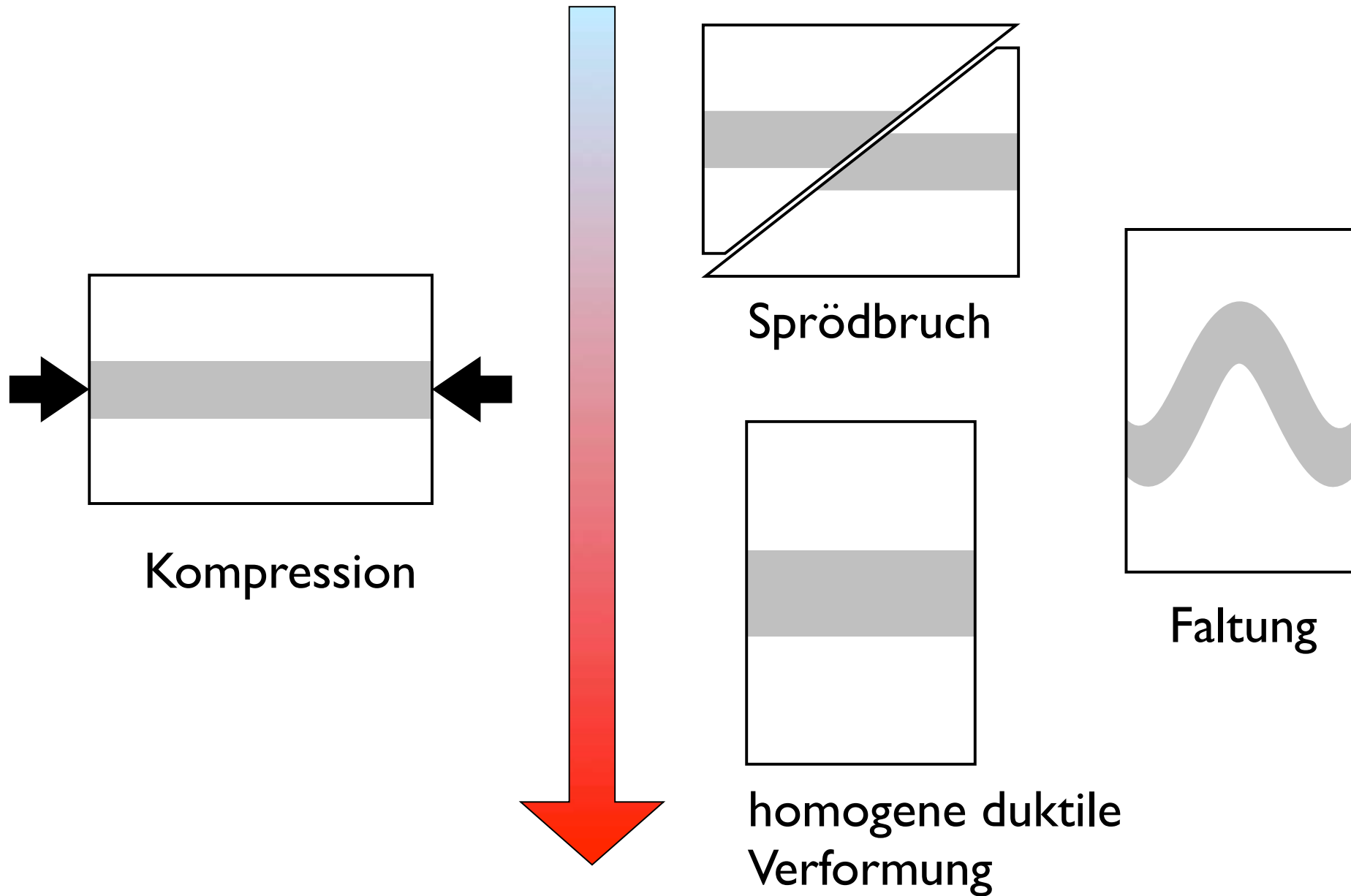
extensives regime



**folding**



# Faltung als Versagen (failure)

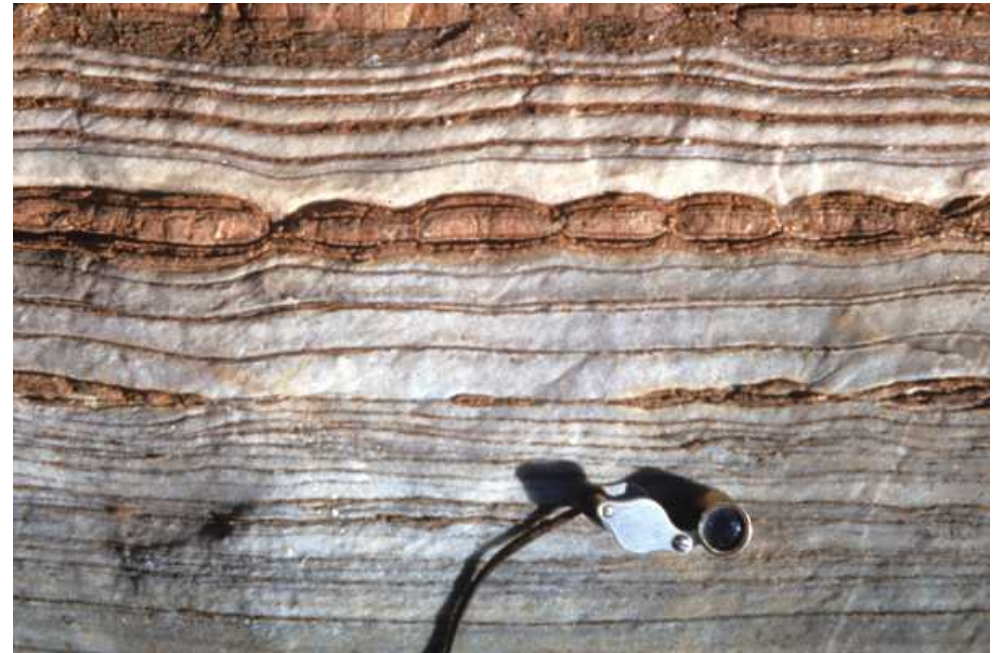


# Faltung

Kompression - Faltung



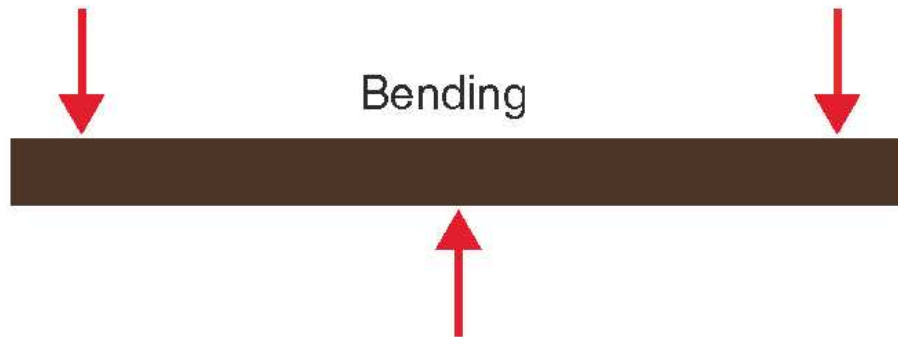
Extension - Boudinage



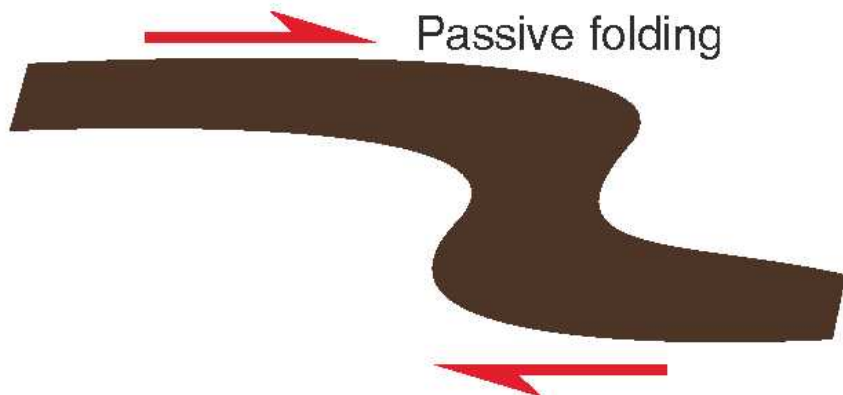
# Faltungsmechanismus



Stauchung  
Stauchfaltung



Biegung  
Biegefaltung



Scherung  
Scherrfaltung

Fossen

mechanisch aktiv

mechanisch

passiv

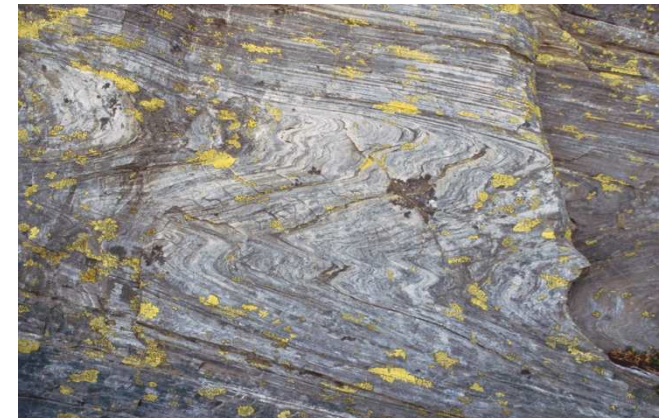
# aktiv - passiv

mechanisch ...

aktiv Kompetenzkontrast  
Biegefaltung  
(flexural folding)  
Kartenstapel



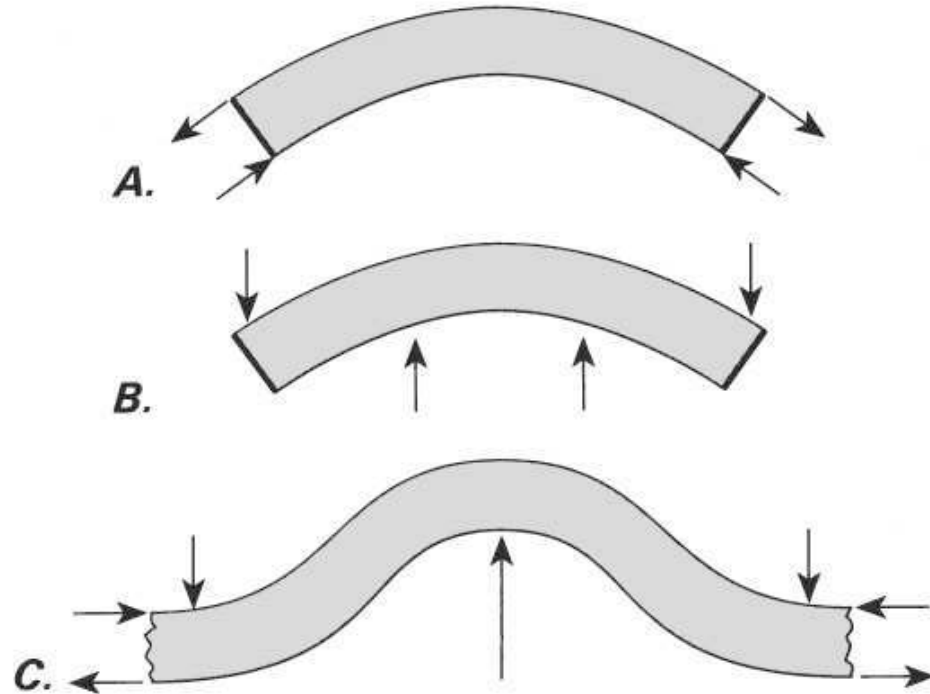
passiv kein Kompetenzkontrast  
Passive Faltung  
(passive folding)  
farbige Plastilin-Schichten





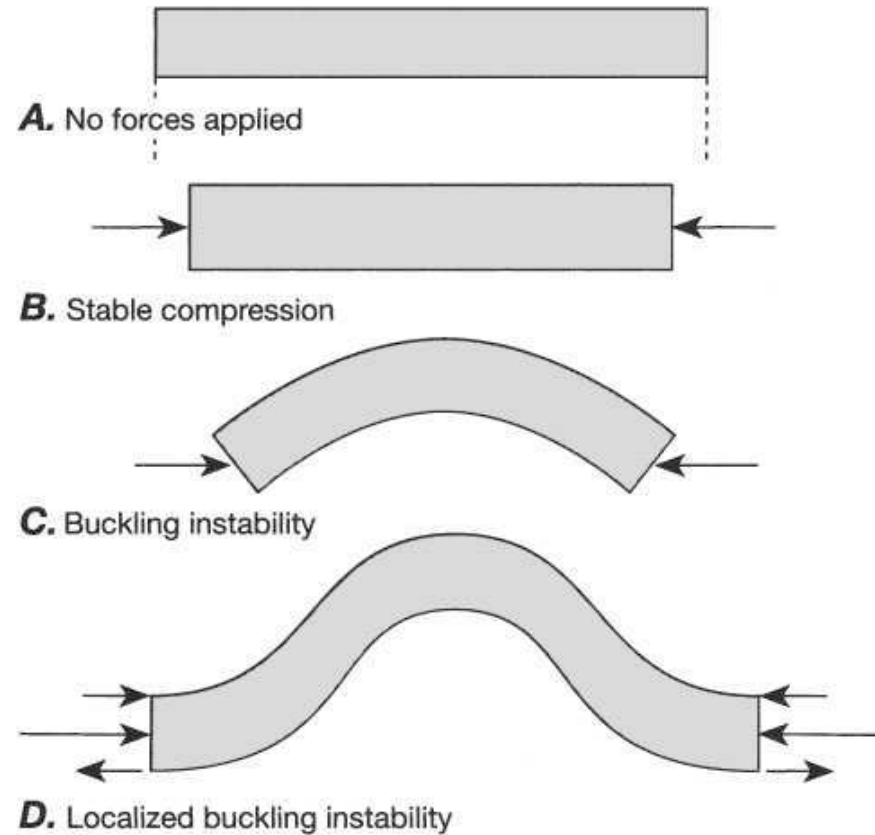
# Randbedingungen

flexure of a plate by  
bending



Biegefaltung

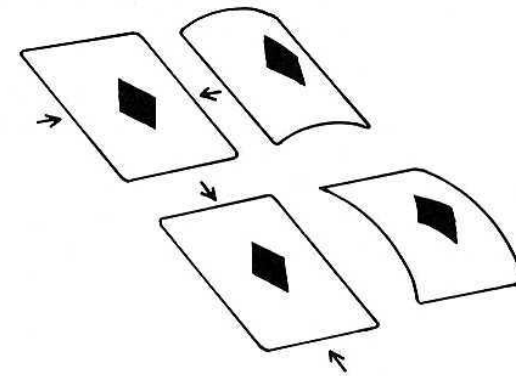
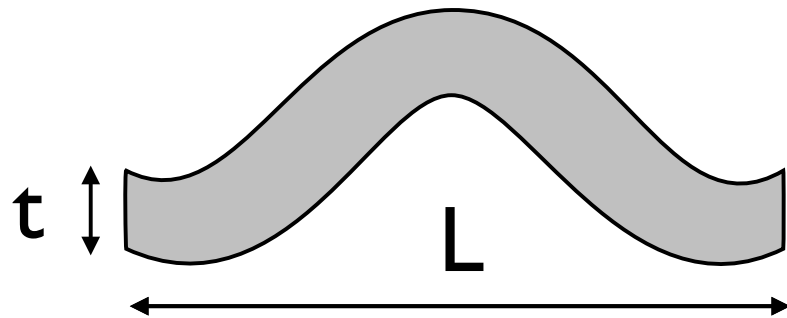
flexure of a plate by  
buckling



Stauchfaltung

# Biegung nach Euler (1757)

elastische Schicht (im freien Raum)



$$F_{\text{crit}} = \frac{4 \pi^2 E I}{L^2}$$

$$I = \frac{t^3 \cdot w}{12}$$

$L$  = Länge

$w$  = Breite

$t$  = Dicke

$F$  = Kraft

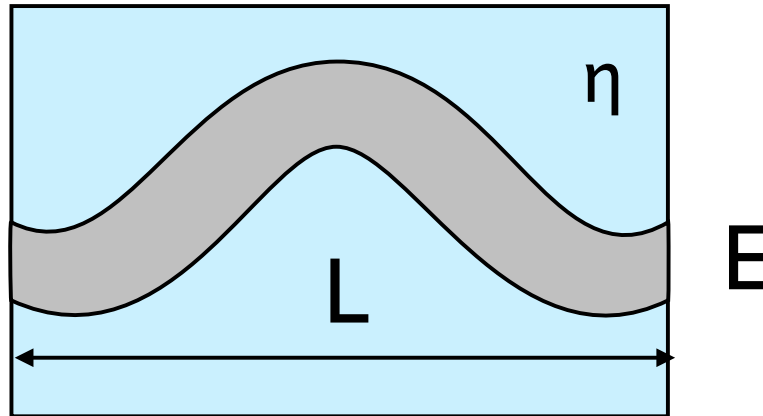
$E$  = E-modul (Pa)

$$L = \sqrt{\frac{4 \pi^2 E I}{F}} = 2\pi \sqrt{\frac{E I}{F}}$$

Lange Wellenlänge,  
wenn  $F$  klein,  $E$  gross

# Biegung nach Biot (1961)

elastische Schicht in visköser Matrix



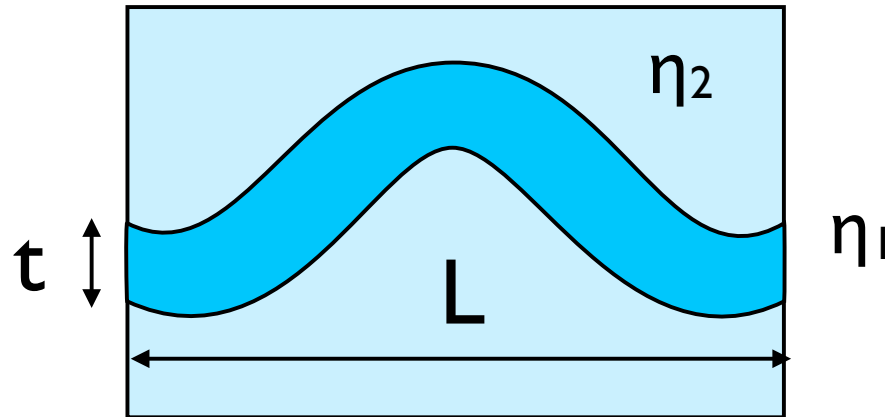
L hängt nur von den mechanische Eigenschaften der elastischen Schicht ab

$$L = \sqrt{\frac{E}{(1-\nu^2) \sigma}}$$

Lange Wellenlänge,  
wenn  $\sigma$  klein, E gross

# Biot (1957) - Ramberg (1961)

visköse Schicht in visköser Matrix



$$L = 2\pi \cdot t \sqrt[3]{\frac{\eta_1}{6\eta_2}}$$

$L$  = Länge

$t$  = Dicke

$\eta$  = Viskositätskoeffizient (Pas)

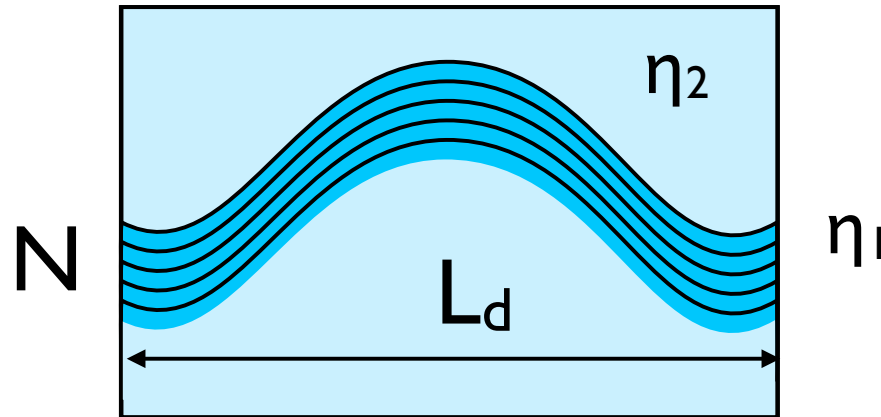
$$\frac{L}{t} = 2\pi \sqrt[3]{\frac{\eta_1}{6\eta_2}}$$

Wellenlänge: Dicke ist Funktion  
des Viskositätsverhältnisses  
 $\eta_1 : \eta_2$  klein  $\rightarrow$  'dicke' Falten  
 $\eta_1 : \eta_2$  gross  $\rightarrow$  'schlanke' Falten



# Biot (1957) - Ramberg (1961)

visköser Schichtstapel in visköser Matrix



$$L_d = 2\pi \cdot t \sqrt[3]{\frac{N \cdot \eta_1}{6\eta_2}}$$

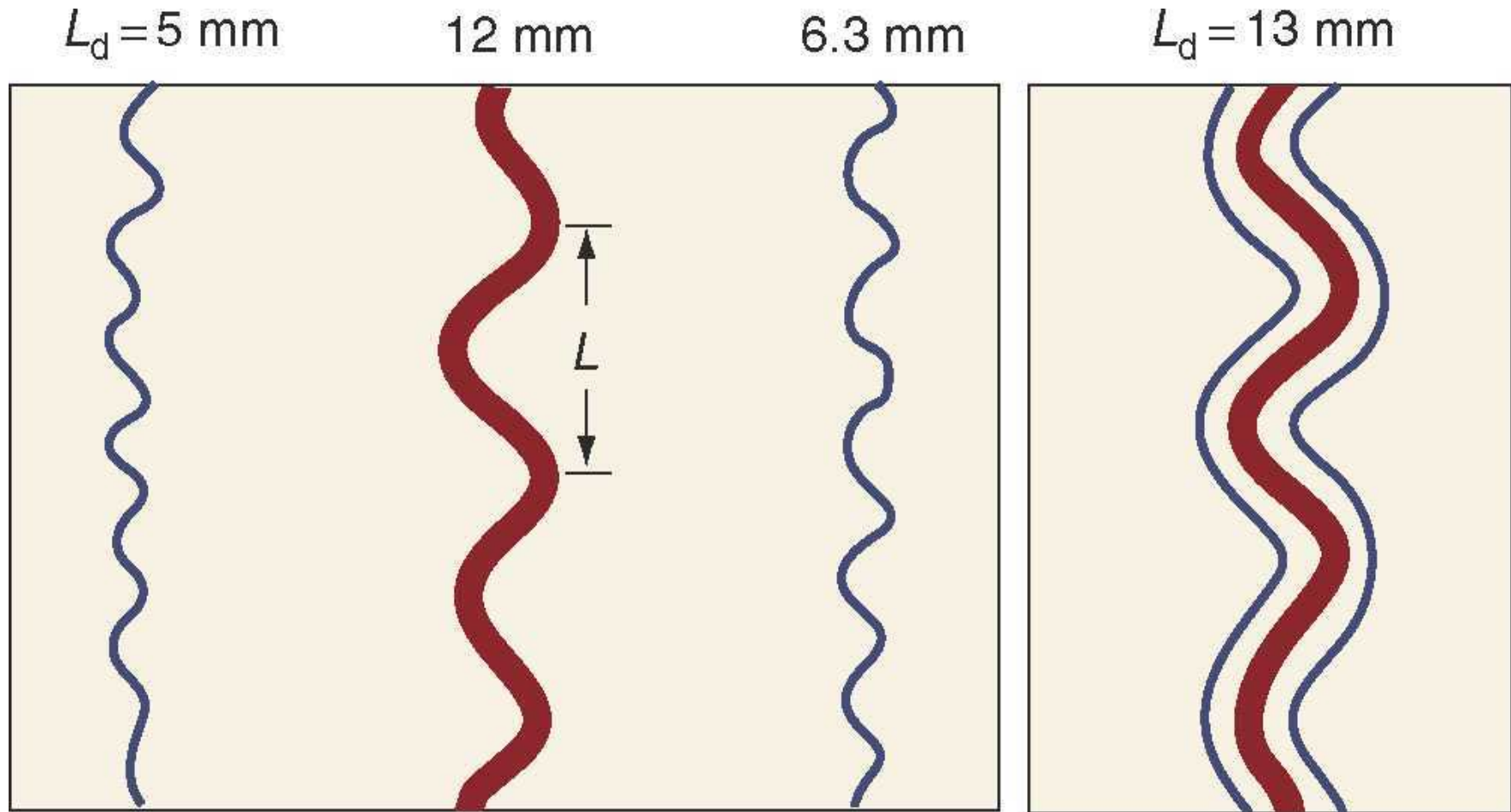
$L_d$  = dominante Länge  
 $N$  = Anzahl Schichten  
 $\eta$  = Viskositätskoeffizient (Pas)

kein Scherwiderstand  
zwischen Schichten

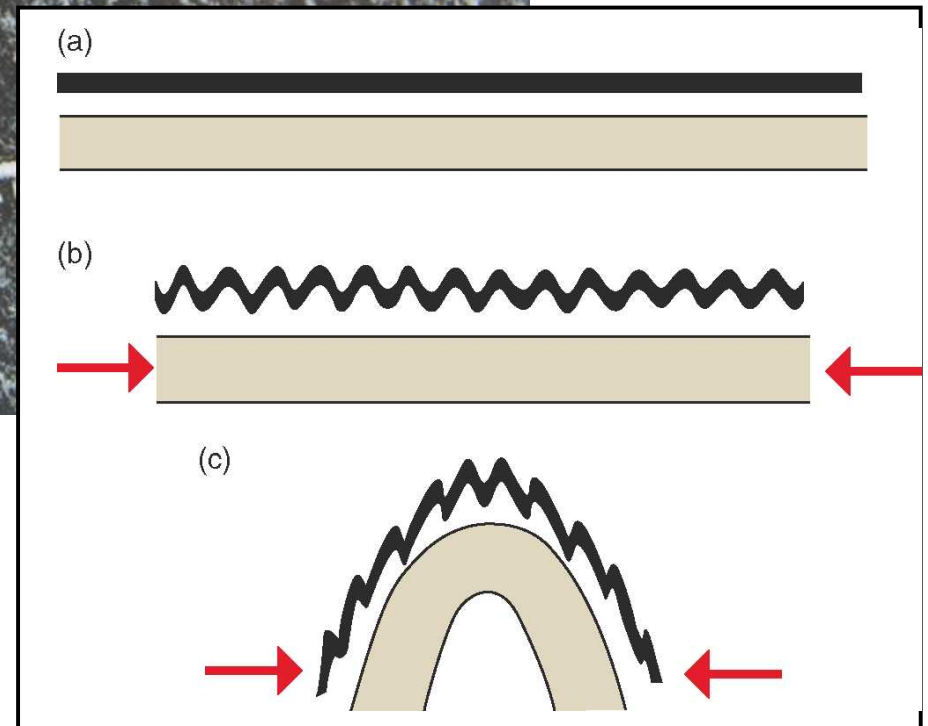
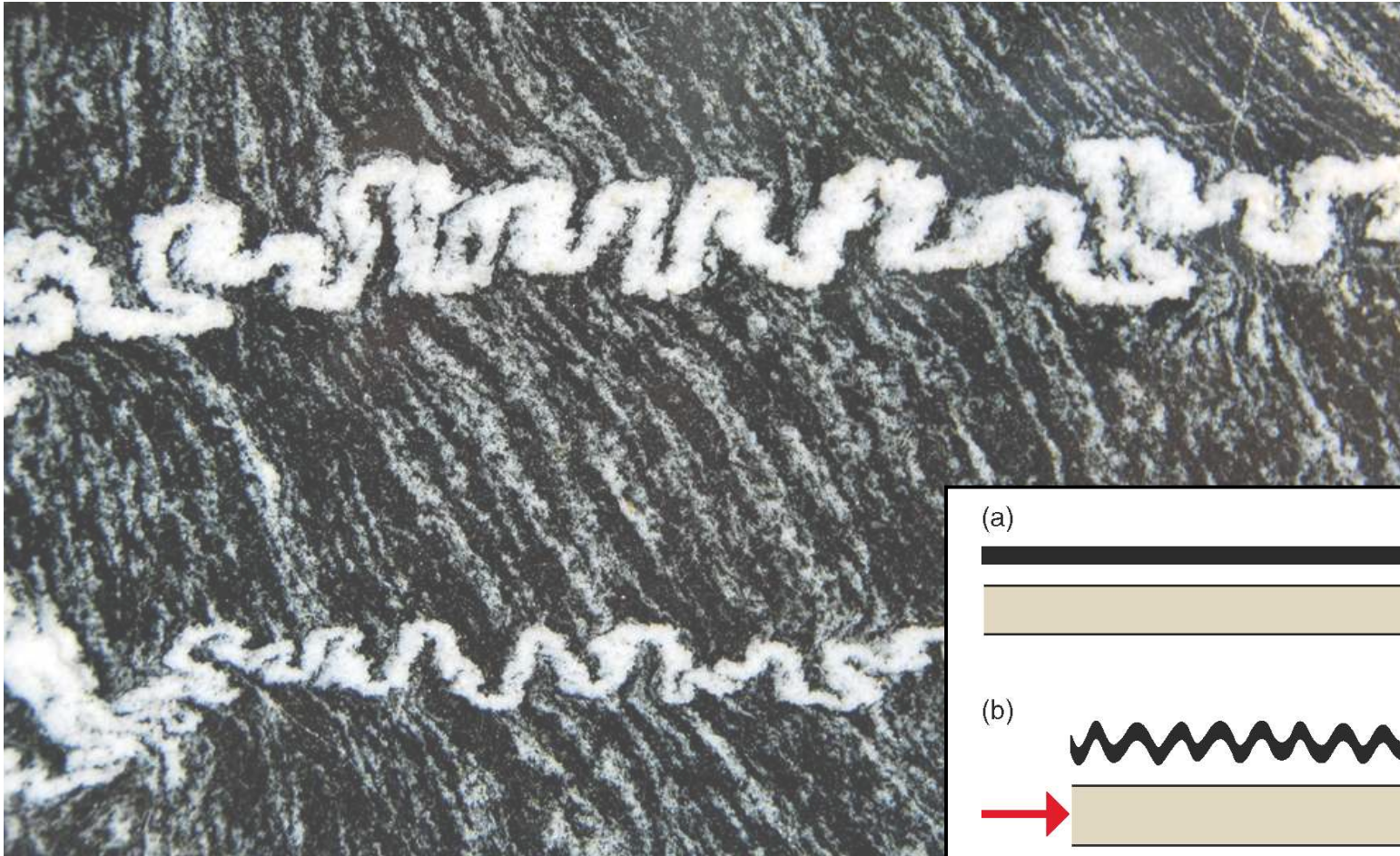
$$\frac{L_{\text{multi}}}{L_{\text{single}}} = \sqrt[3]{N^2}$$

Wellenlänge eines  
Schichtstapels ist grösser als  
einer einzelnen Schicht

# single layer - multilayer folding



# Parasitärdfalten

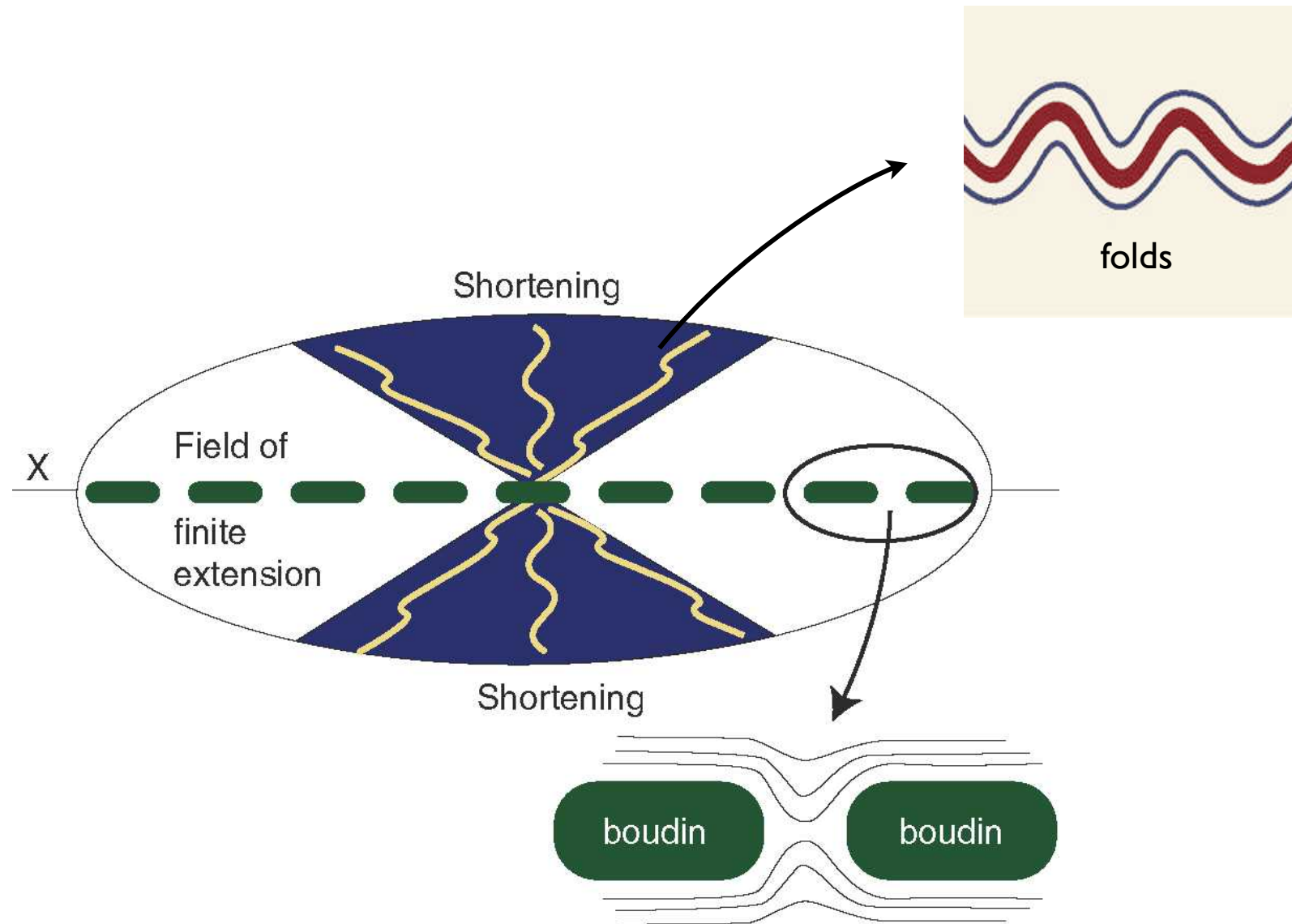


Fossen

**folds and strain**

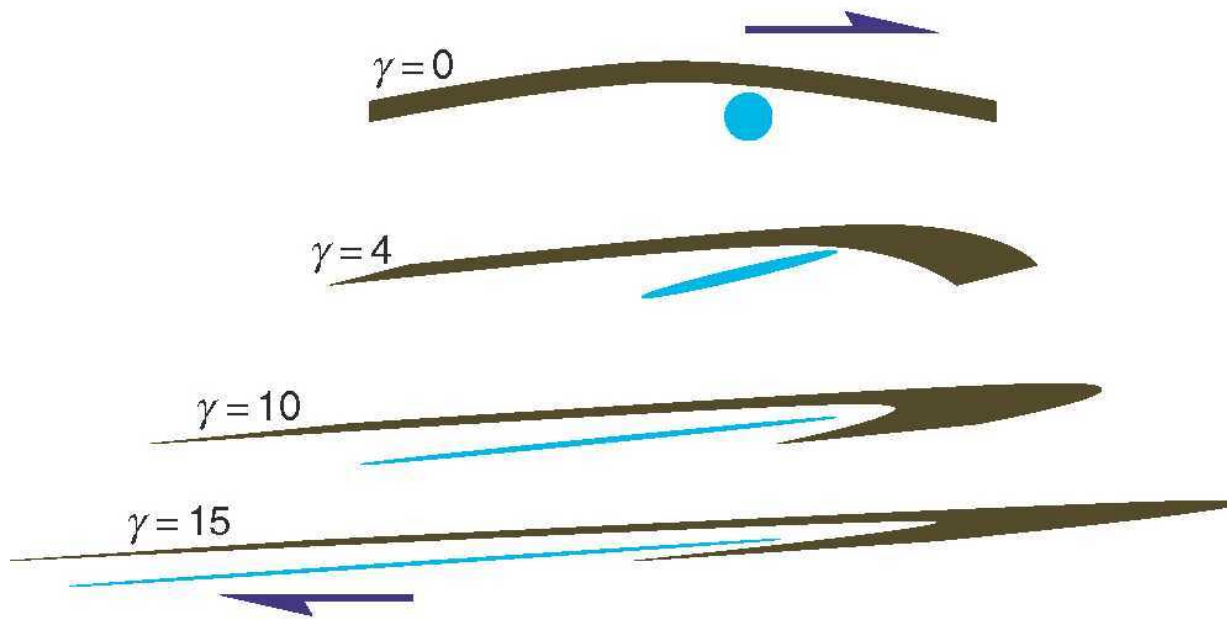


# Faltung (Boudinage) und Verformung



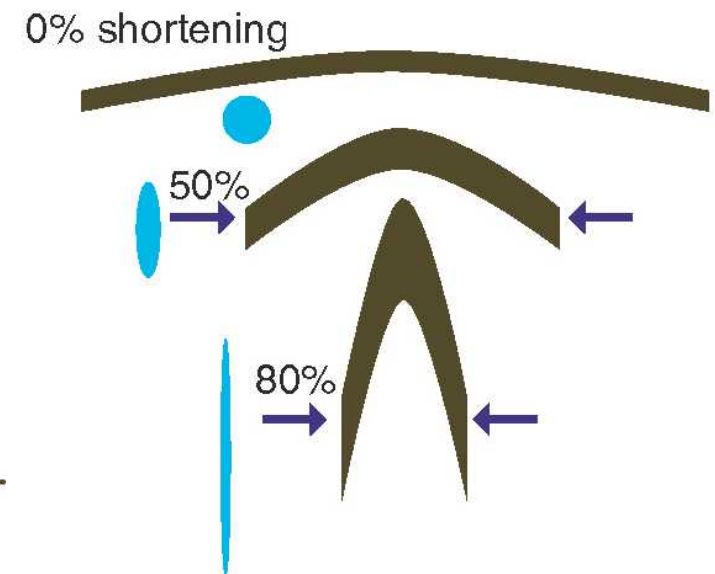
# Passive Faltung

einfache Scherung  
(simple shear)



Fossen

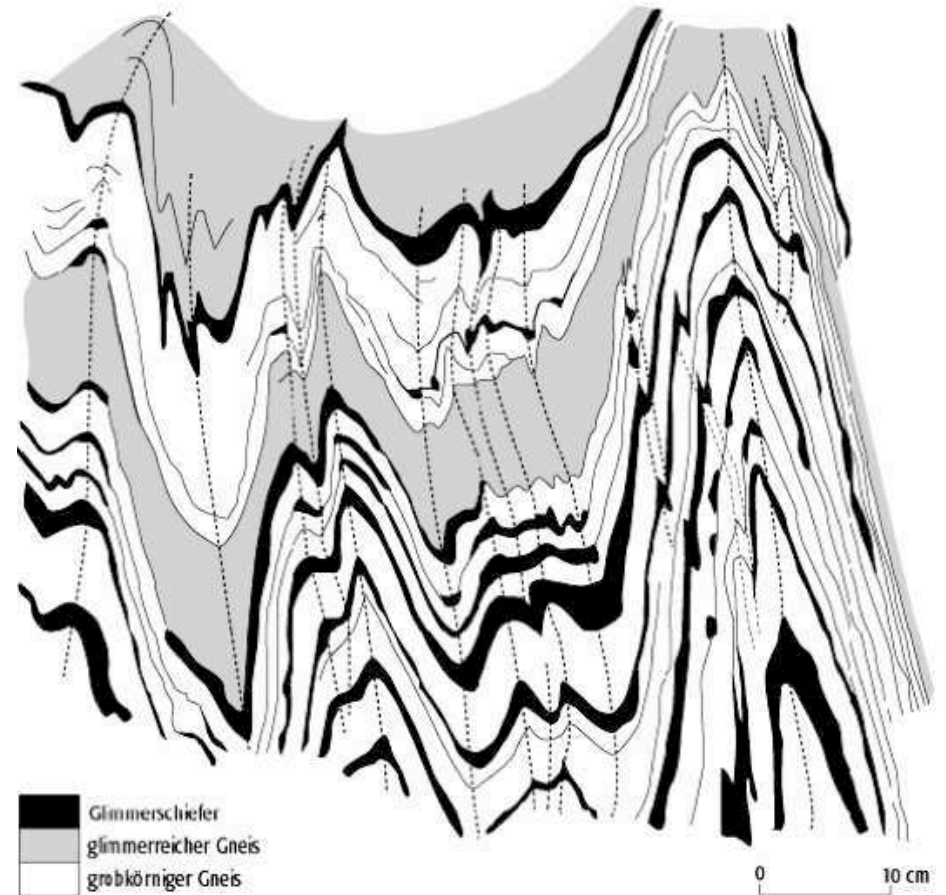
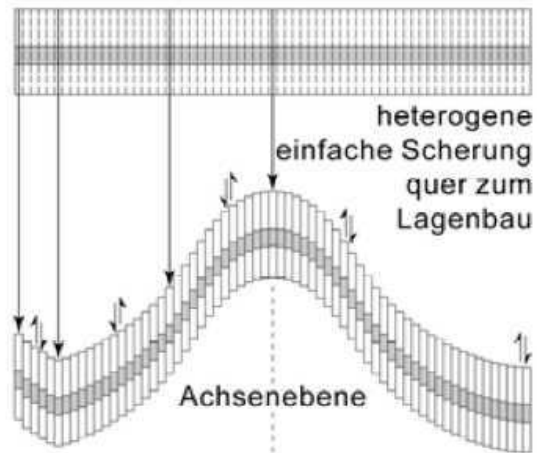
reine Scherung  
(pure shear)



# Passive Faltung

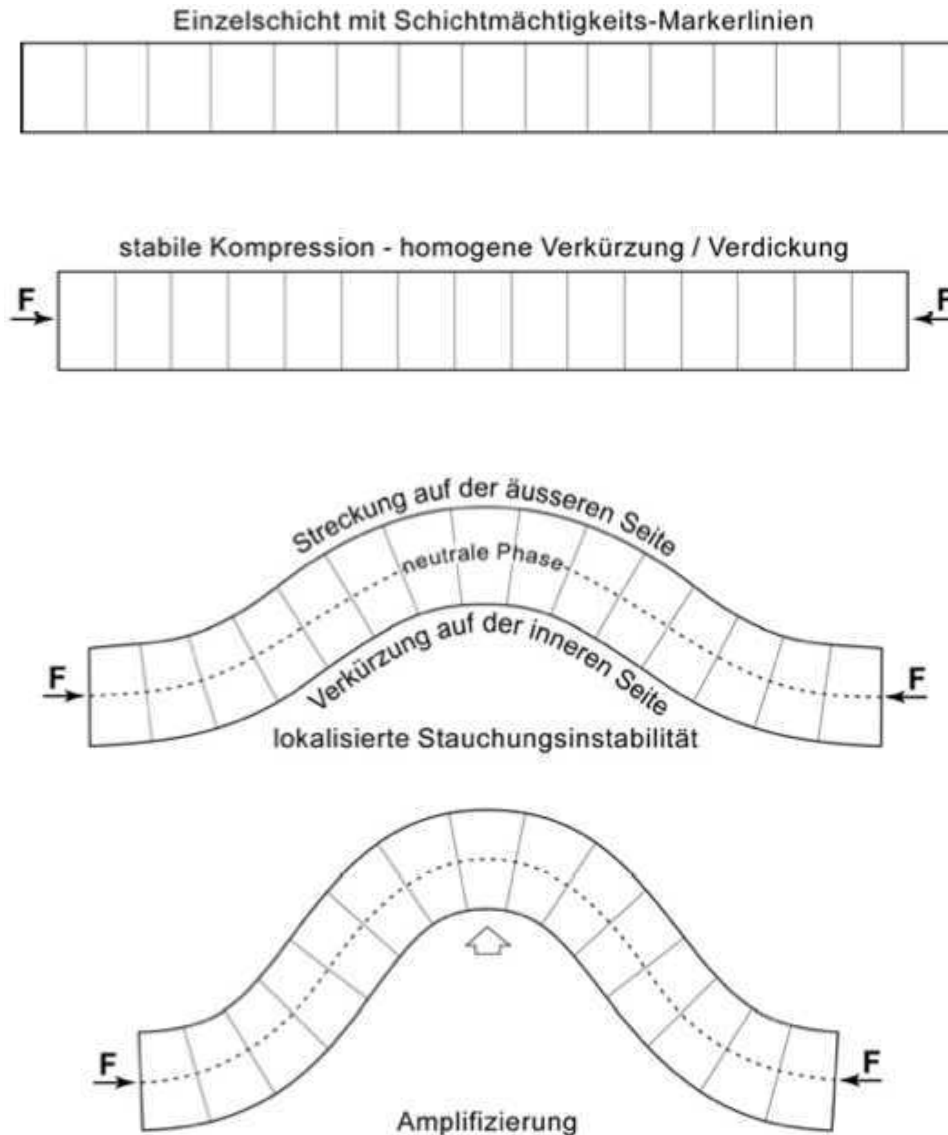
# passive shear folding

Scherfaltung



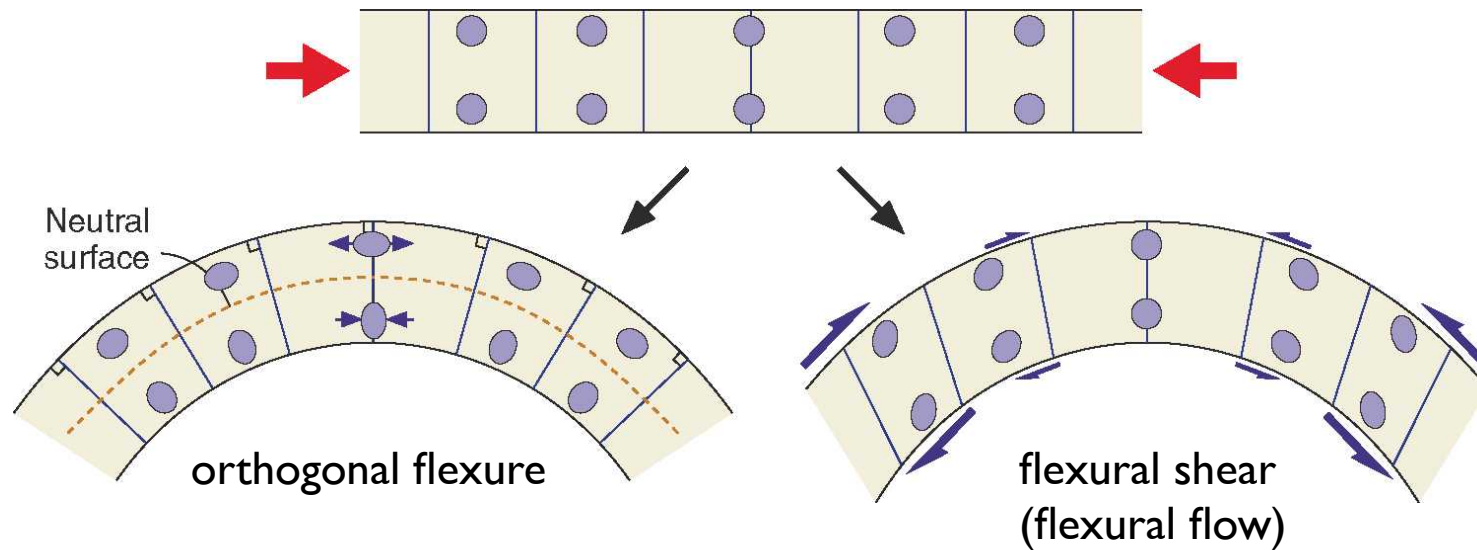
<http://www.geodz.com/deu/d/Scherfalte>

# Verformung bei Stauchfaltung





# Verformung bei Biegefaltung



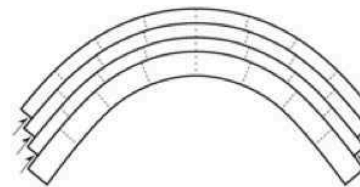
Fossen

Biegegleitung

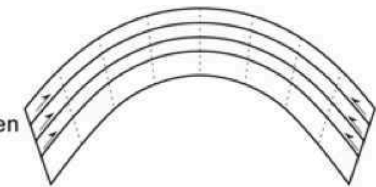
Biegescherung

diskrete Gleitflächen

Scherdeformation im Korngrößenbereich



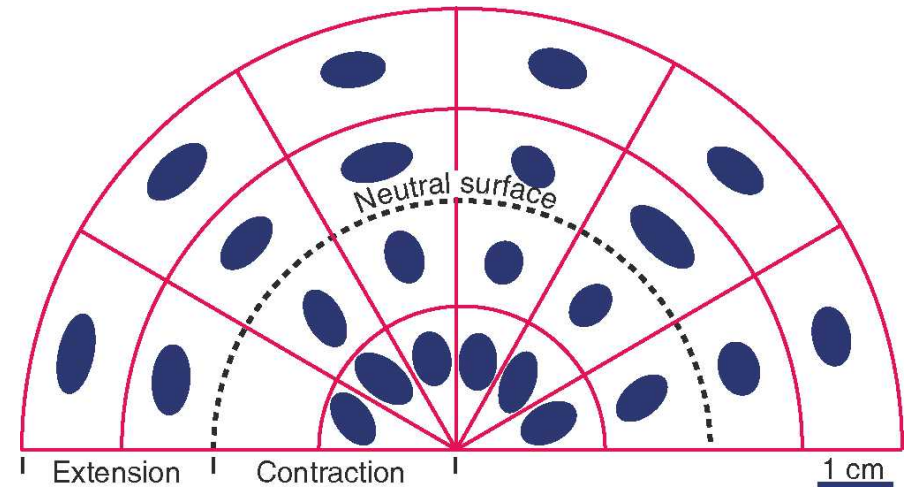
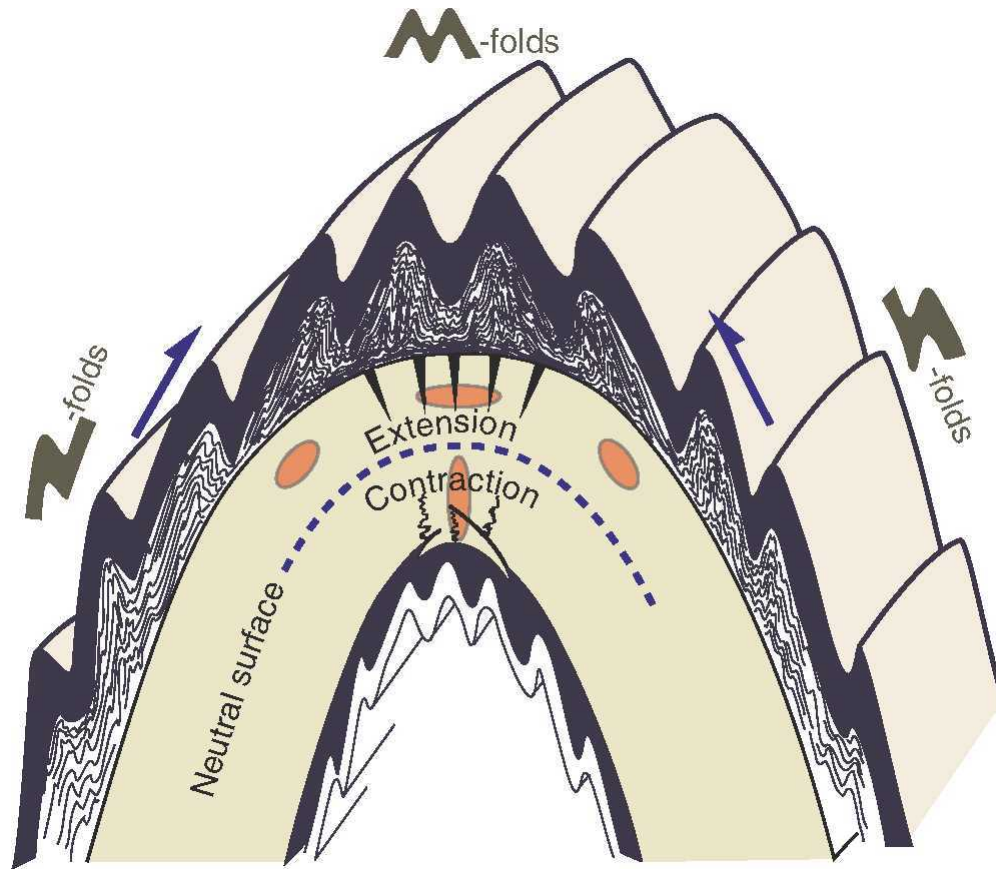
Scherverschiebungen



Schichtdicke bleibt erhalten

# Verformung bei Biegefaltung

orthogonal flexure

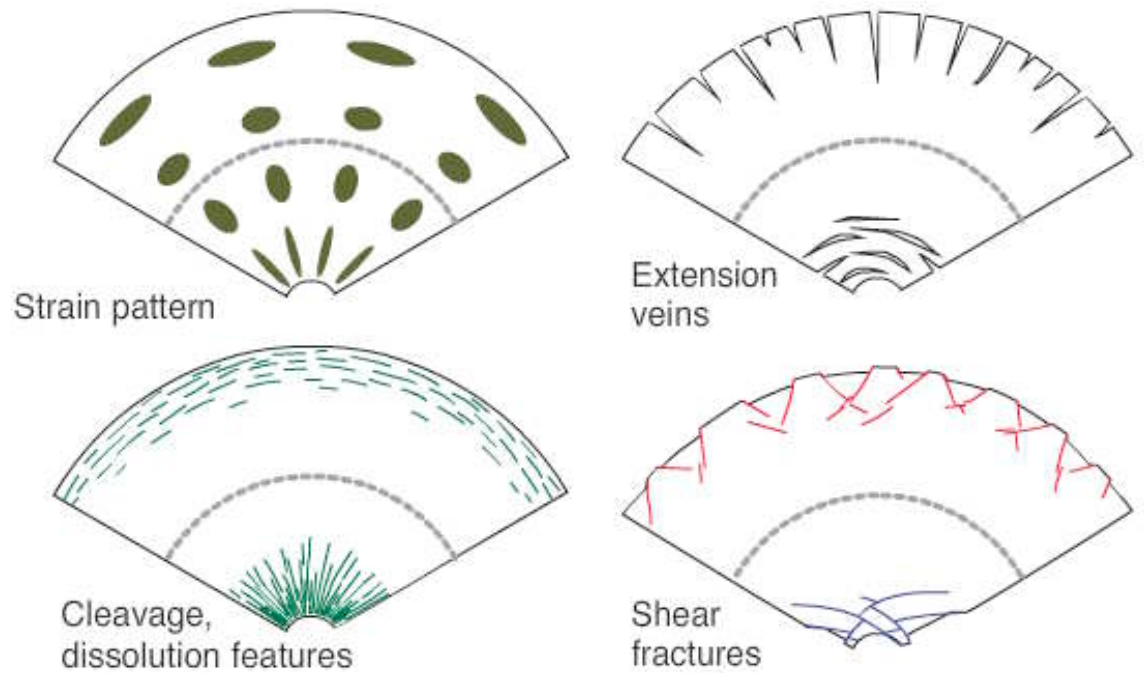


Fossen

# Verformung bei Biegefaltung



orthogonal flexure





# Verformungsmessung in passiver Falte

Voraussetzungen:

Start = bestehende Falte vom Typ IB (konzentrisch & parallel)

Nach der Faltenbildung homogene Verformung (passive Faltung)

progressive Plättung der ganzen Falte

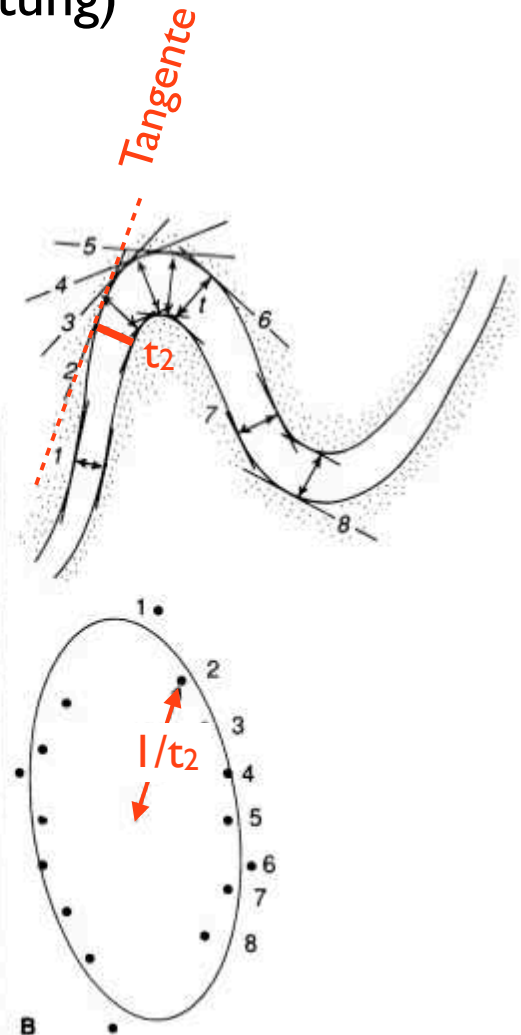
Schenkel verdünnt

Scharnier verdickt

Vorgehen:

1. orthogonale Mächtigkeit,  $t$ , senkrecht zur Tangente messen
2. inverse Mächtigkeit,  $l/t$ , parallel zu Tangente um Nullpunkt eintragen

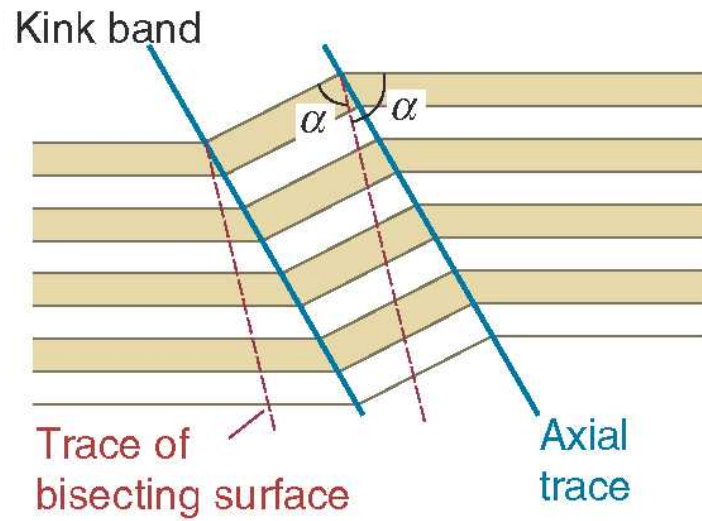
nach Lisle (1992)  
*Geology* 14, 369-371



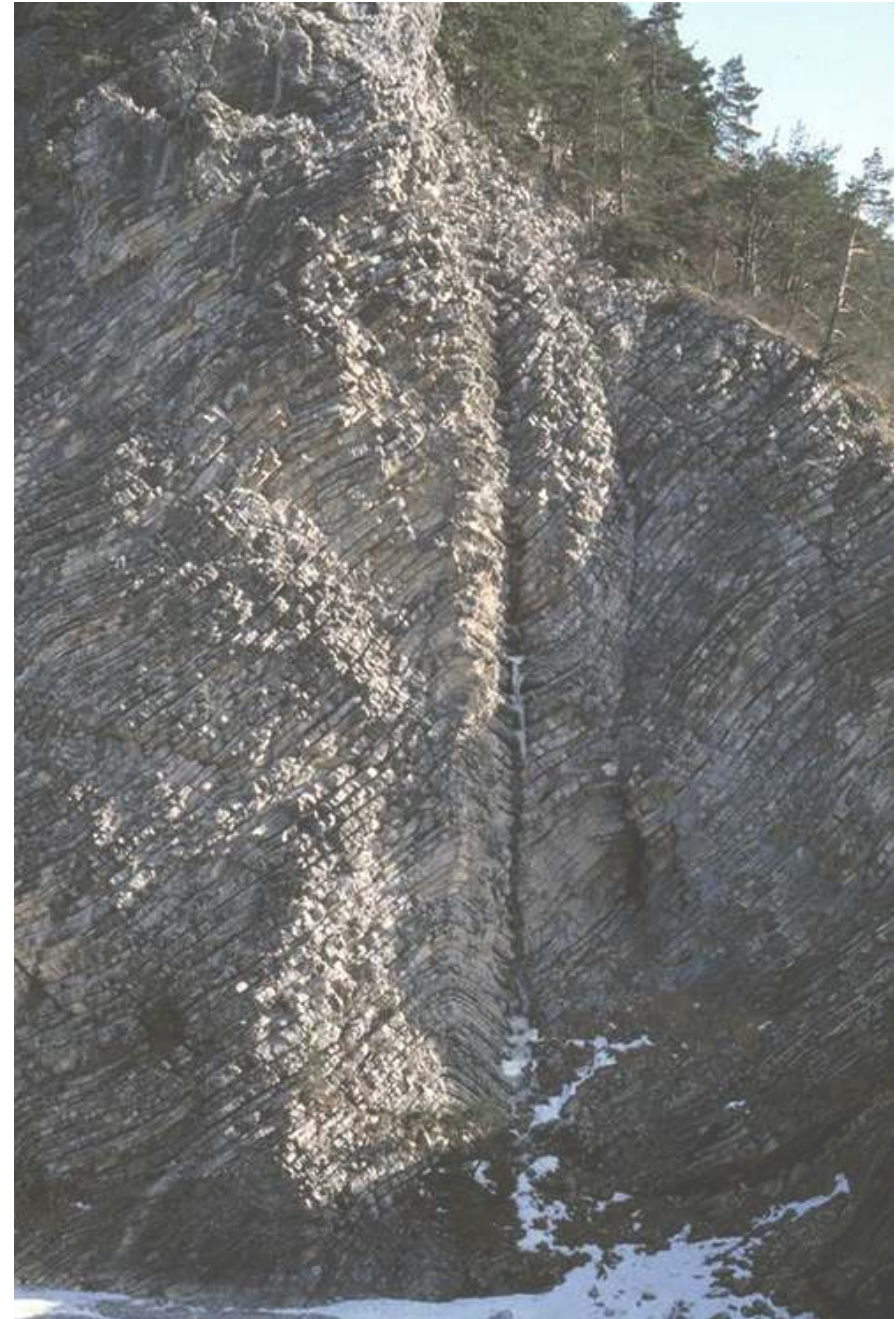
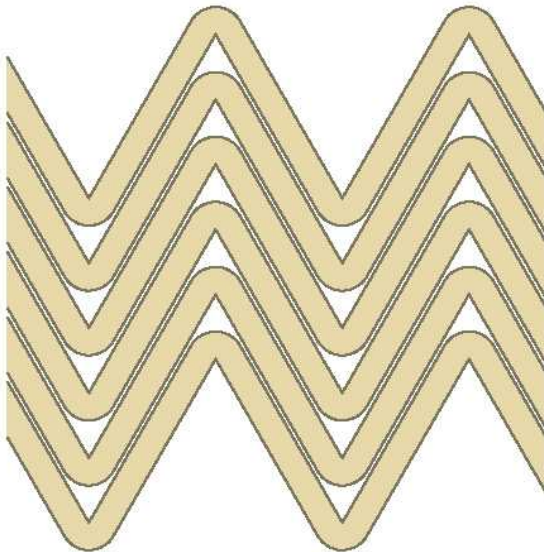


**Knickfalten**

# Knickfalten



Chevron folds

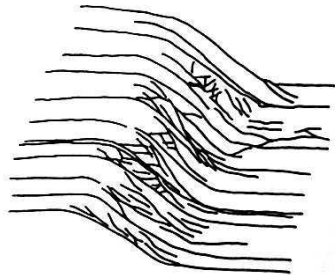
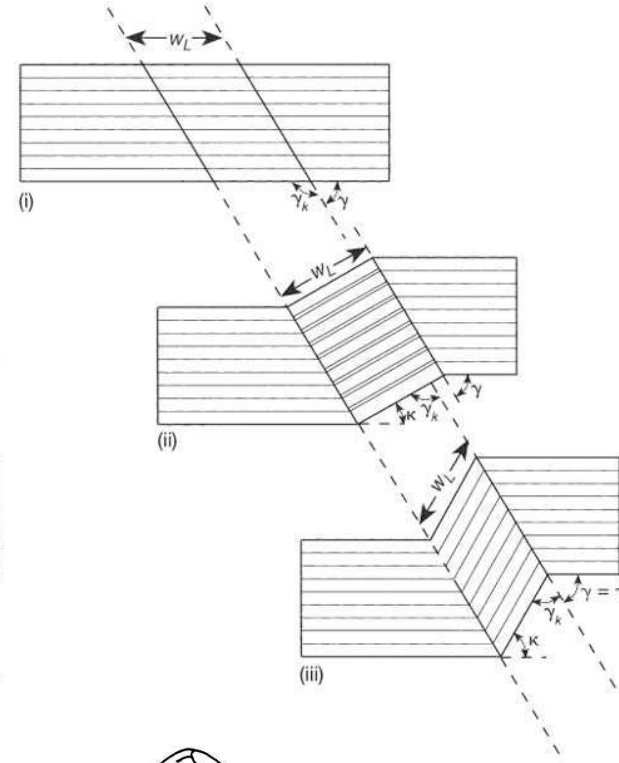
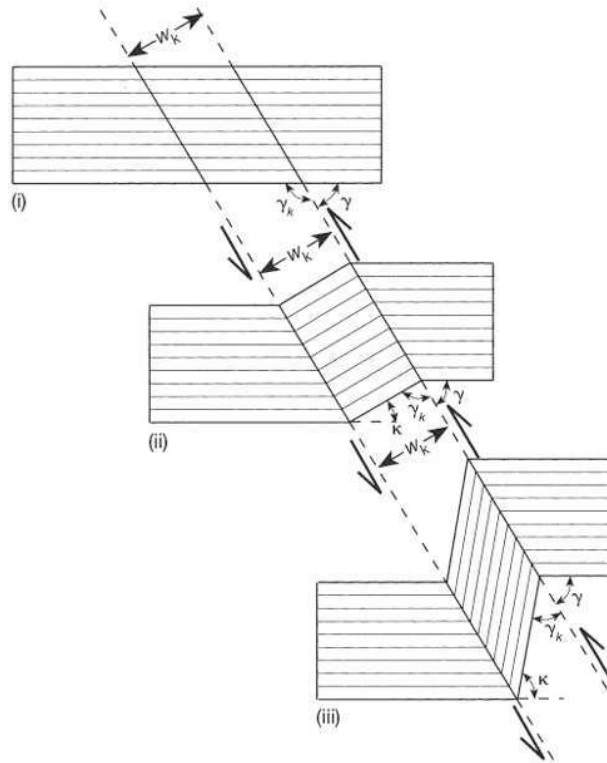


# Knickfalten

shear kinkband

rotation kinkband

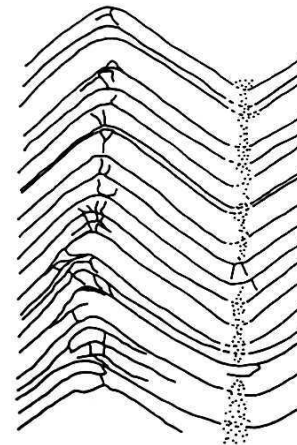
Twiss & Moores



Panozzo PhD TAMU



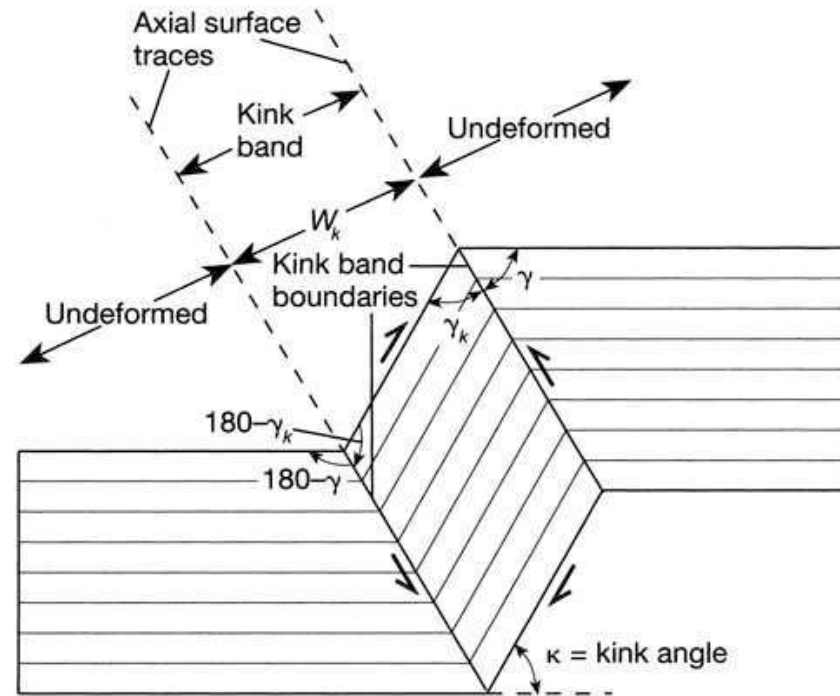
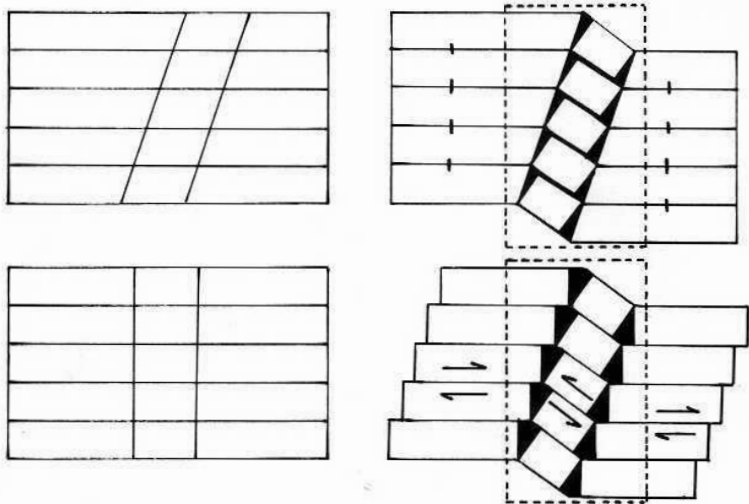
2 m





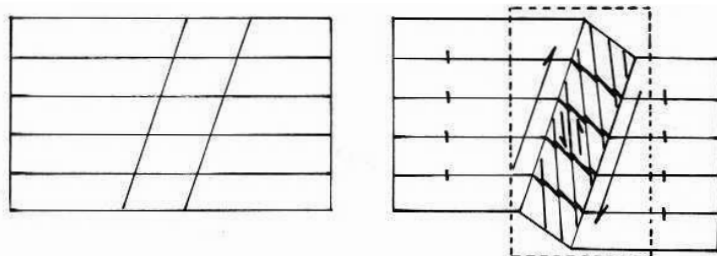
# kinkband development

## rotation kinkband



locking angle

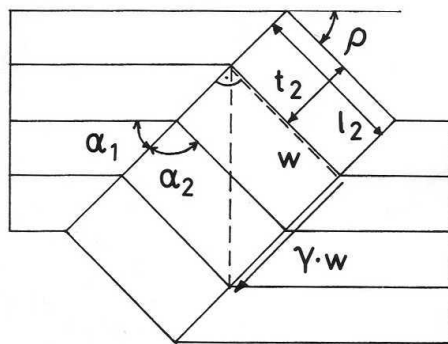
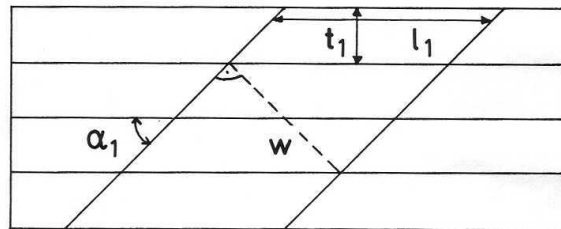
## shear kinkband





# volume change in kinkbands

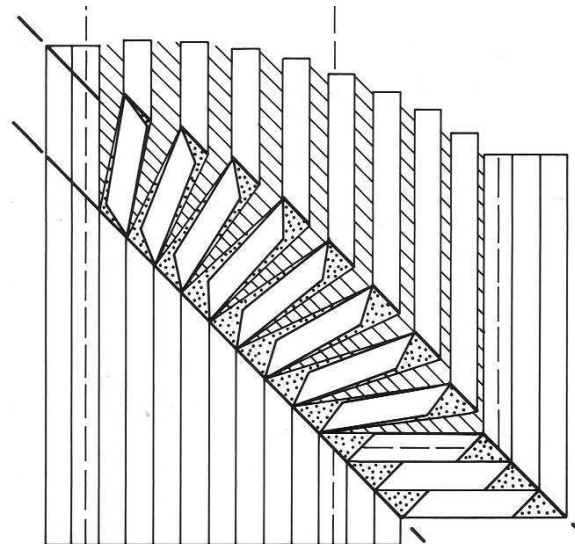
shear kinkband



SKB

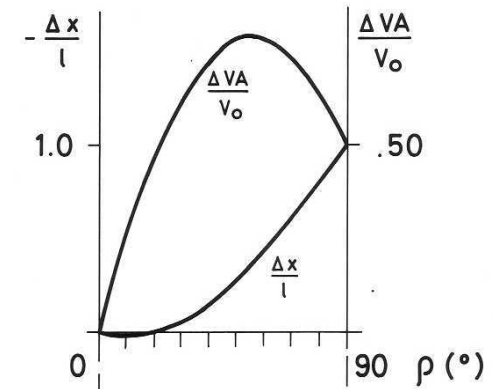
no volume change  
no locking angle

rotation kinkband



RKB

volume change  
locking angle



**7**

# 7 Mikrostrukturen - Deformationsmechanismen

- VL-Themen:
- Mikrostrukturen statische-dynamische
  - Versetzungen Dislokationen
  - Rekristallisation und recovery
  
  - Deformationsmechanismen
  - mikromechanische Modelle
  - Fließgesetze
  - deformation mechanism maps
  
  - Gleitsysteme
  - Kristallographische Einregelung CPO

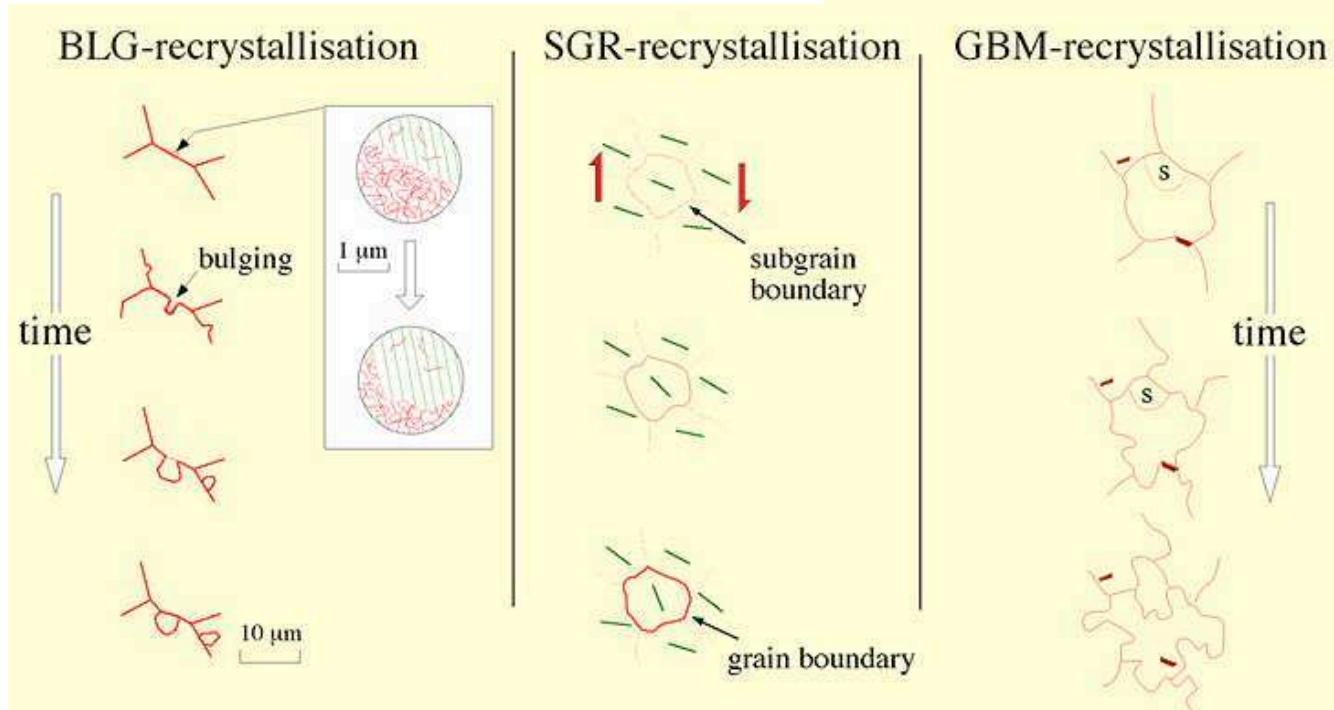
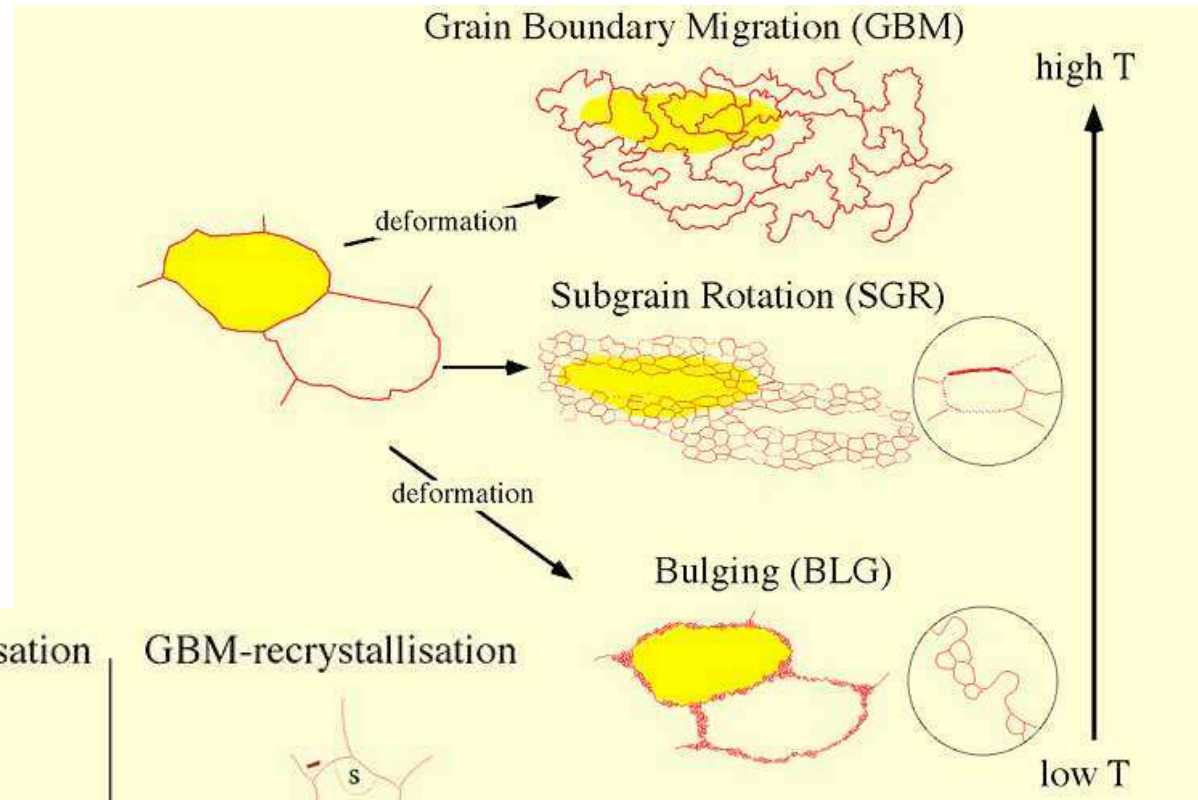
**microstructures**

**dynamic**

**static**



# dynamic recrystallization



# dynamic recrystallization

*M. Stipp et al. / Journal of Structural Geology 24 (2002) 1861–1884*

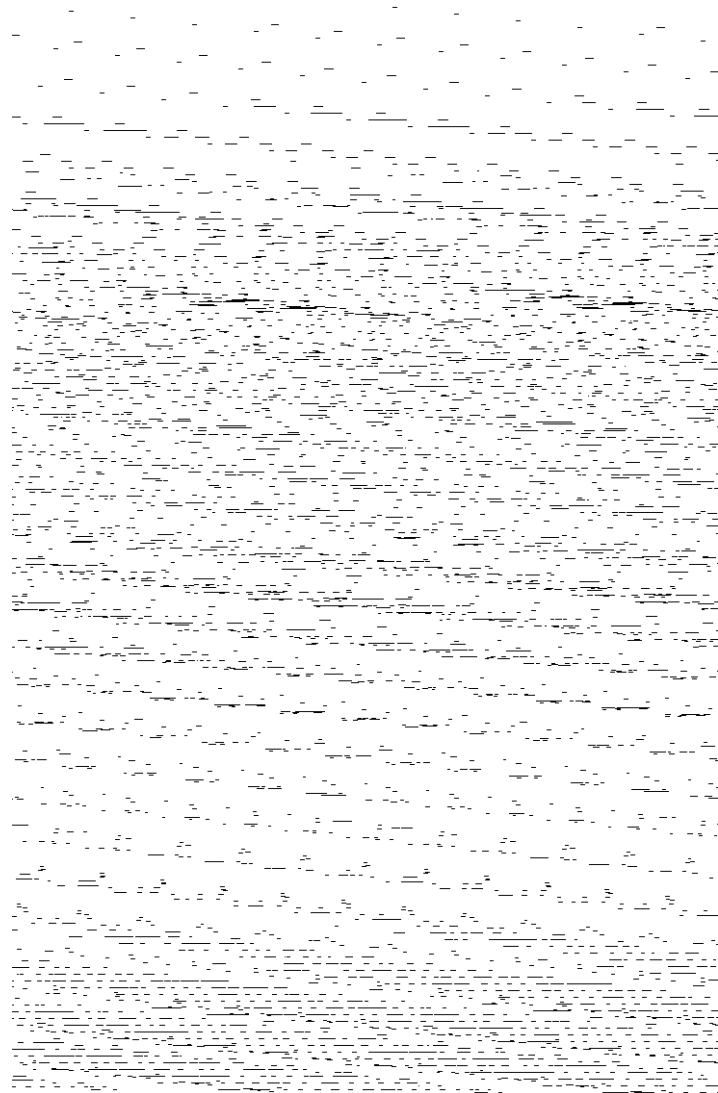


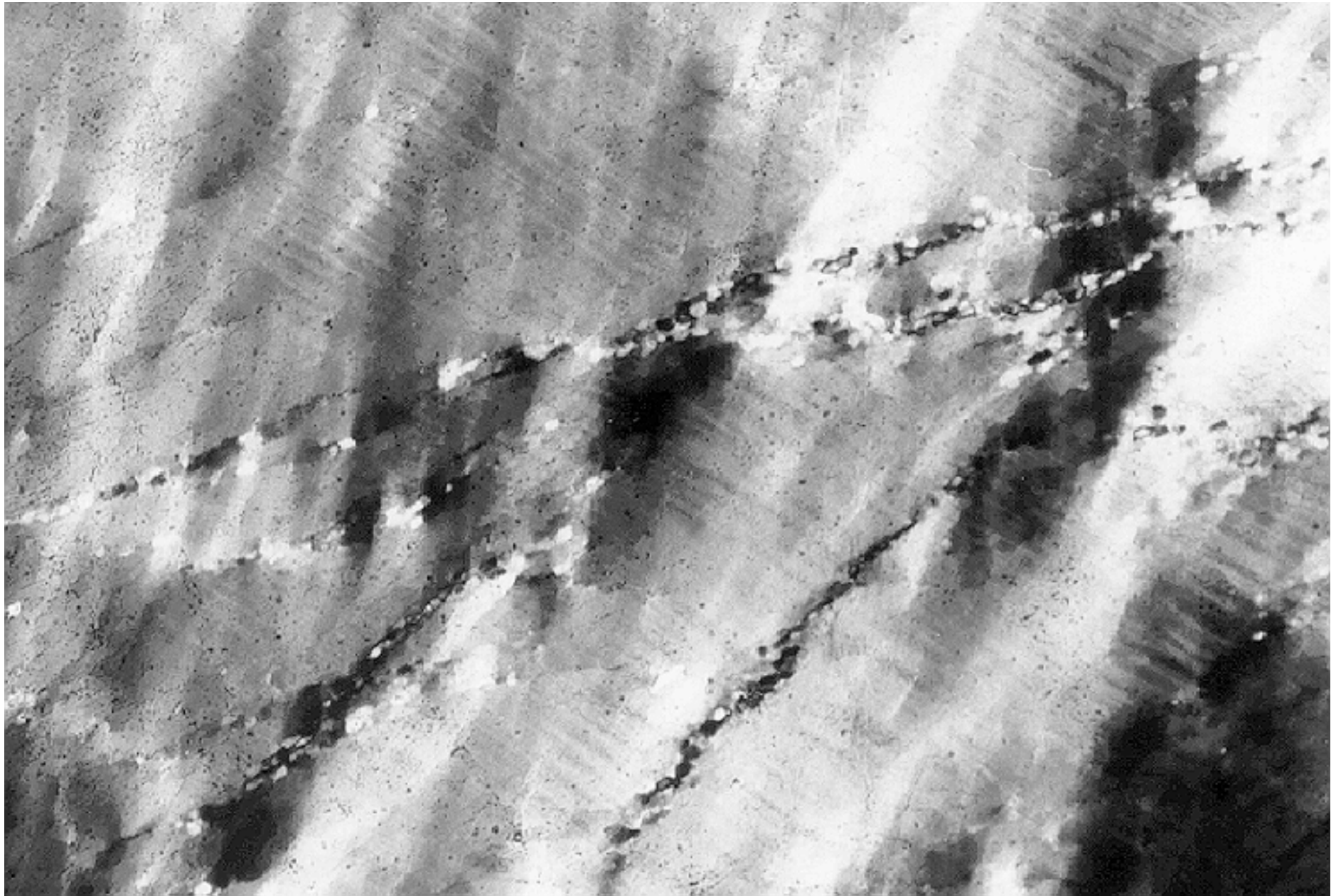
Fig. 1. Characteristic microstructures of the three dynamic recrystallization mechanisms of quartz shown at the same relative scale.

(a) Bulging recrystallization (low T): bulges and recrystallized grains are present along grain boundaries and to a lesser extent along microcracks.

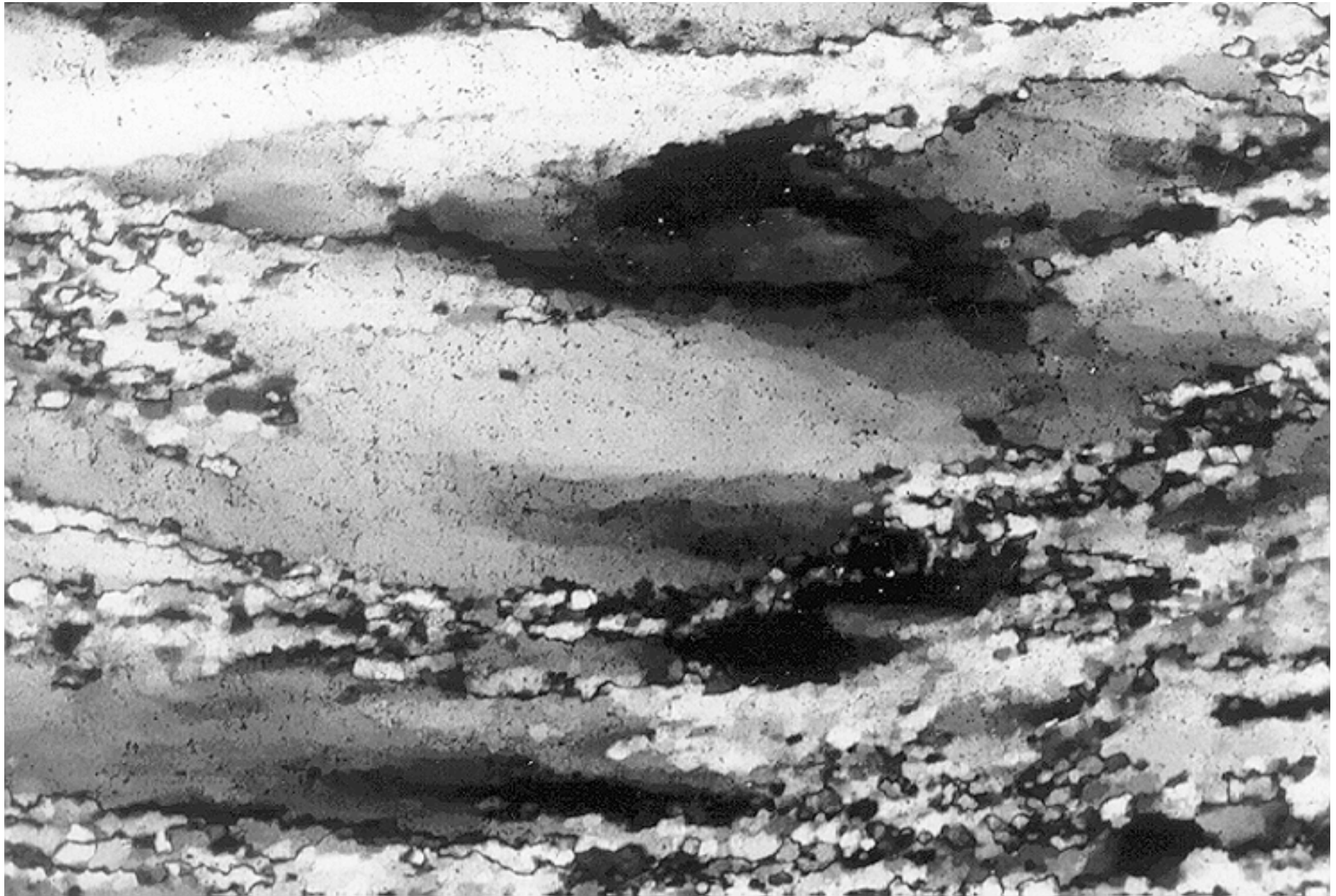
(b) Subgrain rotation recrystallization (intermediate T): core and mantle structures of porphyroclastic ribbon grains and recrystallized subgrains. Polygonization by progressive subgrain rotation can completely consume the ribbon grains.

(c) Grain boundary migration recrystallization (high T): irregular grain shapes and grain sizes; grain boundaries consist of interfingering sutures.

# Bulging Recrystallization in Quartz



# Rotation Recrystallization in Quartz

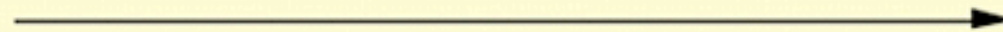




# Grain Boundary Migration Recrystallization



# static recrystallization - annealing

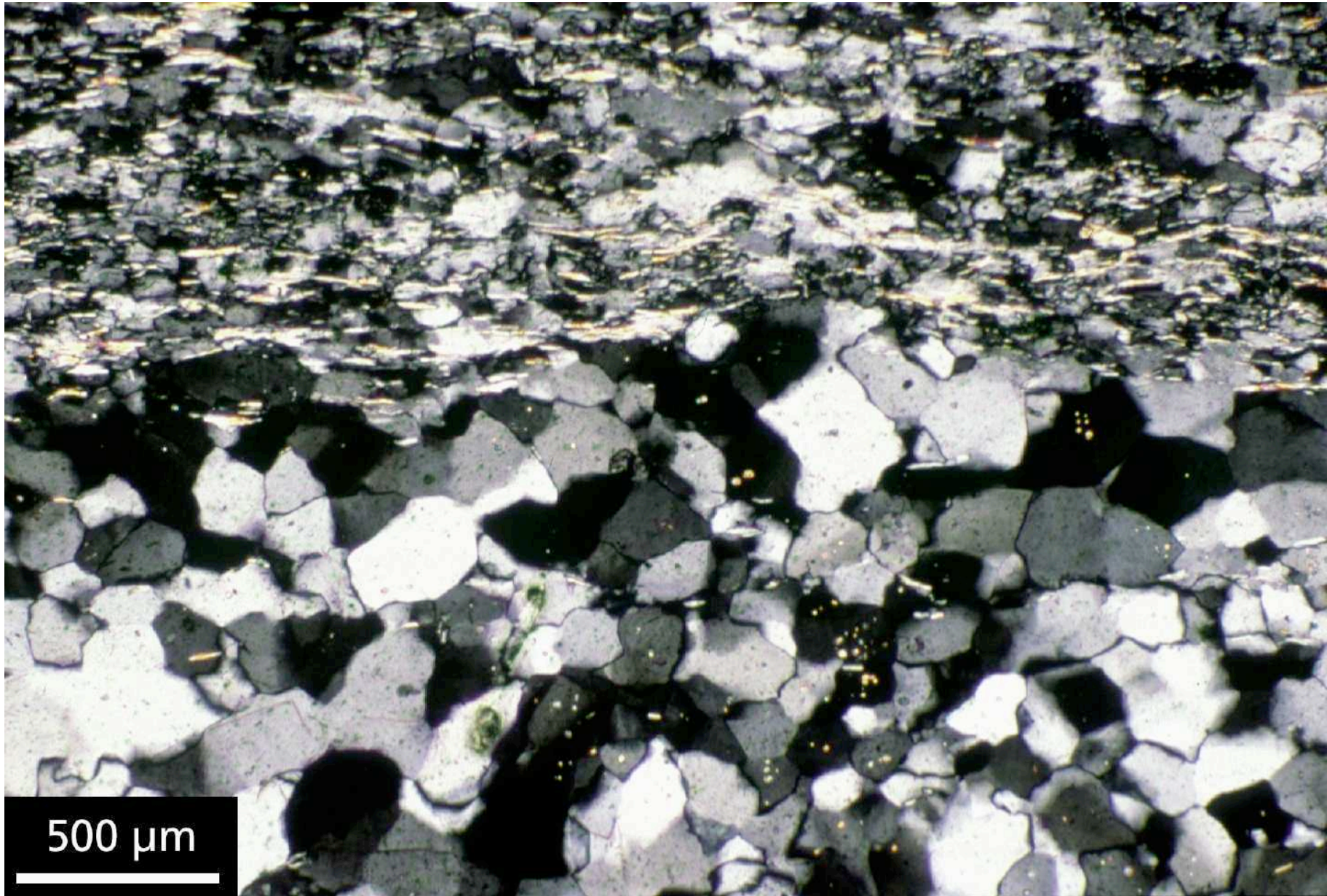


static recrystallisation

**Reduction of grain boundary area**



# Example of grain growth due to annealing

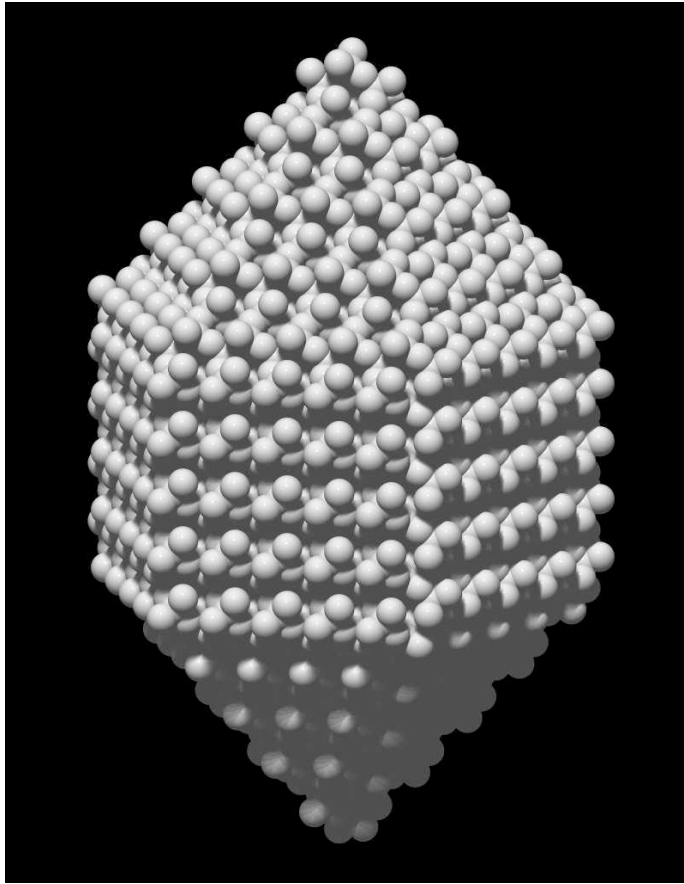


Versetzungen  
Burgers vector  
edge dislocations  
screw dislocations

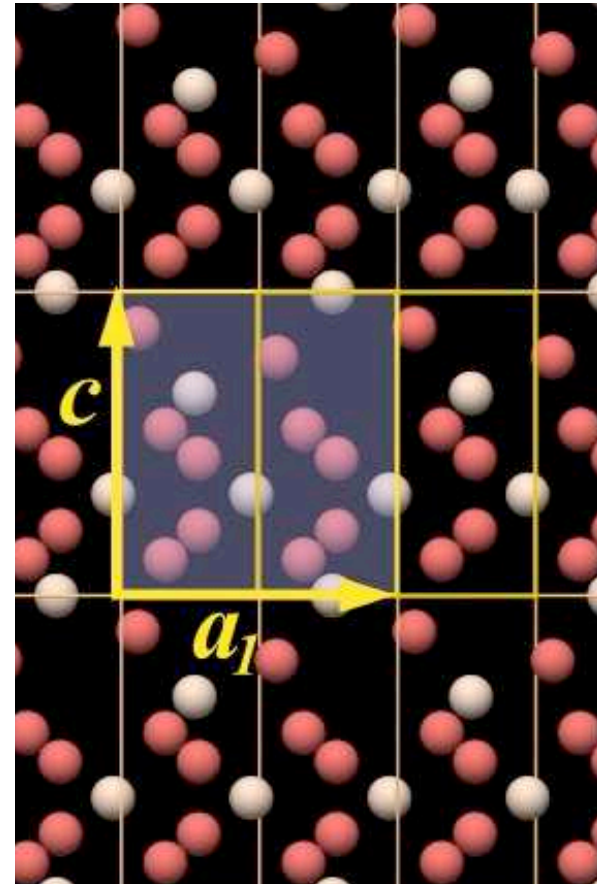


# Diskontinua

Kristalle  $\neq$  Kontinuumsmechanik

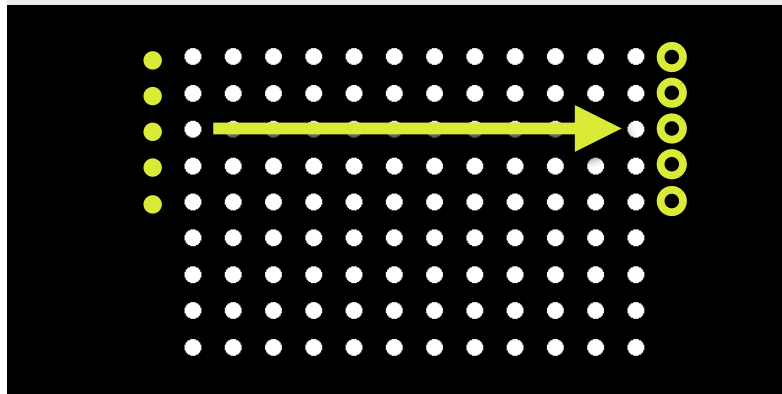


Quarz

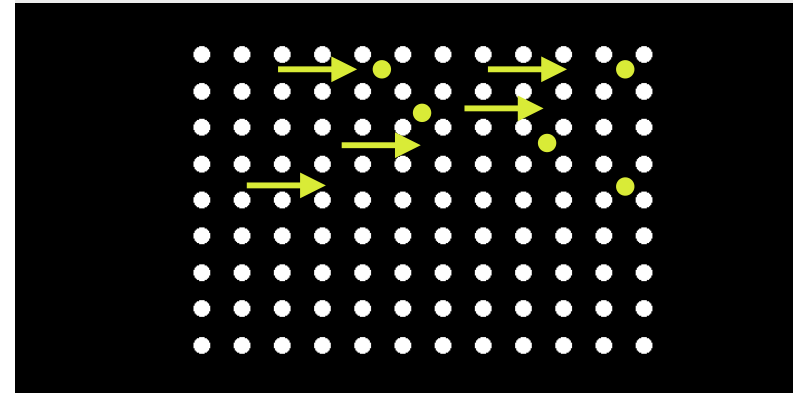


# diffusion

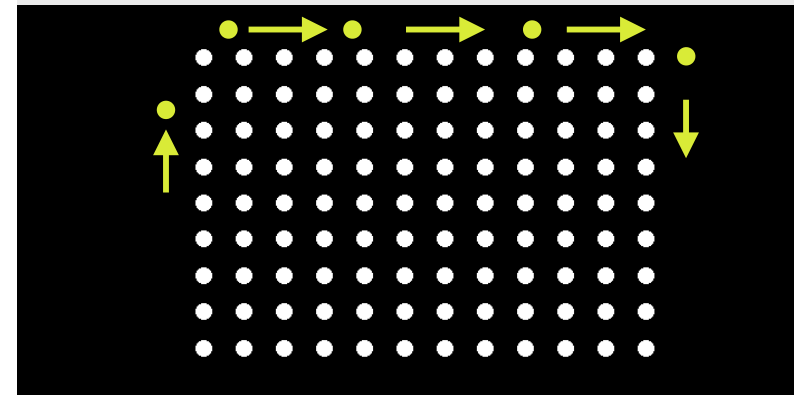
wie verformt man Kristalle ?



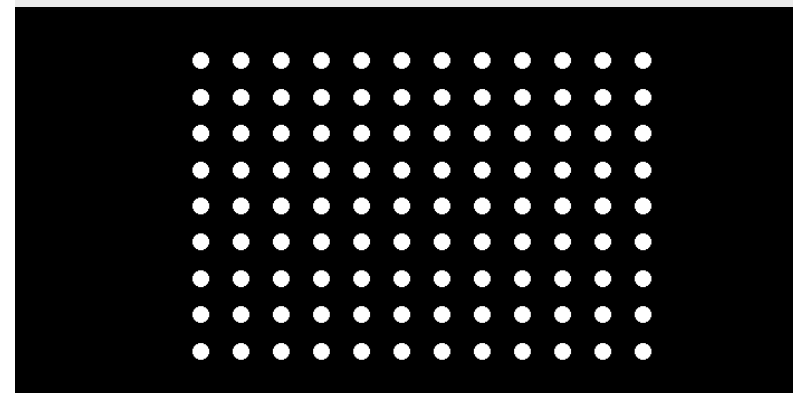
volume diffusion



grain boundary diffusion

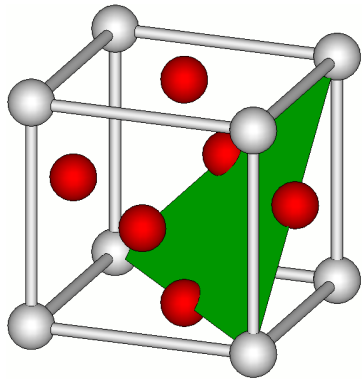


dislocation glide

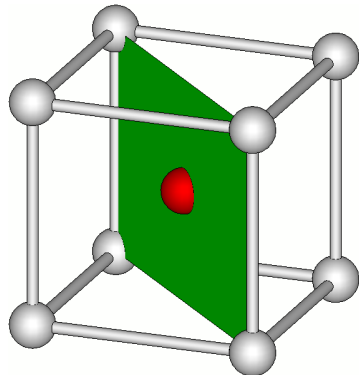


# Gleitsysteme

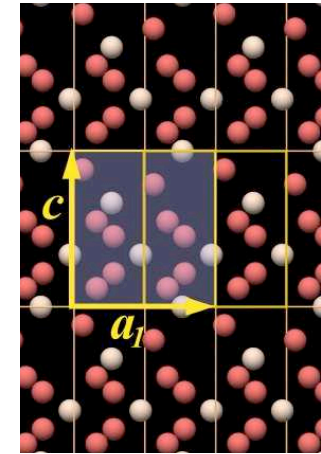
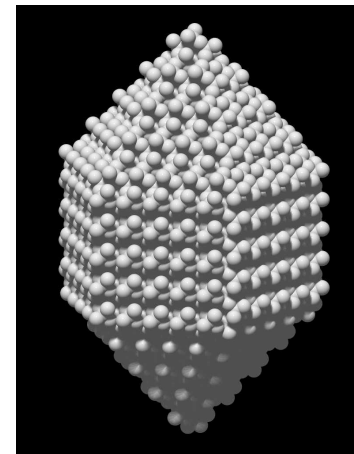
{111}-Gleitebene in einem kubisch- flächenzentrierten Gitter



{110}-Gleitebene in einem kubisch- raumzentrierten Gitter

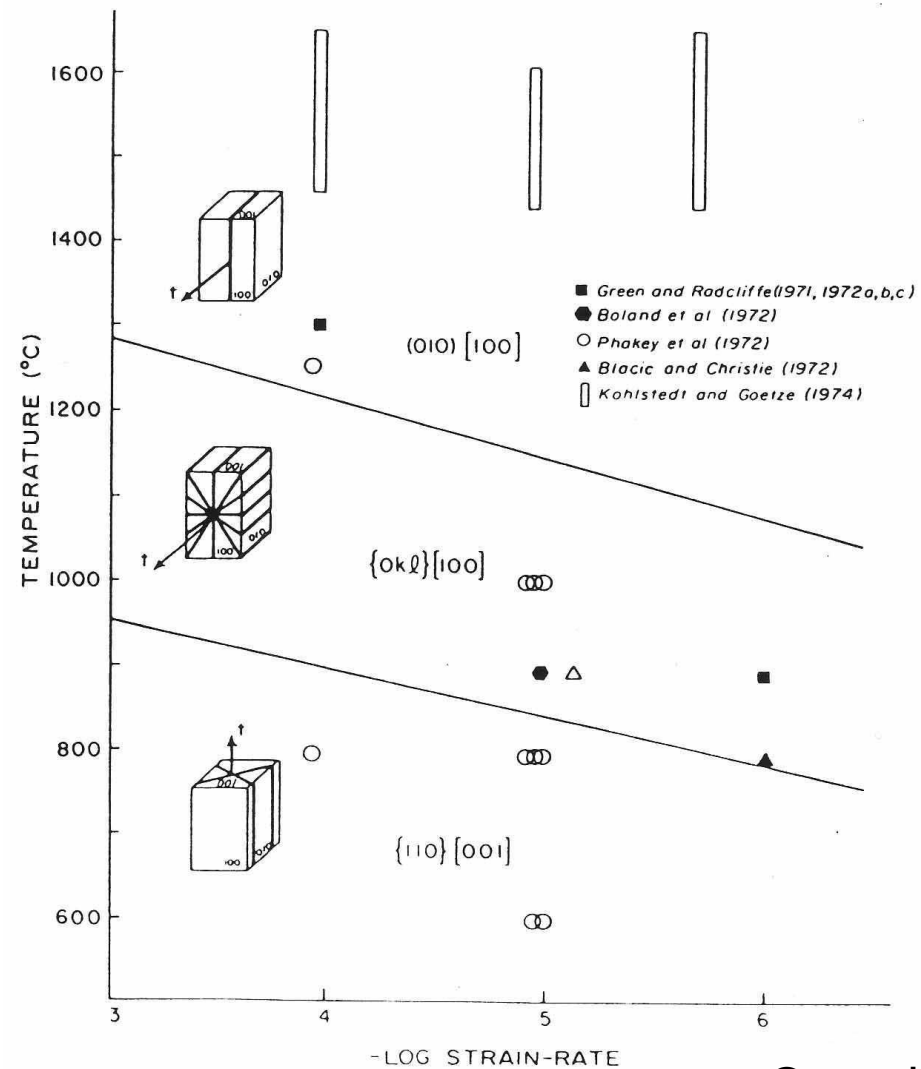
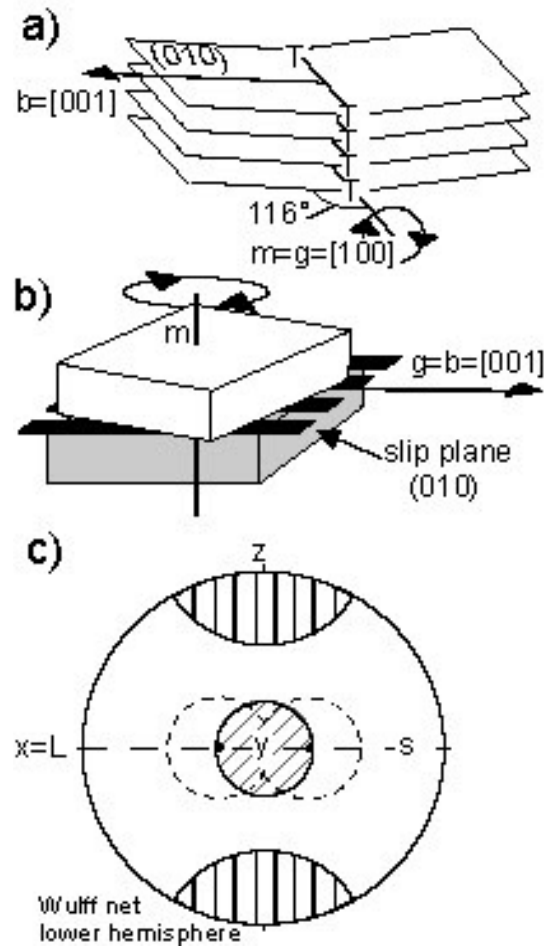


Quarz



Kristallstruktur	Gleitebene	Gleitrichtung	
kfz	{111}	<110>	
krz	{110}	<111>	
	{112}	<111>	
	{123}	<111>	
hex	{0001}	<1120>	basal <a>
	{1010}	<1120>	prism <a>
	{1011}	<1120>	rhomb <a>
	{1010}	<0001>	prism <c>

# Gleitsysteme

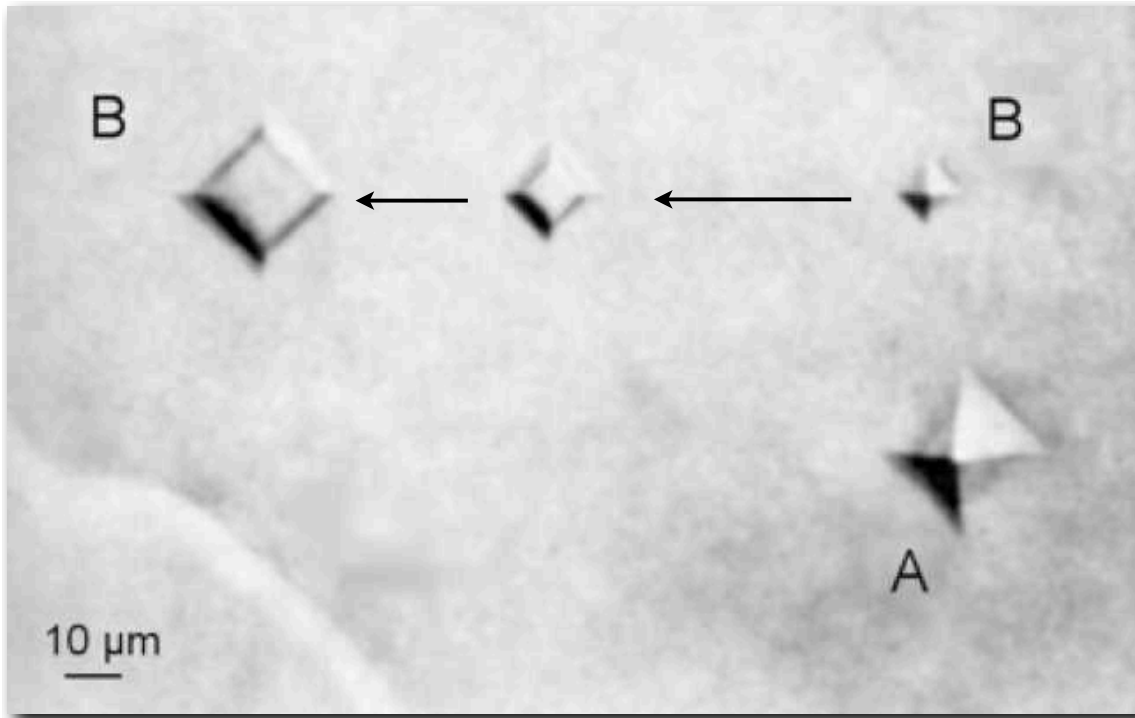


Green 1974

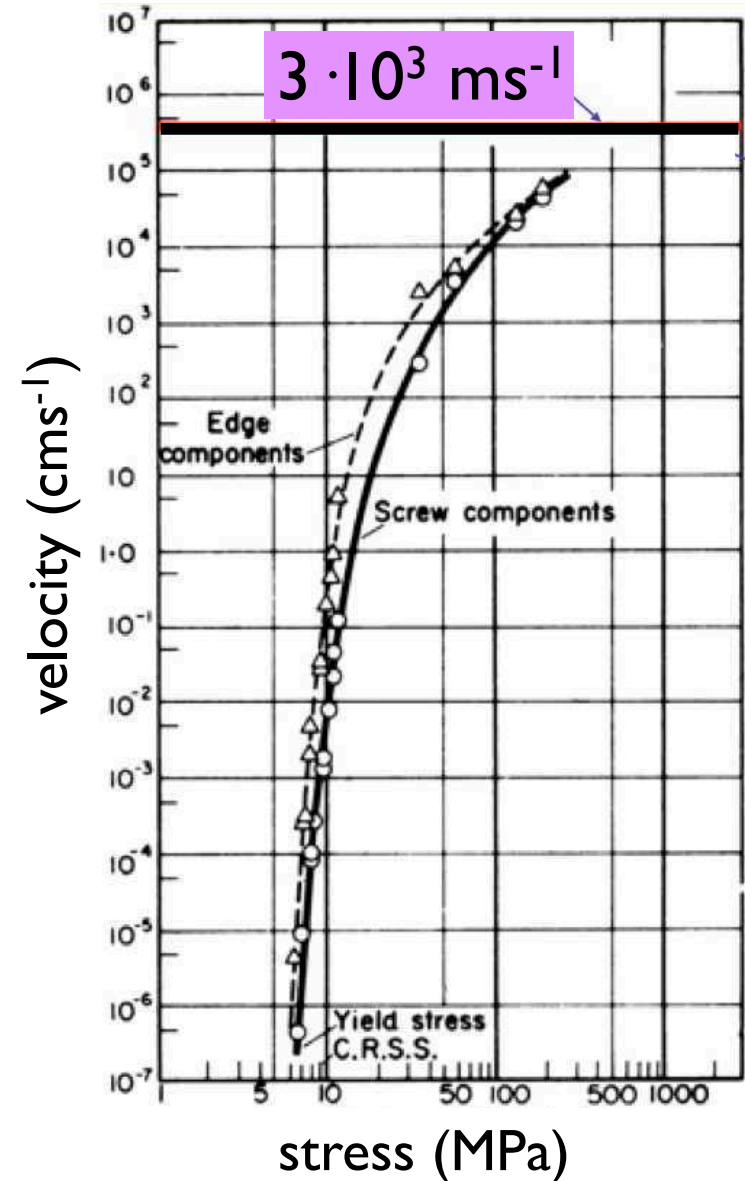


# Versetzungen - dislocations

shear wave velocity



Ätzgrube = Dislokation



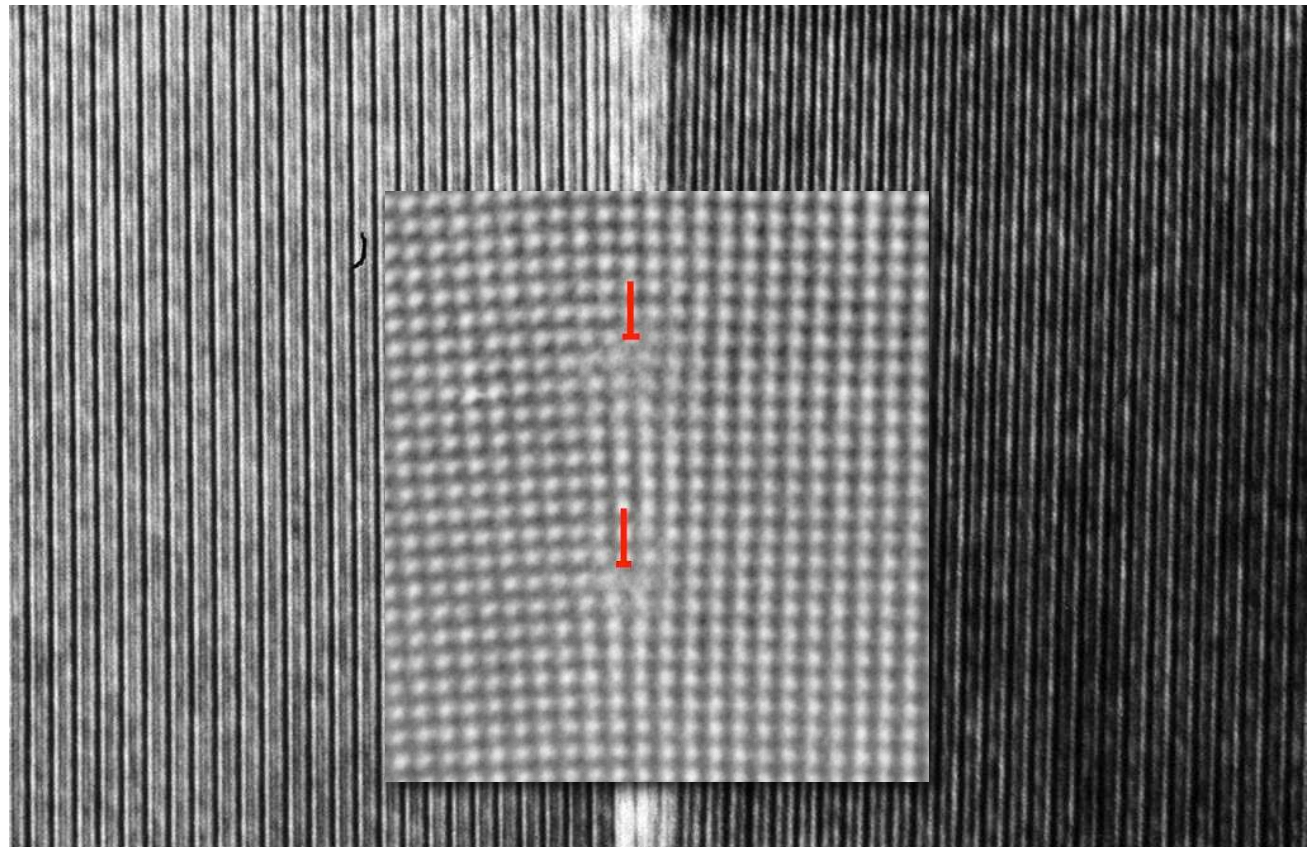
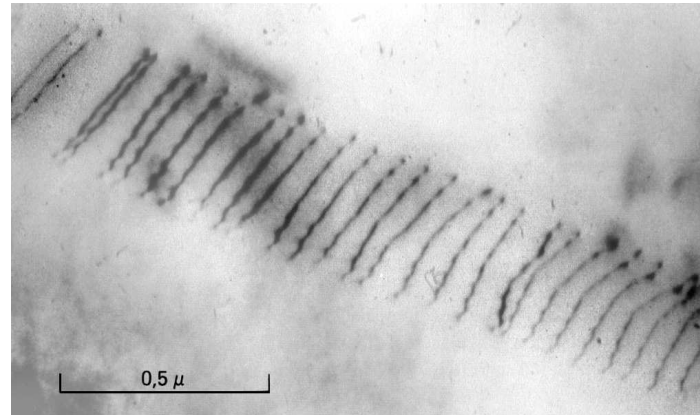
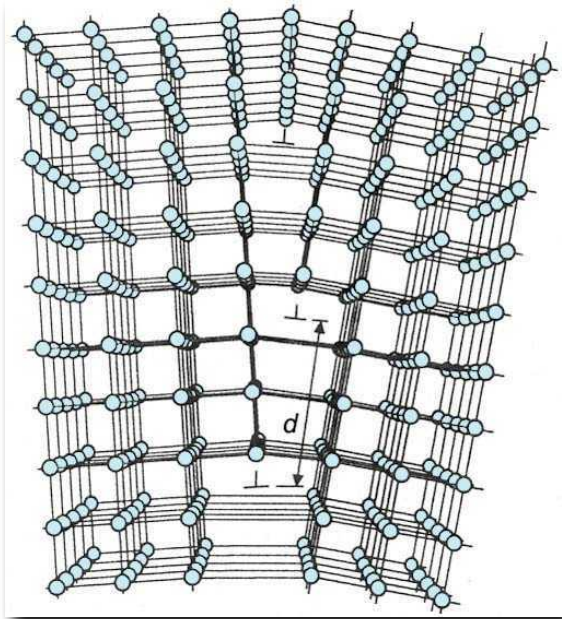
A grayscale micrograph of a metal crystal structure. The image shows a network of grain boundaries and numerous dislocations, which appear as dark, irregular lines and spots. The dislocations are distributed throughout the crystal, illustrating the imperfections mentioned in the text.

'dislocations are imperfections whose motion causes deformation'

### Probleme im Kristall:

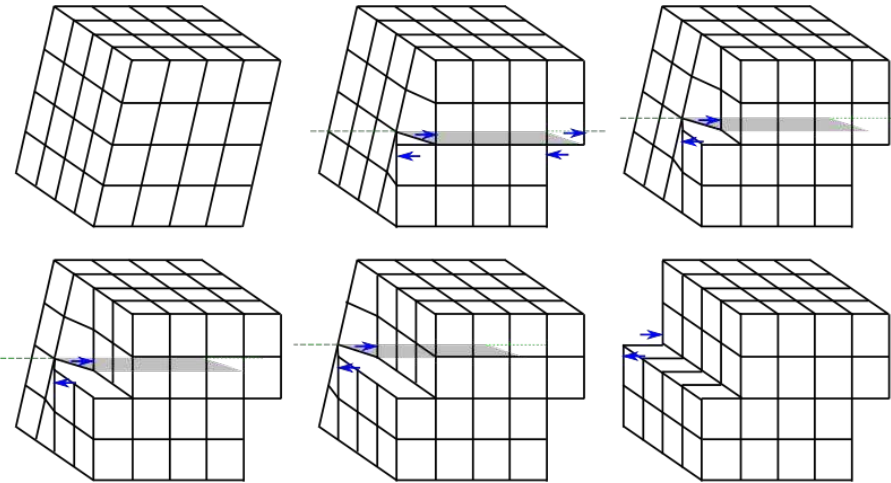
- Erzeugung von Dislokationen
- Dislokationen behindern sich
- geschwindigkeitbestimmend werden  
Diffusionsprozesse

# Stufenversetzungen - edge dislocations

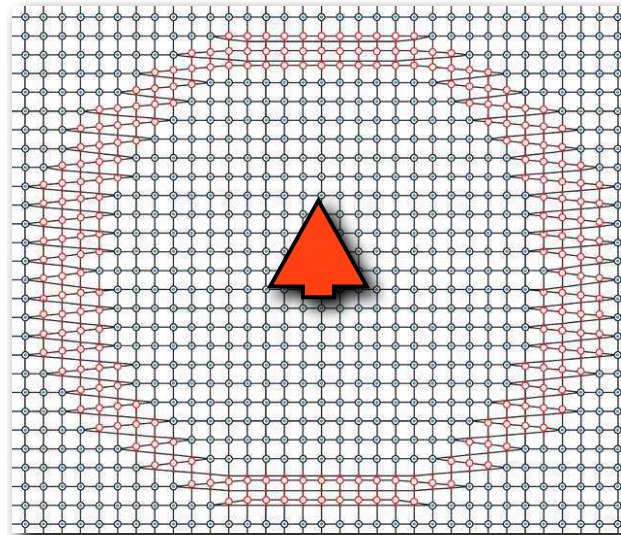




# Schraubenversetzungen - screw dislocations



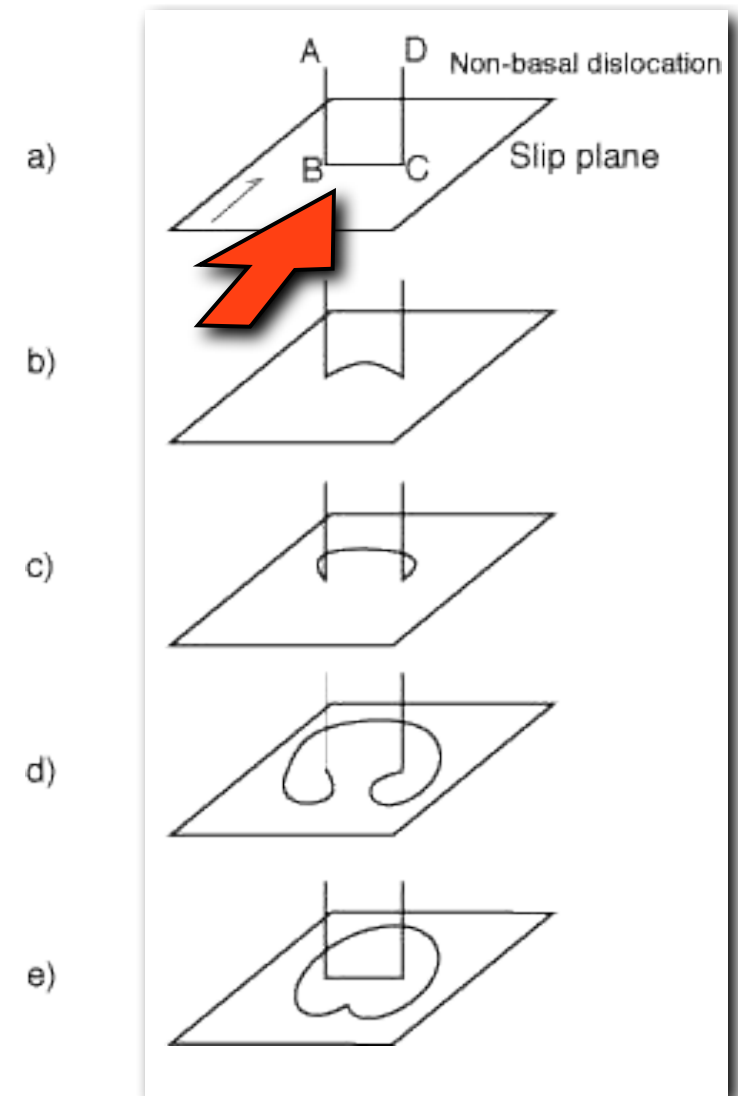
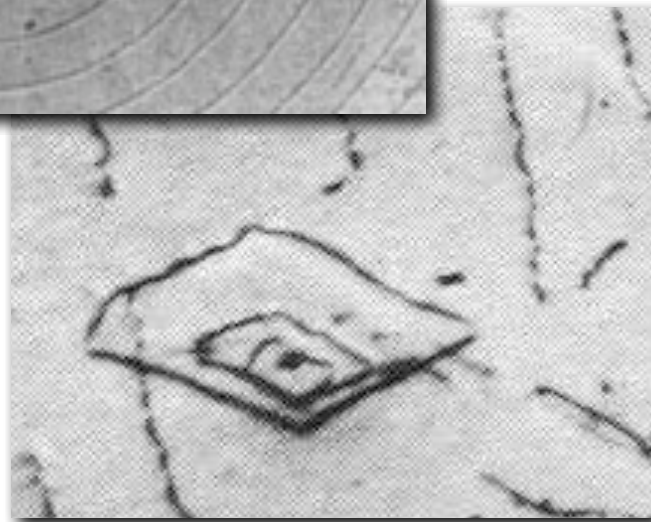
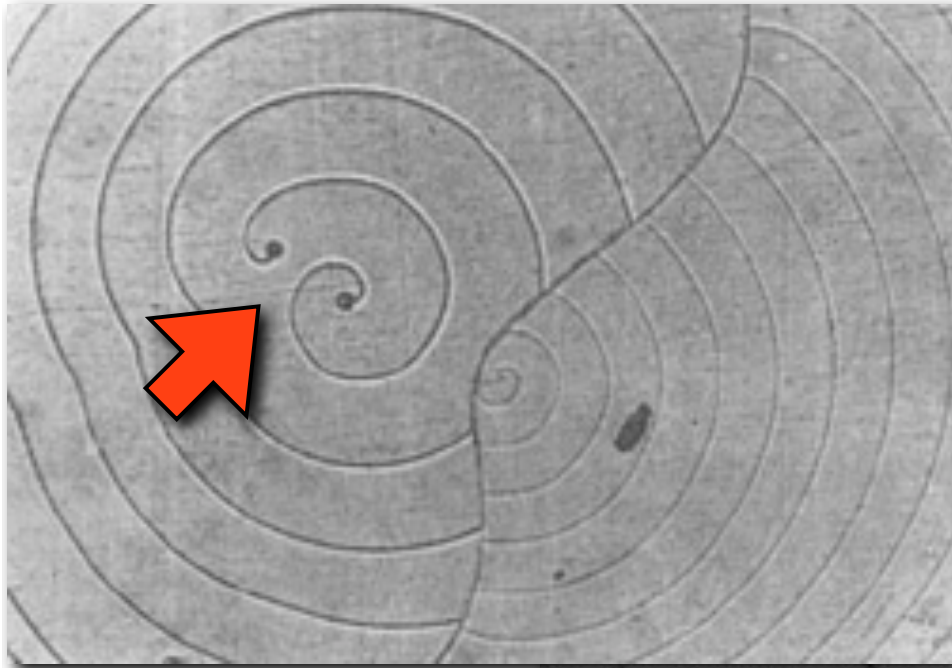
dislocation loop



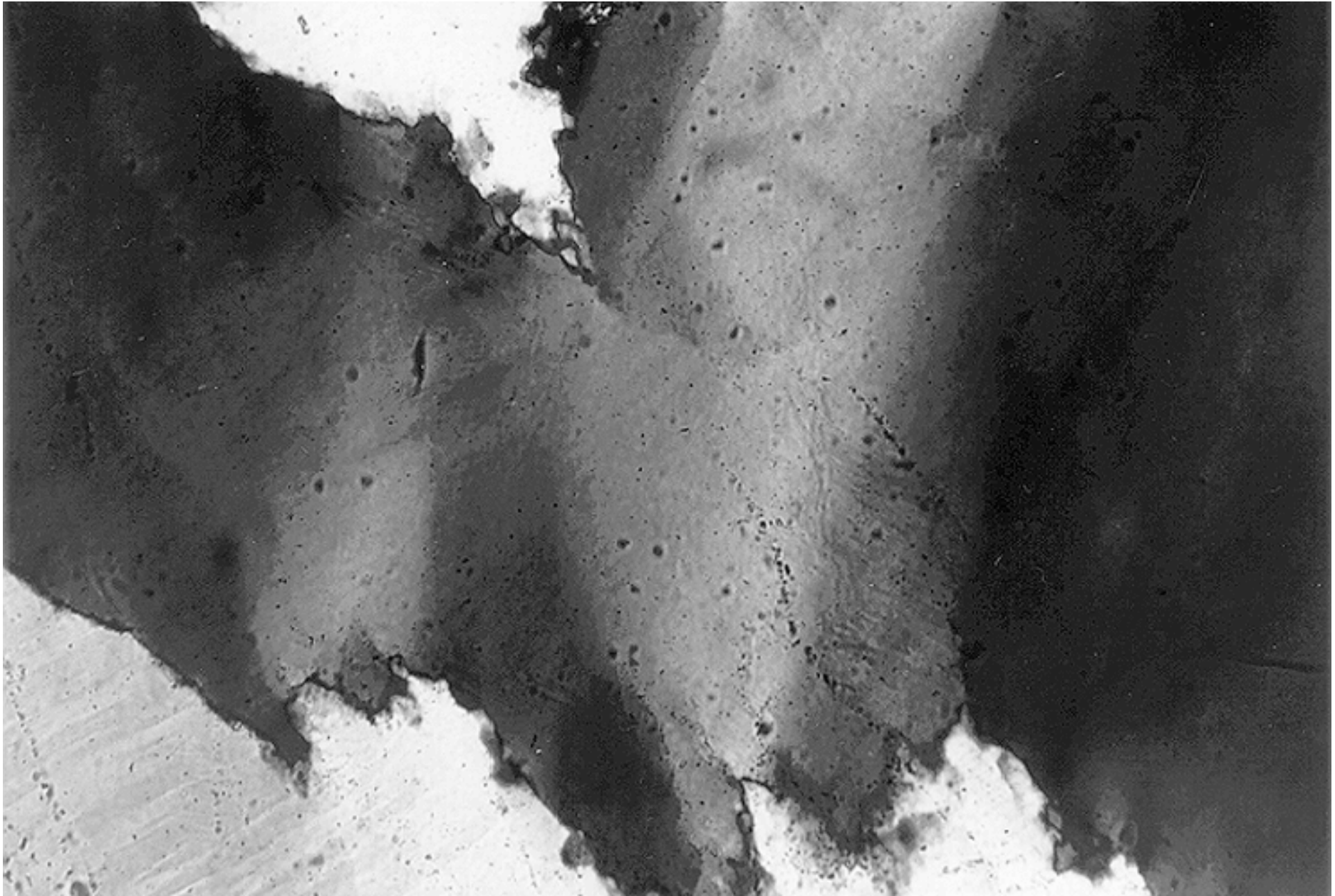
gemischte Versetzungen



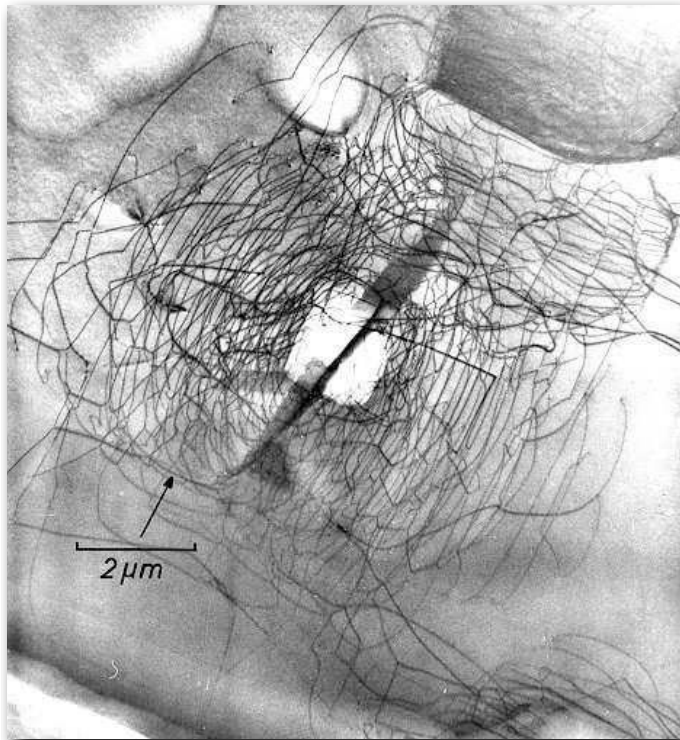
# Frank-Reed sources



# Undulatory extinction in quartz - evidence for dislocation glide

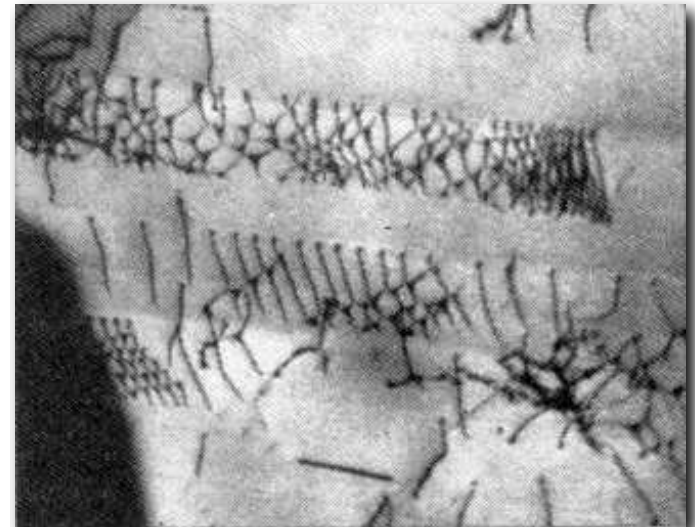
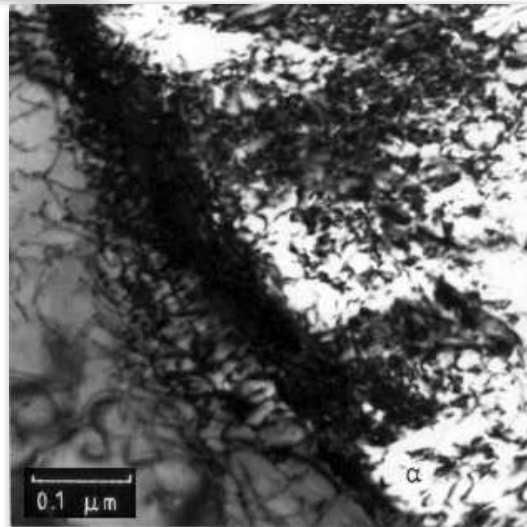


# Versetzungen - dislocations



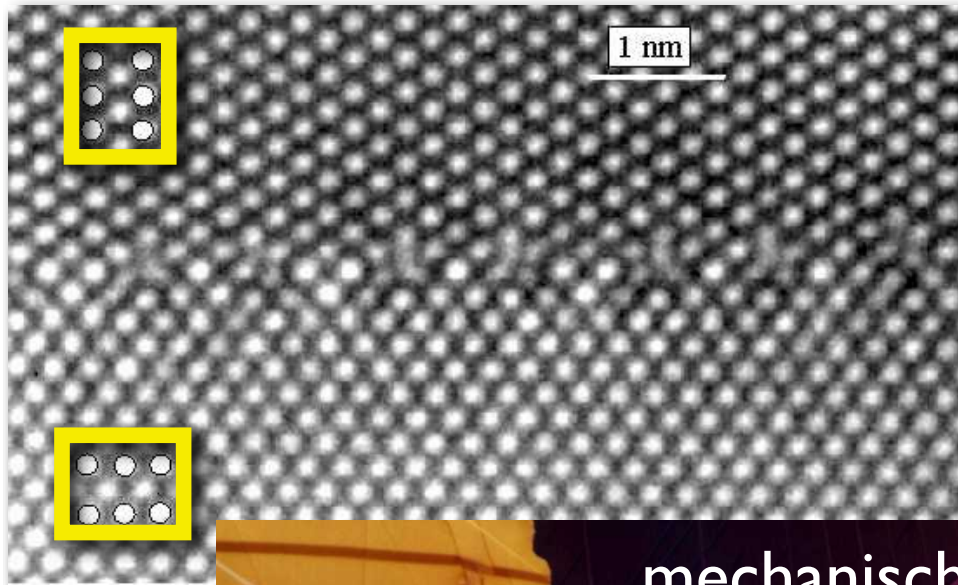
dislocation tangles:  
aus glissil wird sessil

Diffusionsprozesse werden  
geschwindigkeitbestimmend

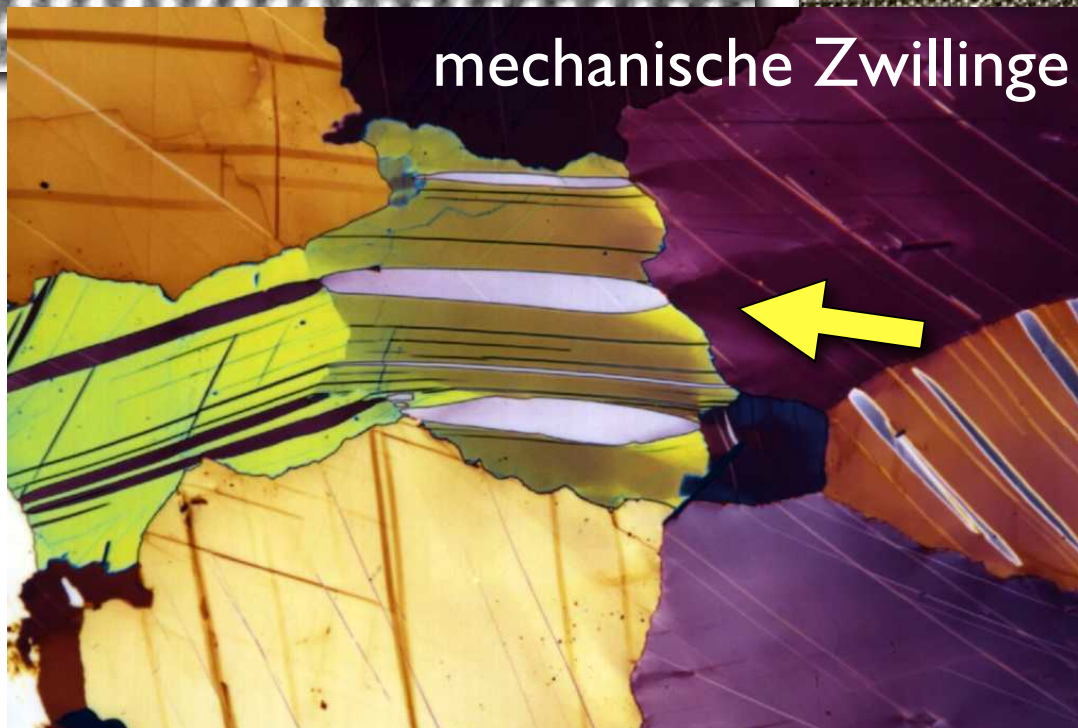




# (sub)grain boundaries

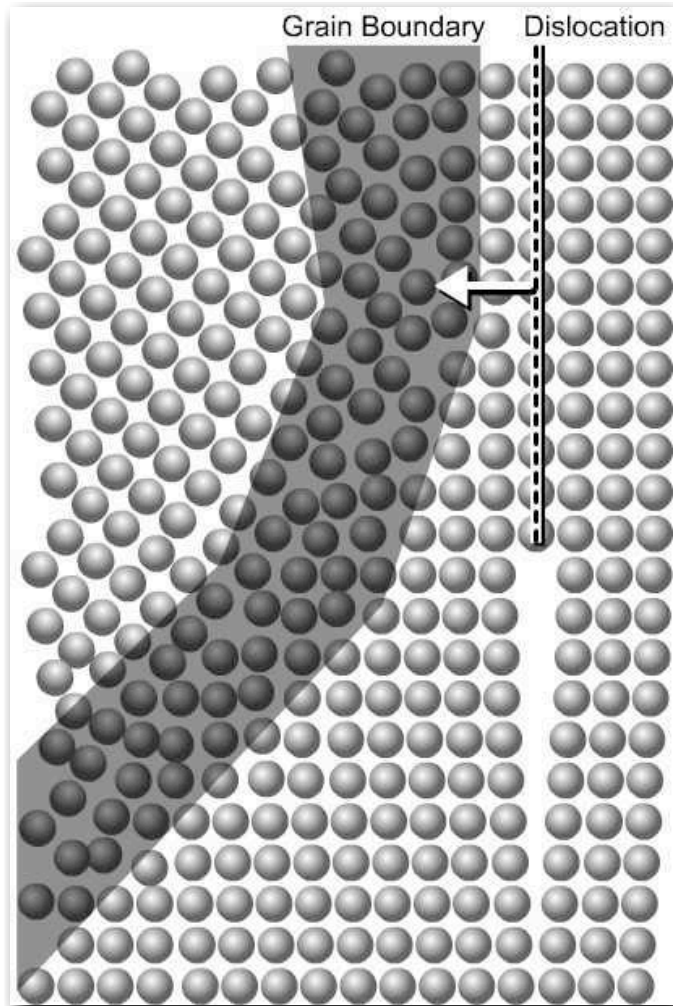


Probleme mit den  
Nachbarn

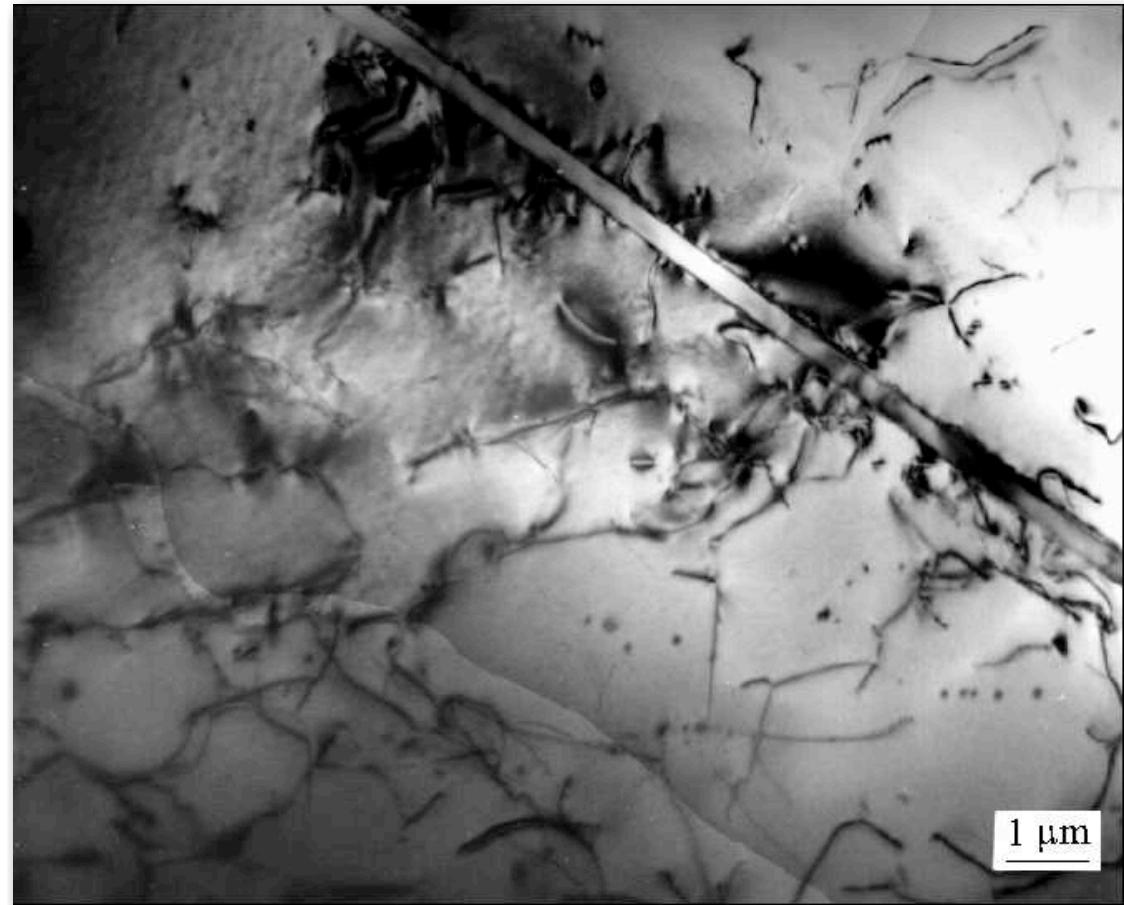




# grain boundaries

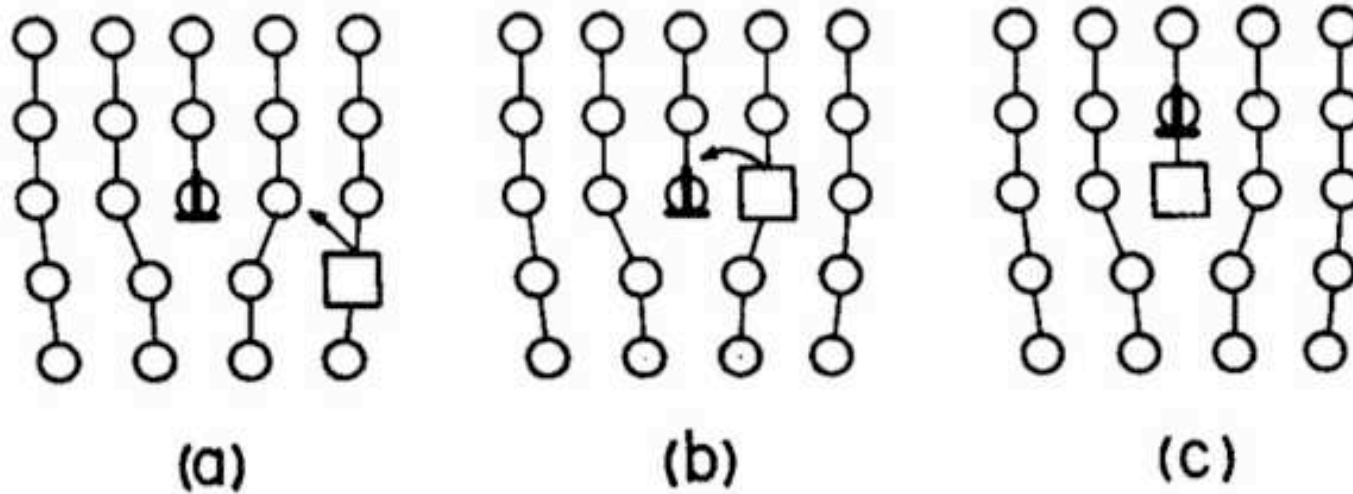


Korngrenzen  
eine 'zusätzliche Phase'



recrystallization  
&  
recovery

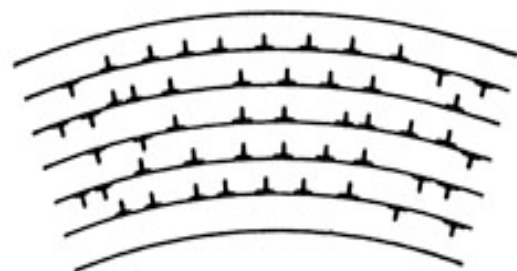
# dislocation climb



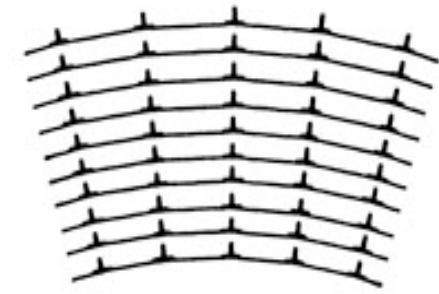
**FIGURE 3-10. Dislocation climb.**

Weertman & Weertman 1992

# recovery

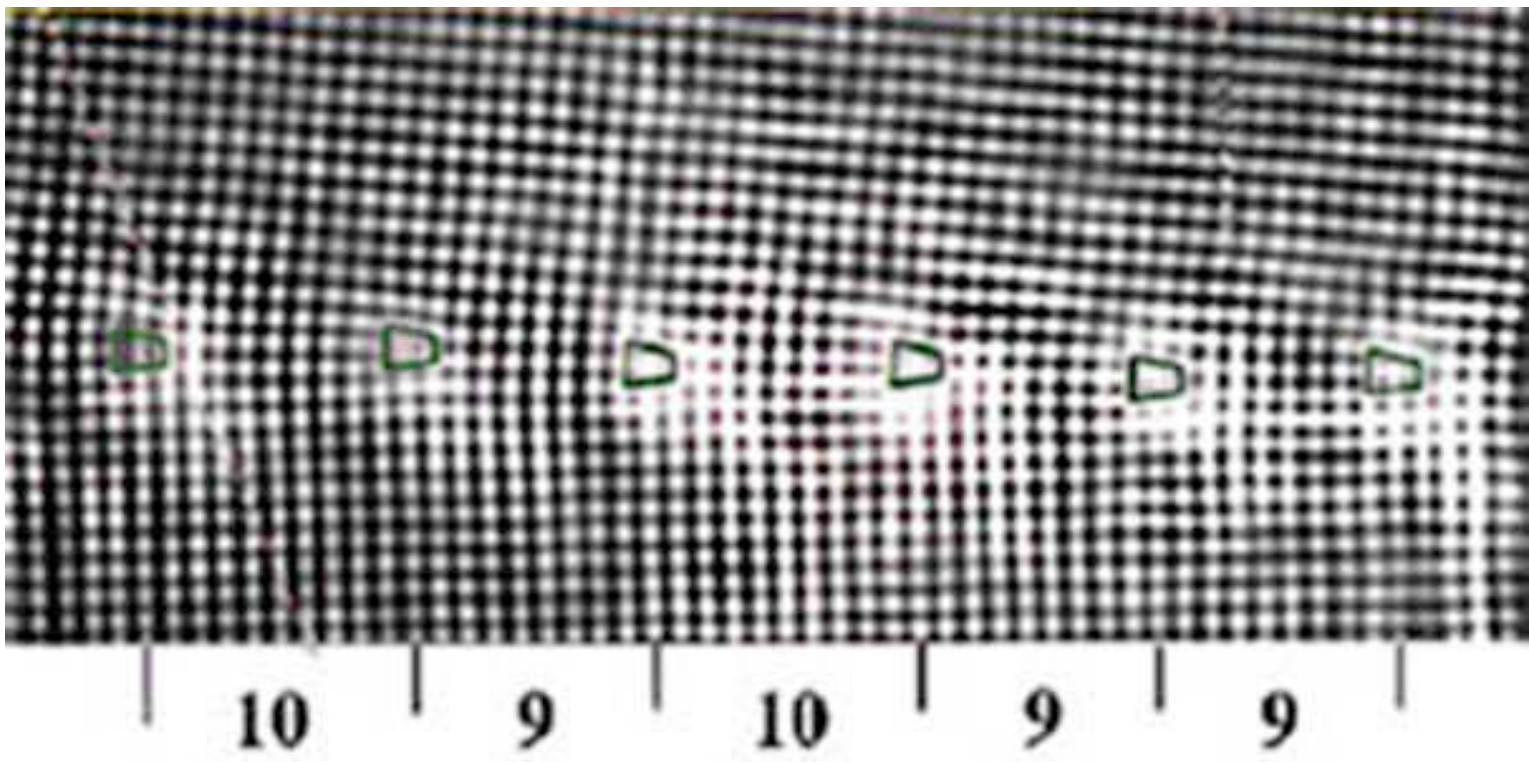


A.



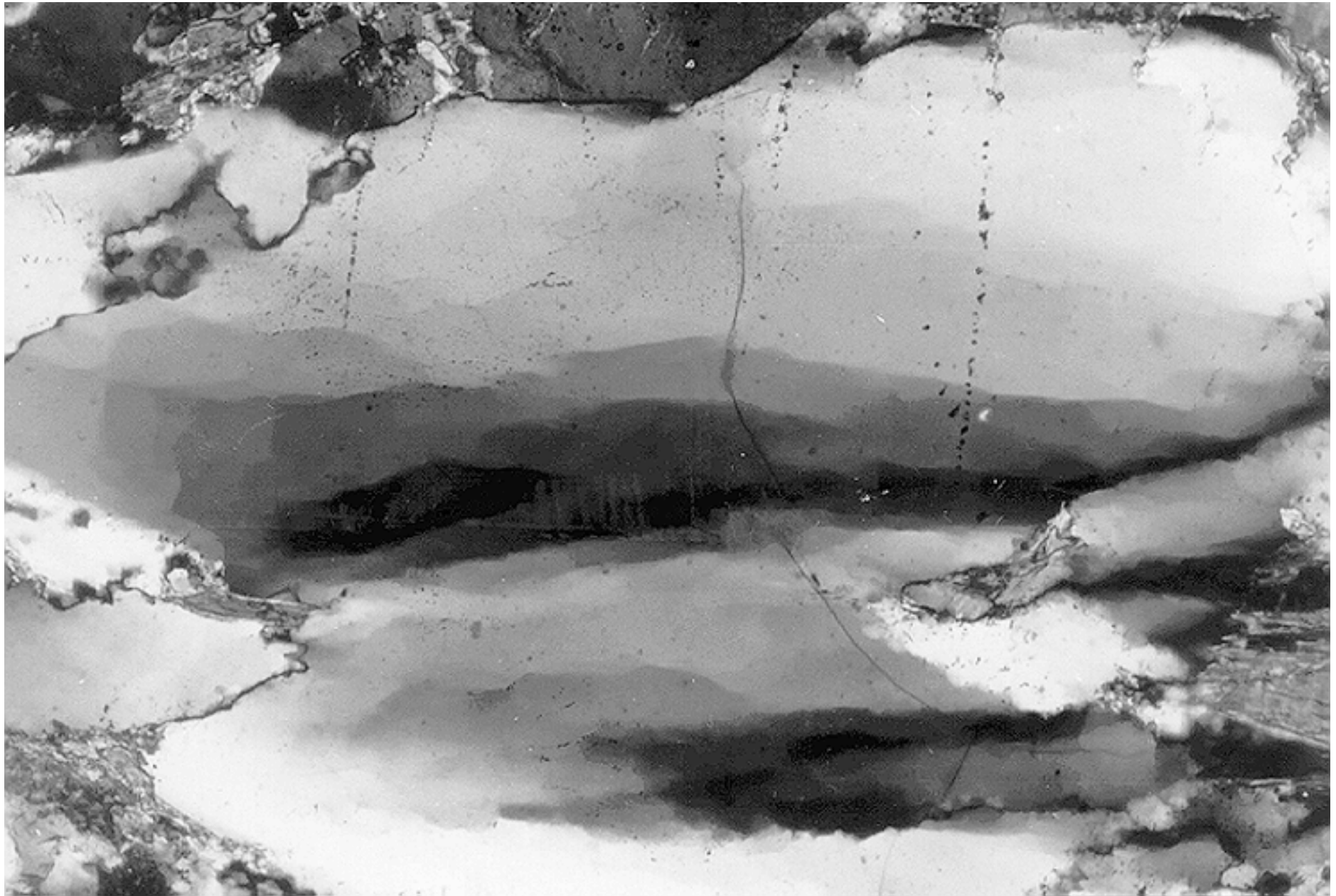
B.

tilt boundaries





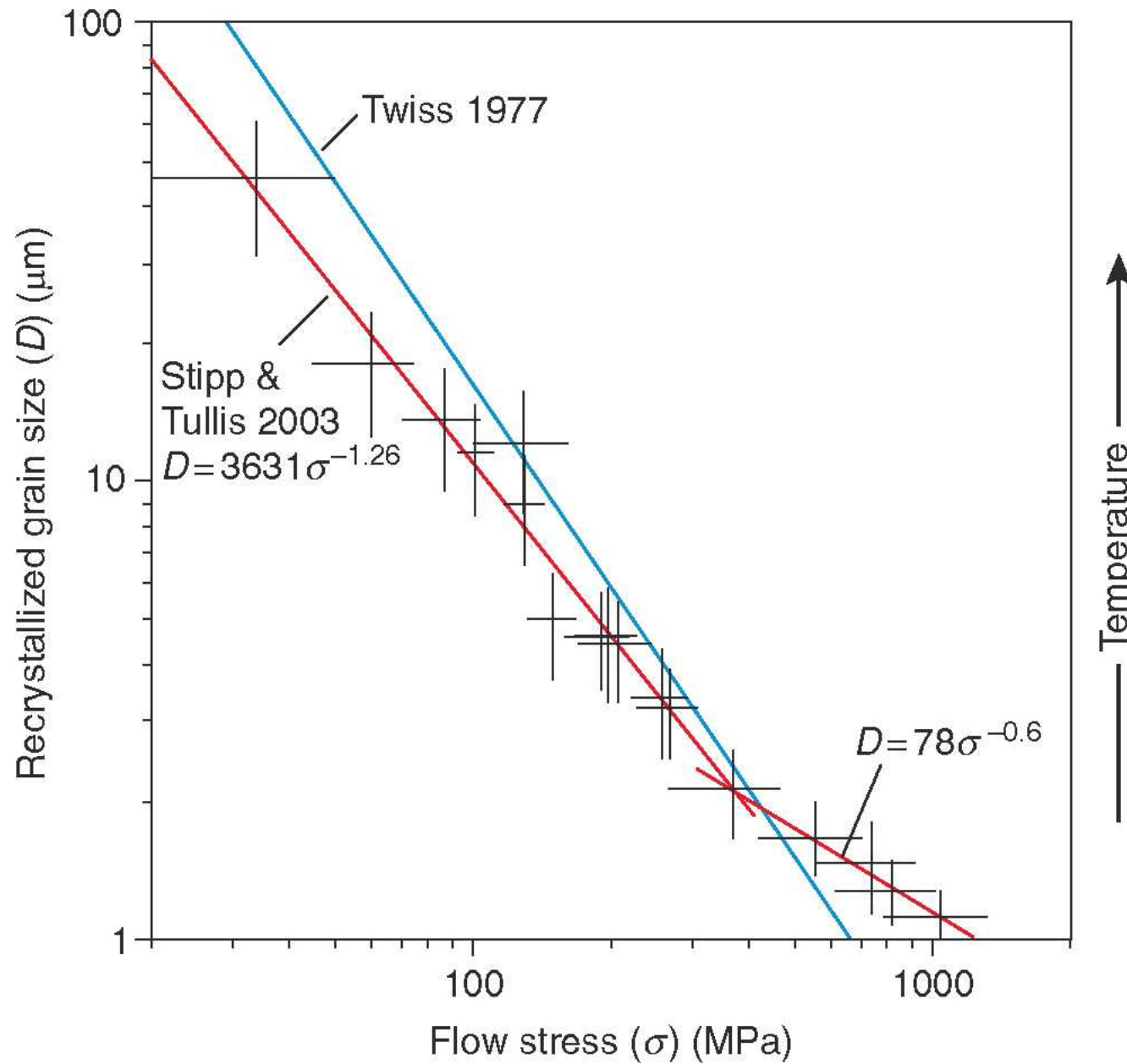
# Subgrain boundaries in quartz



Grain boundary migration causes recrystallization  
- driven by dislocation density difference



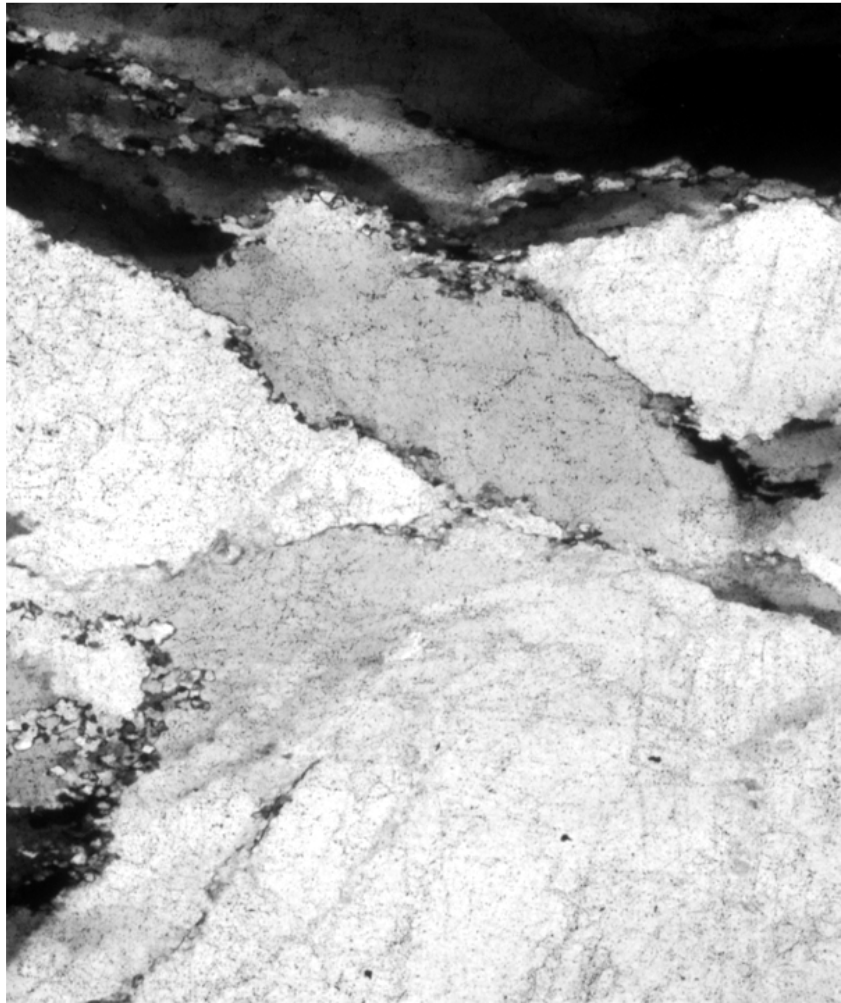
# Paläo-Piezometer



# deformation mechanisms



# microstructures

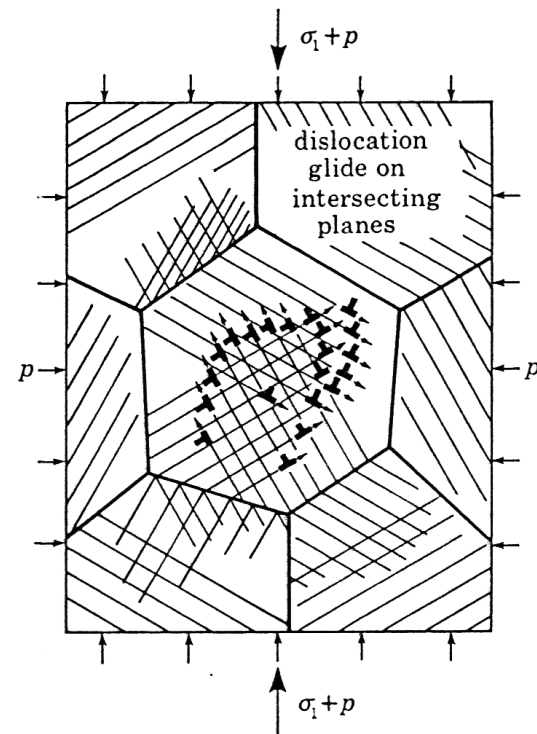


100  $\mu\text{m}$

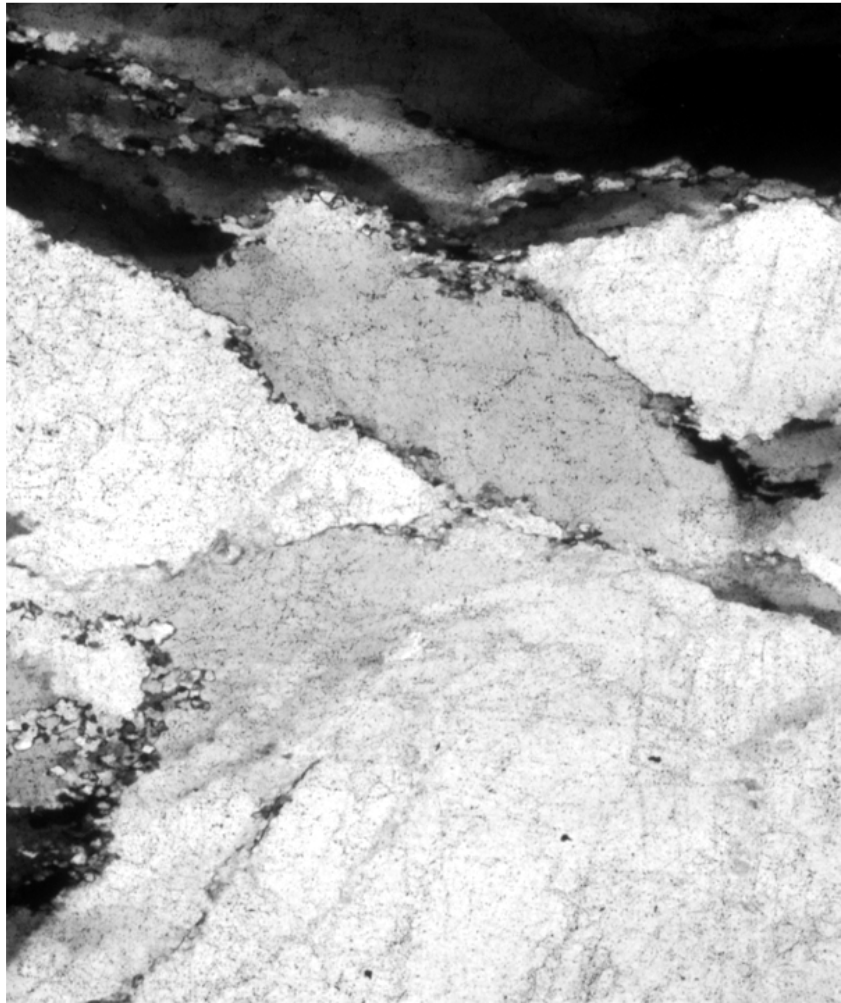
Stavel quartzite

## intracrystalline plasticity

## dislocation glide



# microstructures

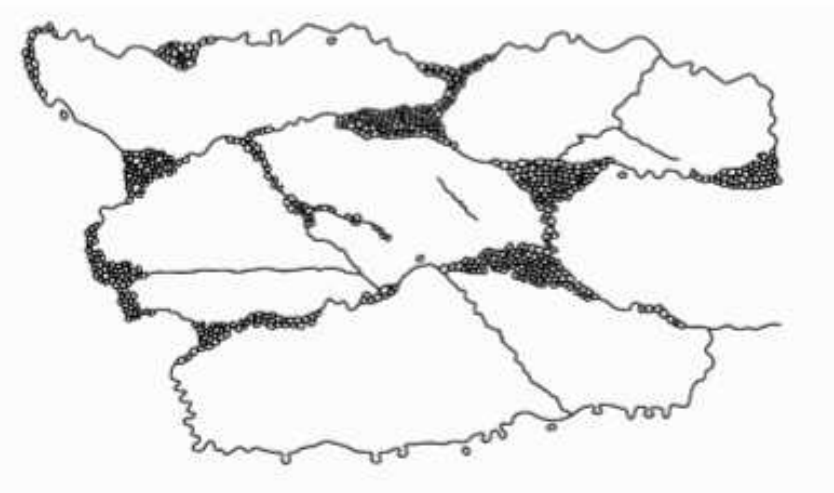


— 100  $\mu\text{m}$

Stavel quartzite

bulging  
recrystallization

dislocation creep



# microstructures

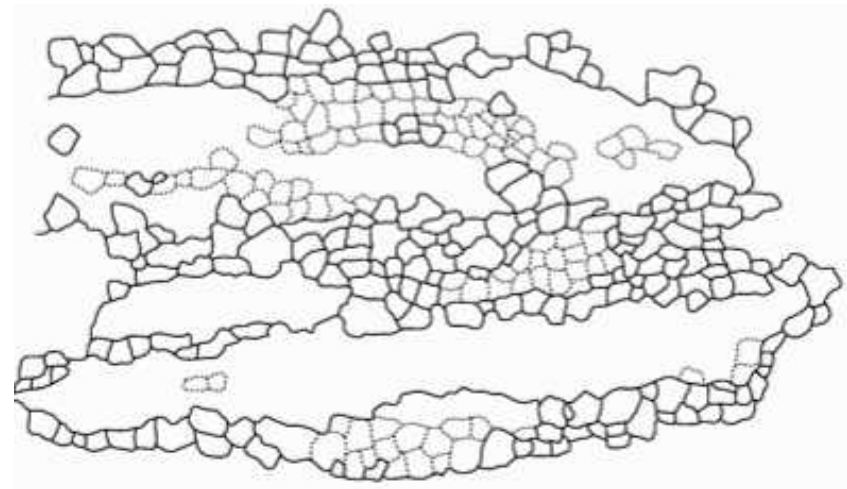


— 100  $\mu\text{m}$

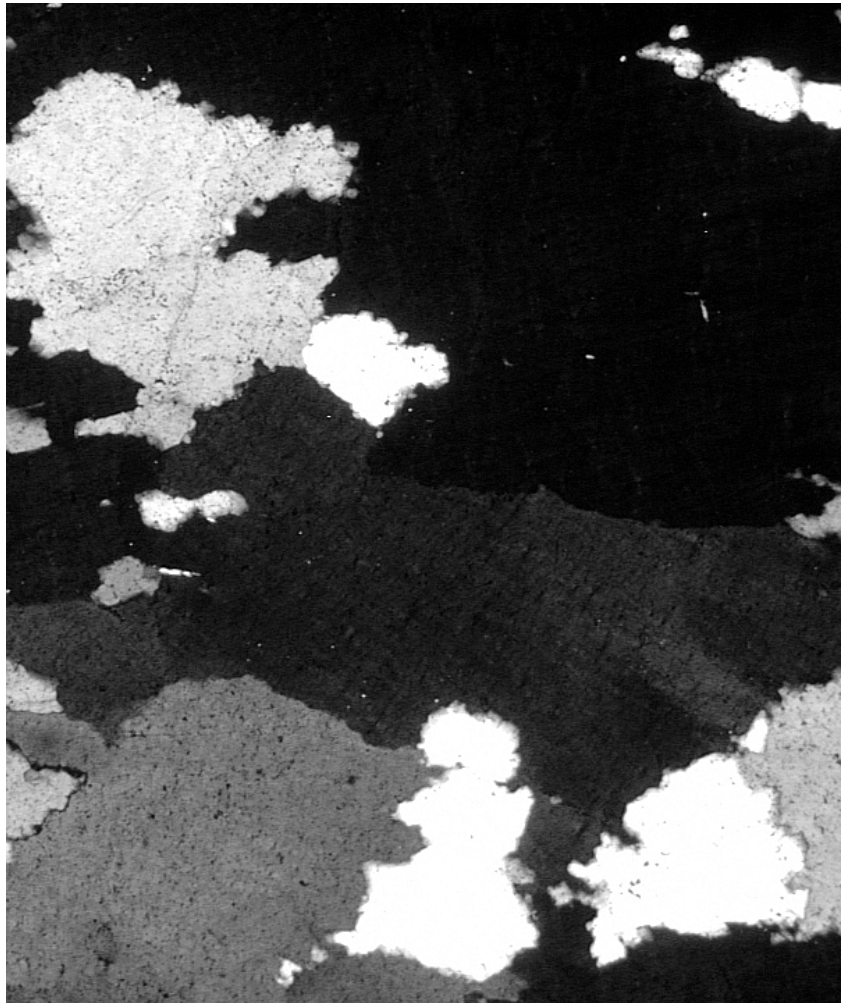
Stavel quartzite

subgrain rotation  
recrystallization

dislocation creep



# microstructures

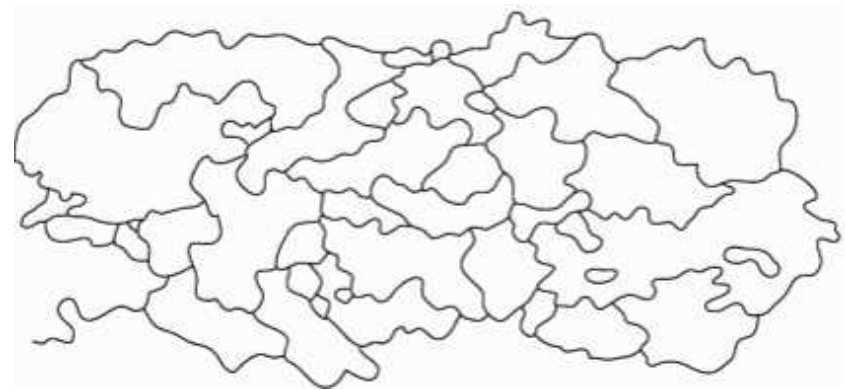


— 100  $\mu\text{m}$

Stavel quartzite

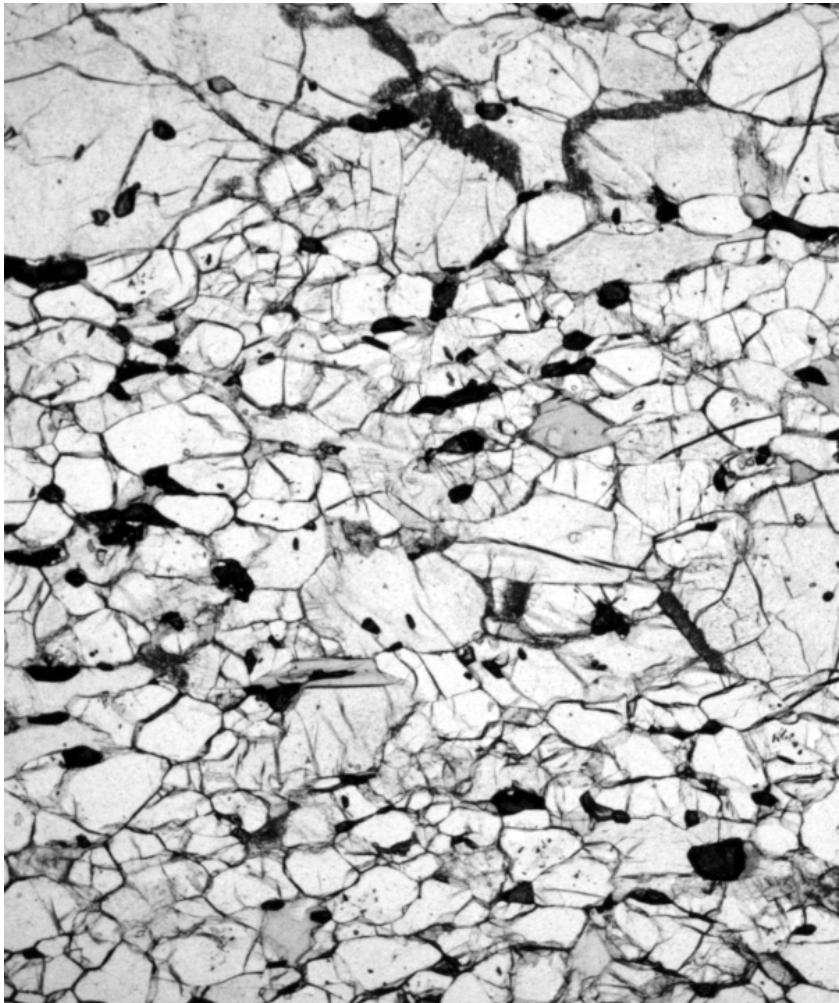
grain boundary migration  
recrystallization

dislocation creep





# microstructures

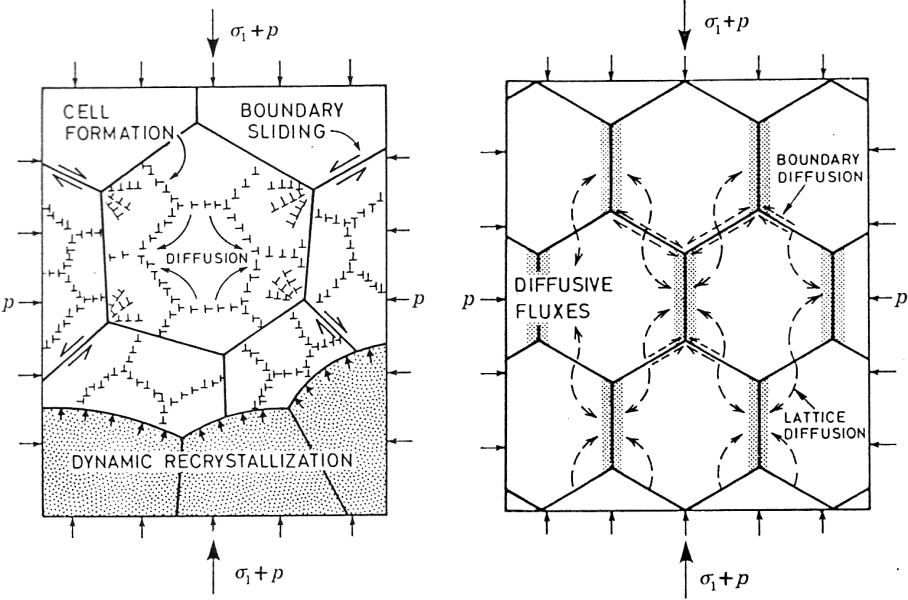


500  $\mu\text{m}$

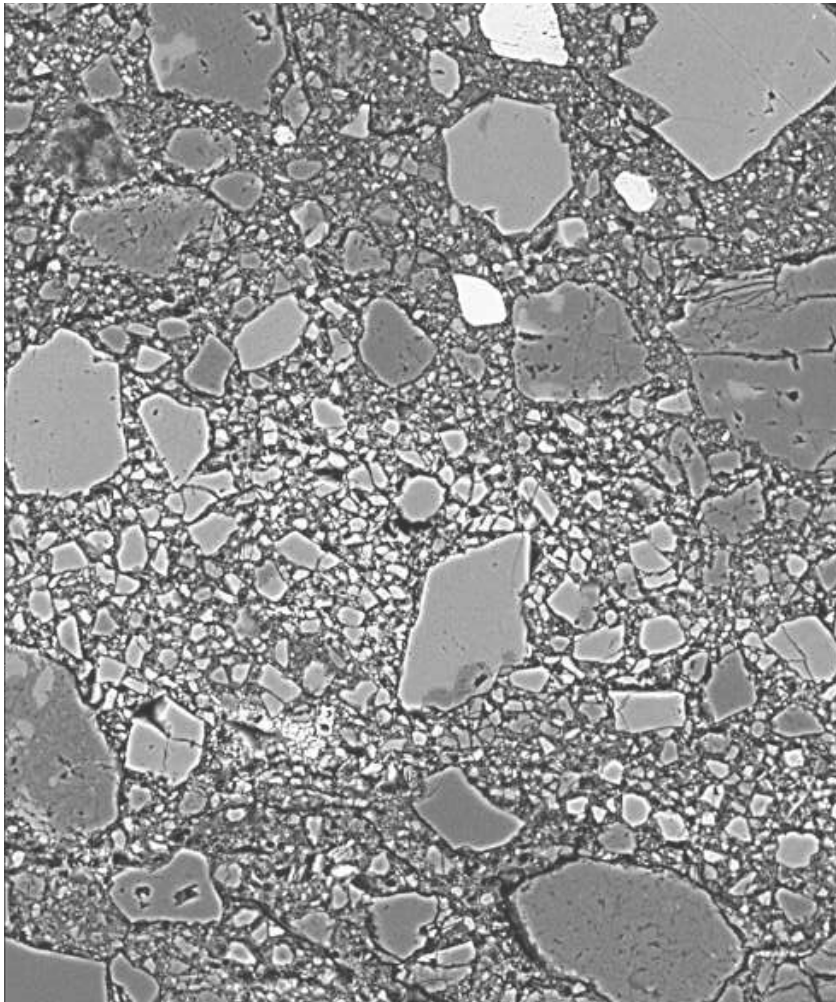
Meluzina ecolgite

grain boundary sliding  
bulk diffusion  
boundary diffusion

diffusion creep



# microstructures

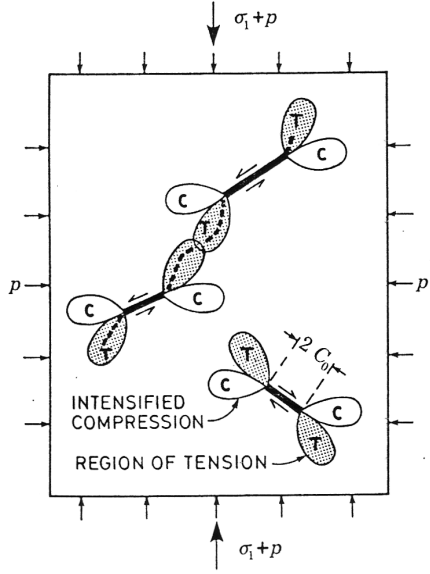
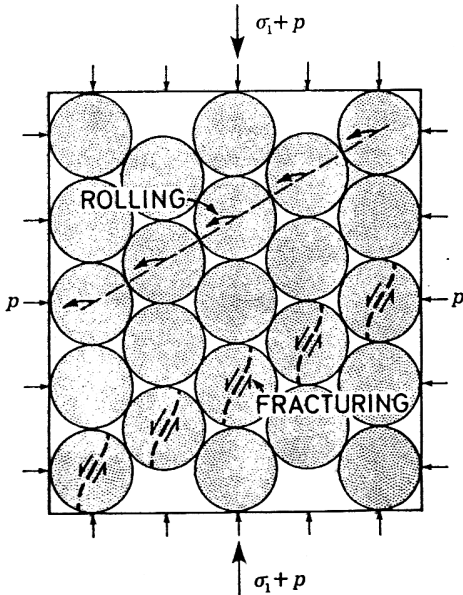


500  $\mu\text{m}$

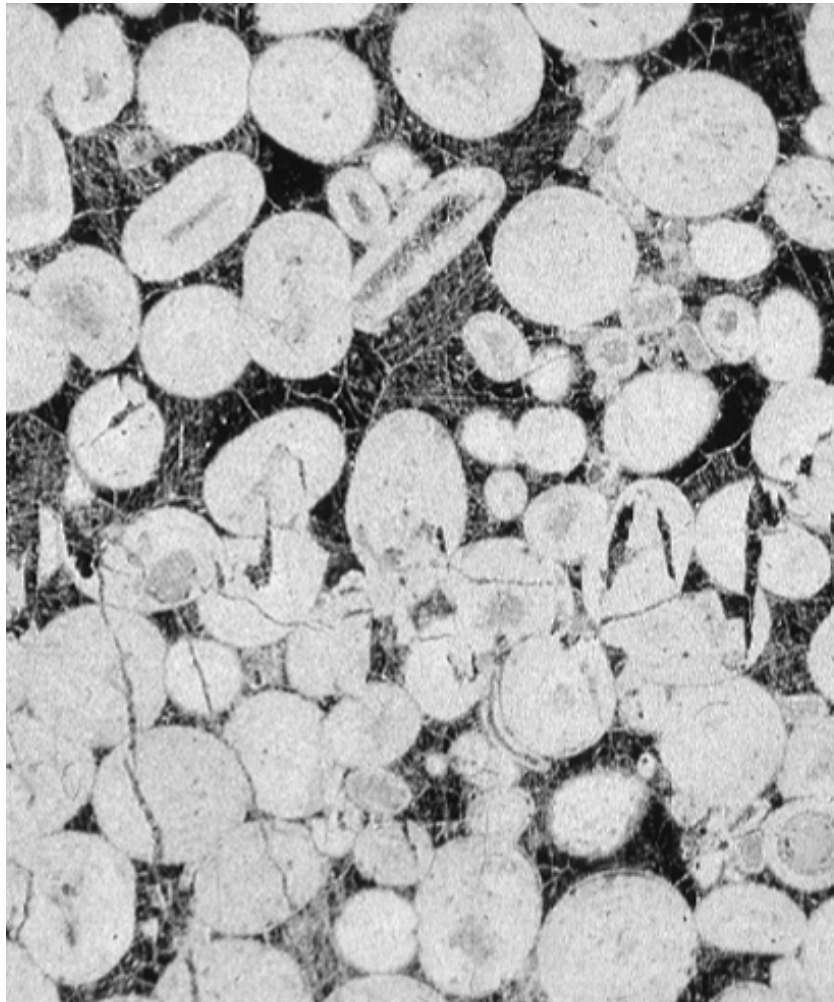
Nojima fault rock

rolling  
fracturing

granular flow  
(diffusion creep)



# microstructures

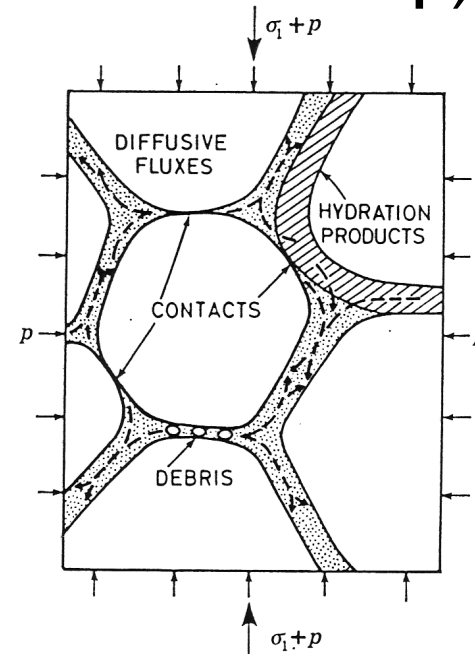


1 mm

Oolitic limestone

solution - diffusion -  
precipitation

pressure solution  
(diffusion creep)



micromechanical  
models

intracrystalline plasticity  
grain boundary sliding  
Nabarro Herring /Coble  
cataclastic flow



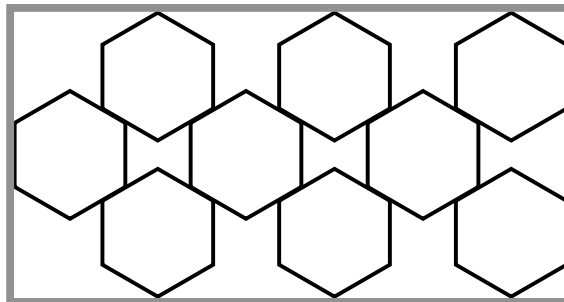
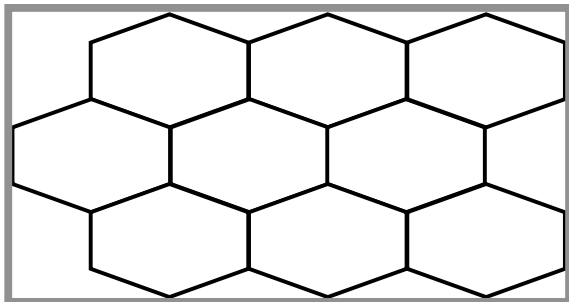
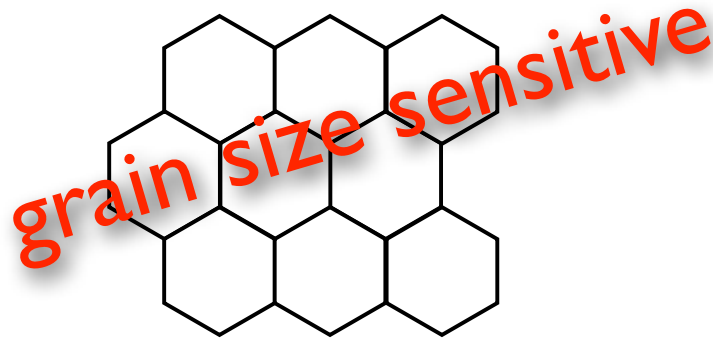
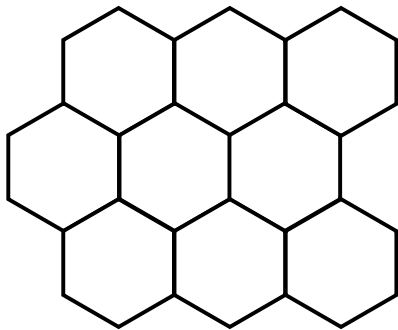
# micromechanical models

intracrystalline plasticity  
dislocation glide  
(facilitated by:)

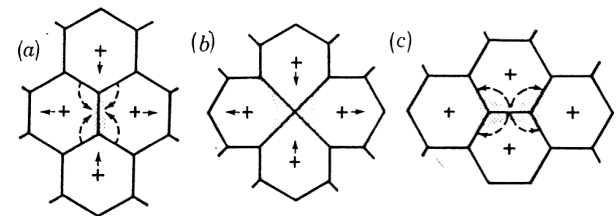
granular flow  
grain boundary sliding  
pressure solution

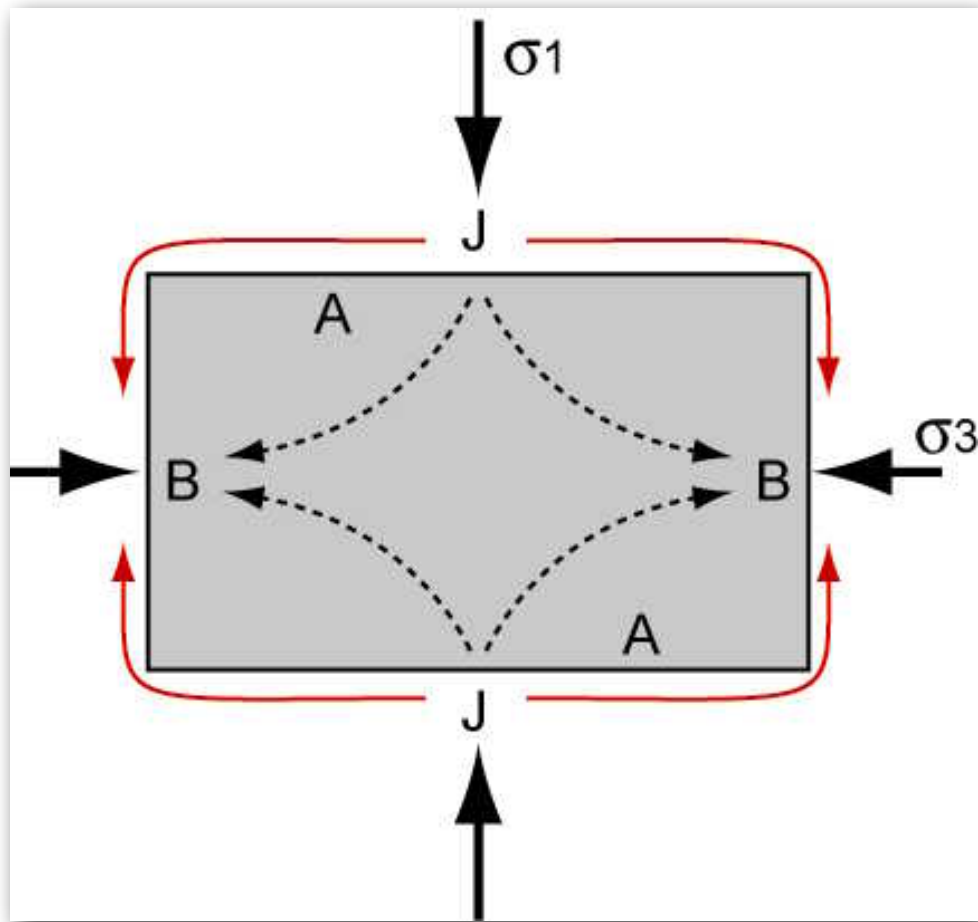
dislocation creep

diffusion creep



neighbor switching





.....  
 Nabarro-Herring  
 Creep

—————  
 Coble Creep

- Grain-scale diffusive mass transfer
- Grain-scale pressure solution

$$\dot{\varepsilon} = A \frac{\sigma^n}{d^p} \exp\left(-\frac{Q}{RT}\right)$$

$\dot{\varepsilon}$  = strain rate

A = constant

$\sigma$  = differential stress

n = stress exponent

d = grain size

p = grain size exponent

Q = activation energy

T = temperature

R = gas constant

Dislocation Creep:

$$n = 3 - 5$$

$$p = 0$$

→ grain size insensitive !

Diffusion Creep:

$$n = 1 - 2$$

$$p = 2 - 3$$

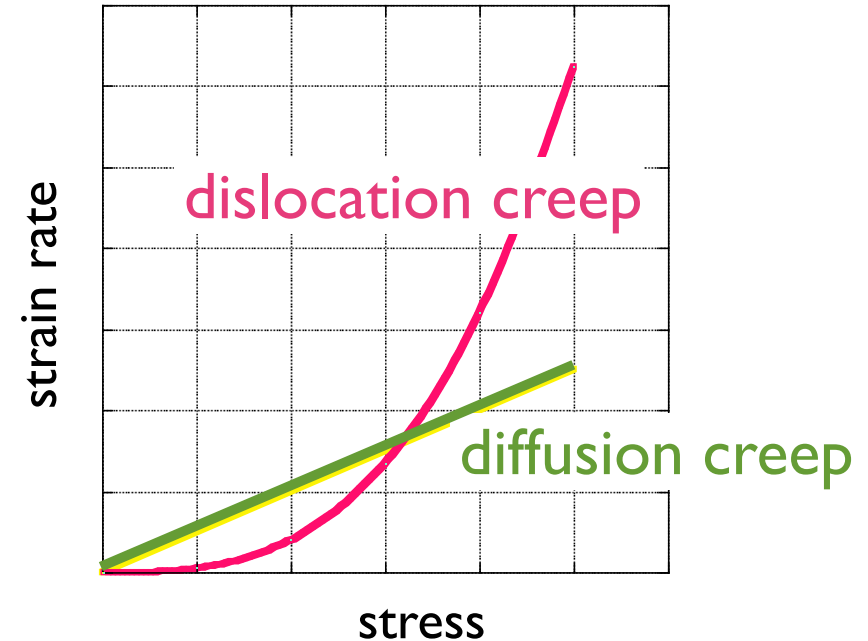
→ grain size sensitive !

## Dislocation Creep: (Crystal Plastic Deformation)

$$\text{Strain rate} = A \sigma^n e^{-Q/RT}$$

$$n = 3 - 5$$

grain size insensitive !



## Diffusion Creep: (Granular Flow, Grain boundary Sliding)

$$\text{Strain rate} = A \sigma^n \cdot d^{-p} e^{-Q/RT}$$

$$n = 1 - 2$$

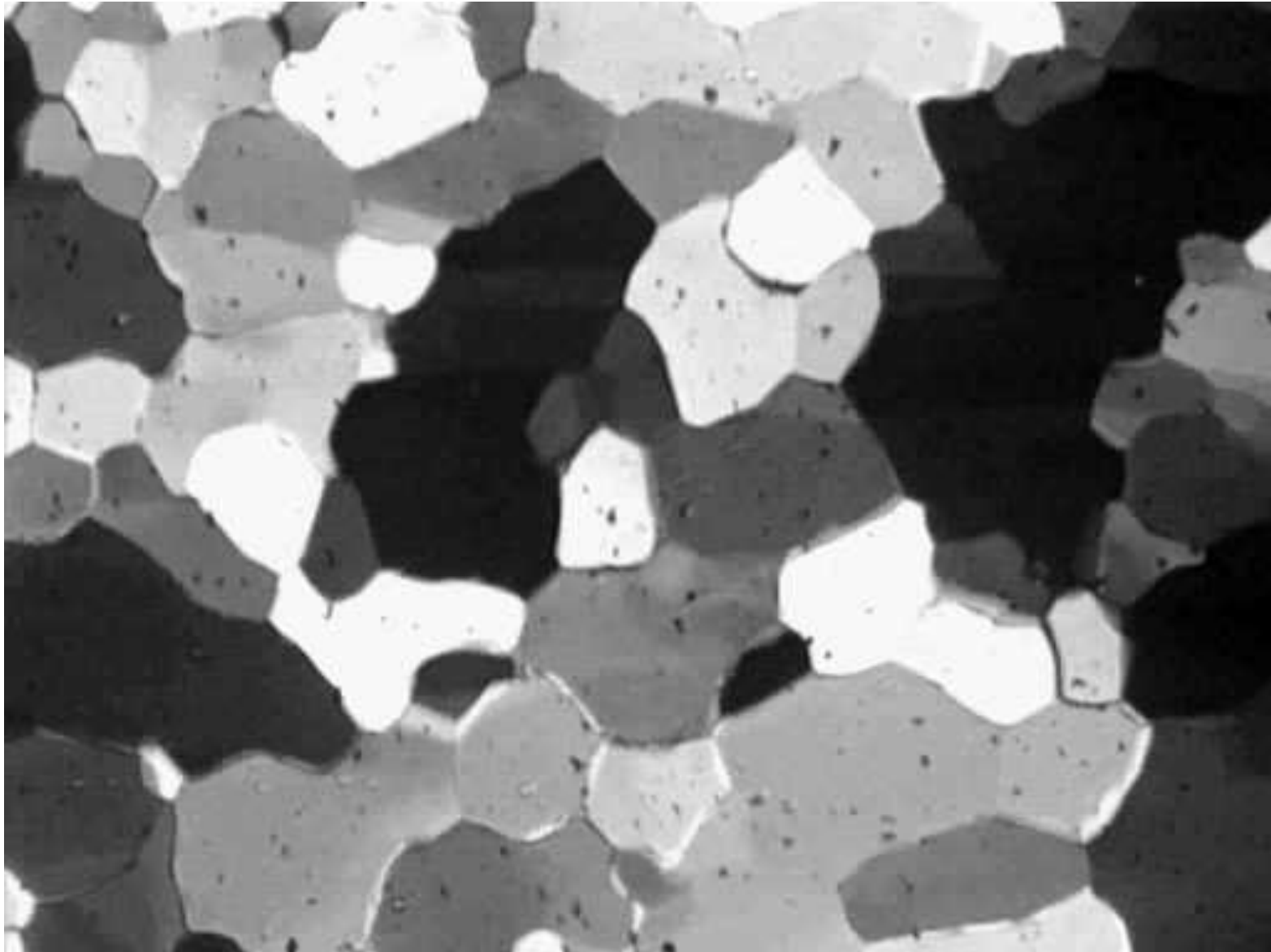
$$p = 2 - 3$$

grain size sensitive !

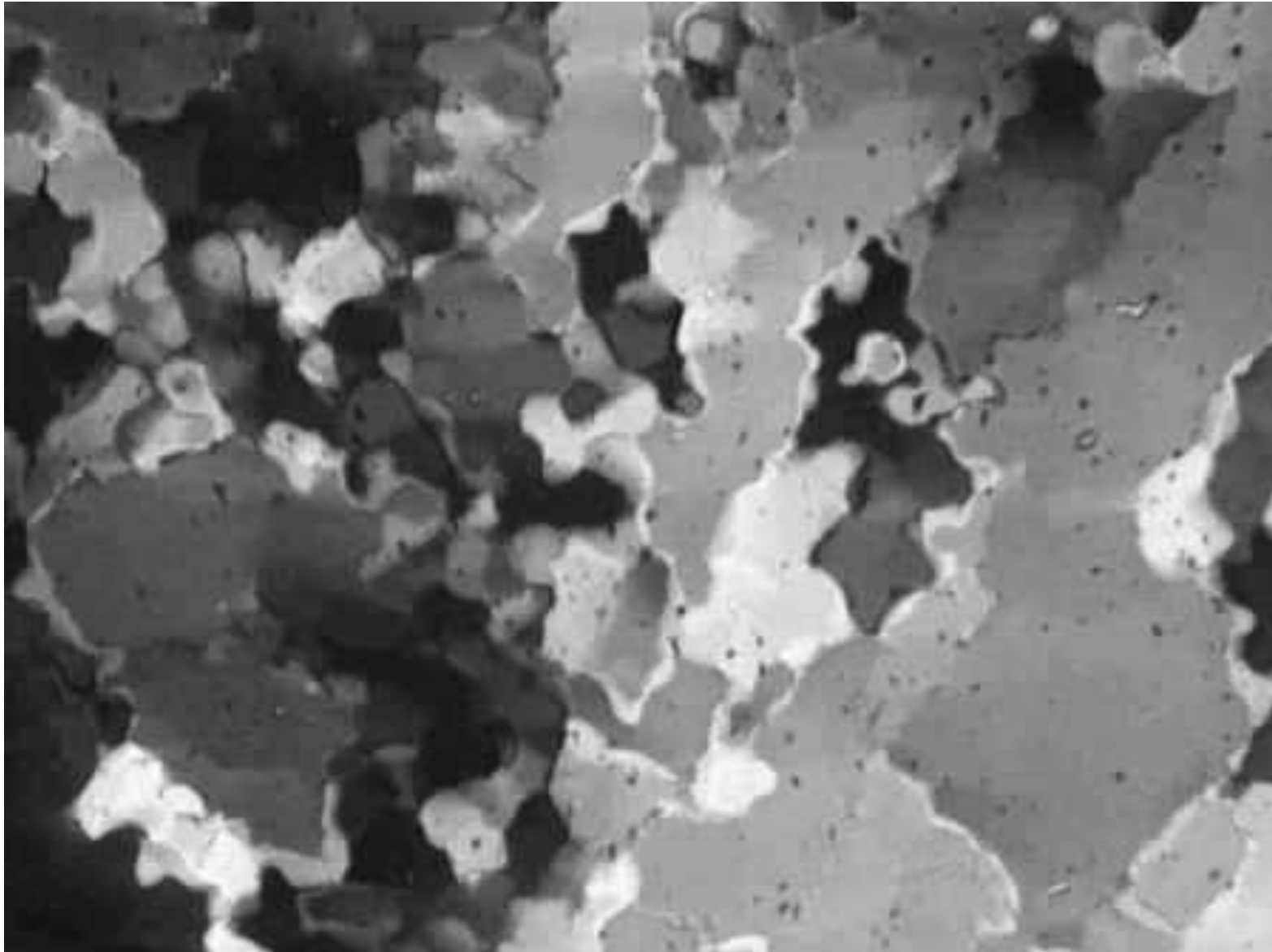


from flow laws to  
deformation  
mechanism maps

# dynamic recrystallization OCP

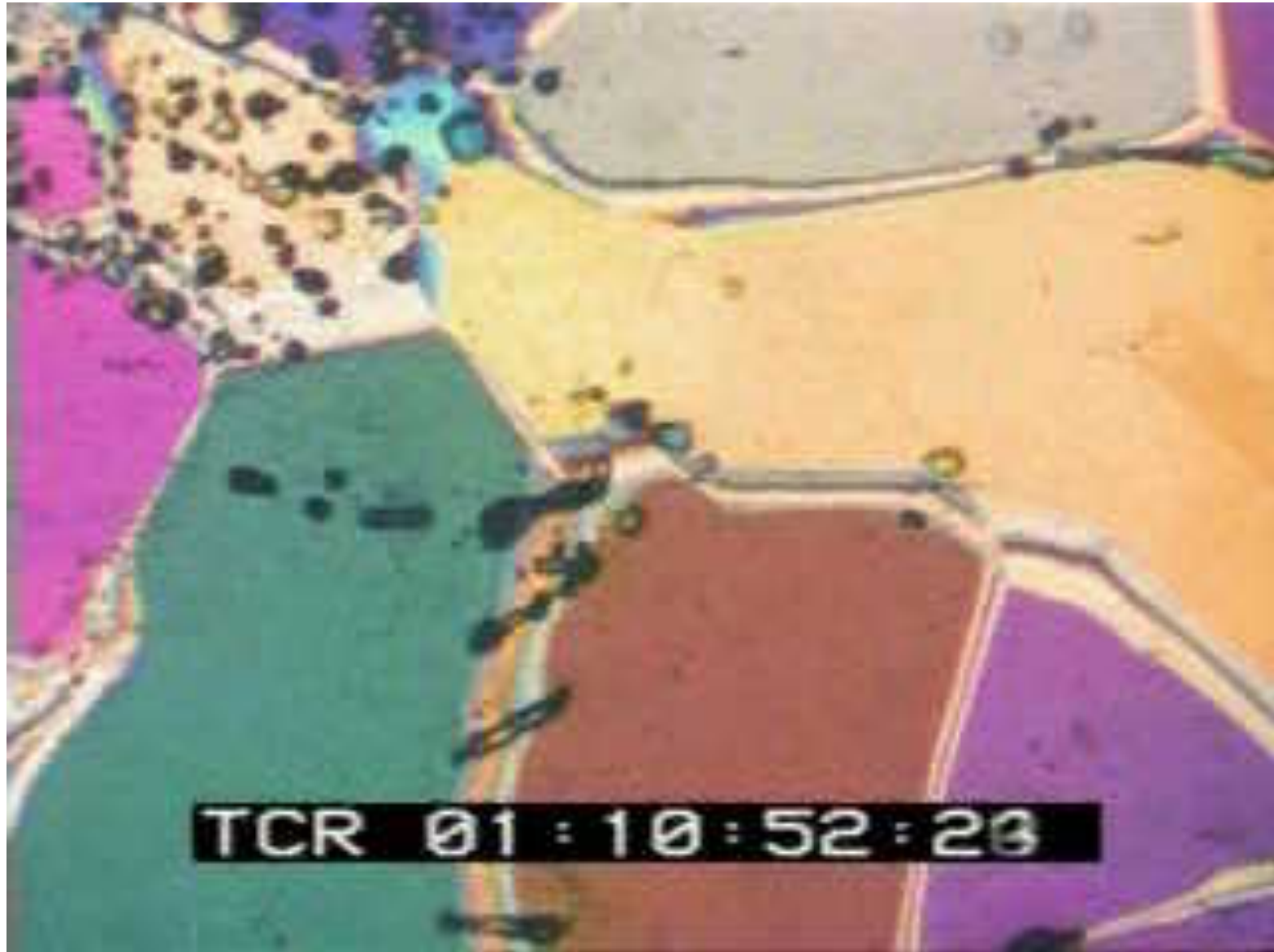


# static recrystallization OCP



(annealing)

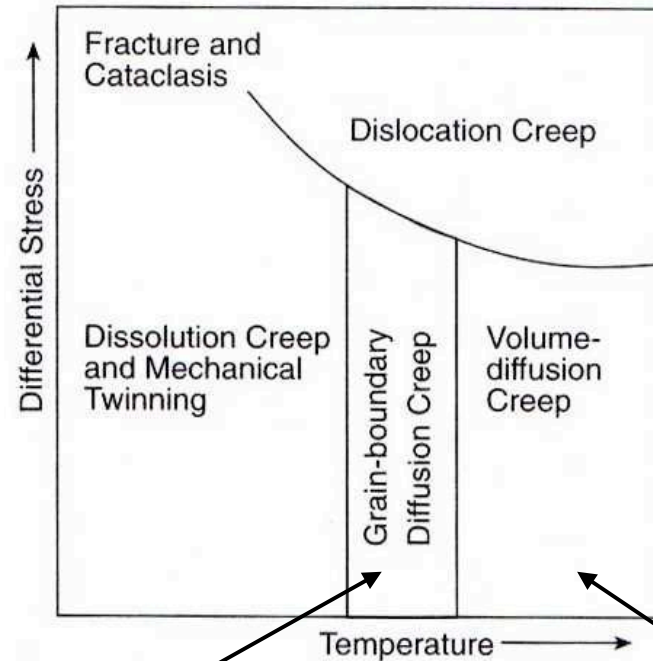
# dynamic recryst. in polycrystalline ice



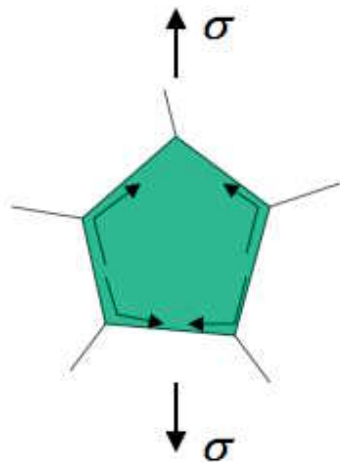
grain boundary migration, kinking



# Deformation Mechanism Map

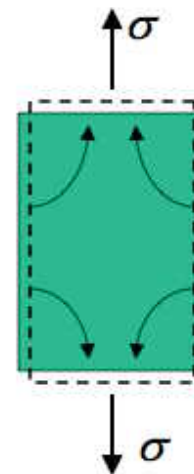


Davis and Reynolds, Structural Geology of Rocks and Regions, 1996.



Coble creep

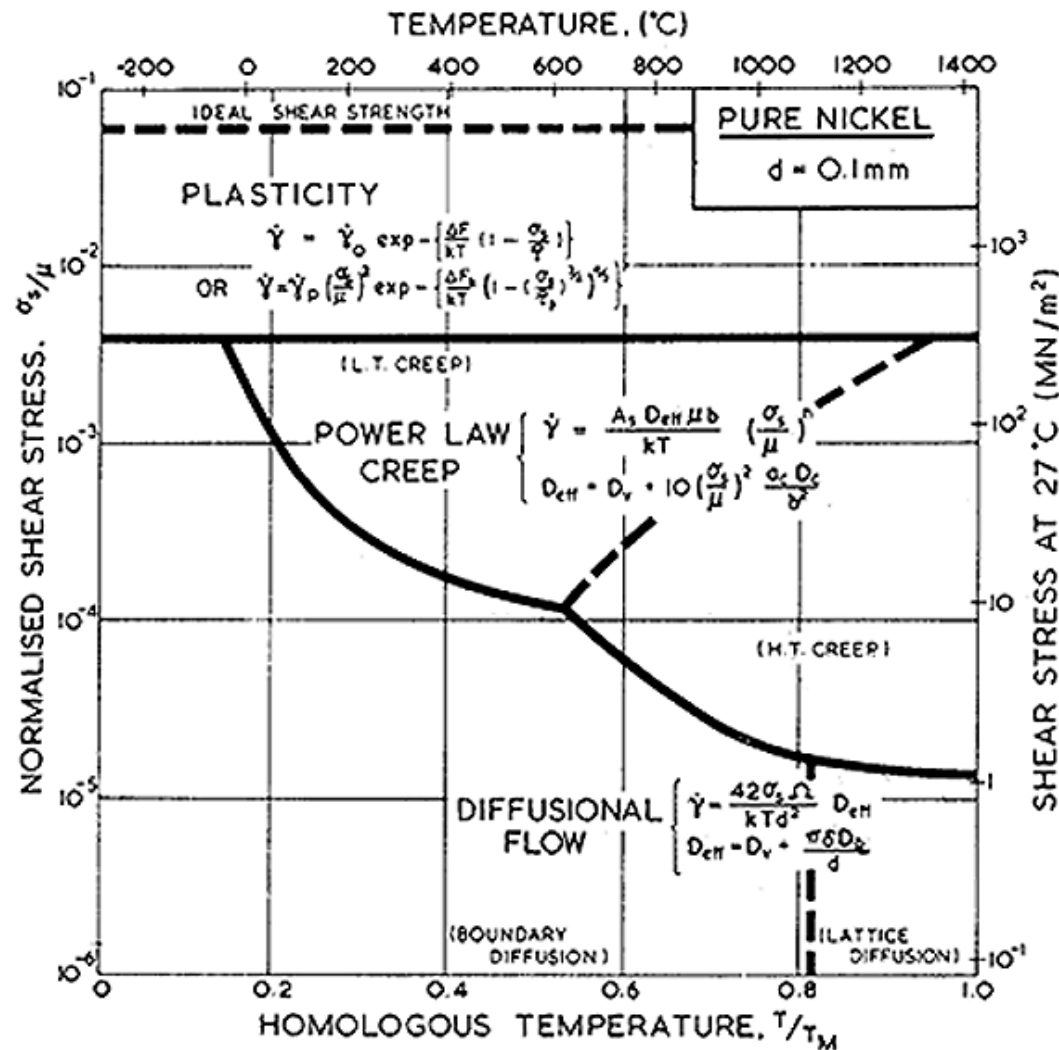
$$\dot{\epsilon}_C = A \frac{\delta D_{GB}}{d^3} \frac{\sigma \Omega}{kT}$$



Nabarro-Herring creep

$$\dot{\epsilon}_{NH} = A \frac{D}{d^2} \frac{\sigma \Omega}{kT}$$

# deformation mechanism maps - flow laws



ideal strength

plasticity

power law creep

low temperature

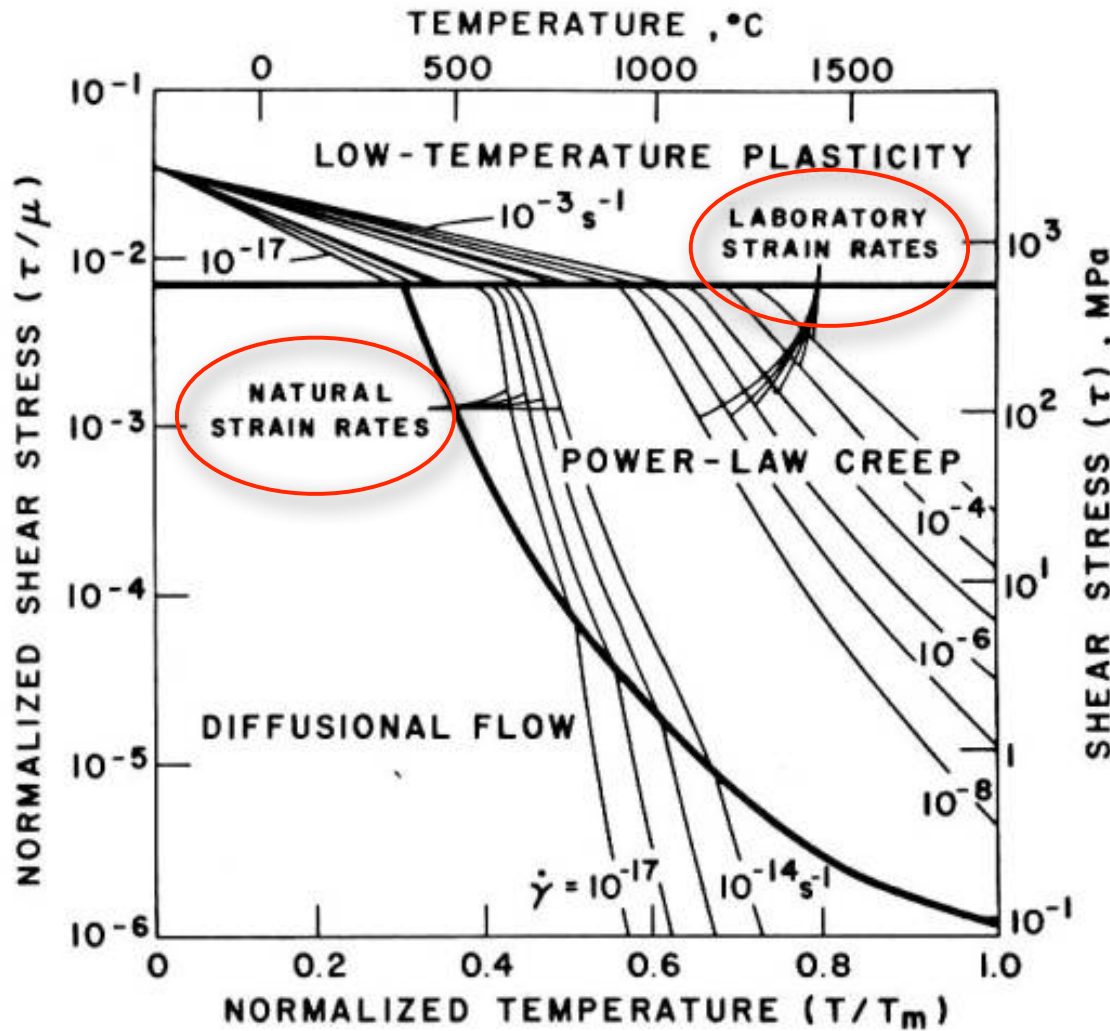
high temperature

diffusional flow

boundary diffusion

lattice diffusion

# deformation mechanism maps - rheologies



ideal strength

plasticity

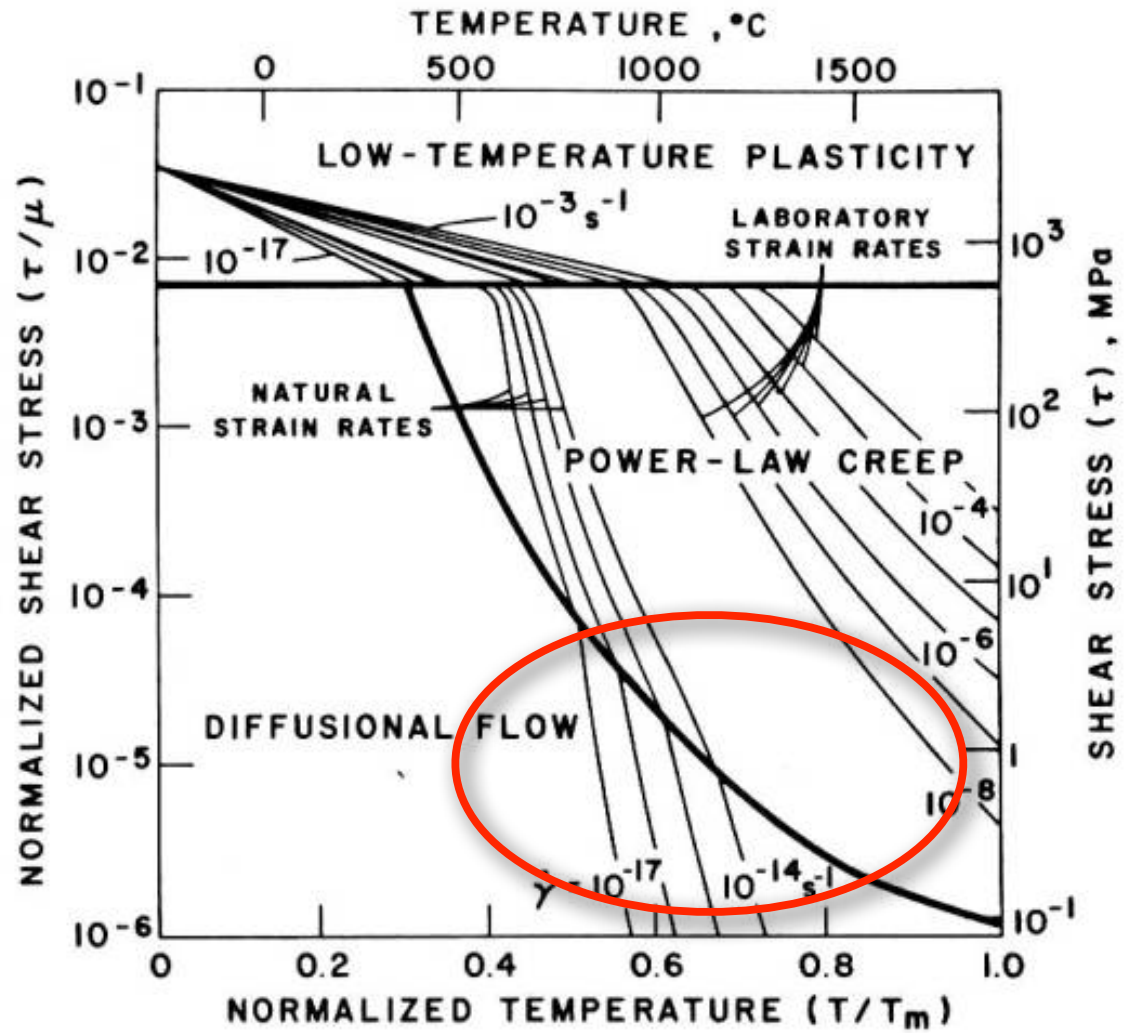
power law creep

low temperature  
high temperature

diffusional flow

boundary diffusion  
lattice diffusion

# deformation mechanism maps - regimes



fracture

dislocation glide

dislocation creep

low n

high n

diffusion creep

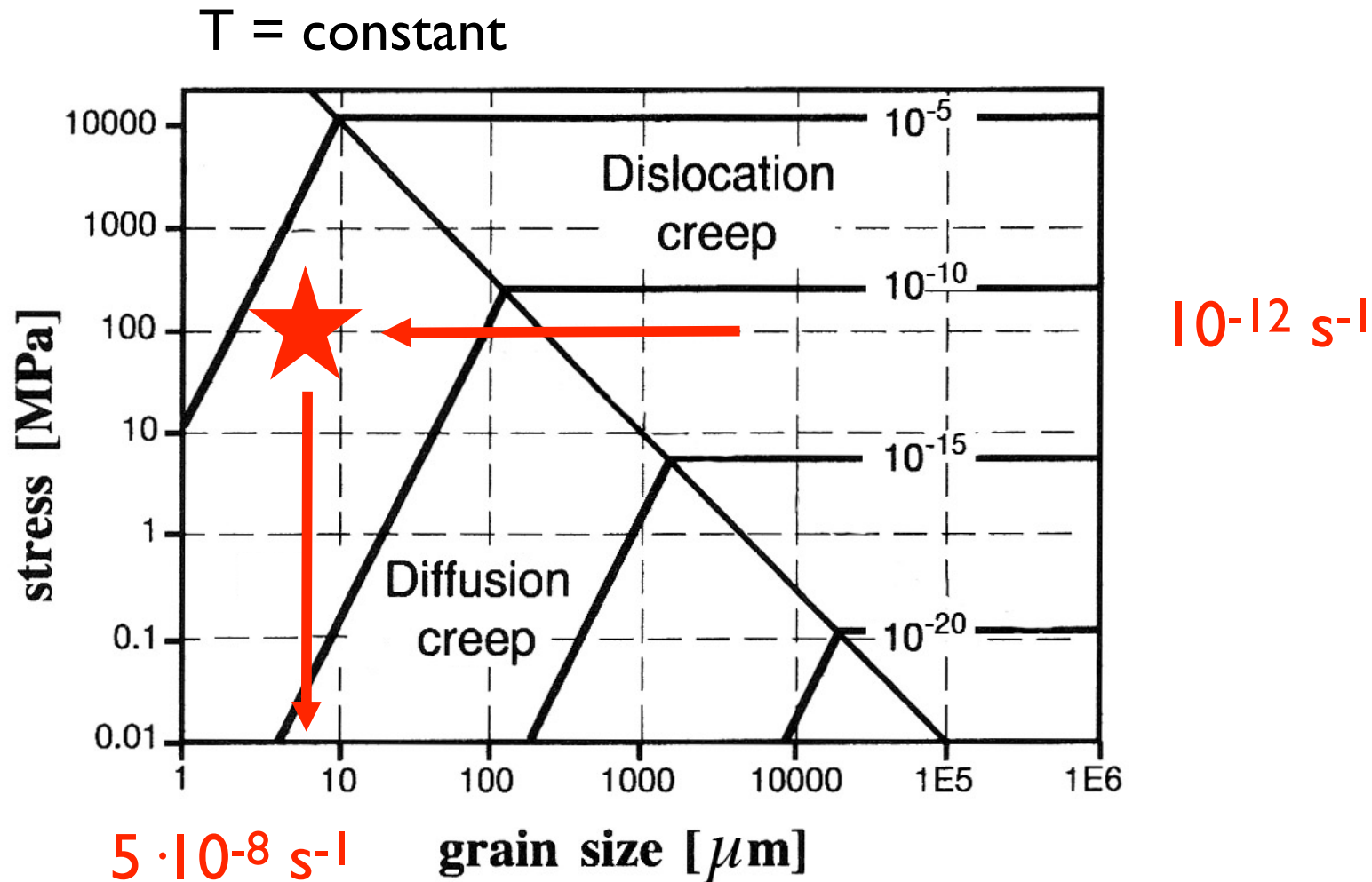
boundary diffusion

lattice diffusion

low stress - high T

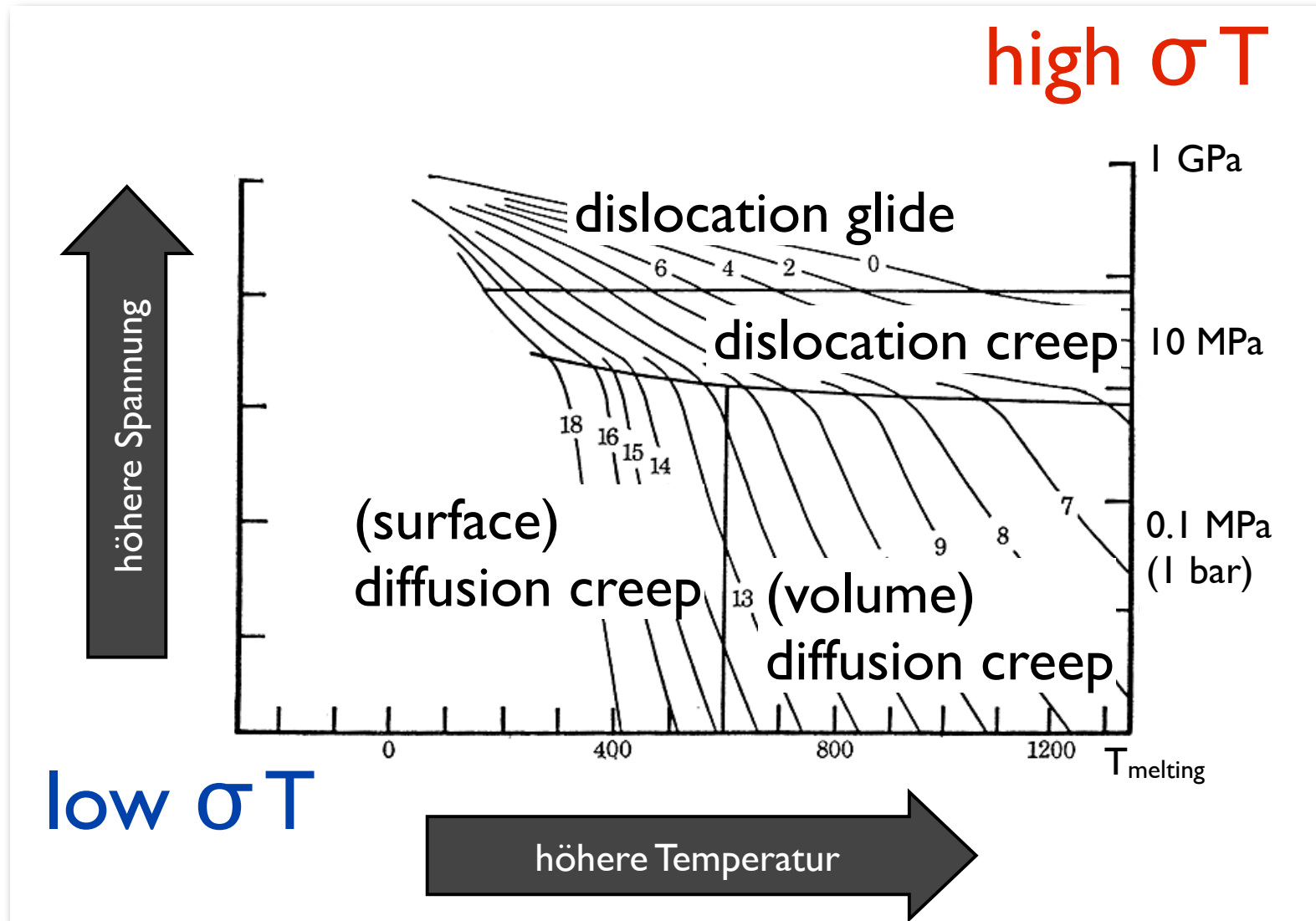


# deformation mechanism maps - grain size

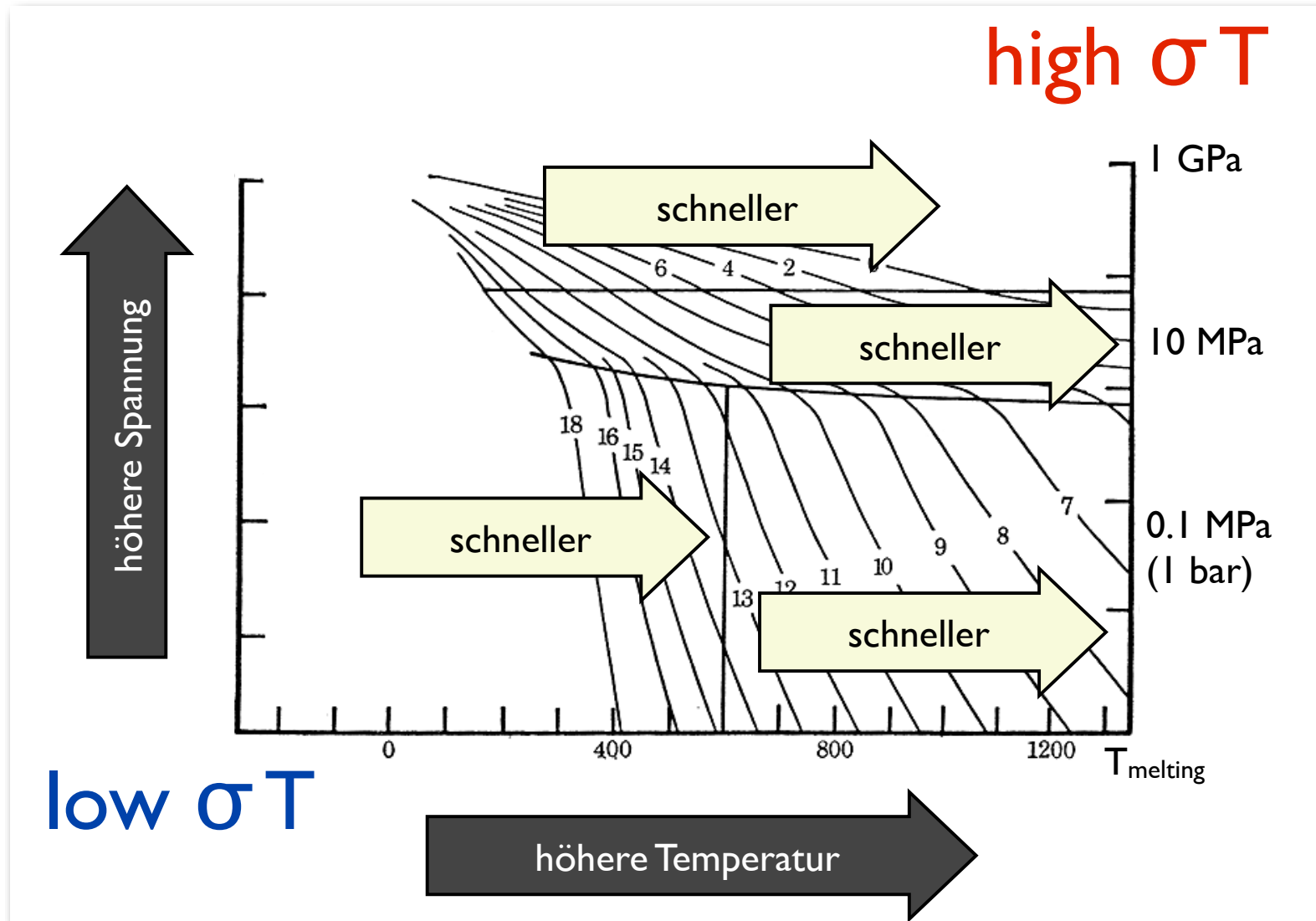


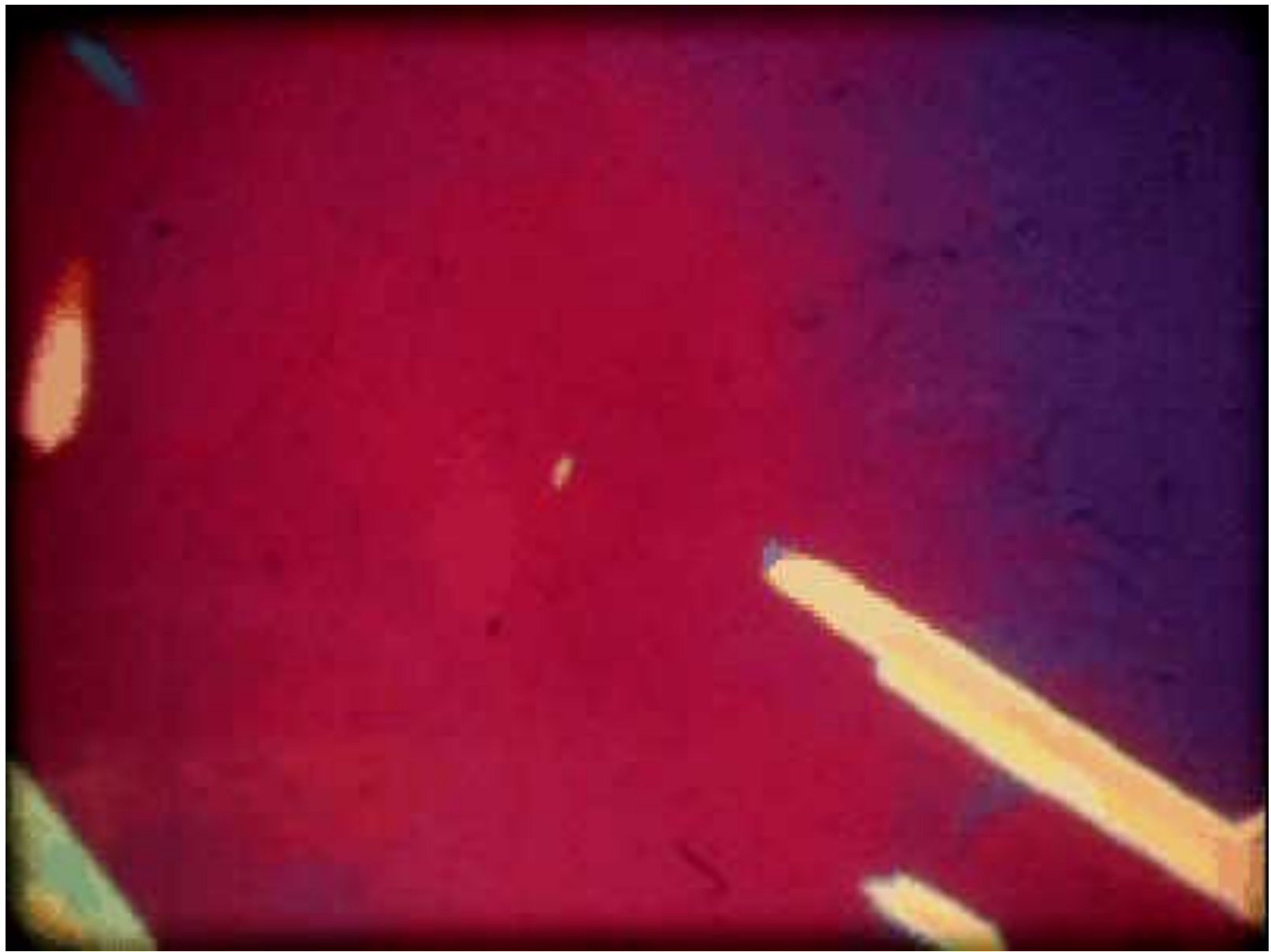
Olivine  
after De Bresser et al. 1998

# deformation mechanism map



# deformation mechanism map



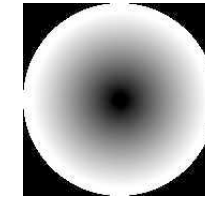
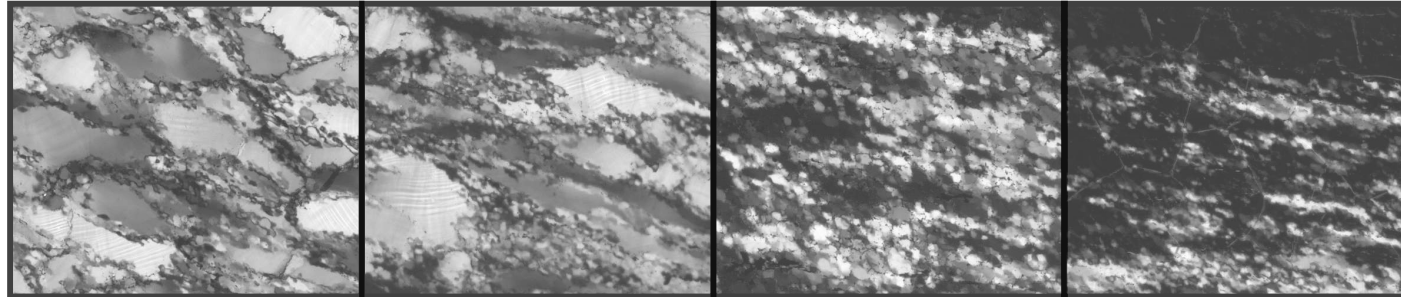




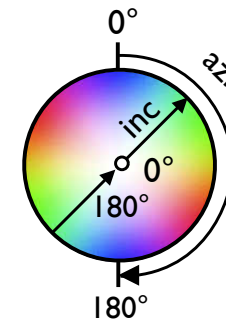
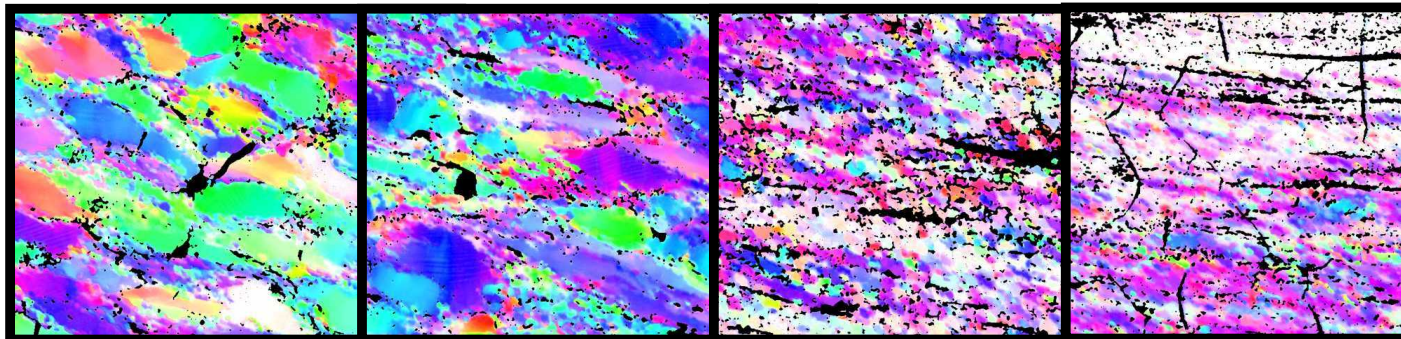
**crystallographic preferred  
orientation (CPO)  
characteristic pole figures**

# pole figure development

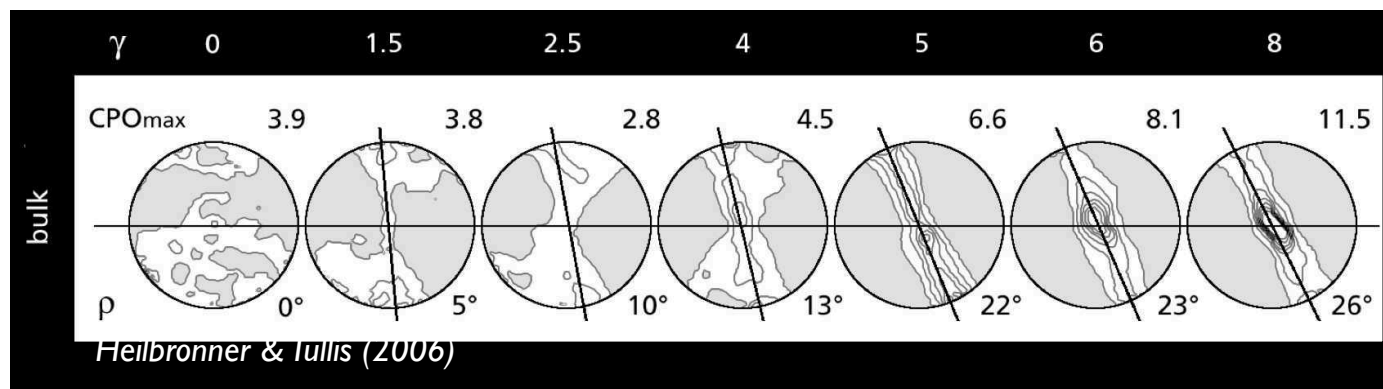
Dislocation creep regime 3



circular polarization

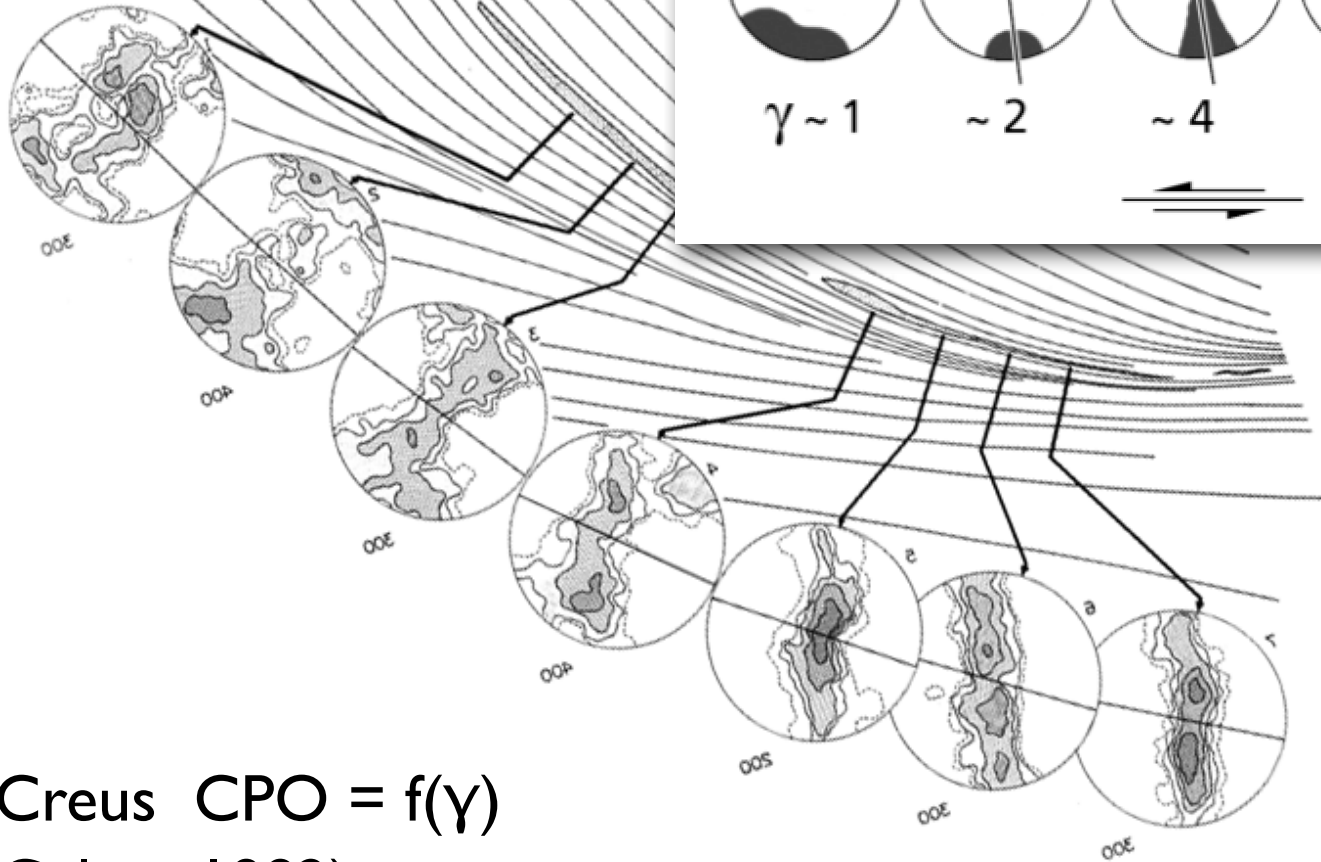


c-axis coloring



# comparison nature - experiment

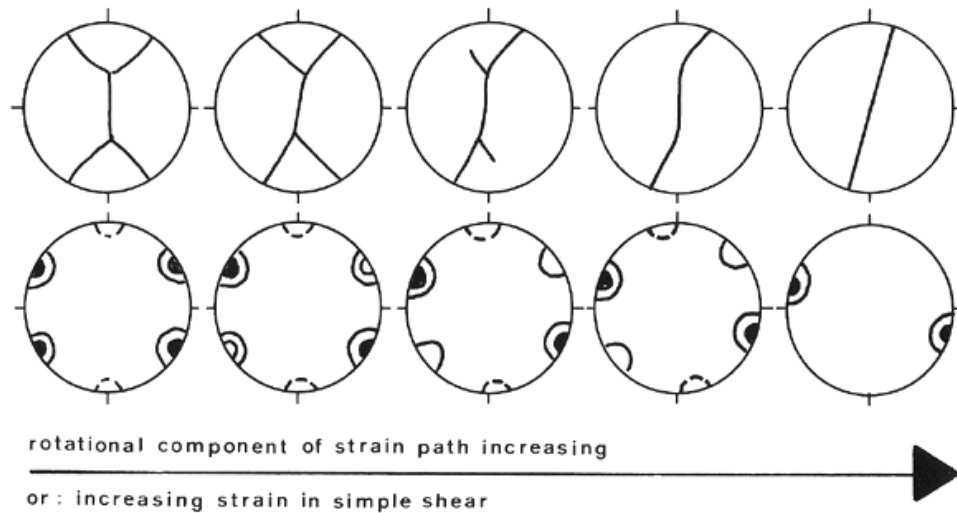
shear direction



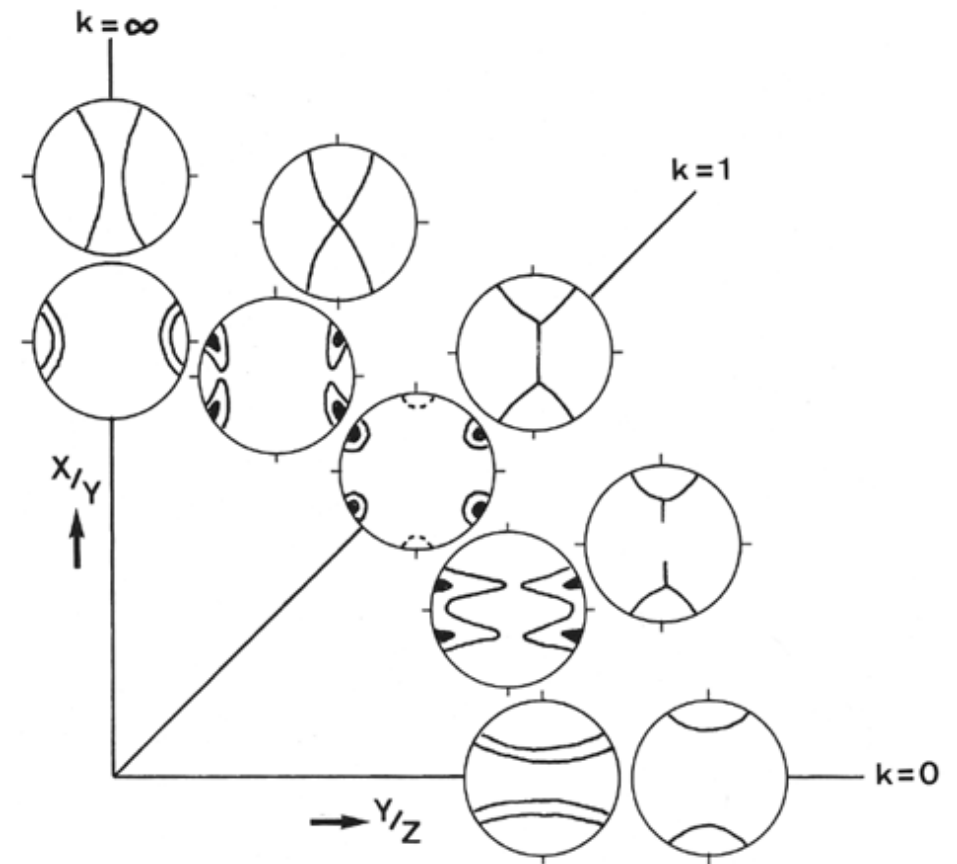
foliation

Cap de Creus  $CPO = f(\gamma)$   
(Garcia Celma, 1982)  
(Carreras & Garcia Celma, 1982)

# use texture to quantify dislocation creep



coaxial - shear

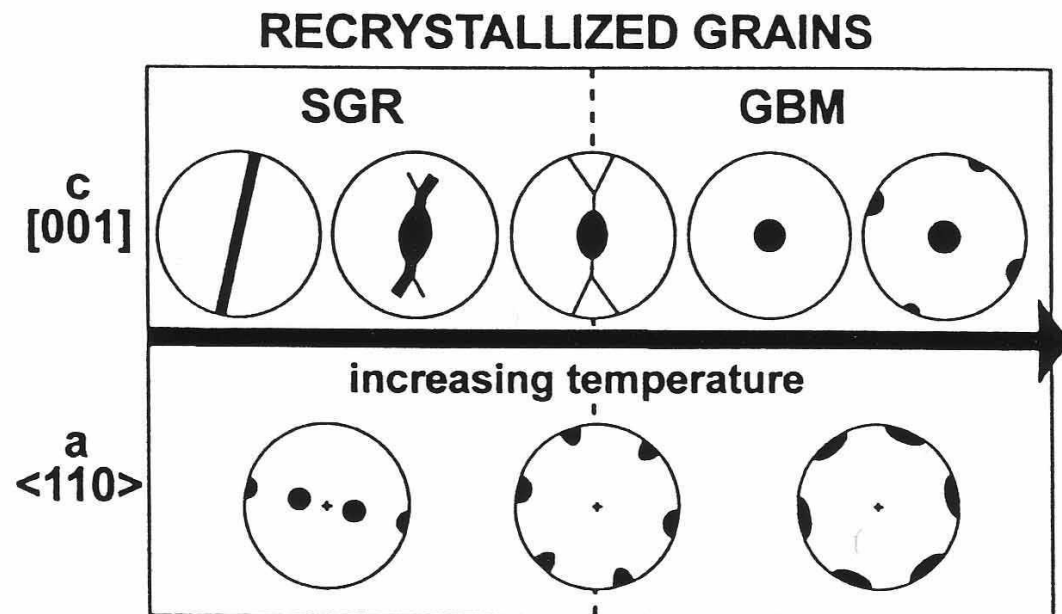
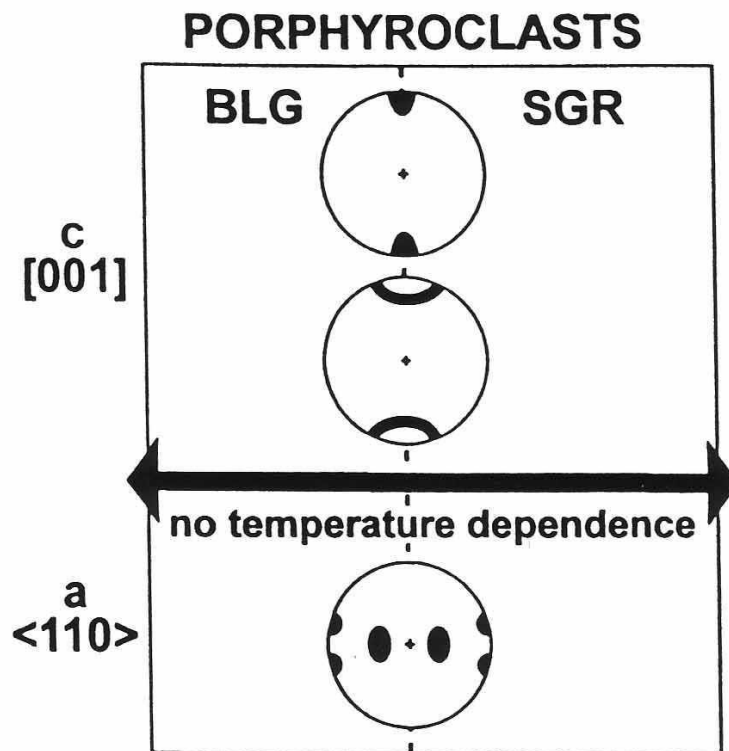


prolate - oblate



# use texture to quantify dislocation creep

Stipp et al 2002



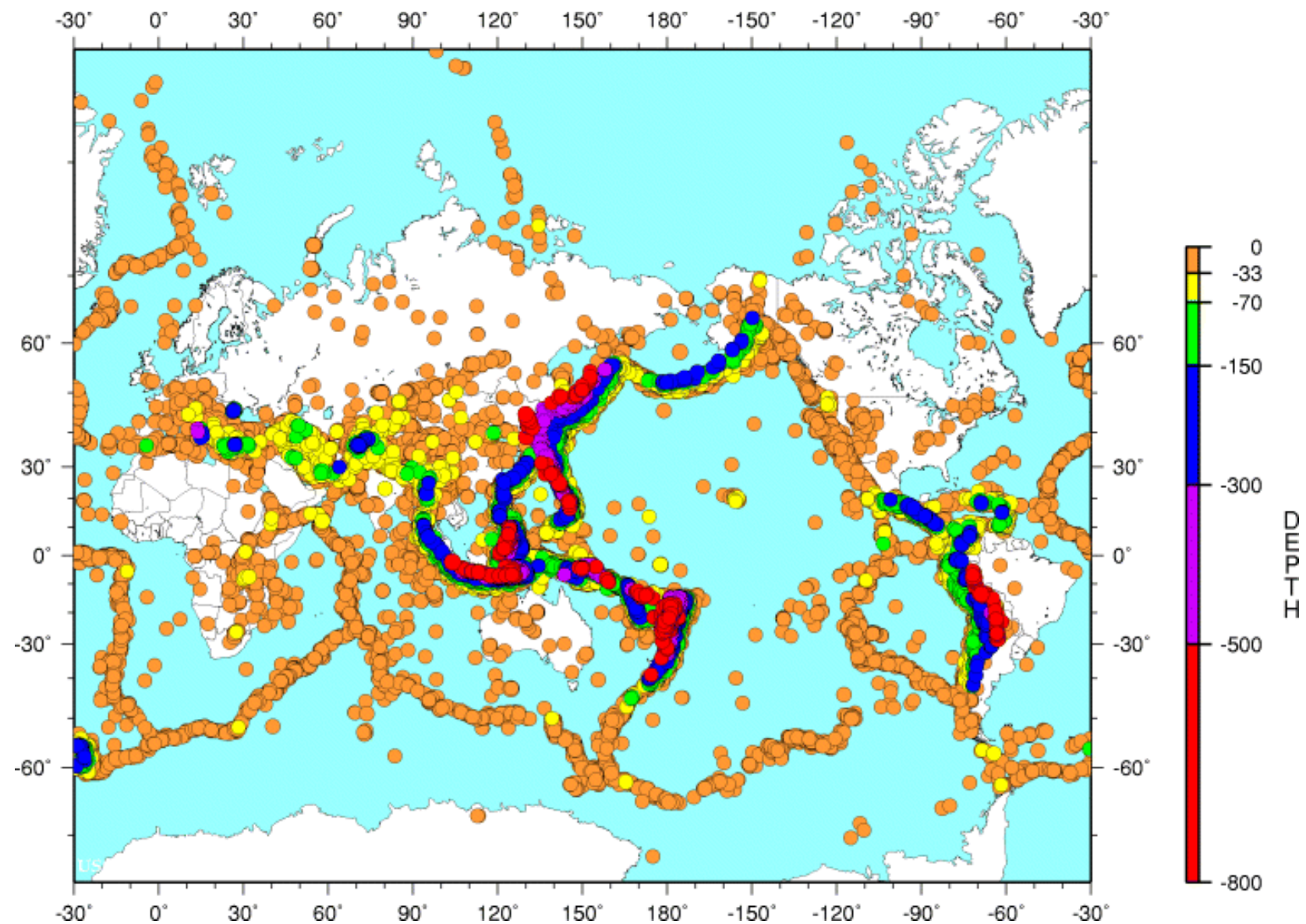
8

# 8 Subduktion - Kollision - Transformstörungen

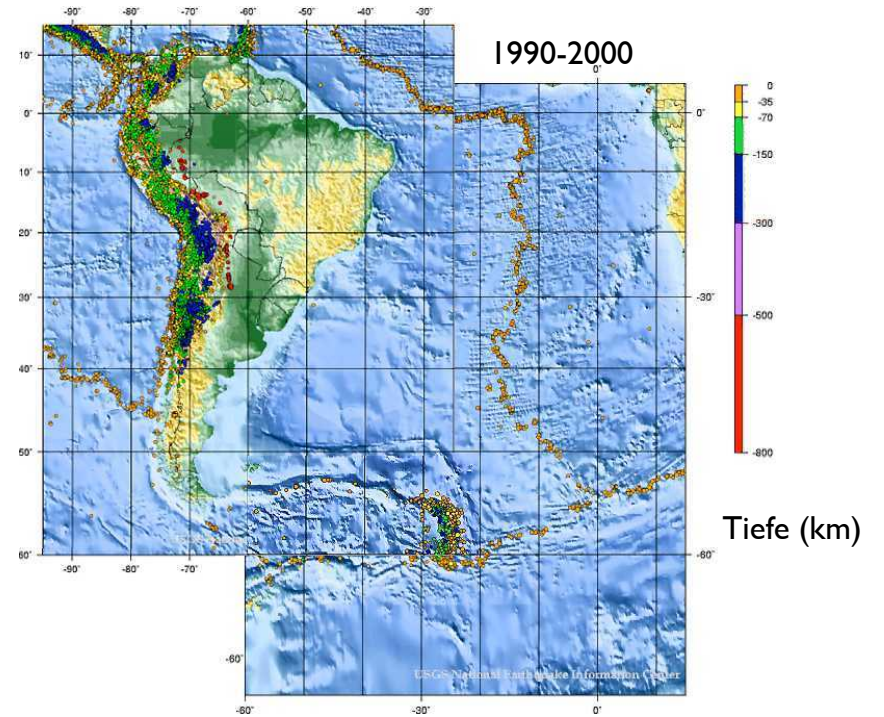
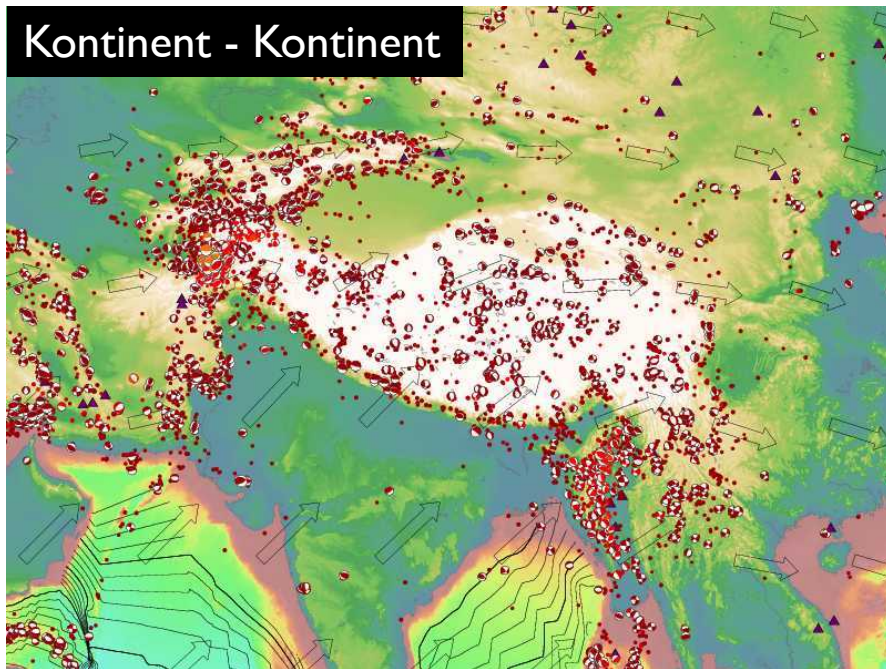
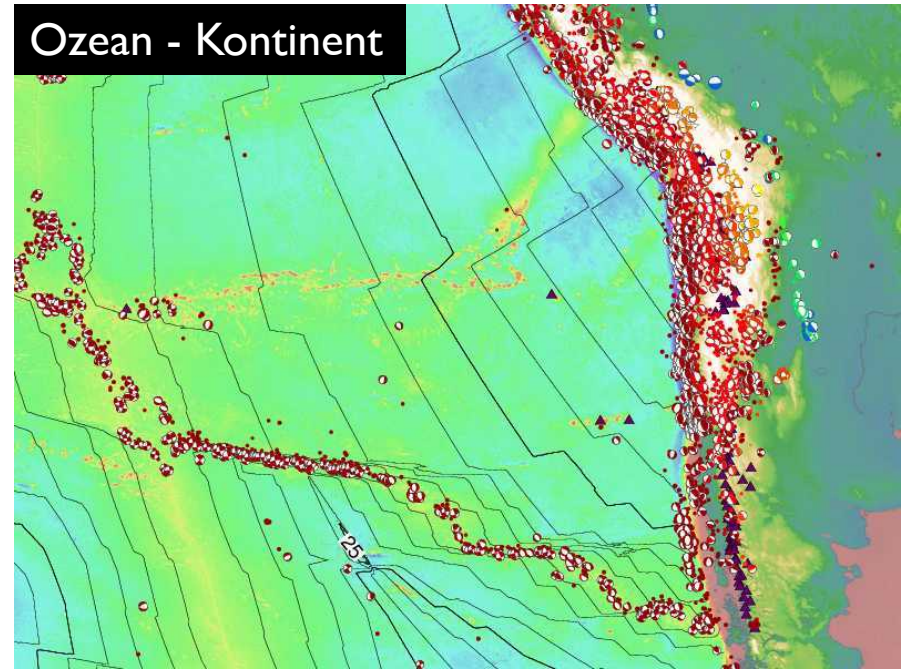
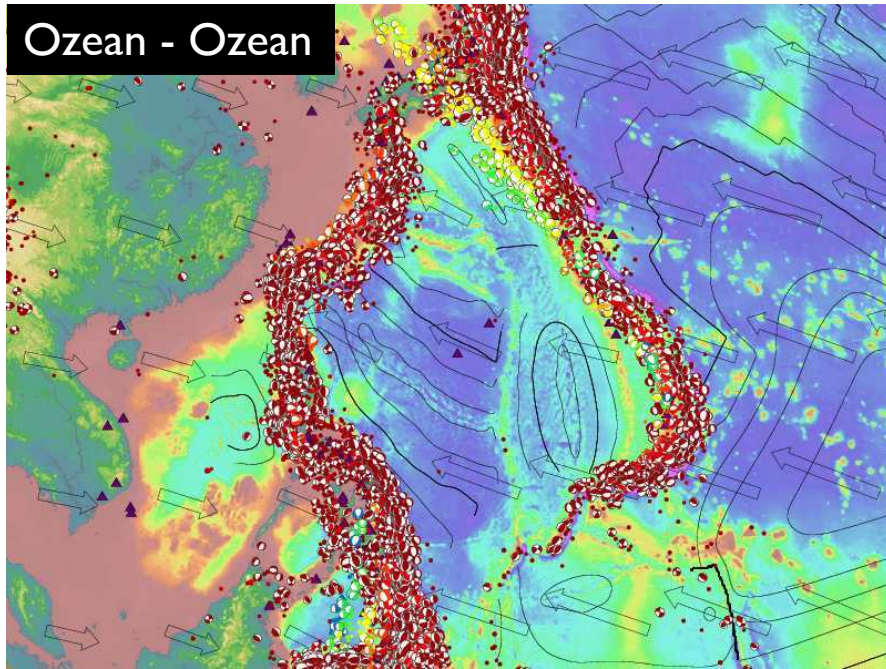
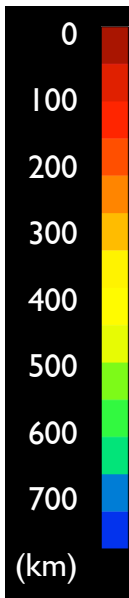
VL-Themen:

- Subduktion
- accretionary wedges - orogenic wedges
- subduction channel
- Orogene
- Strikeslip - Transformstörungen
- Geometrie und Kinematik
- Transform Systeme
- Aktive Verwerfungen

# Konvergente Plattengrenzen





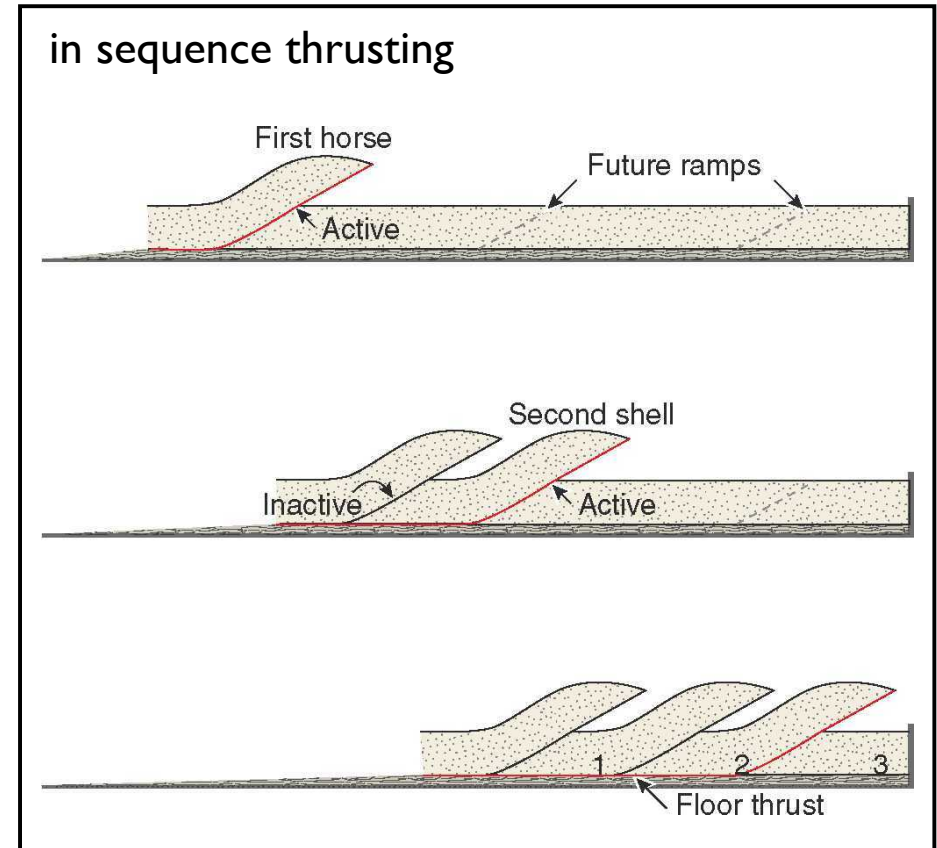
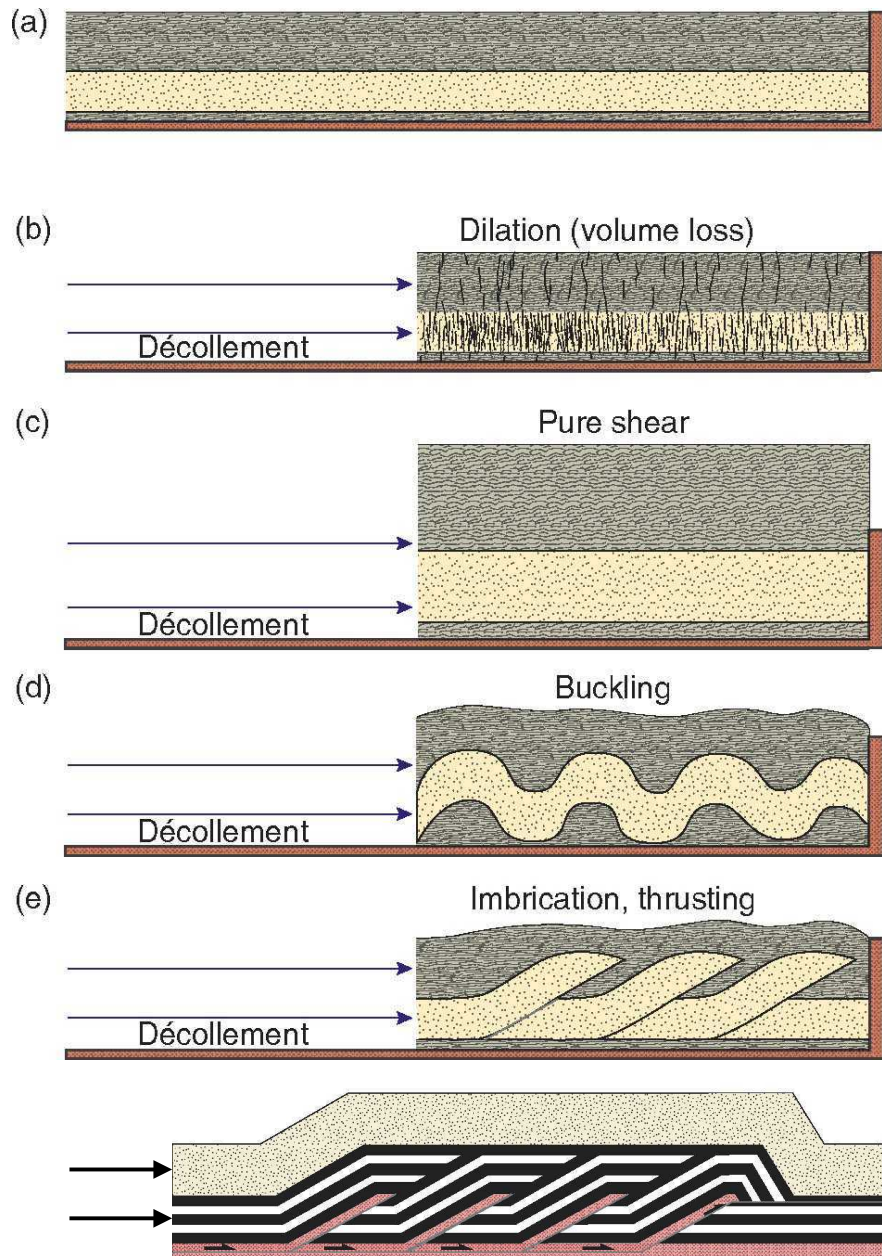


<http://earthquake.usgs.gov/regional/world/seismicity/>

# geometry & morphology of contraction



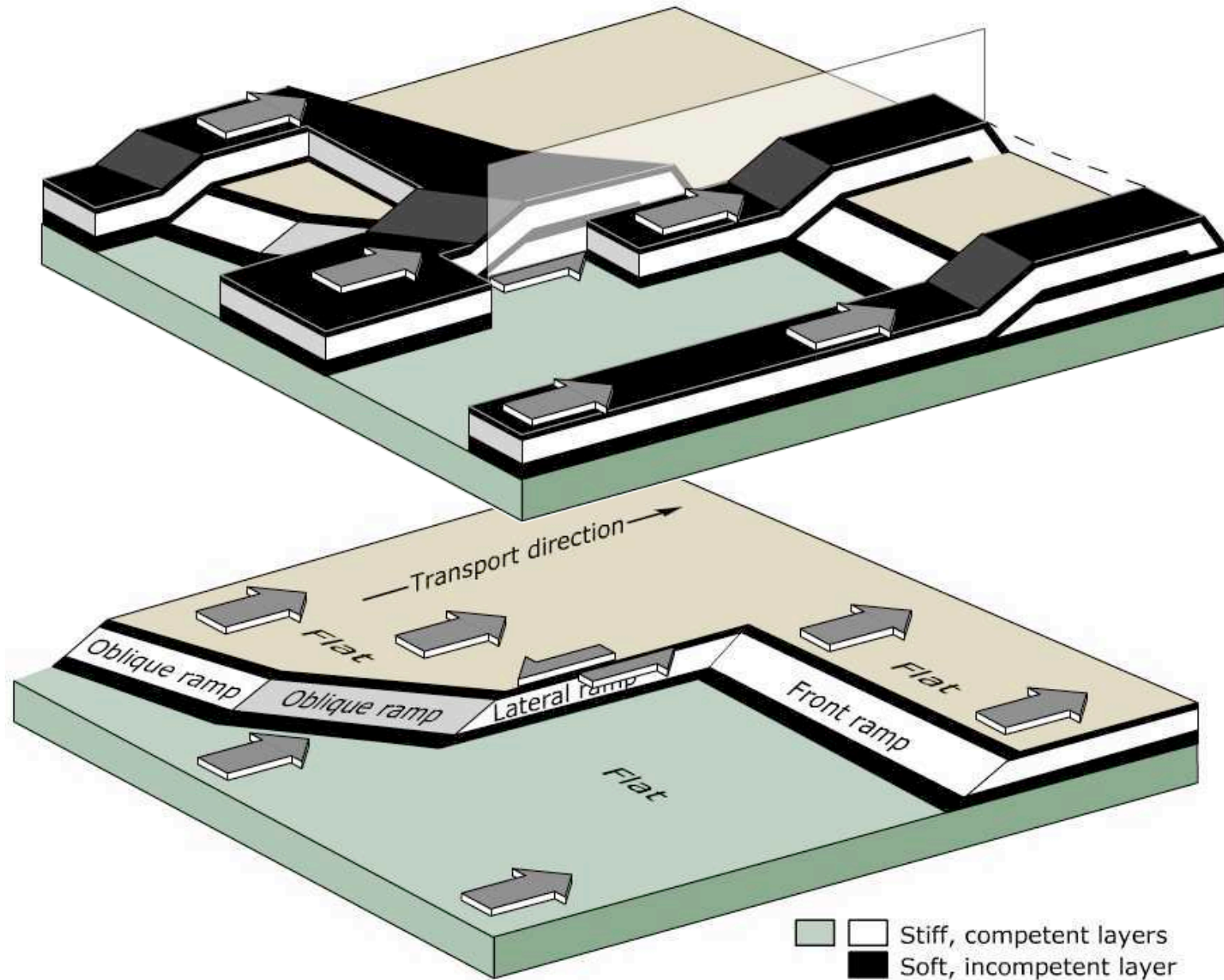
# Verkürzung



**IMBRICATION**  
on floor thrust, fault blocks (horses)

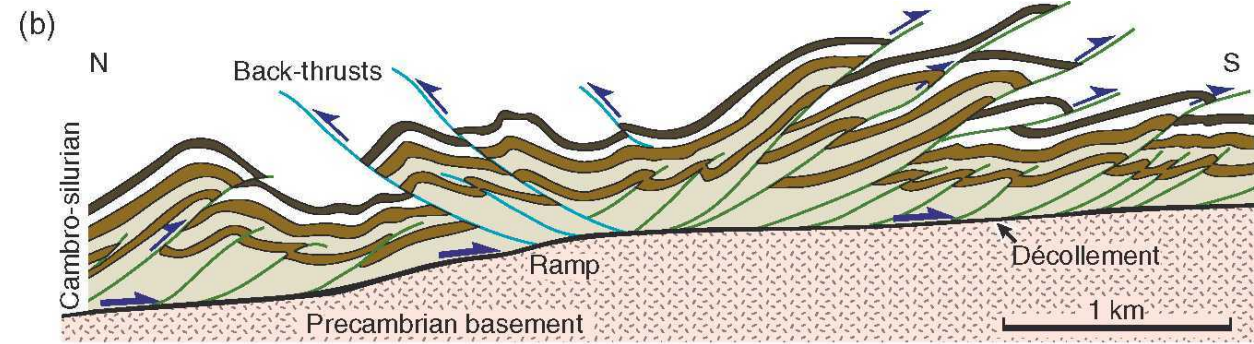
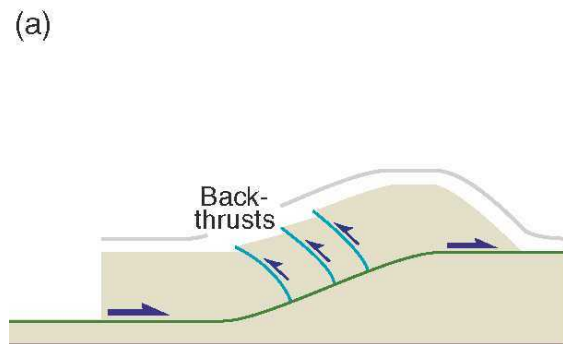
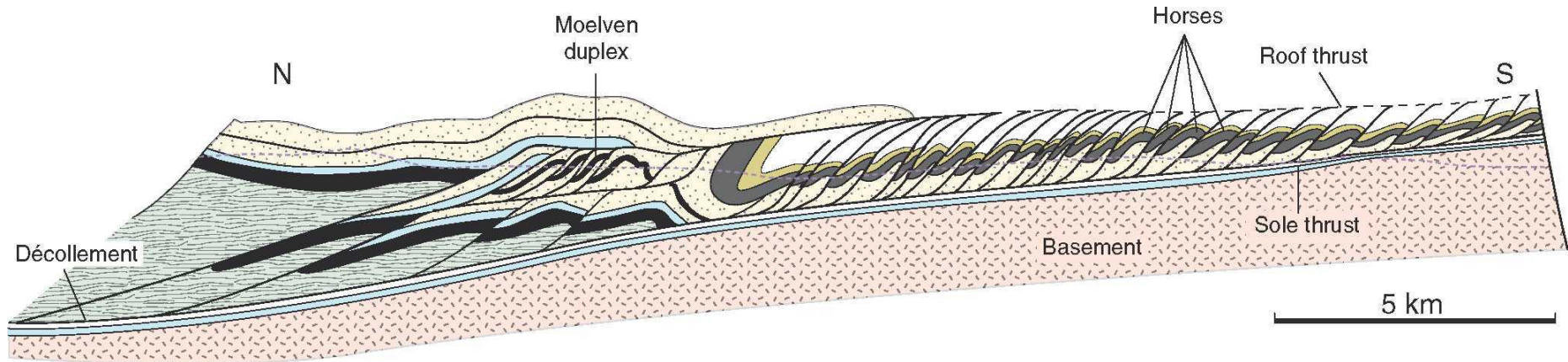
**DUPLEX**  
between floor and roof thrust

# ramps + flats



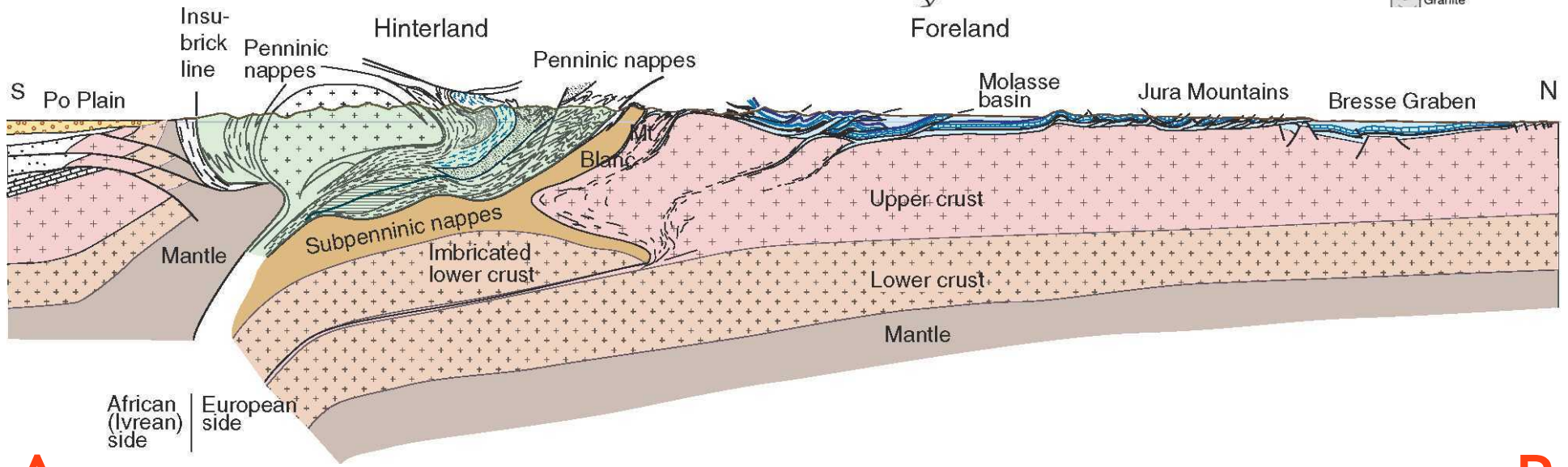
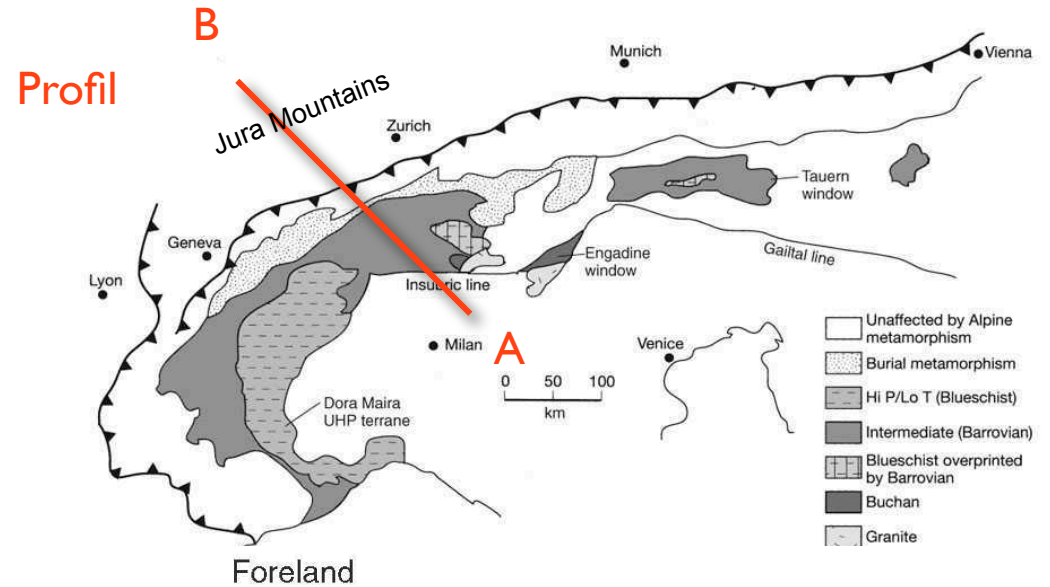


# thrusting



# Decken- und Faltengebirge

Beispiel: Alpen

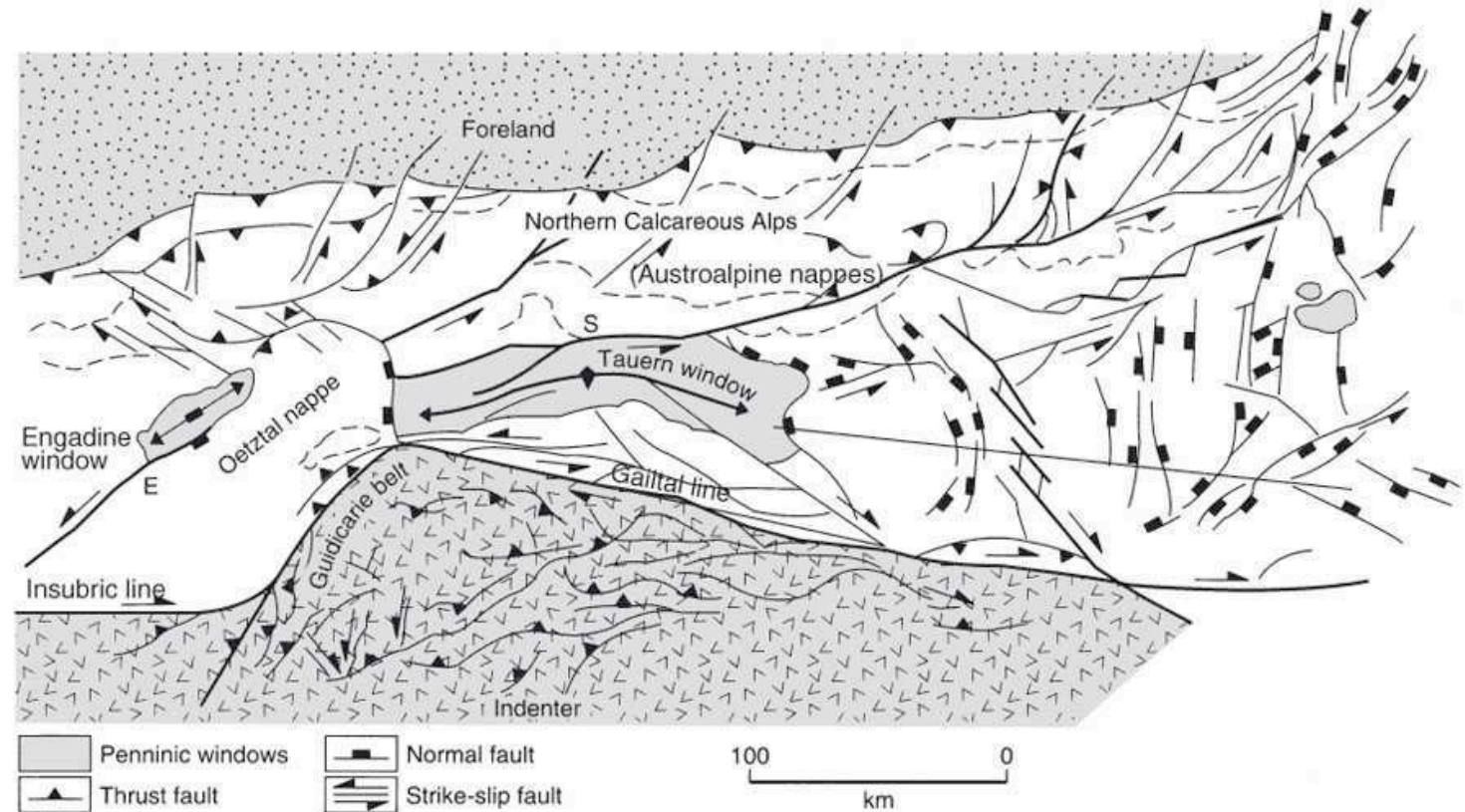


**A**

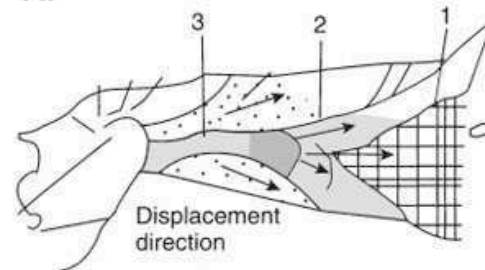
**B**

# lateral escape

Beispiel: Alpen



**A.**



**B.**



# Fold-Thrust Belt Terminology

allochthon  
autochthon  
basale Abscherung  
décollement  
detachment  
fold-thrust belt

Allochthon	A mass of rock, comprising a thrust sheet (i.e., a hanging-wall block), that has been displaced by movement on a thrust fault; commonly, use of the term implies that the mass has moved a considerable distance on a detachment from its point of origin.
Allochthonous	Adjective describing "out-of-place" rocks that have moved a large distance from their point of origin.
Autochthonous	Adjective describing rocks that are still at the site where they originally formed and have not been displaced by movement on a thrust fault or detachment.
Backarc	The region that lies behind the volcanic arc along a convergent plate boundary; the backarc and the trench are on opposite sides of the volcanic arc.
Backstop	A representation of the boundary load in the hinterland of a fold-thrust belt. The backstop generates horizontal compressional stress, which contributes to driving fold-thrust belt development. The backstop represents rock of the hinterland that is moving toward the foreland. As such, a backstop is like a snowplow pushing snow toward the foreland.
Backthrust	A thrust on which the transport direction is opposite to the regional transport direction.
Basal detachment	The lowest detachment of a thrust system; the regional basal detachment in a fold-thrust belt separates shortened crust above from unshortened crust below. In the foreland part of a fold-thrust belt, it typically lies at or near the basement-cover contact (also called a basal décollement).
Blind thrust	A thrust that, while it is active, terminates in the subsurface.
Branch line	The line of intersection between two fault surfaces, e.g., where a ramp branches (splays) off of a detachment, or where one ramp splays off another.
Break-forward sequence	A sequence of thrusting during which younger thrusts initiate to the foreland of older thrusts (also called a foreland-breaking sequence).
Break-thrust fold	A fold that initiates prior to thrusting, but later ruptures so that a thrust cuts through its forelimb.
Cutoff (cutoff line)	The line of intersection between a fault and a bedding plane.
Décollement	A subhorizontal fault (also called a detachment)
Detachment	A subhorizontal fault (also called a décollement)
Detachment fold	A fold that forms in response to slip above a subhorizontal fault, much like fold in a rug that wrinkles above a slick floor.
Duplex	A type of thrust system where a series of thrusts branch from a lower detachment to an upper detachment.
Fault-bend fold	A fold that forms in response to movement over bends in a fault surface.
Fault-propagation fold	A fold that forms immediately in advance of a propagating fault tip (also called a tip fold).
Floor thrust	The lower detachment of a duplex; it forms the base of the duplex.
Fold nappe	A thrust sheet that contains a regional-scale recumbent fold.
Fold-thrust belt	A geologic terrane in which upper-crustal shortening is accommodated by development of a system of thrust faults and related folds.
Footwall block	The body of rock beneath the fault.
Footwall cutoff	The intersection between bedding planes of footwall strata and a fault surface.
Footwall flat	The portion of the footwall where bedding surfaces parallel the fault.
Footwall ramp	The portion of the footwall where bedding surfaces truncate against the fault (i.e., the portion of the footwall along which there are <b>footwall cutoffs</b> ).



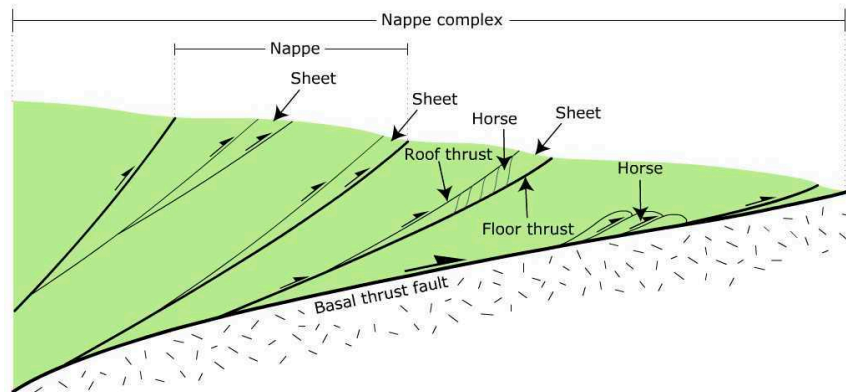
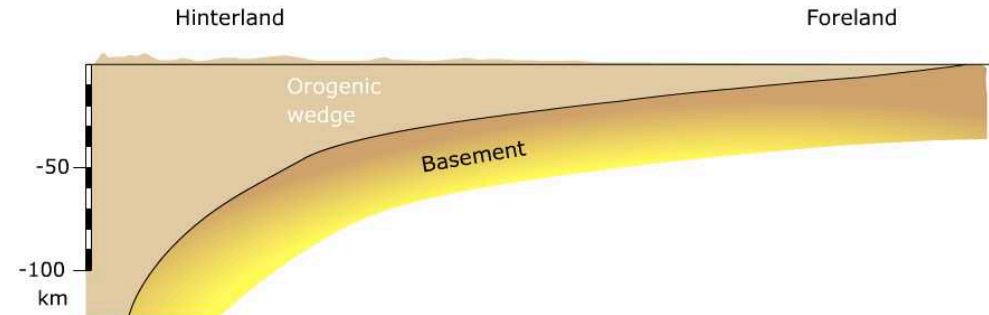
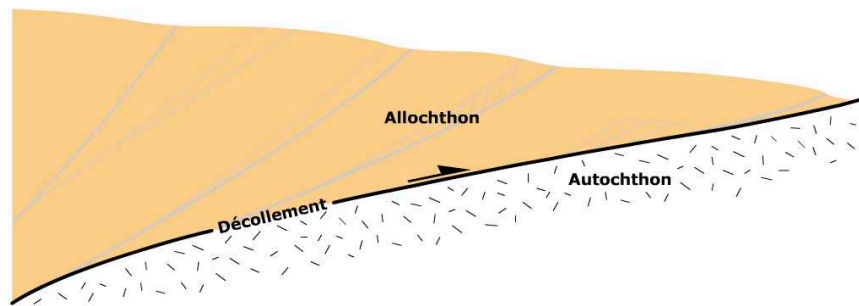
foreland  
 hinterland  
 inversion tectonics  
 mechanical stratigraphy  
 tear fault

Forearc	The region to the trench side of the volcanic arc of a convergent plate boundary. The forearc is not the same as the foreland. The forearc lies on the ocean side of a continental volcanic arc.
Foreland	The part of the undeformed craton adjacent to an orogenic belt; some authors have used the term in a more general sense to include the portion of an orogenic belt closer to the undeformed continental interior.
Foreland basin	A sedimentary basin formed on the continent side of a fold-thrust belt that forms because the weight of the stack of thrust sheets in the belt depresses the lithosphere.
Forethrust	A thrust on which the transport direction is the same as the regional transport direction for the whole fold-thrust belt.
Frontal ramp	A ramp that strikes perpendicular to transport direction.
Hanging-wall block	The rock mass that has been transported above a fault surface.
Hanging-wall cutoff	The intersection between bedding planes of hanging-wall strata and the fault surface.
Hanging-wall flat	The portion of the hanging wall where bedding surfaces parallel the fault.
Hanging-wall ramp	The portion of the hanging wall where bedding surfaces truncate against the fault (i.e., the portion of the hanging wall where there are <b>hanging-wall cutoffs</b> ).
Hinterland	The region closer to the high-grade core of an orogen; as a directional reference, it is the direction opposite to the foreland direction.
Horse	A body of rock in a duplex that is completely enveloped by faults.
Imbricate fan	A type of thrust system where a series of thrusts branch from a lower detachment without merging into an upper detachment horizon.
Inversion tectonics	The process by which a site of extension (e.g., a rift or passive margin basin) transforms into a site of shortening. During inversion, faults that had initiated as normal faults reactivate as thrust faults, and the sedimentary fill of the rift or passive-margin basin is shoved up and over the margins of the basin.
Klippe	An erosional outlier of a thrust sheet that is completely surrounded by footwall rocks; it is an isolated remnant of the hanging-wall block above a thrust.
Lateral ramp	A ramp that strikes parallel to transport direction.
Mechanical stratigraphy	The succession of rock types comprising the stratigraphy of a region, defined in terms of their relative strength.
Oblique ramp	A ramp that strikes oblique to transport direction.
Out-of-sequence thrust	A thrust that initiates to the hinterland of preexisting thrusts.
Out-of-plane strain	The strain due to movement outside the plane of cross section.
Regional transport direction	The dominant direction in which thrust sheets of a thrust belt moved during faulting. Some authors use the term <b>regional vergence direction</b> as a synonym.
Roof thrust	The upper detachment of a duplex.
Stair-step geometry	The geometry of a thrust that cuts upsection via a series of flats and ramps. The shape of the fault resembles a staircase in cross section. Typically, the ramps form in stronger units, and the flats in weaker units.
Tear fault	A nearly vertically dipping fault in a thrust sheet that that is parallel or subparallel to the regional transport direction. Motion on a tear fault is dominantly strike-slip and may accommodate differential displacement of one part of a thrust sheet relative to another (i.e., a tear fault is a nearly vertically dipping <b>oblique ramp</b> or <b>lateral ramp</b> ).

tectonic inversion  
thick-skinned tectonics  
thin-skinned tectonics  
thrust  
thrust sheet

<b>Tectonic inversion</b>	The reactivation of preexisting faults by a reversal of slip direction on the faults.
<b>Thick-skinned tectonics</b>	The process of deformation that involves slip on basement-penetrating reverse faults; this movement uplifts basement and causes monoclinical forced-folds ["drape folds"] to develop in the overlying cover.
<b>Thin-skinned tectonics</b>	The process of deformation in which folding and faulting are restricted to rock above a detachment. Some authors restrict the term to situations in which the detachment lies at or above the basement-cover contact. Others use the term even when basement occurs in thrust sheets, to imply that the basement has been transported or detached.
<b>Thrust fault (thrust)</b>	A shallowly to moderately dipping (< 30°) contractional fault with dip-slip reverse movement; in detail, thrusts may include several ramps and flats, and thus on a regional scale, do not necessarily have a uniform dip.
<b>Thrust sheet</b>	The hanging-wall block, above a thrust surface, that has been transported as a consequence of slip on the thrust (also called a <b>thrust slice</b> )
<b>Thrust system</b>	An array of related thrusts that connect at depth; a regional-scale thrust system may represent shortening above a specific regional detachment.
<b>Tip line</b>	The line along which displacement on the thrust becomes zero.
<b>Triangle zone</b>	A region in which a wedge of rock is bounded below by a forethrust and is bounded above by a backthrust.
<b>Window (fenster)</b>	An erosional hole through a thrust sheet that exposes the footwall (i.e., an exposure of the footwall completely surrounded by hanging wall rocks).

# nappe complex



wedge accretion:

- folds
- duplexes
- imbrications

Foreland:

- thin-skinned contractional tectonics
- basement undeformed
- localized deformation
- formation of nappe systems
- sediments form eroding hinterland

Hinterland:

- thick-skinned deformation
- basement and cover (wedge) deformed
- underplating
- penetrative deformation
- formation of metamorphic nappes
- extensive internal nappe folding

# Subduktionszonen



# terminology

## Subduction zones

... are the three-dimensional manifestation of convective downwelling. Subduction zones are defined by the inclined array of earthquakes known as the “Wadati-Benioff Zone” after the two scientists who first identified it.

## Convergent plate margins

... are the surficial manifestations of downwelling

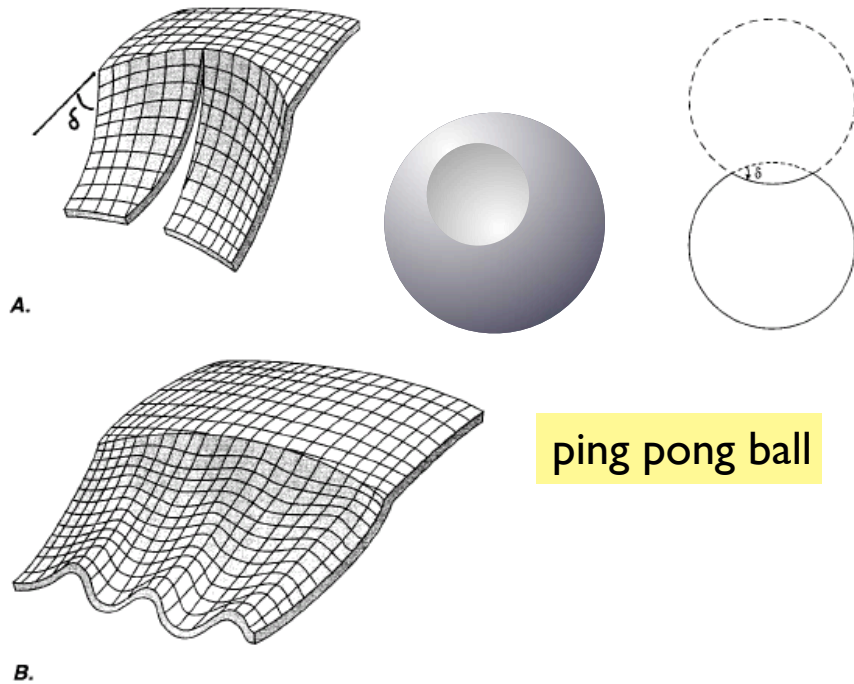
## Arcs

... (better referred to as arc-trench complexes) are surficial and crustal manifestations of a subduction zone that is operating beneath it.

## Slabs

Subducted sediments, crust, and mantle lithosphere may be described separately or in combination and may be called “subducted slab” or just “slab.”

# geometry

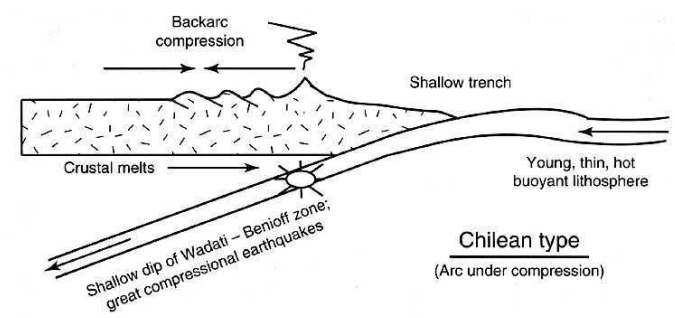


ping pong ball

Moore & Twiss (1995)

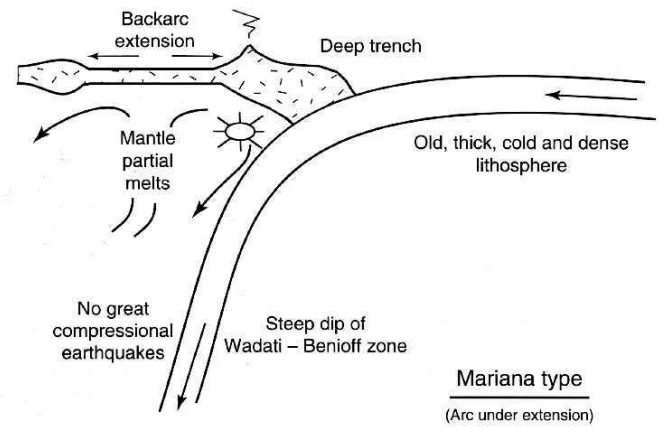
Subduktionssystem sind konkav gegen oben

young thin hot → shallow



Chilean type  
(Arc under compression)

old thick cold → steep



Mariana type  
(Arc under extension)

Kearey et al. 2009

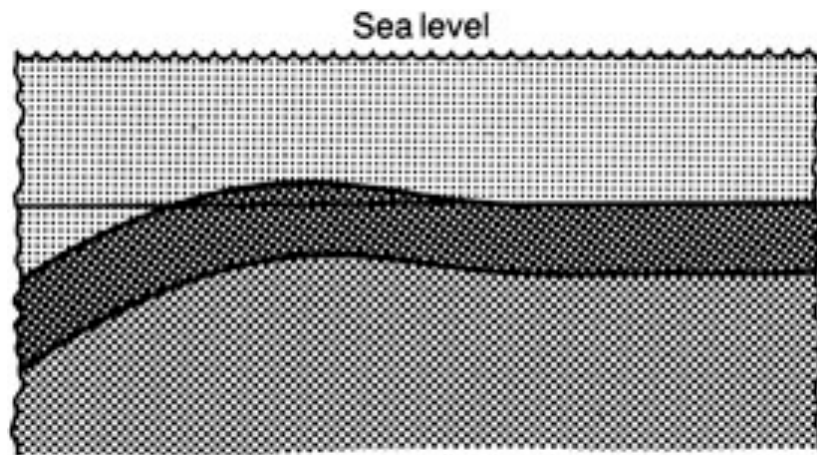
Tiefe des Grabens (Subduktionswinkel) hängt vor allem von Alter der abtauchendn Lithosphäre ab

# outer swell

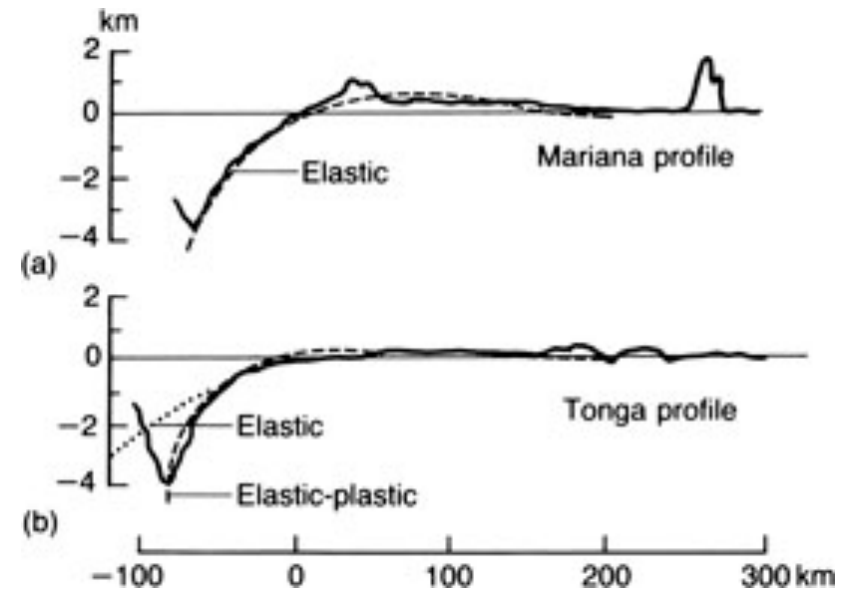
Bending of the lithosphere gives rise to the topographic bulge (outer swell).

Generally located 100-200 km from the trench axis.

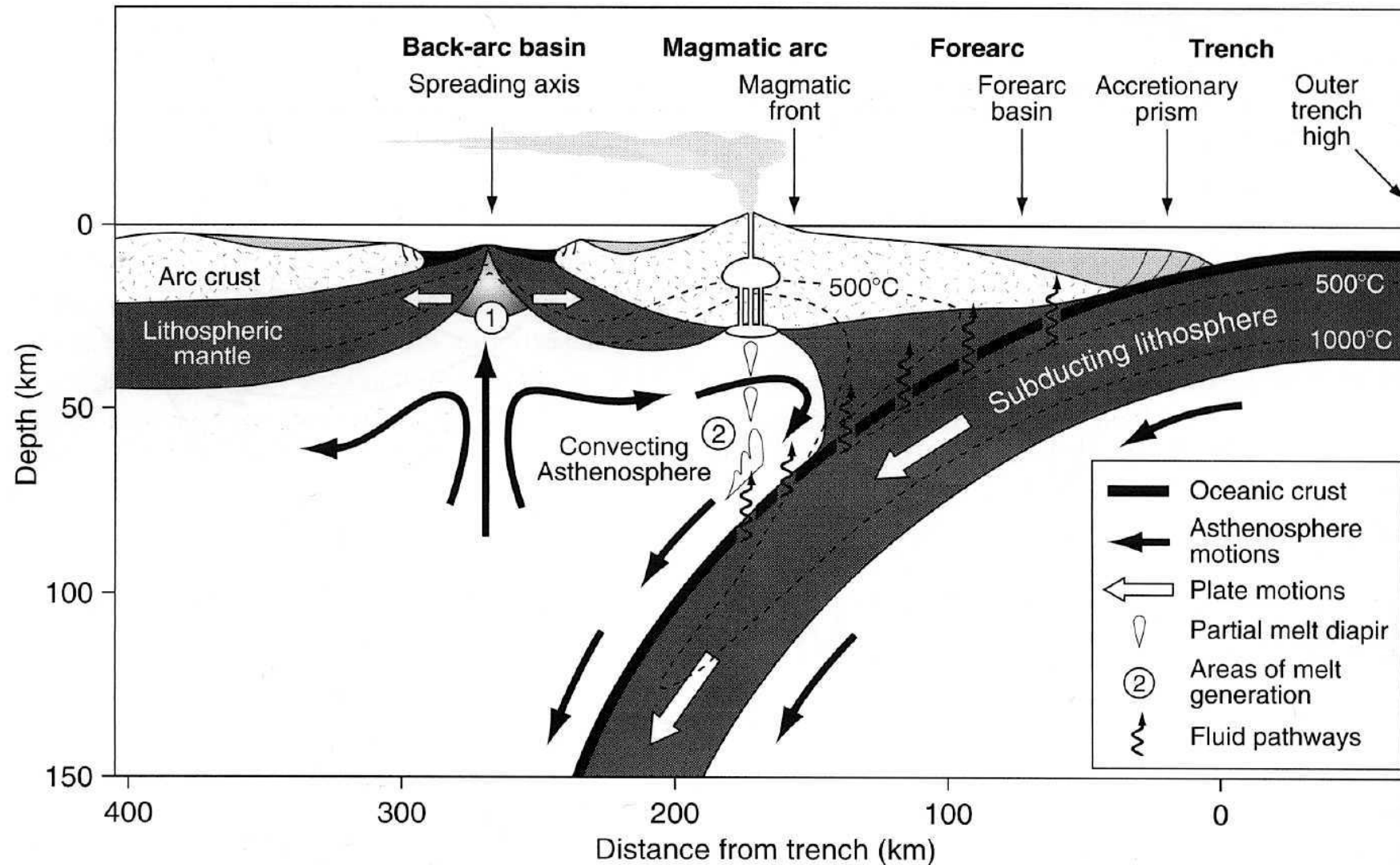
Bending model, using elastic behavior



Kearey et al. 2009

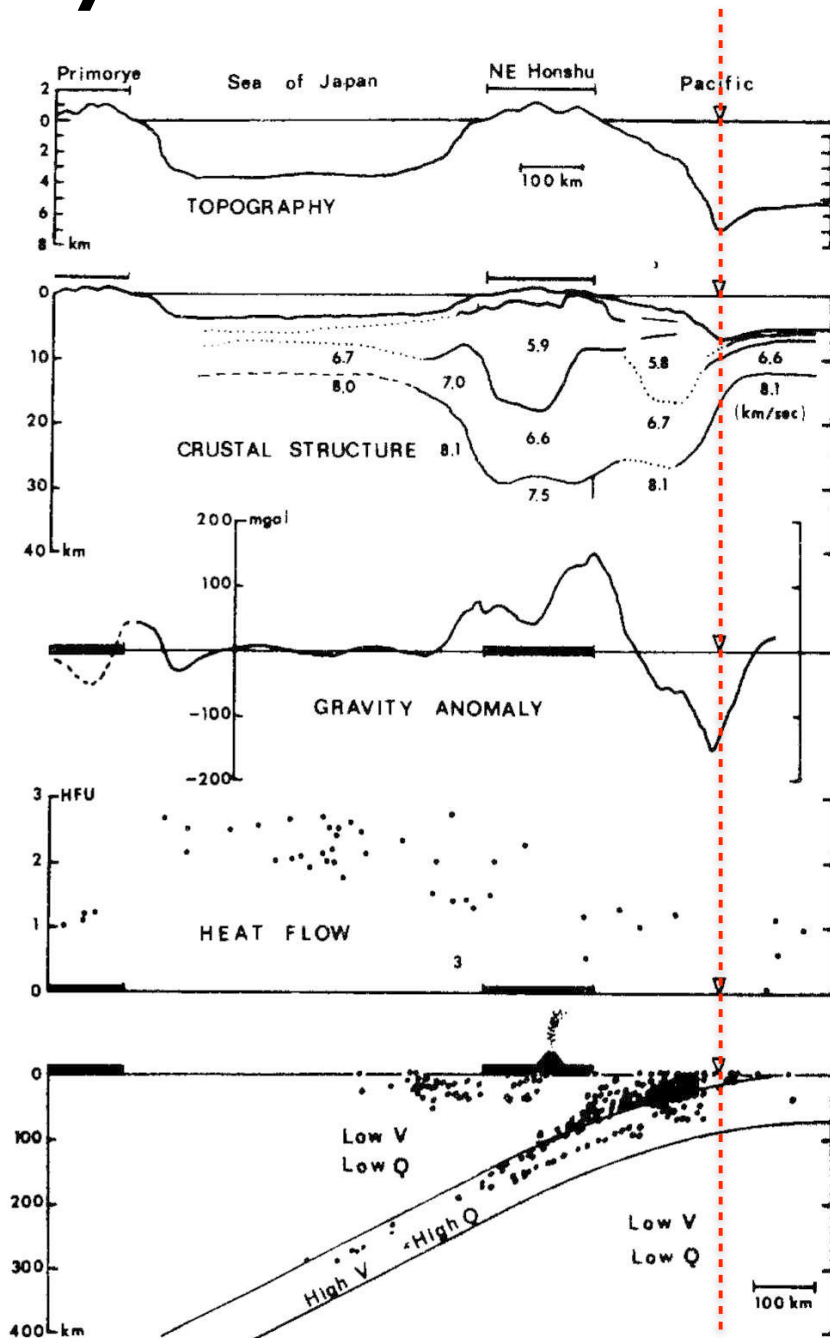


# morphology of arc systems





# physical structure



Example Japan:

Low P-wave velocity in the uppermost mantle beneath the arc:

- indicating thin lithosphere
- high T asthenosphere elevated almost to Moho

Negative gravity anomaly

- replacement of rock by water and low density sediments at the trench

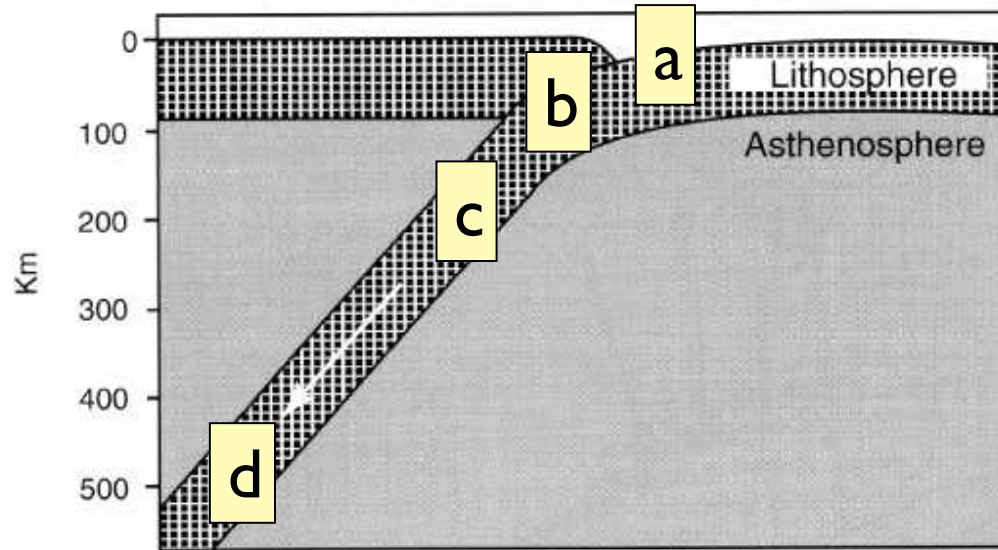
Positive gravity anomaly at volcanic arc

- replacement of water by high-density material.

Low heat flow at trench (here, Pacific Ocean)

high heat flow continentward of the volcanic front including backarc (here, Japan Sea)

# earth quakes in bending slab

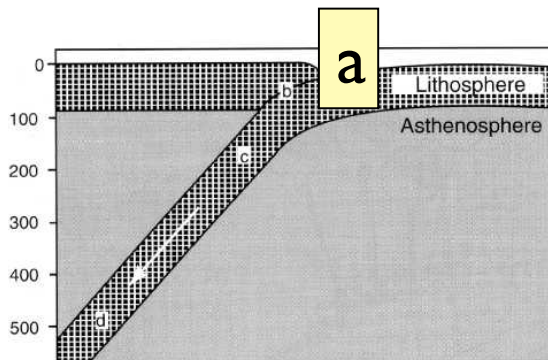
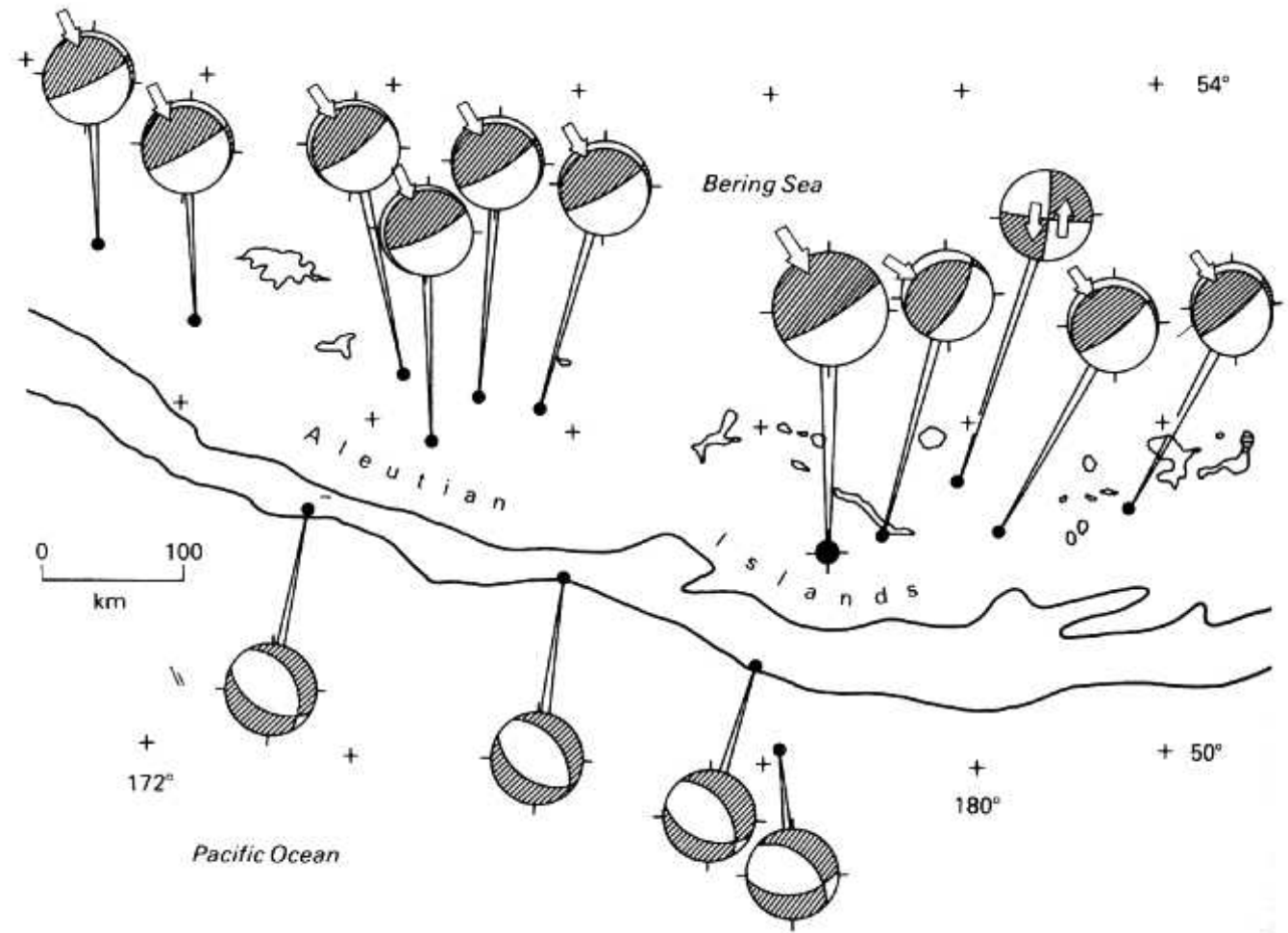


Depth of earthquakes and focal mechanism at downgoing slab

- a. Extensional setting in bending slab
- b. Frictional region in lithosphere
- c. Internal deformation of slab below lithosphere depth
- d. Deep earthquakes due to phase transformations

# a. Extensional setting in bending slab

Focal solutions for Aleutian trench

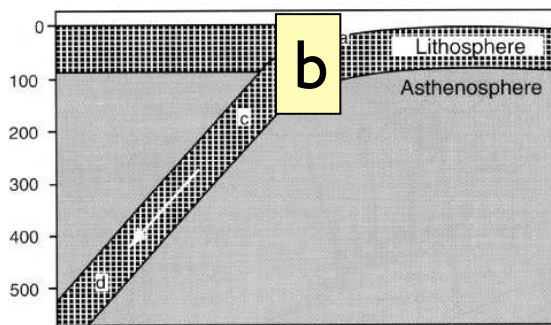
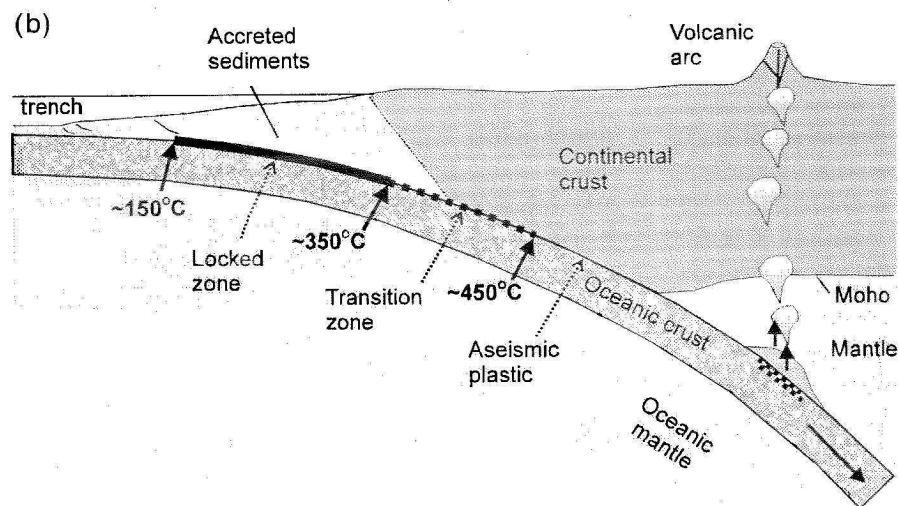
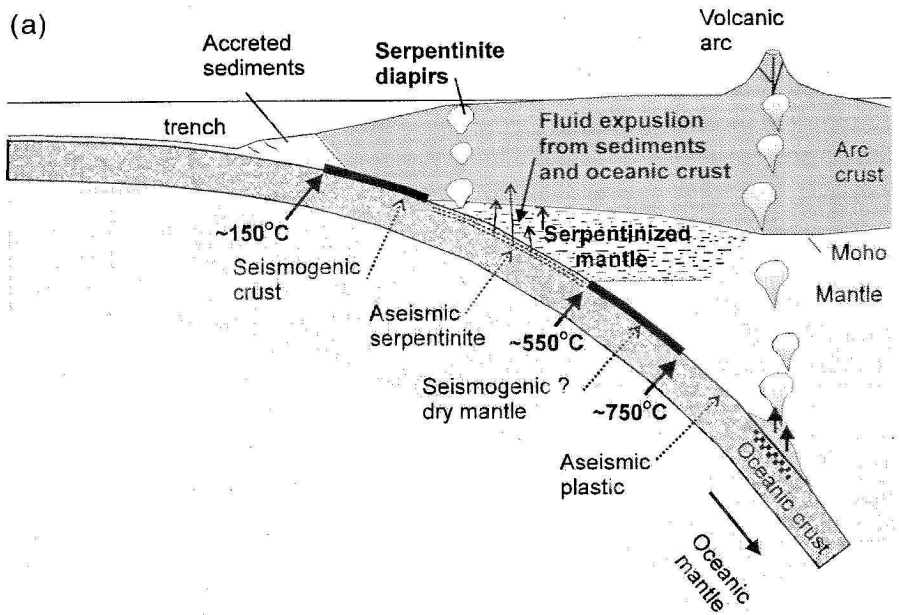


# b. Frictional region in lithosphere

Large, shallow earthquakes in subduction zones contribute 90% of the total seismic moment released worldwide [Pacheco and Sykes, 1992].

These earthquakes have focal mechanisms indicating thrust faulting along the subduction interface by friction.

Only 2–5% of the total downdip length of the Wadati-Benioff Zone generates this kind of earthquake, and this segment is known as the main





# c. Internal deformation of slab

Different zones of earthquake sources in slab

=

different mechanisms for earthquakes

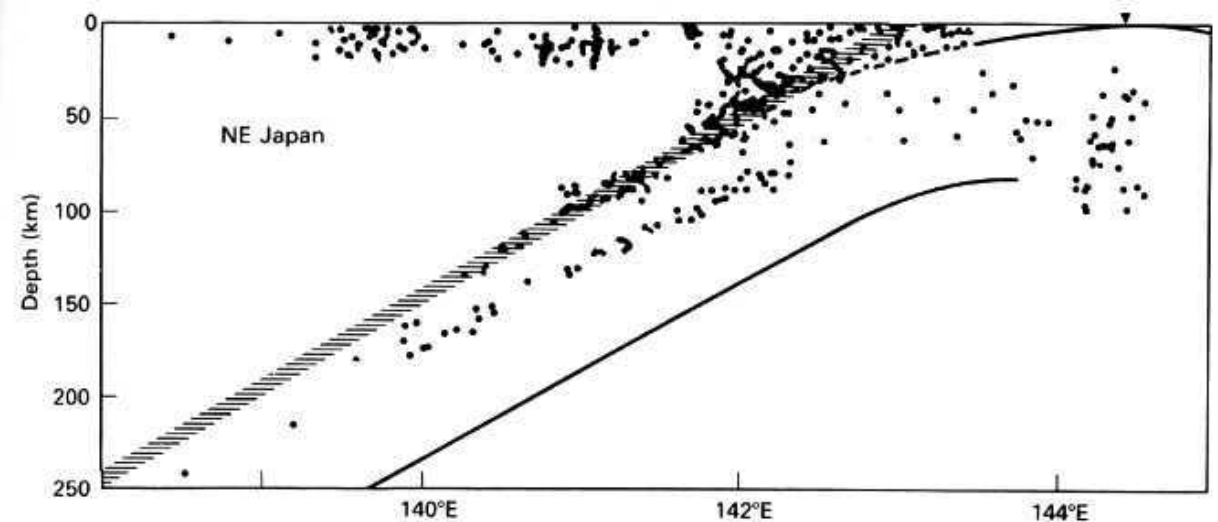
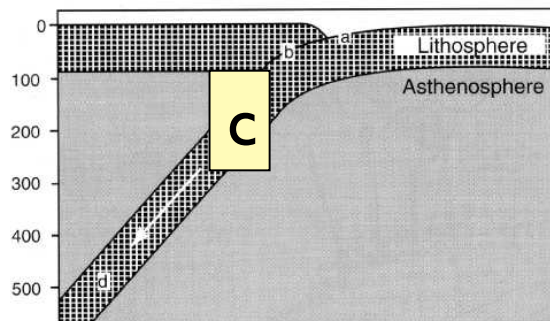
Upper zone:

Asthenosphere too weak to cause earthquakes

→ eclogite formation ?

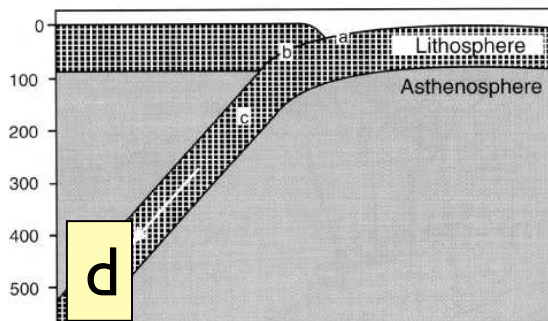
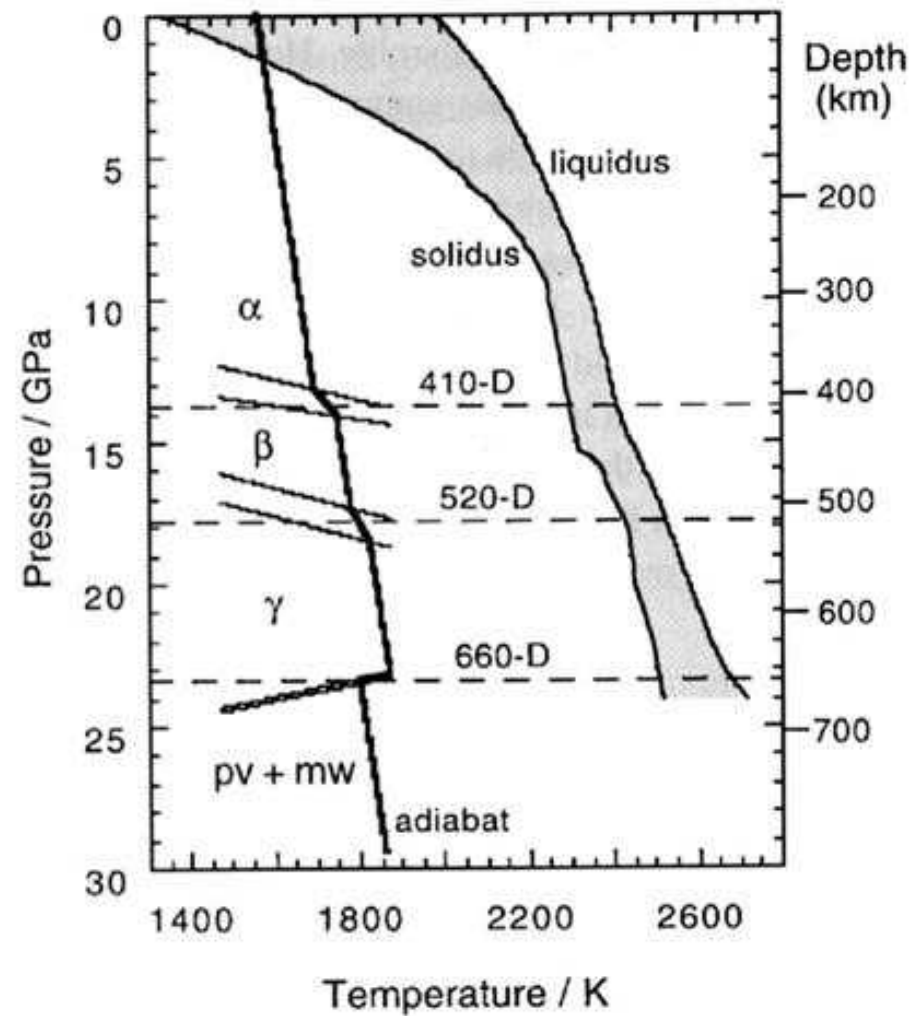
Lower zone:

→ serpentinite dehydration ?



# d. Phase transformations

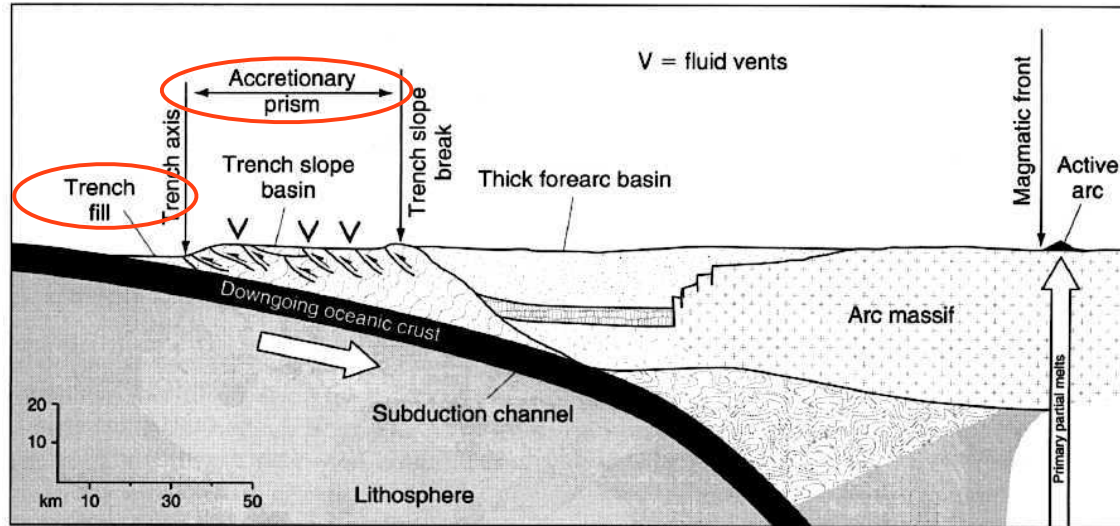
Phase transformations and seismic velocities fit very well in P,T-diagrams



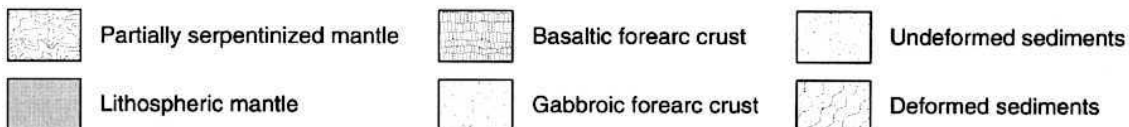
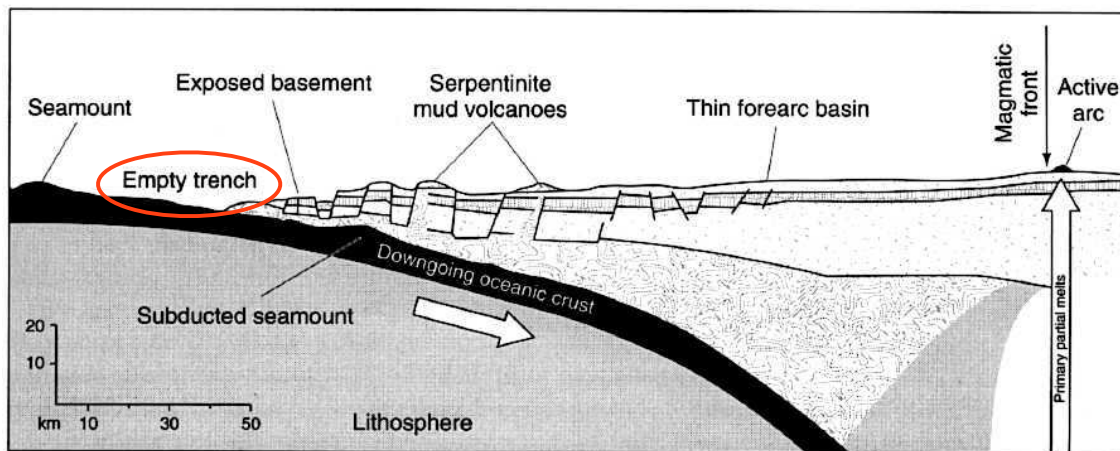
**Akkretionskeil**  
**Orogenkeil**

# accretionary wedge

Accretionary forearc



Non-accretionary forearc



Landward thickening wedge-shaped body of marine sediments scraped off from the downgoing slab and accreted onto the non-subducting plate.

Material = marine sediments, may include erosional products of volcanic island arcs formed on the overriding plate.

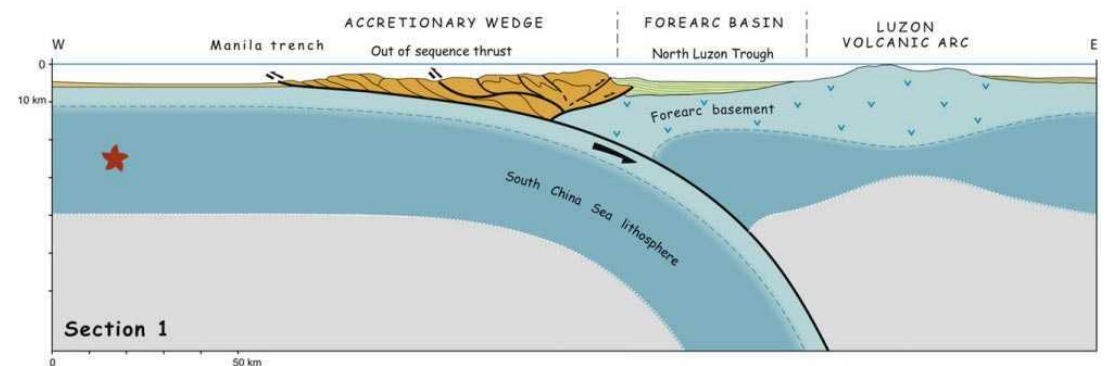
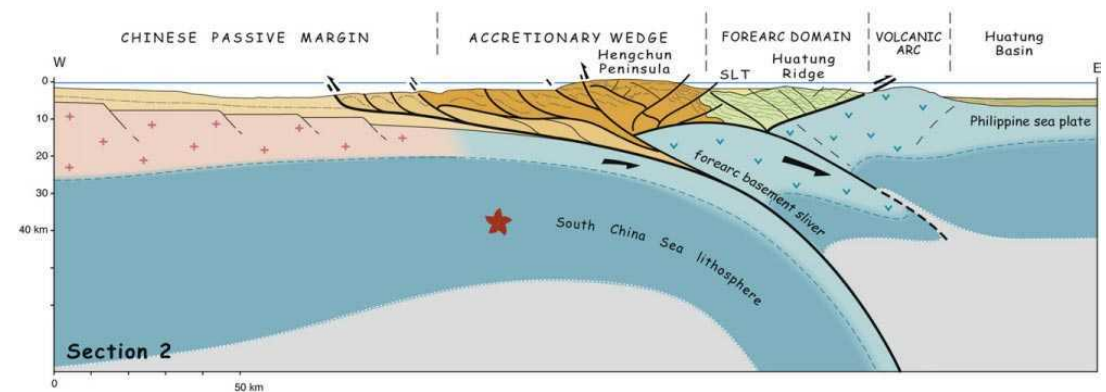
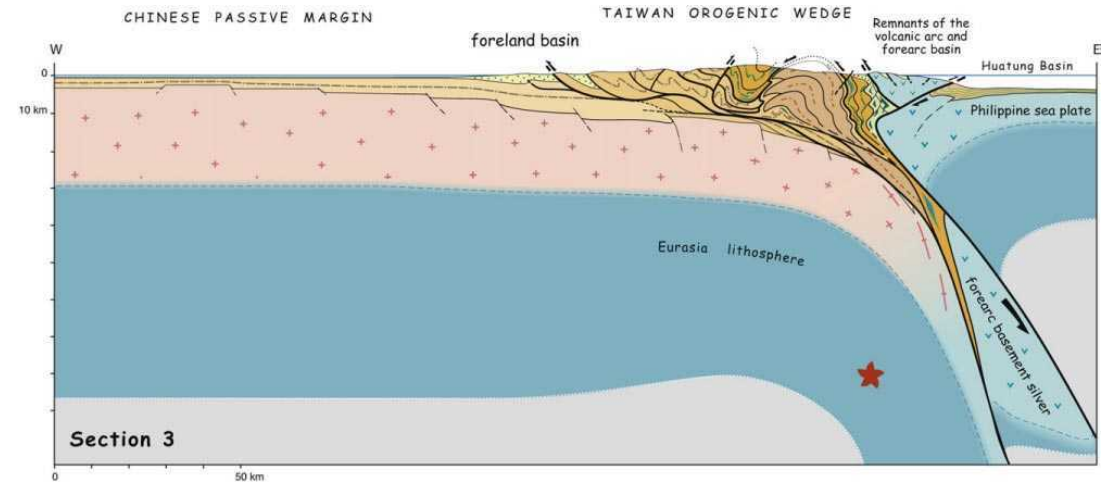
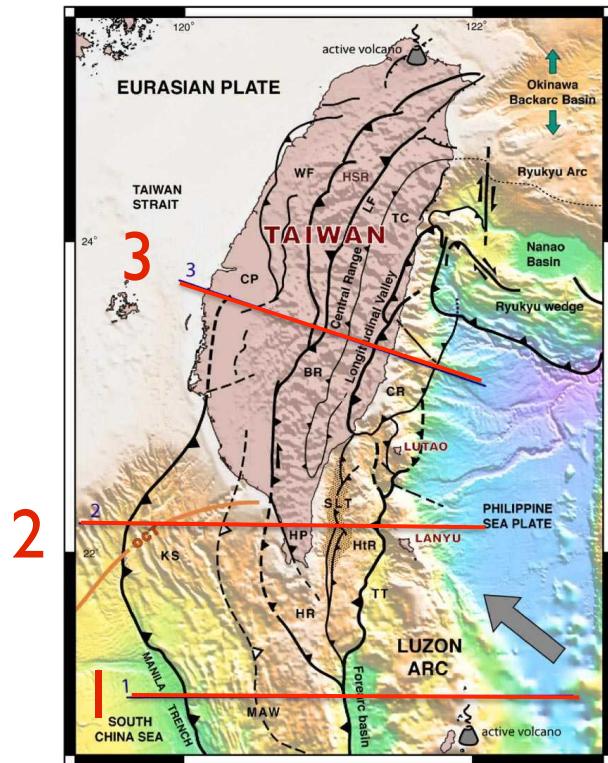
Thickness,  $t$ , of incoming sediment layers determines whether there is accretion or not

→  $t > 400-1000\text{m}$  needed to accrete

Kearey et al. 2009



# accretionary wedge - orogenic wedge

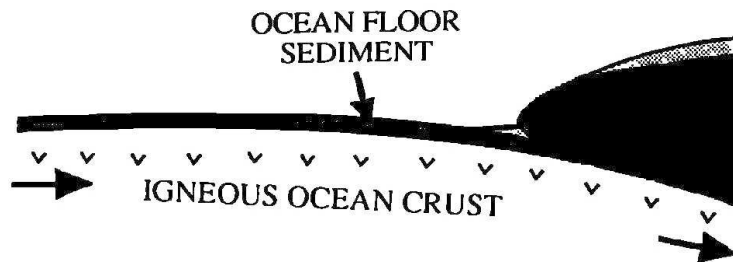


kontinuierlicher räumlicher Übergang:  
Akkretionskeil → Orogenkeil

Orogenkeil = Keil, der sich über eine  
subduzierende Platte bildet  
Material = hauptsächlich aus der  
unteren Platte.

# accretionary non-accretionary

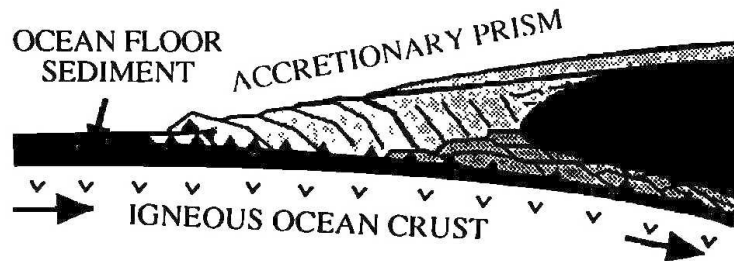
21000 km non-accretionary margin  
(100% underthrust)



Non-accretionary wedges:

- larger slope angles ( $\alpha$ )
- rougher surface of subducting plate
- high convergence rates
- almost no trench sediments

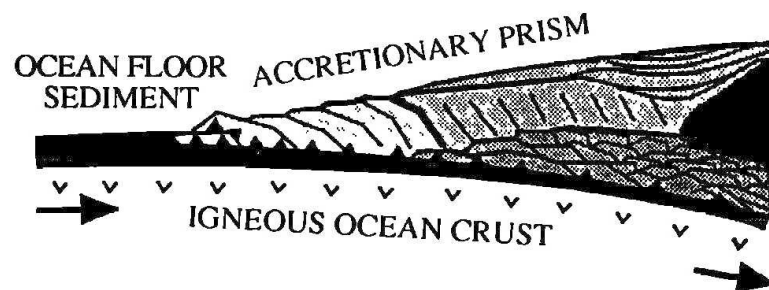
16000 km semi-accretionary margin  
(80% underthrust)



Typical Accretionary wedges:

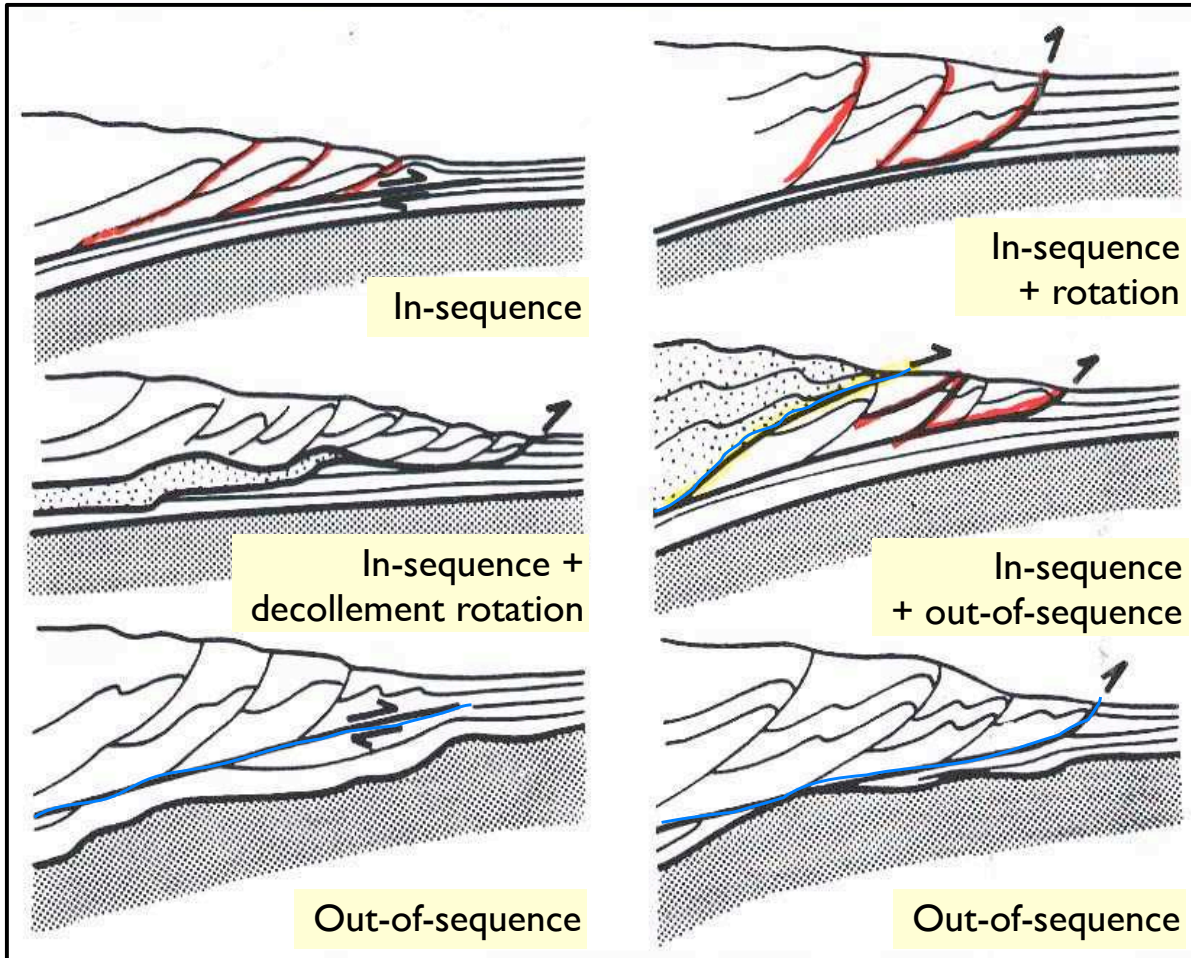
- small slope angles ( $\alpha$ )
- smooth surfaces of subducting plate
- low convergence rates
- thick trench sediments

7000 km typical accretionary margin  
(70% underthrust)

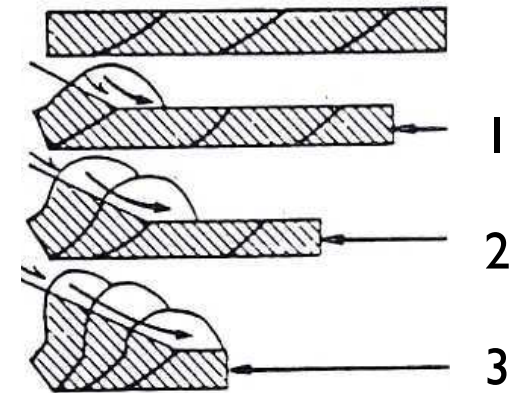


Lallemand et al., 1994, J. Geophys. Res.

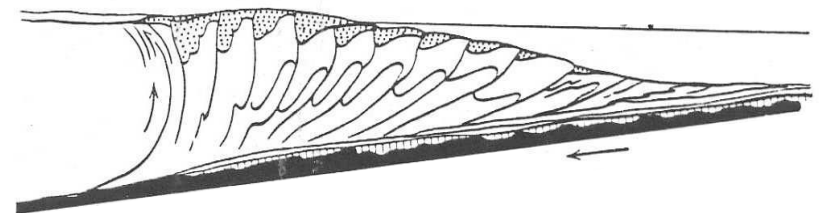
# modes of accretion



Frontal accretion  
Underplating &  
telescoping



Rotation of older thrusts  
in frontal part only

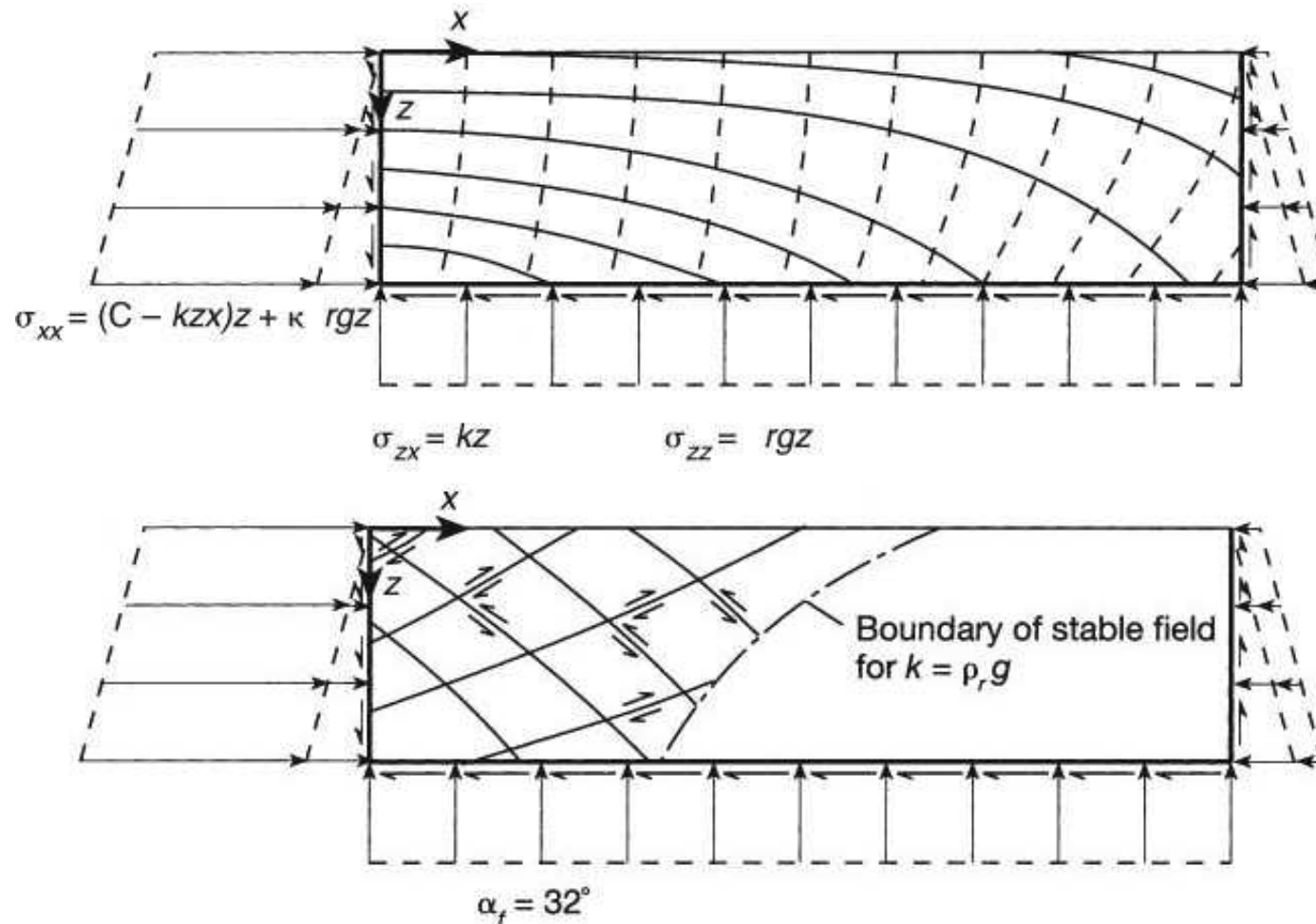


wedge



# Hafner (1951)

Stress distribution in block



# Hubbert & Rubey (1959)

## Pore fluid pressure

BULLETIN OF THE GEOLOGICAL SOCIETY OF AMERICA  
VOL. 70, PP. 115-166, 32 FIGS. FEBRUARY 1959

### ROLE OF FLUID PRESSURE IN MECHANICS OF OVERTHRUST FAULTING

#### I. MECHANICS OF FLUID-FILLED POROUS SOLIDS AND ITS APPLICATION TO OVERTHRUST FAULTING

BY M. KING HUBBERT AND WILLIAM W. RUBEY

According to the Mohr-Coulomb law, slippage along any internal plane in the rock should occur when the shear stress along that plane reaches the critical value

$$\tau_{\text{crit}} = \tau_0 + \sigma \tan \phi; \quad (3)$$

where  $\sigma$  is the normal stress across the plane of slippage,  $\tau_0$  the shear strength of the material when  $\sigma$  is zero, and  $\phi$  the angle of internal friction. However, once a fracture is started  $\tau_0$  is eliminated, and further slippage results when

$$\tau_{\text{crit}} = \sigma \tan \phi = (S - p) \tan \phi. \quad (4)$$

This can be further simplified by expressing  $p$  in terms of  $S$  by means of the equation

$$p = \lambda S, \quad (5)$$

which, when introduced into equation (4), gives

$$\tau_{\text{crit}} = \sigma \tan \phi = (1 - \lambda)S \tan \phi. \quad (6)$$

From equations (4) and (6) it follows that, without changing the coefficient of friction  $\tan \phi$ , the critical value of the shearing stress can be made arbitrarily small simply by increasing the fluid pressure  $p$ . In a horizontal block the total weight per unit area  $S_{zz}$  is jointly supported by the fluid pressure  $p$  and the residual solid stress  $\sigma_{zz}$ ; as  $p$  is increased,  $\sigma_{zz}$  is correspondingly diminished until, as  $p$  approaches the limit  $S_{zz}$ , or  $\lambda$  approaches 1,  $\sigma_{zz}$  approaches 0.

# Chapple (1978)

## Wedge model

### Mechanics of thin-skinned fold-and-thrust belts

---

WILLIAM M. CHAPPLE *Department of Geological Sciences, Brown University, Providence, Rhode Island*

The essential characteristics of thin-skinned fold-and-thrust belts include the following: a wedge-shaped deforming region, thicker at the back end from which the thrusts come; a weak layer at the base of the wedge; and large amounts of shortening and thickening within the wedge. All these characteristics are incorporated into an analytical model of a perfectly plastic wedge, underlain by a weak basal layer and yielding in compressive flow.

# Davis et al. (1983)

## Critical taper

### Mechanics of Fold-and-Thrust Belts and Accretionary Wedges

DAN DAVIS

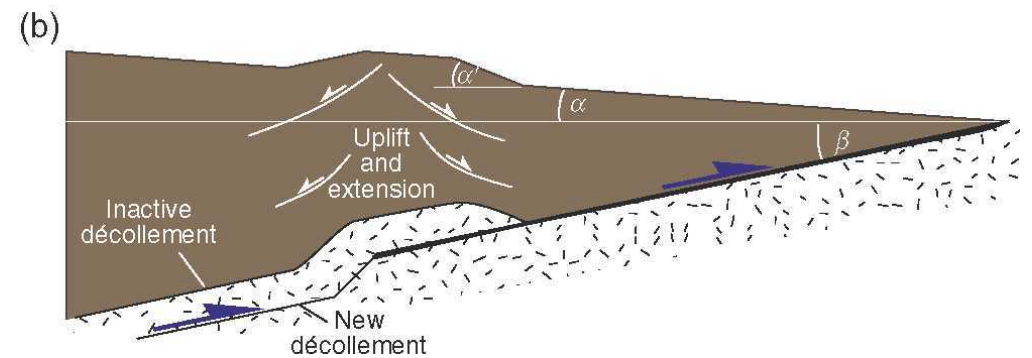
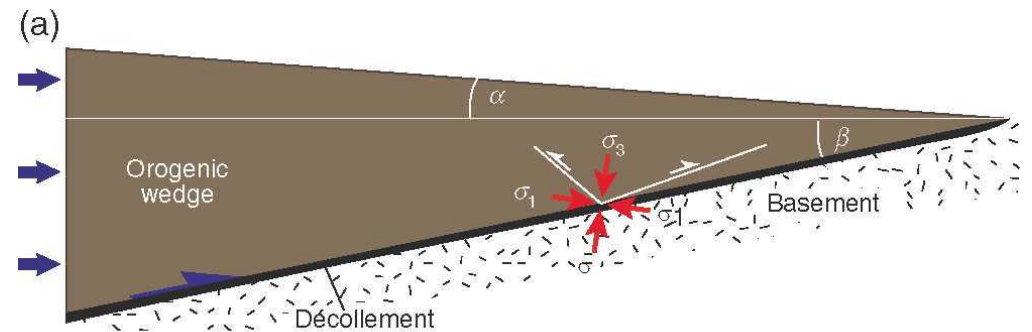
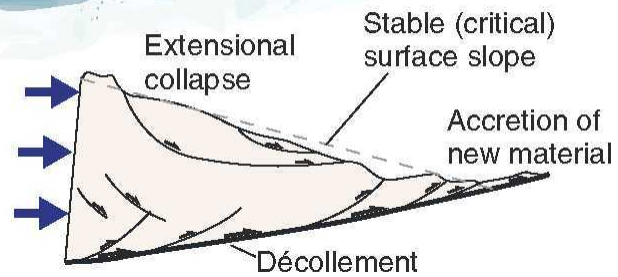
*Department of Earth and Planetary Sciences, Massachusetts Institute of Technology  
Cambridge, Massachusetts 02139*

JOHN SUPPE AND F. A. DAHLEN

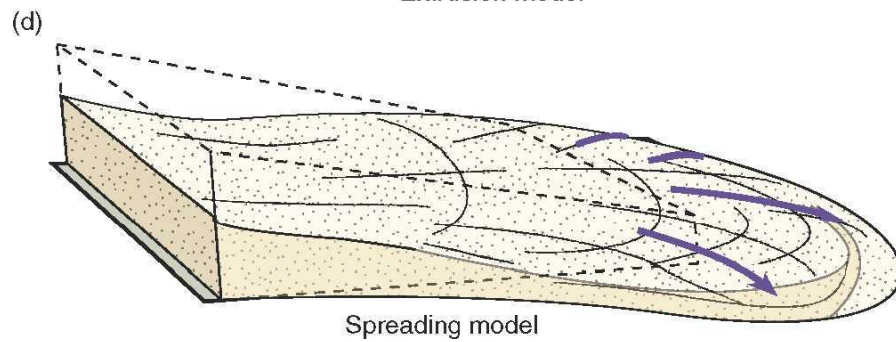
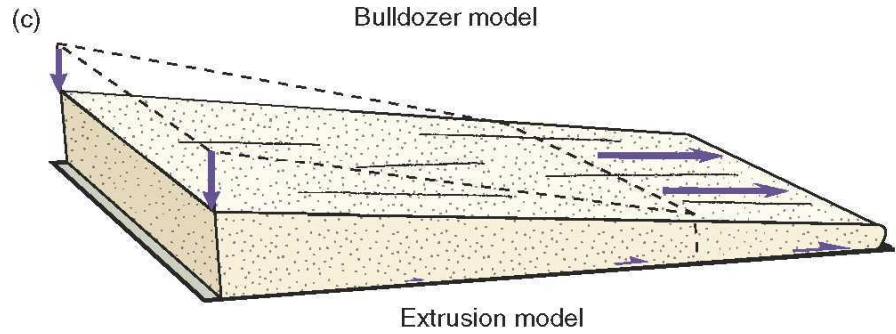
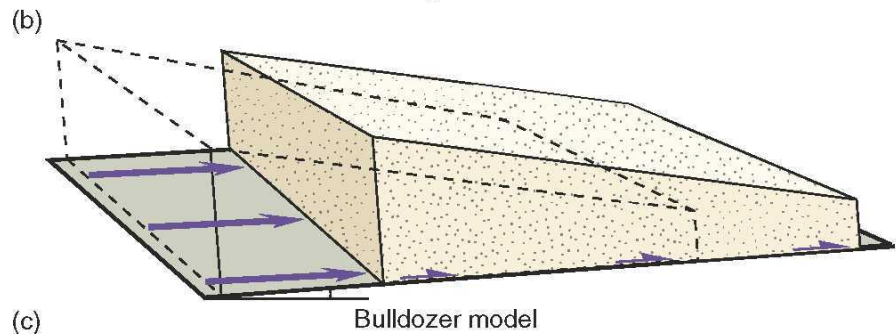
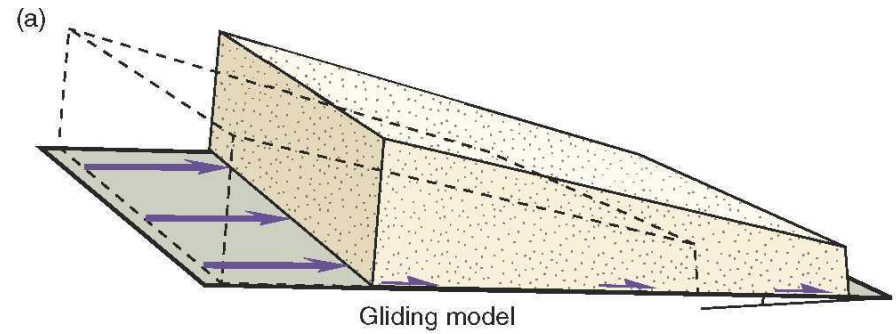
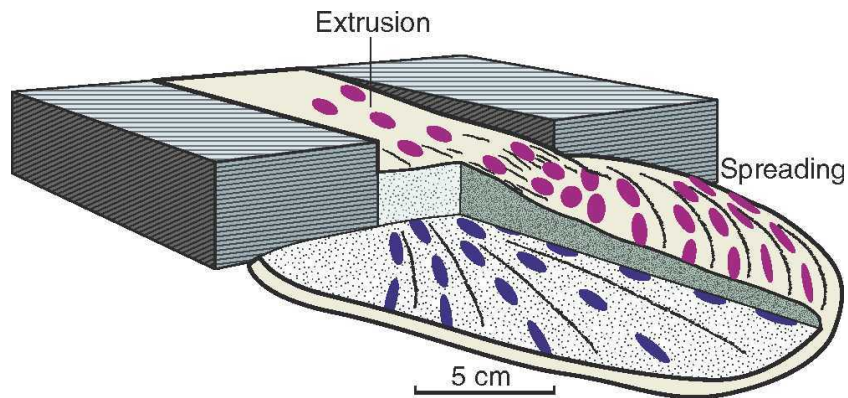
The overall mechanics of fold-and-thrust belts and accretionary wedges along compressive plate boundaries is considered to be analogous to that of a wedge of soil or snow in front of a moving bulldozer. The material within the wedge deforms until a critical taper is attained, after which it slides stably, continuing to grow at constant taper as additional material is encountered at the toe. The critical taper is the shape for which the wedge is on the verge of failure under horizontal compression everywhere, including the basal decollement. A wedge of less than critical taper will not slide when pushed but will deform internally, steepening its surface slope until the critical taper is attained. Common silicate sediments and rocks in the upper 10–15 km of the crust have pressure-dependent brittle compressive strengths which can be approximately represented by the empirical Coulomb failure criterion, modified to account for the weakening effects of pore fluid pressure. A simple



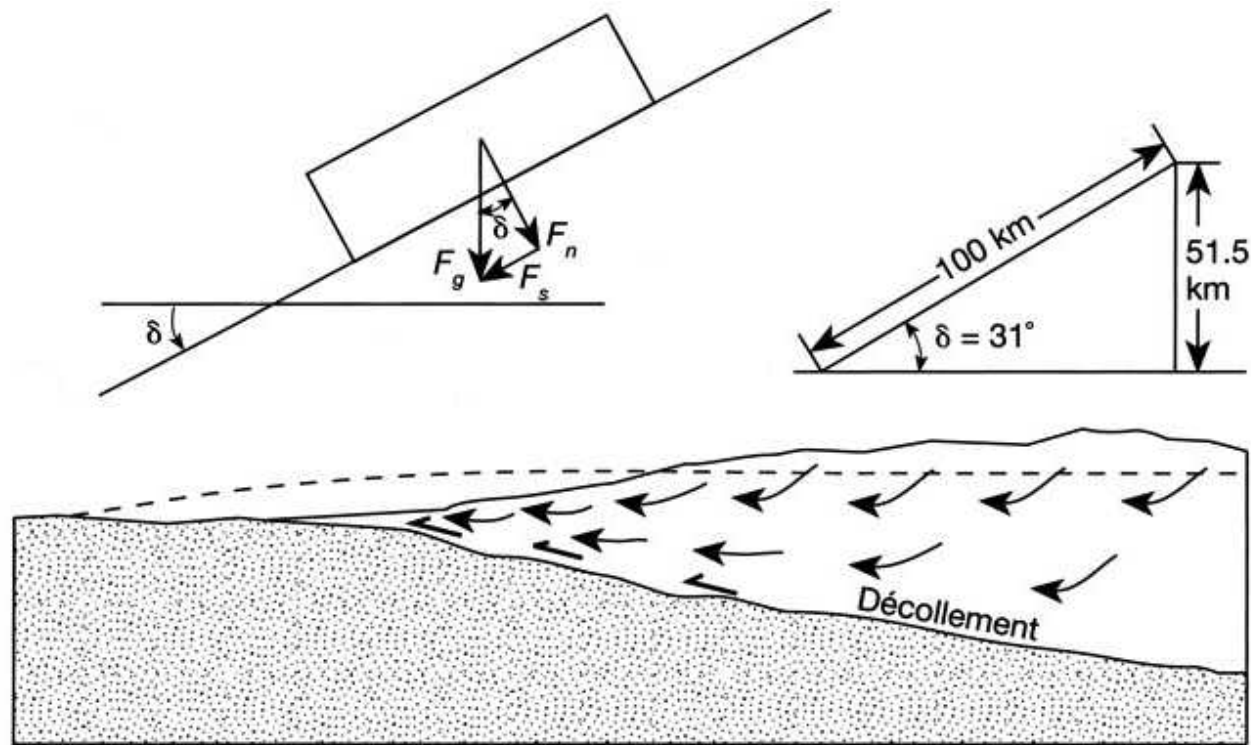
# bulldozer model



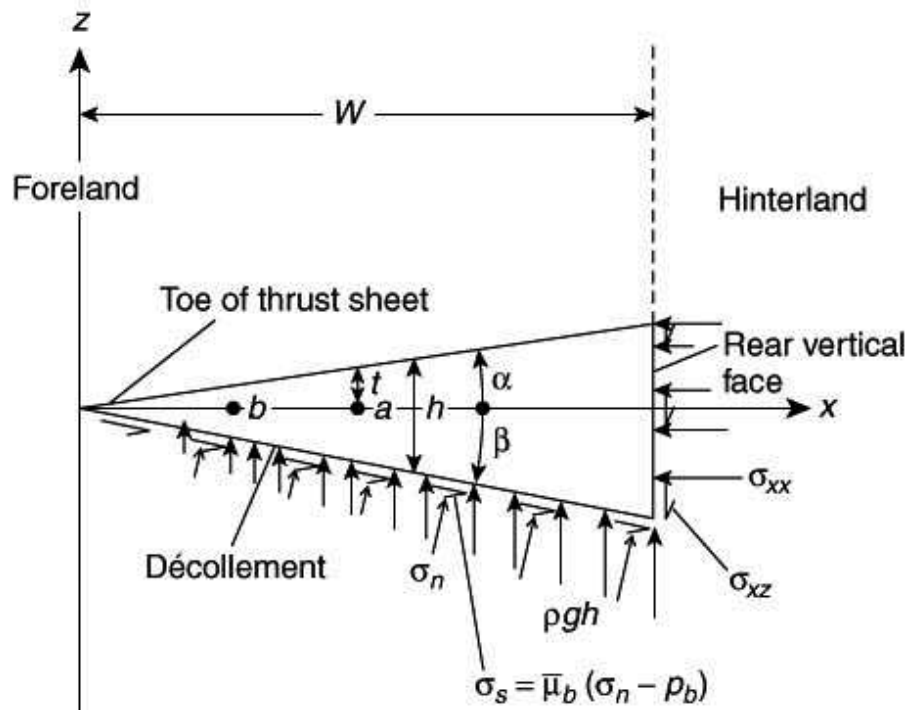
# deformation of orogenic wedge



# gravitationally driven thrust sheets

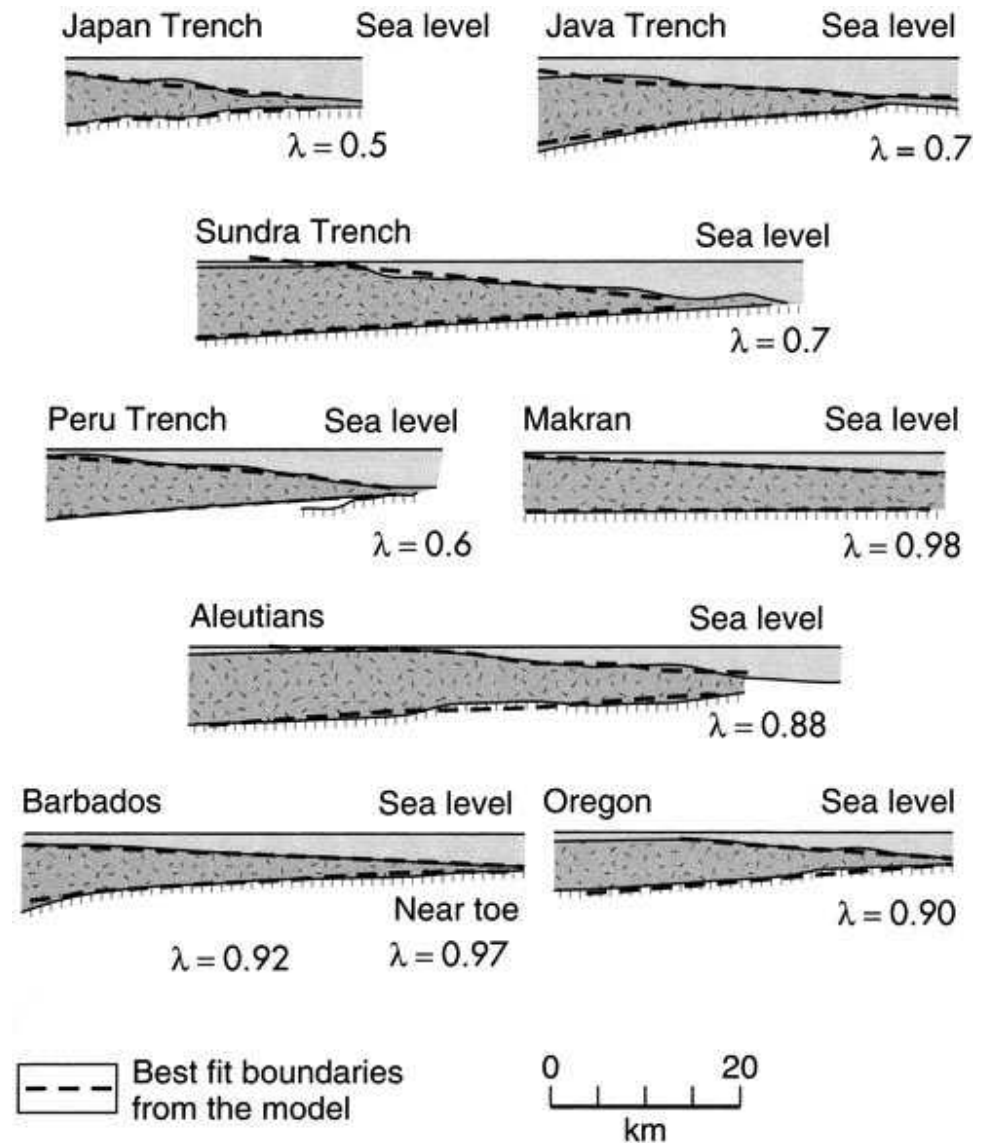


# tapered thrust sheets



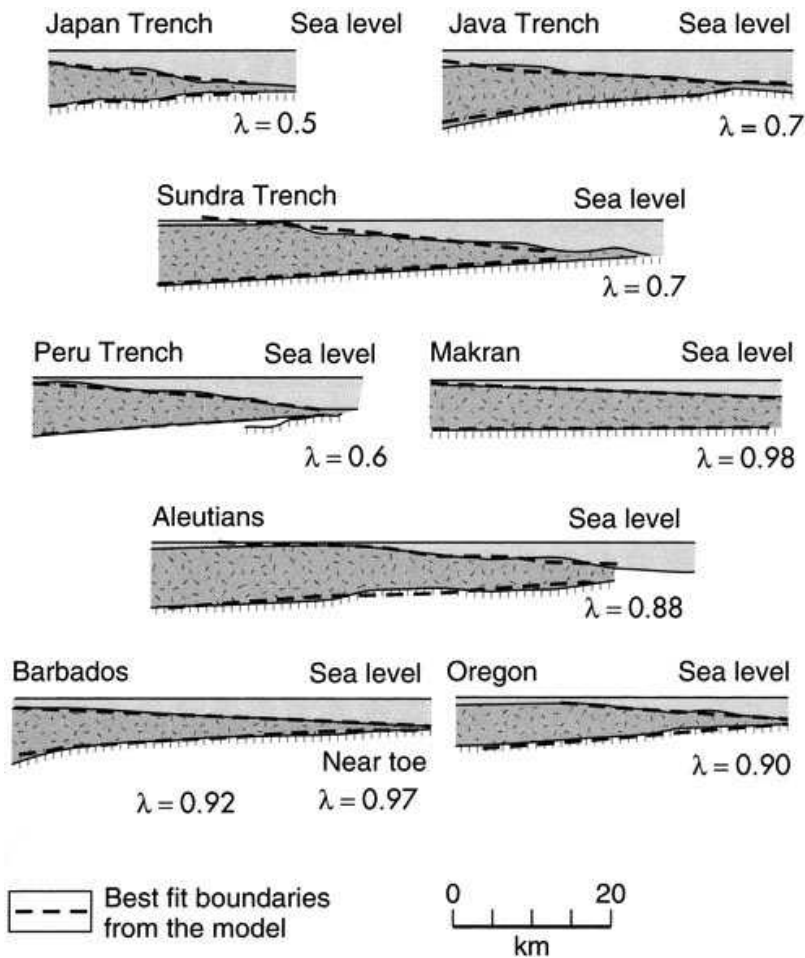
$$\sigma_{\text{eff}} = \sigma_3 - \rho_p$$

$$\lambda = \rho_p / \sigma_3$$

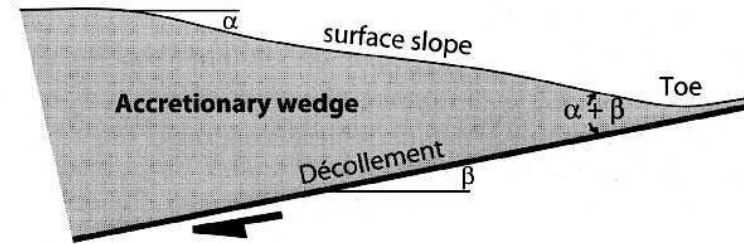




# pore pressure effect

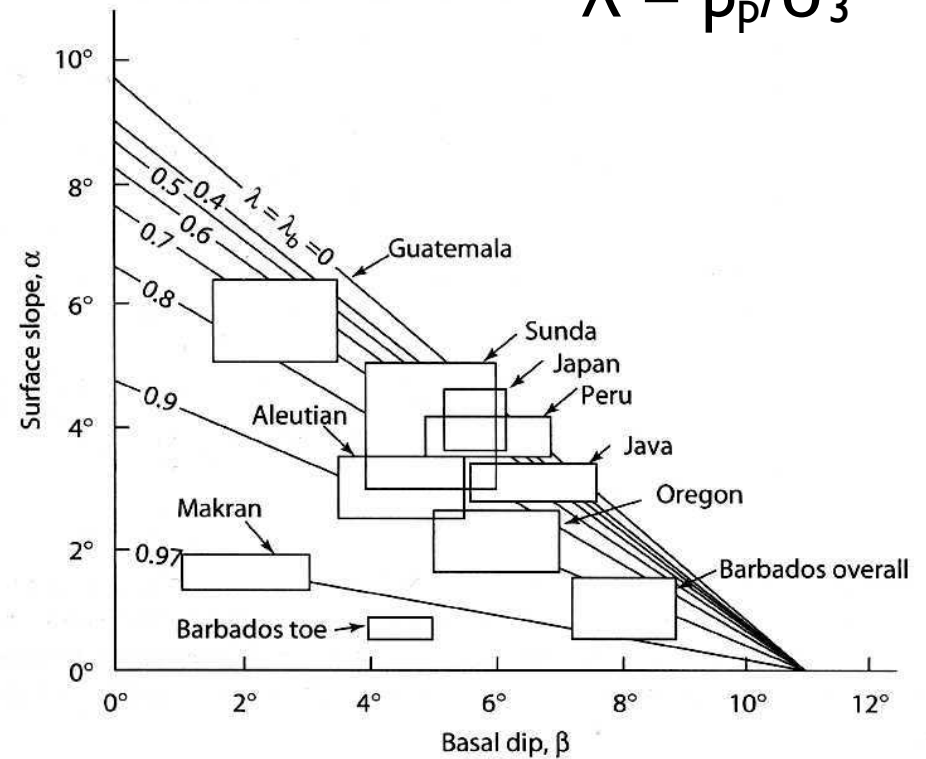


(a)



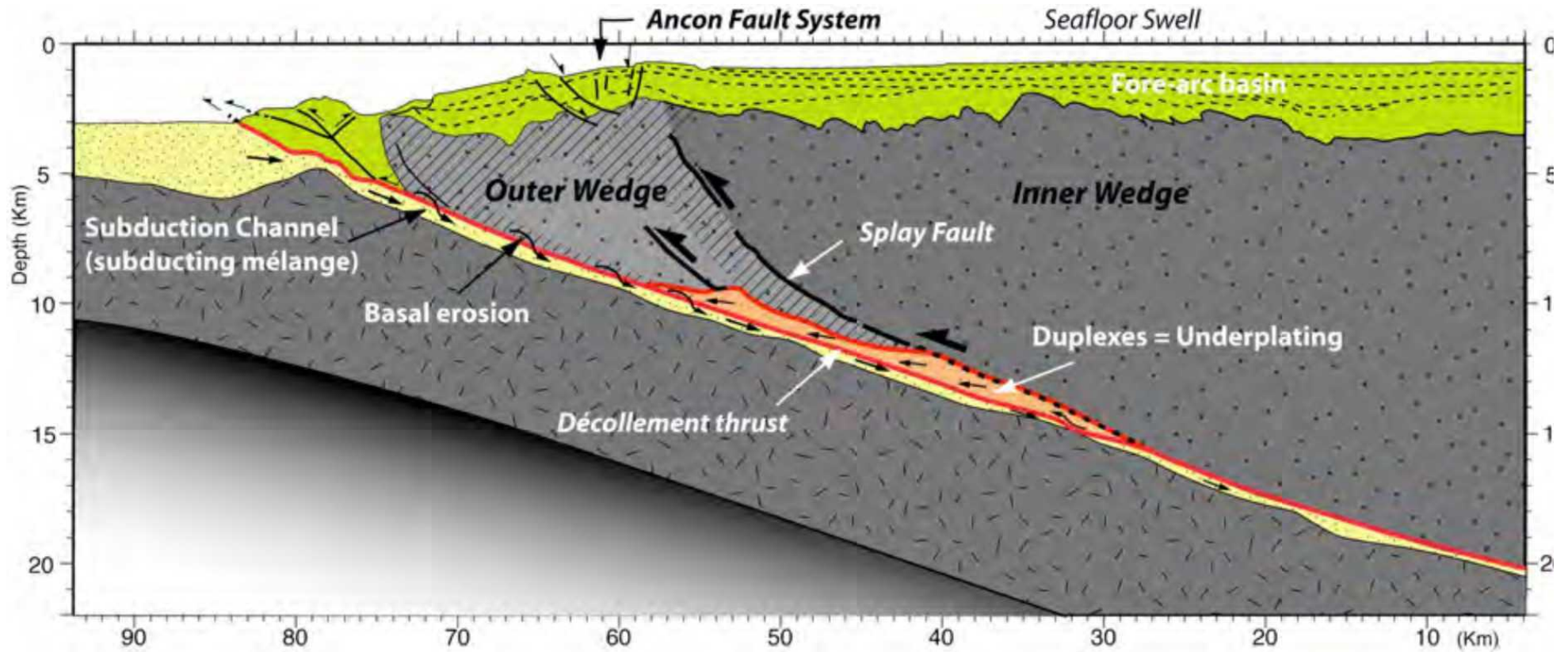
(b)

$$\lambda = p_p / \sigma_3$$



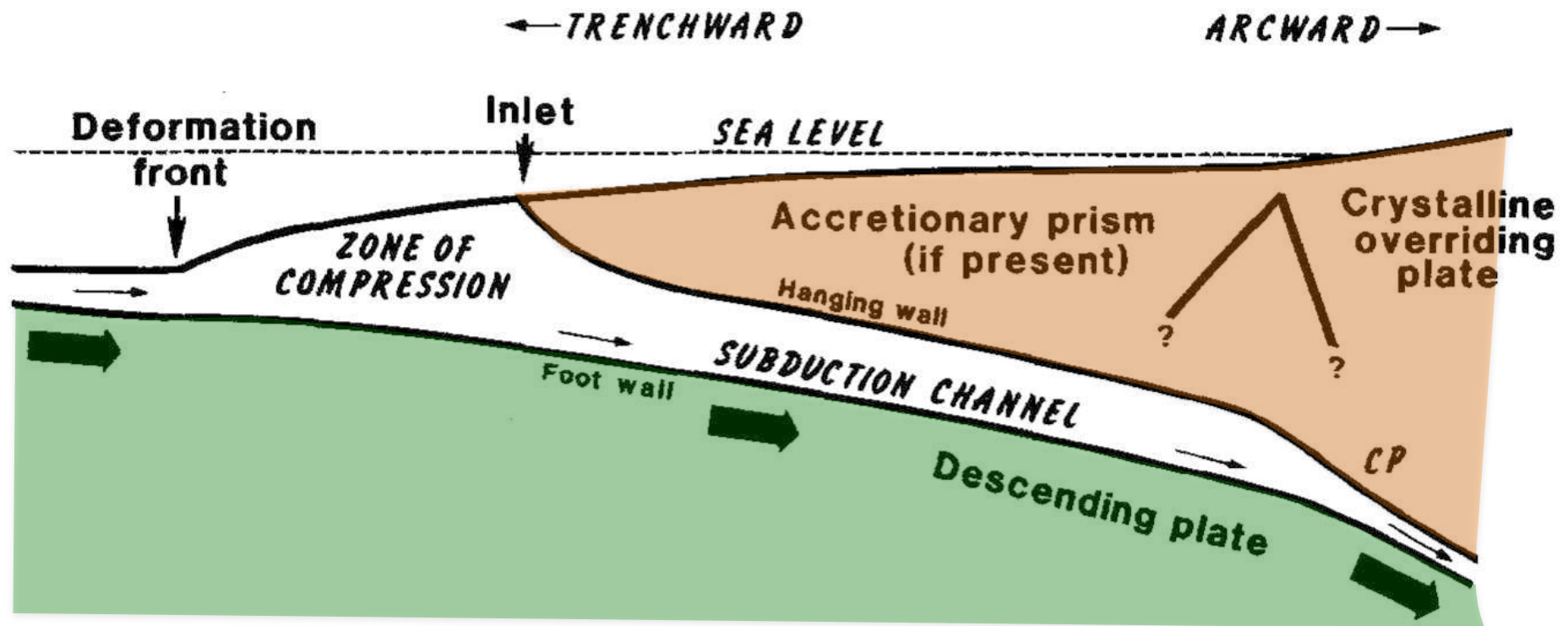
**subduction channel**

# subduction channel



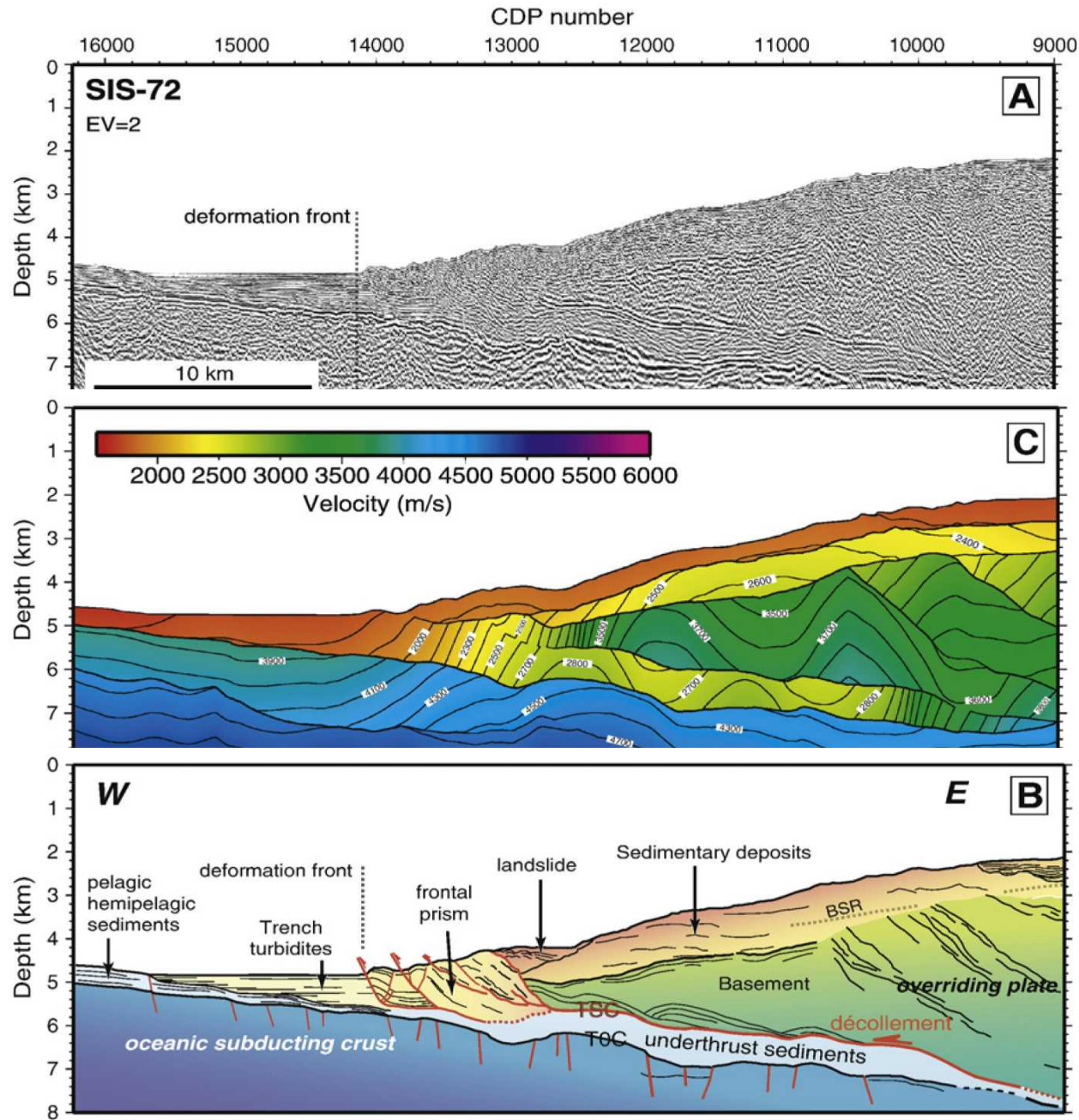
# I - definition

Thin layer (less than 1 to several km) of poorly consolidated sediment dragged by the descending plate beneath the overriding one.





# 2- seismic evidence



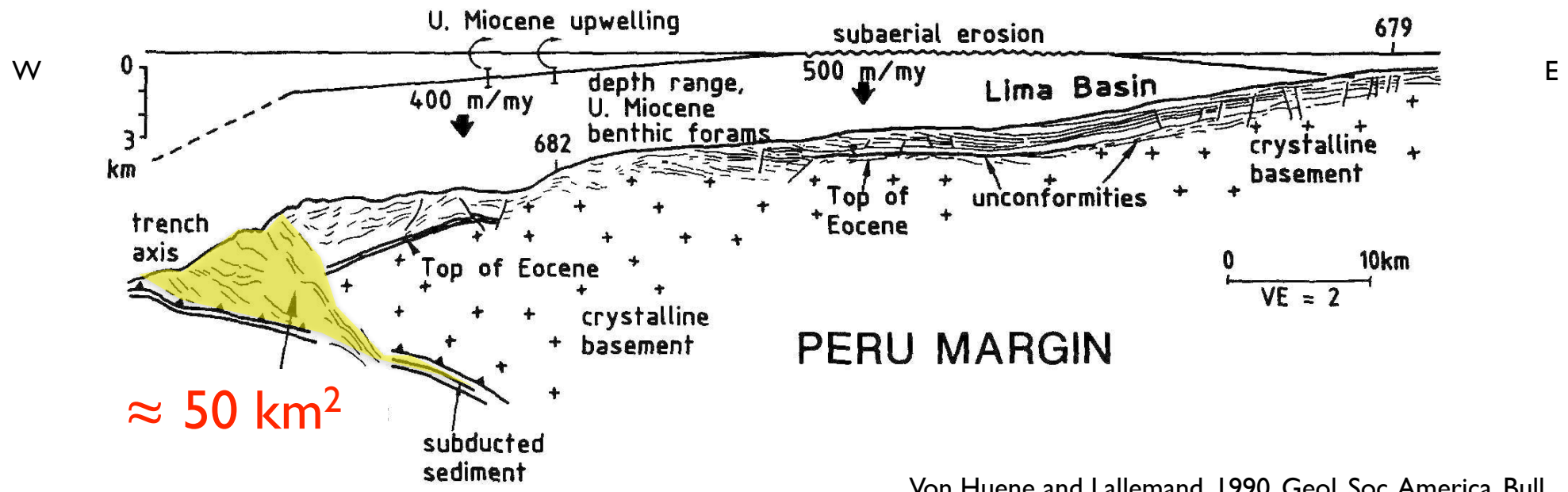
# 3- mass balance

Potential frontal mass (in 2D) :  $h \cdot v_c \cdot t$   
 where  $h$  = incoming sediment thickness  
 $v_c$  = convergence rate  
 $t$  = time

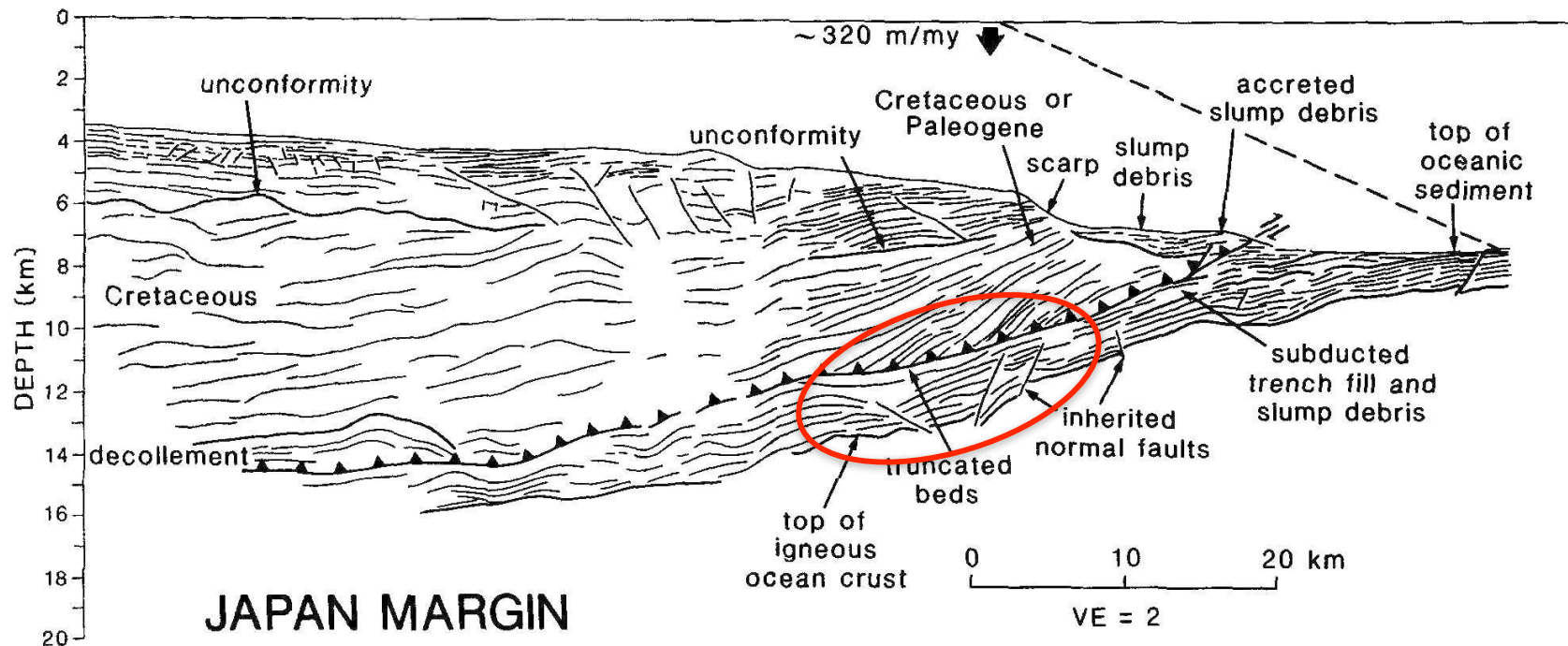
Observed mass (in 2D):  
 Surface of accreted  
 sediment interpreted from  
 seismic sections

$h = 1\text{ km}; v_c = 10\text{ km/Ma (1 cm/a)}; t = 60\text{ Ma}$   
 Expected cross section of prism:  
 For  $v_c = 100\text{ km/Ma (10 cm/a)}$ ;

$600\text{ km}^2$   
 $6000\text{ km}^2$



# 4- truncation of seismic reflector



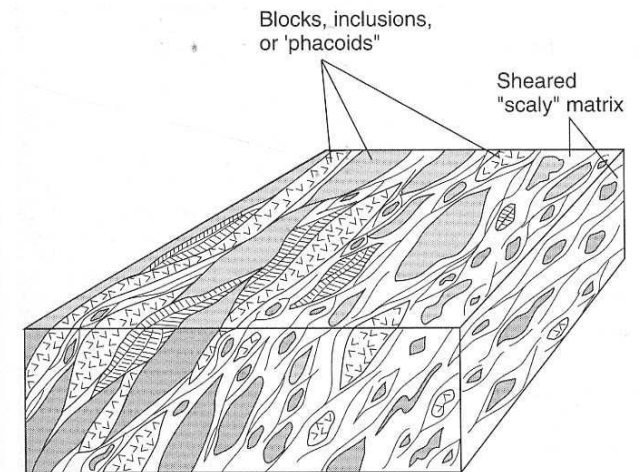
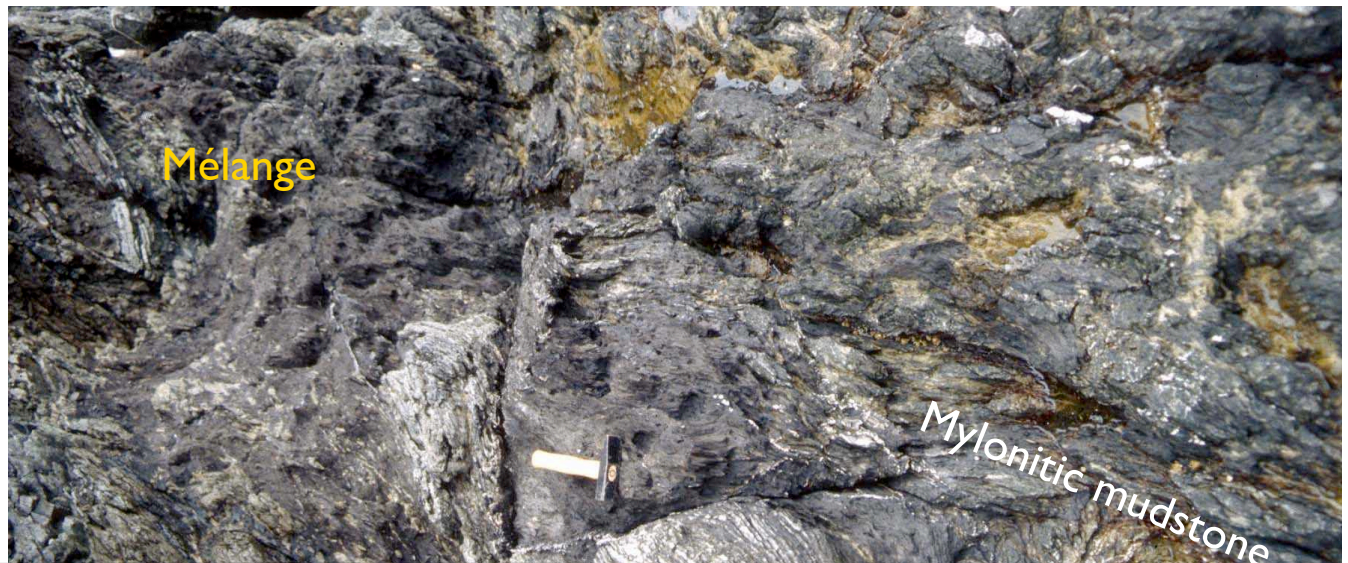


# 5- lithology





# 5- lithology

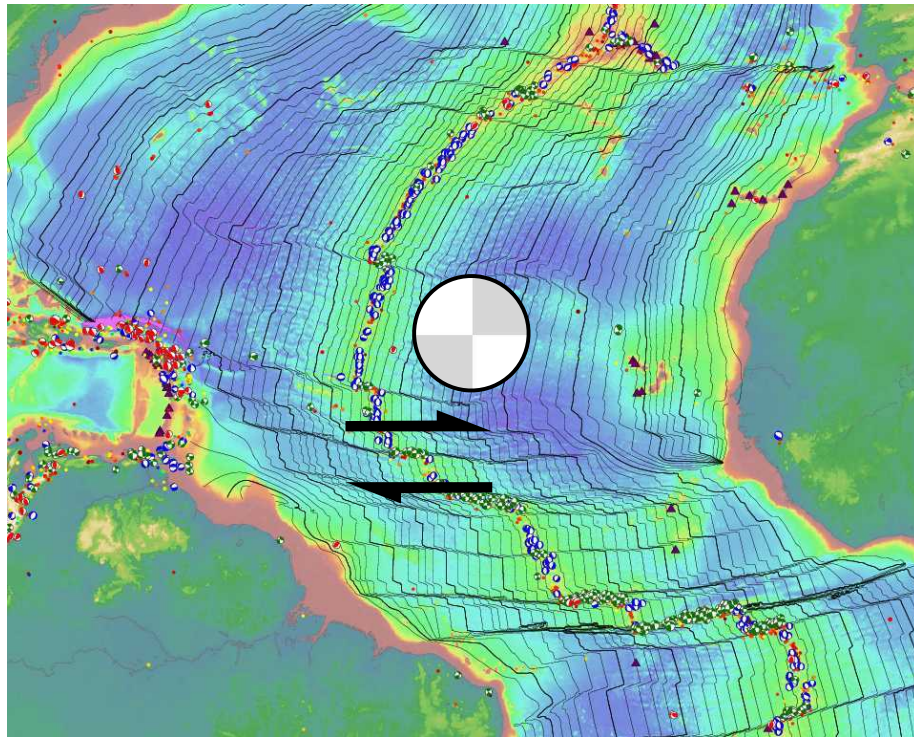




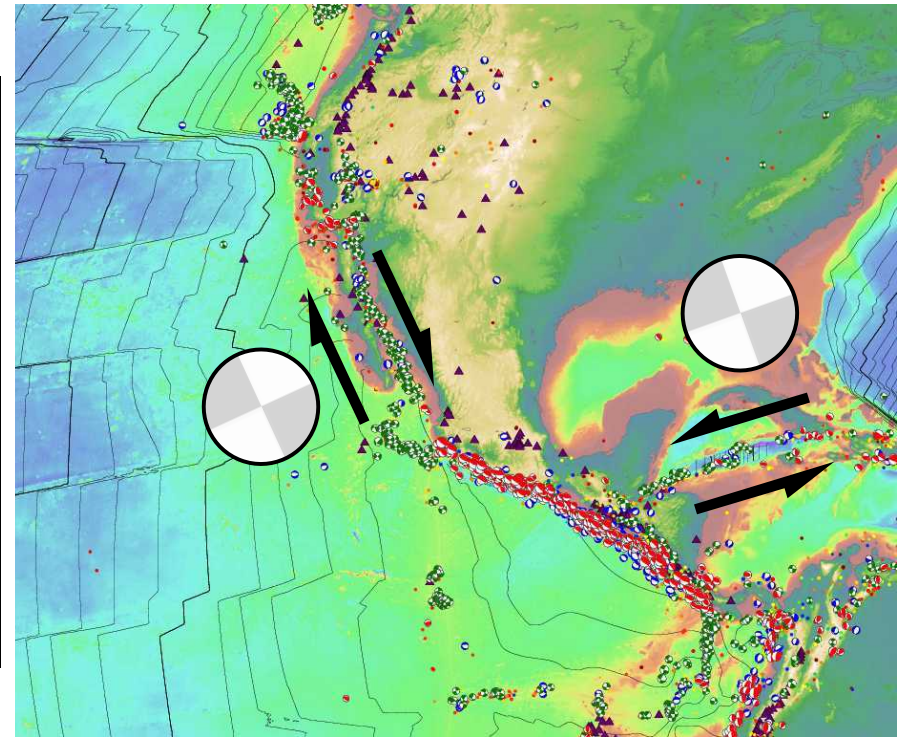
strike slip  
geometry  
kinematics

# konservative Plattengrenze

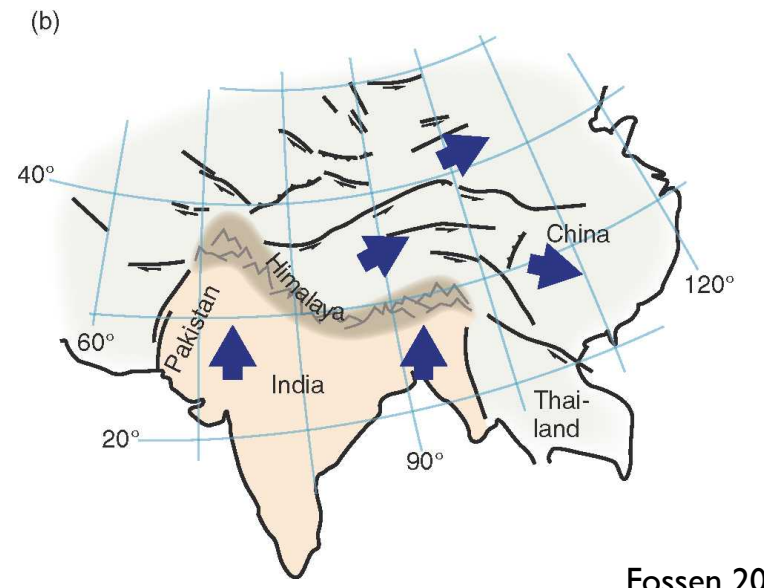
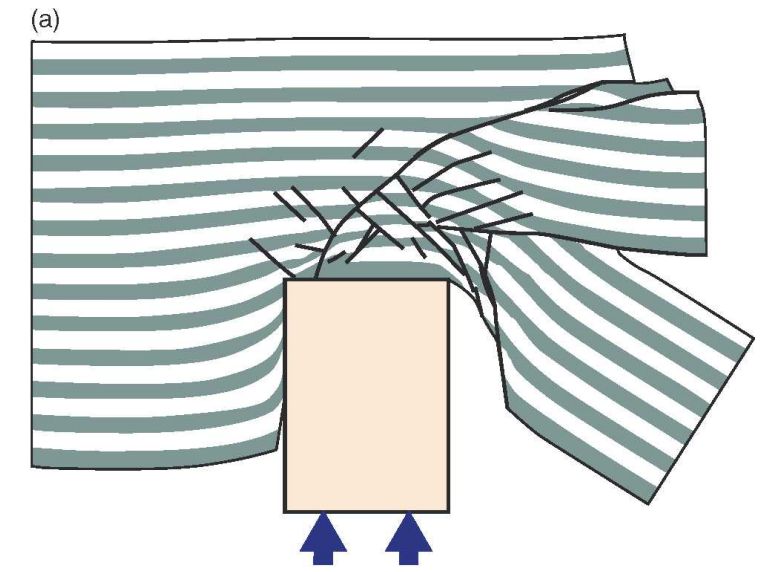
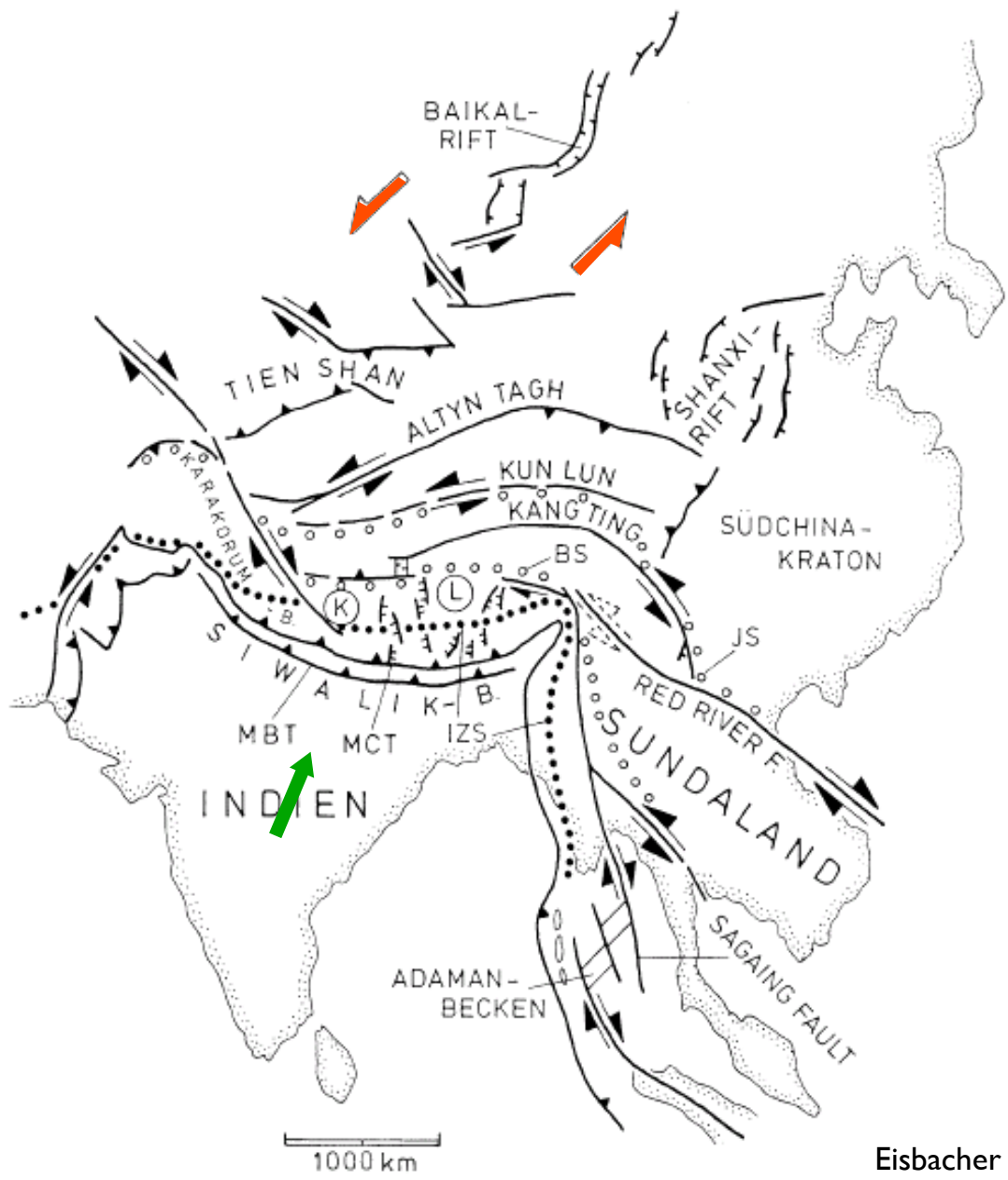
Transform-Brüche:  
Segmente von Platten-grenzen an  
mittelozeanischen Rücken



Transform Plattengrenzen:  
Beispiel Kalifornien



# Intrakontinentale Blattverschiebungen



Fossen 2010

Eisbacher (1996) after Tapponnier et al. (1986)

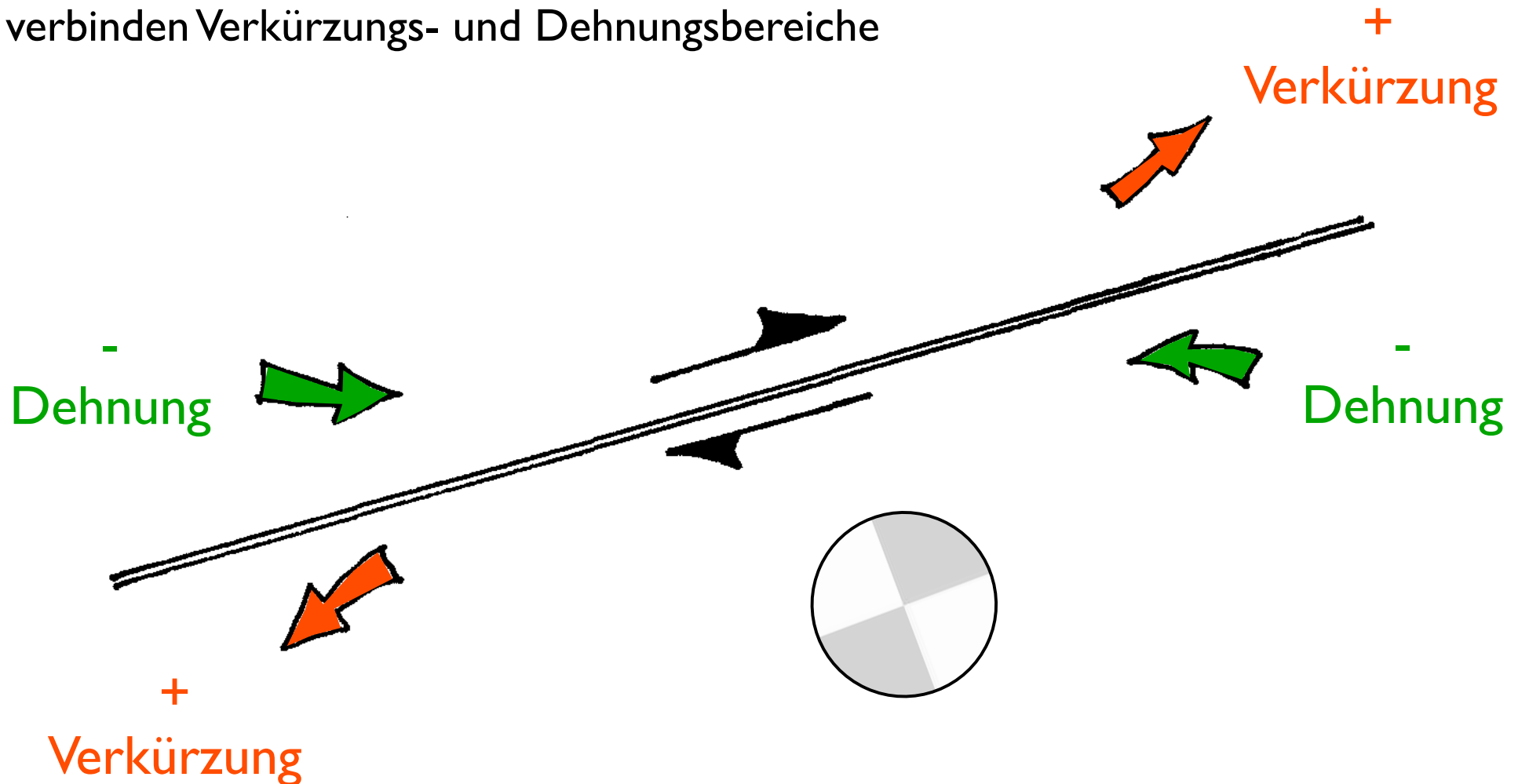


# Transformsysteme

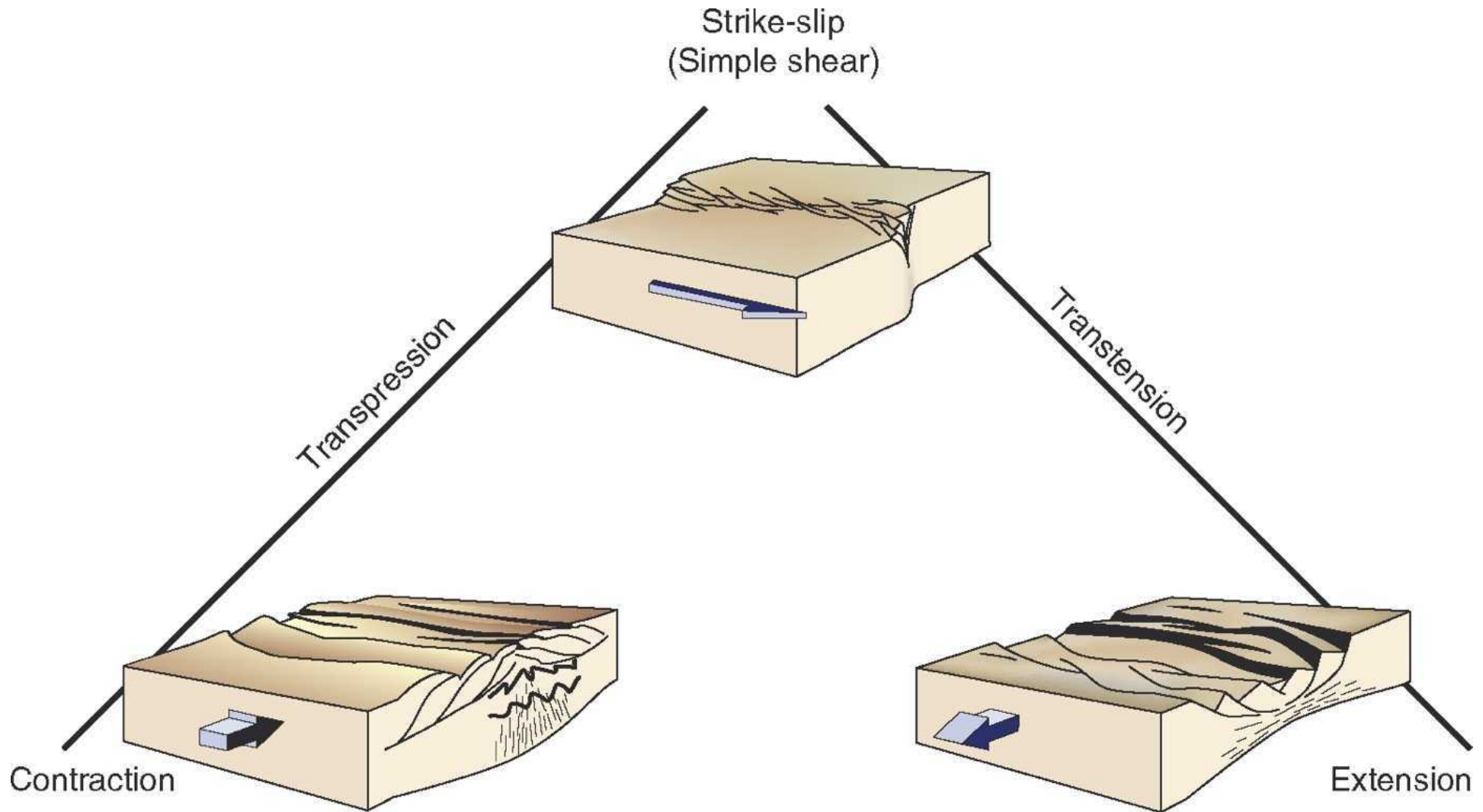
nehmen laterale Bewegungen parallel zu den Plattengrenzen auf  
an Transformstörungen (transform faults)

an Blattverschiebungen (strike-slip faults, transcurrent faults)

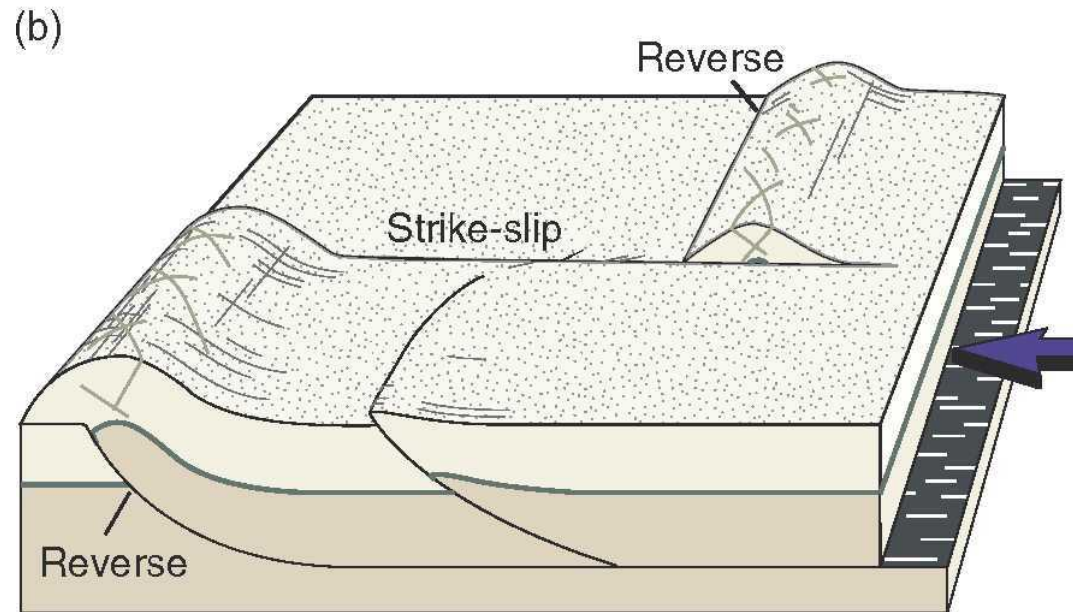
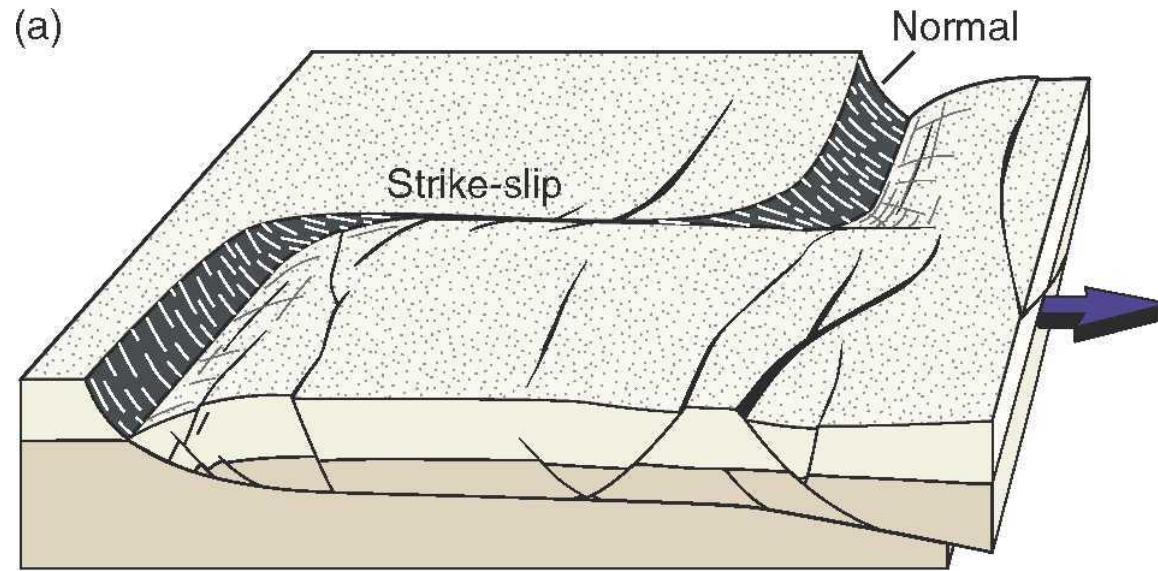
verbinden Verkürzungs- und Dehnungsbereiche



# strike-slip & contraction / extension

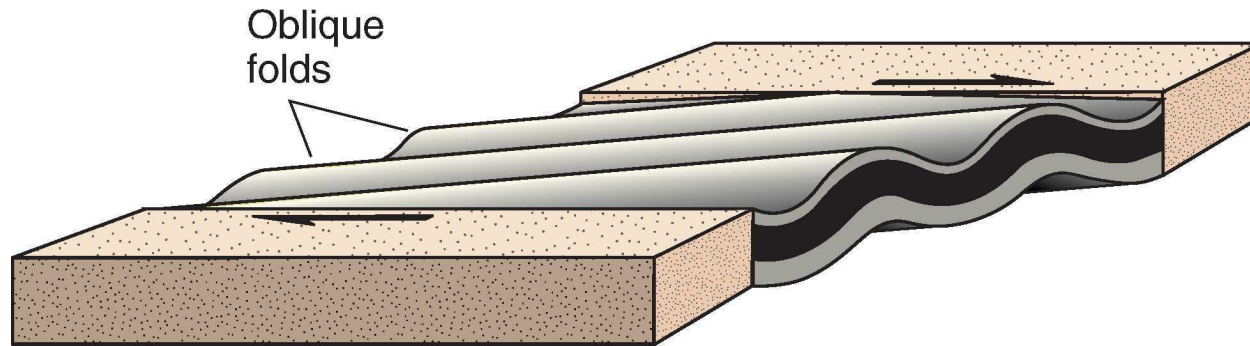


# connecting strike-slip

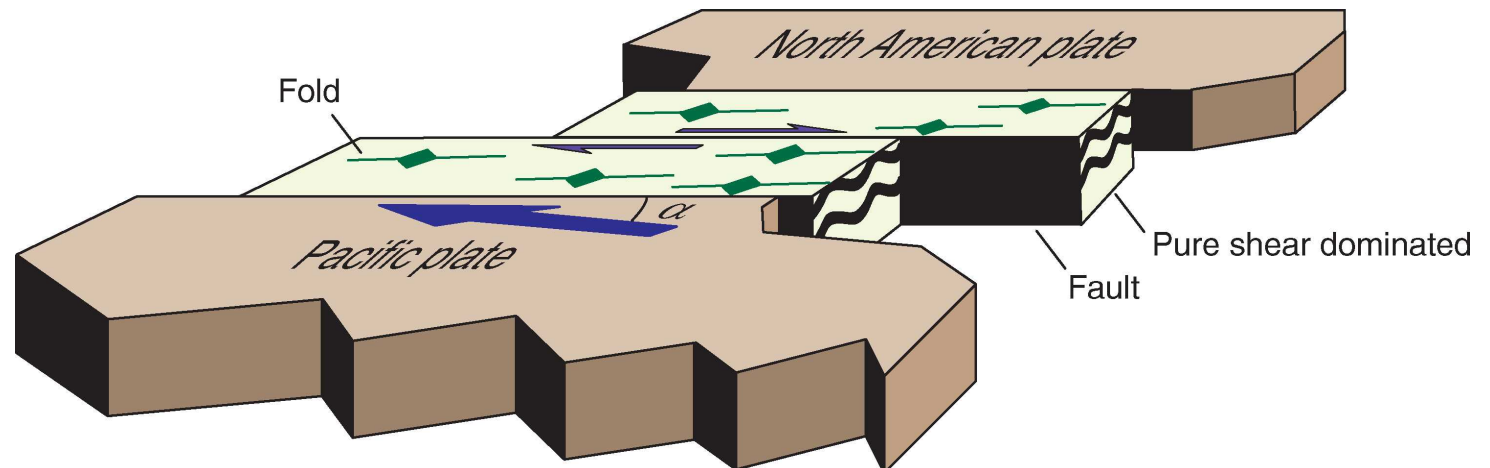


# strike-slip & folding

If deformation occurs by non-brittle mechanisms, folding may accompany strike slip faulting

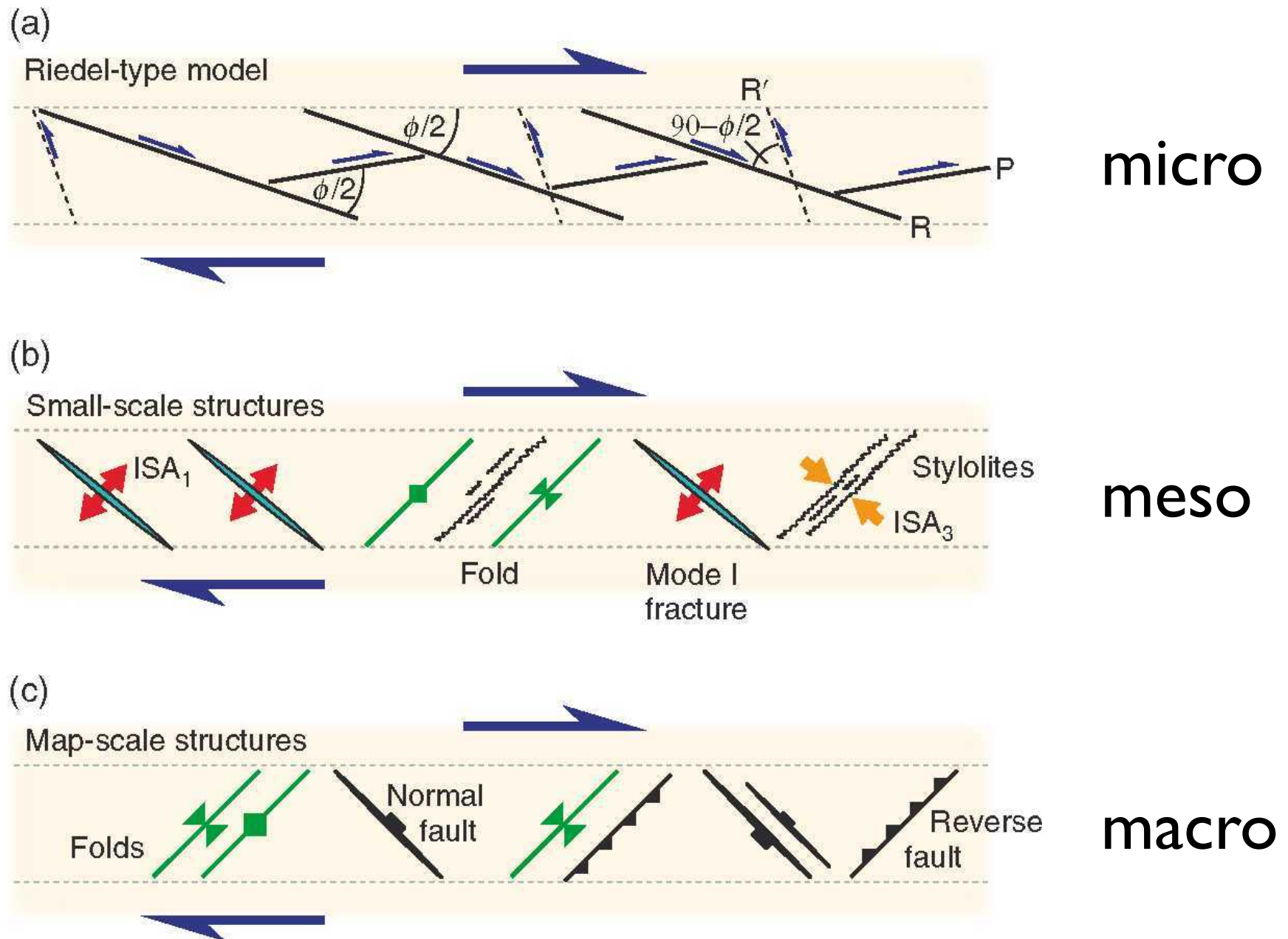


Strain partitioning can lead to fold patterns which are not oblique to simple shear zone boundaries

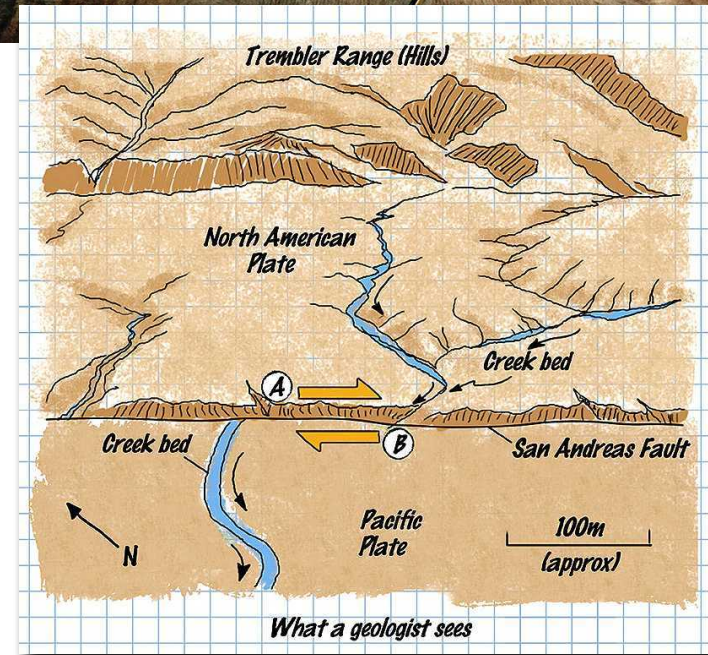
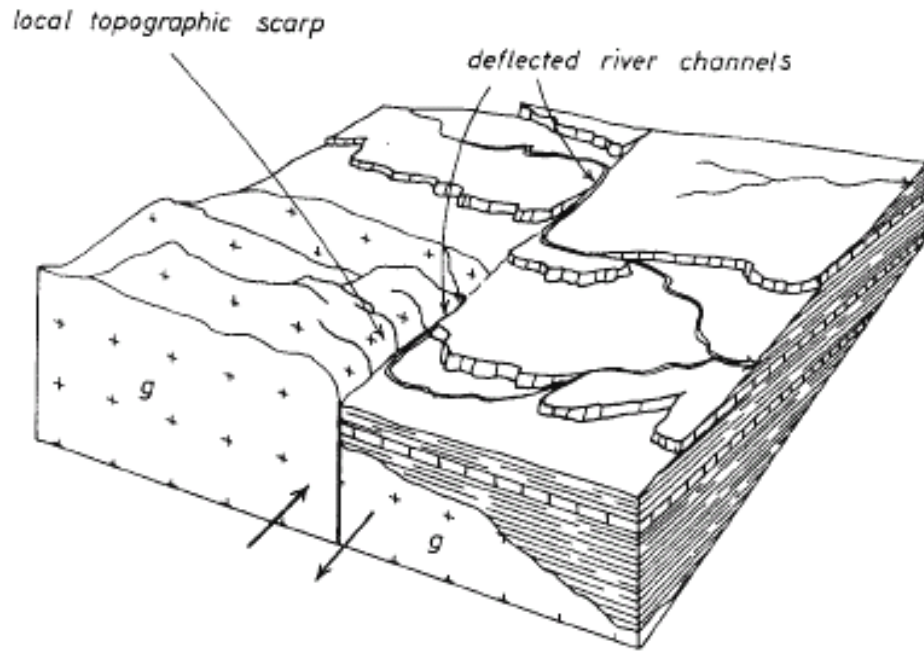




# transfer system geometry



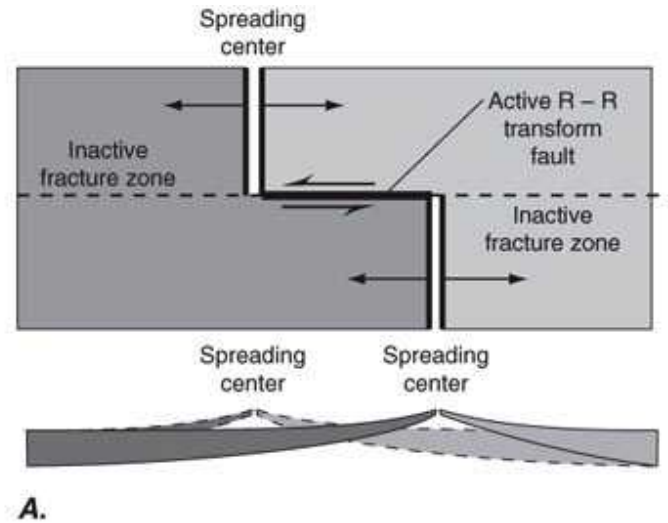
# Geomorphologie von strike-slip



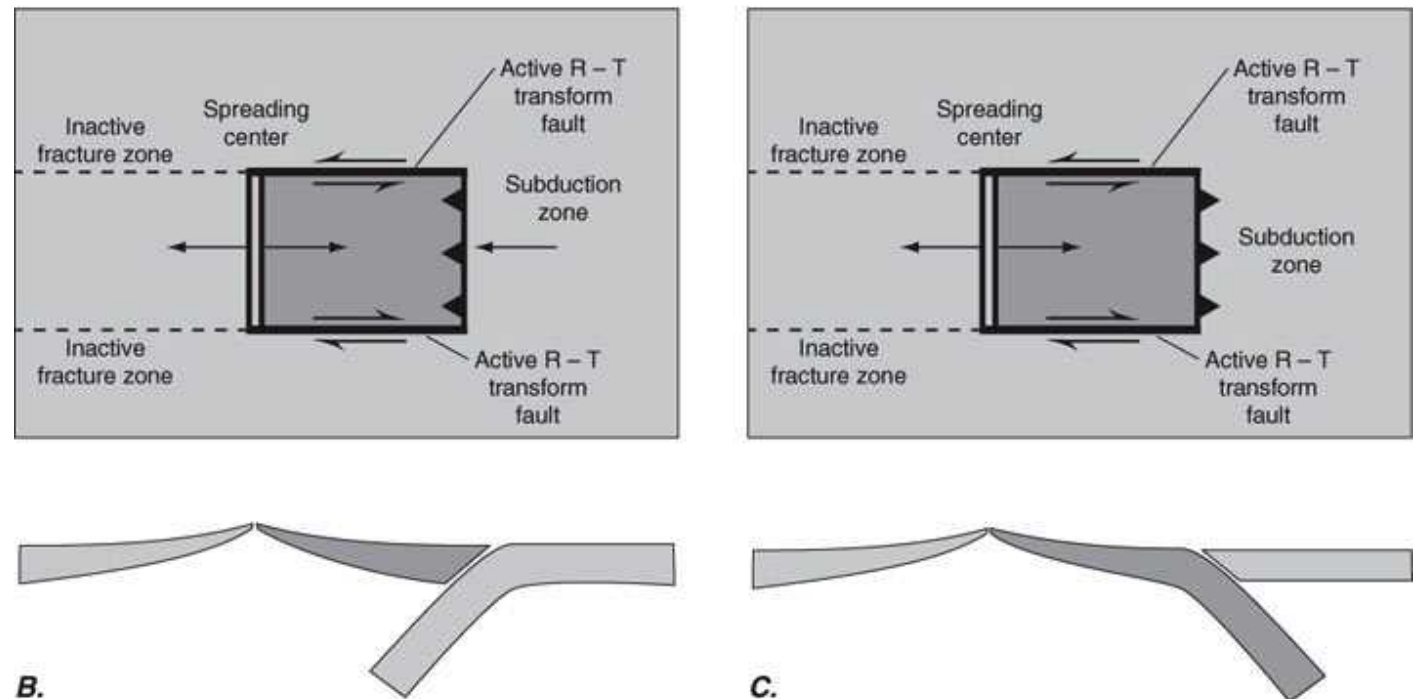
**transform systems**

# Transformsysteme

ridge-ridge

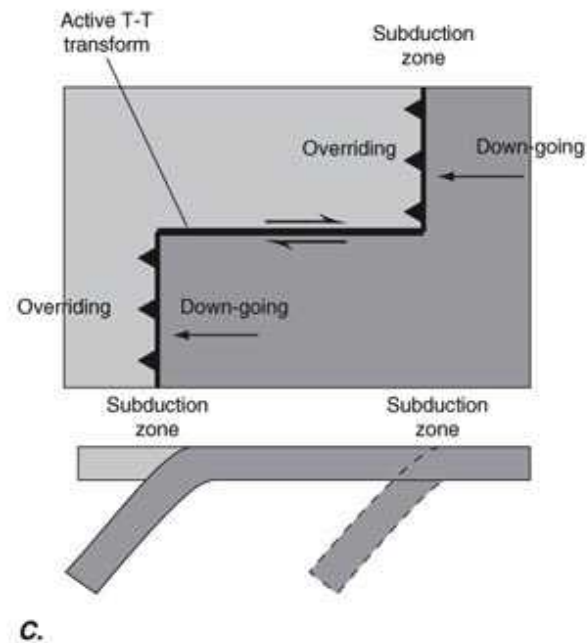
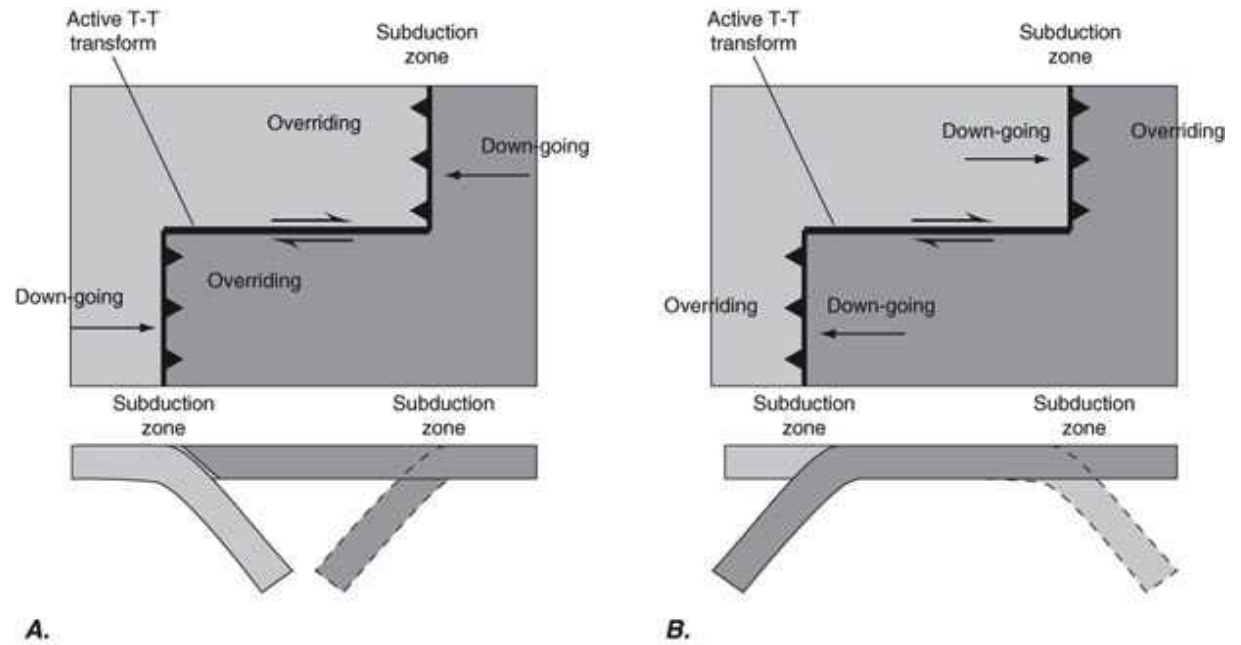


ridge-trench



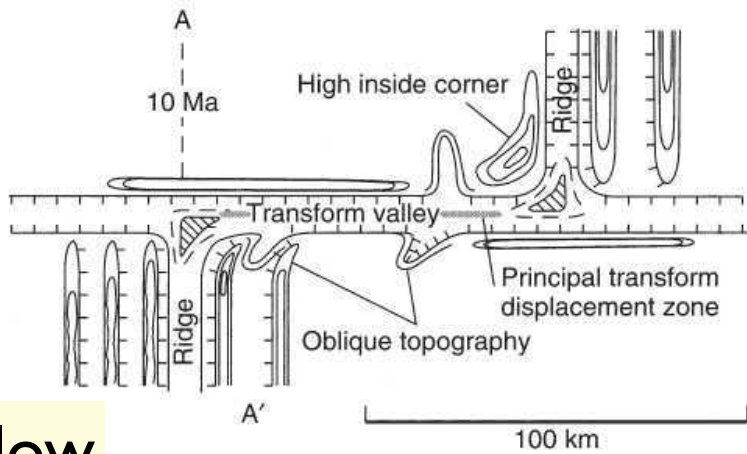


# Transformsysteme



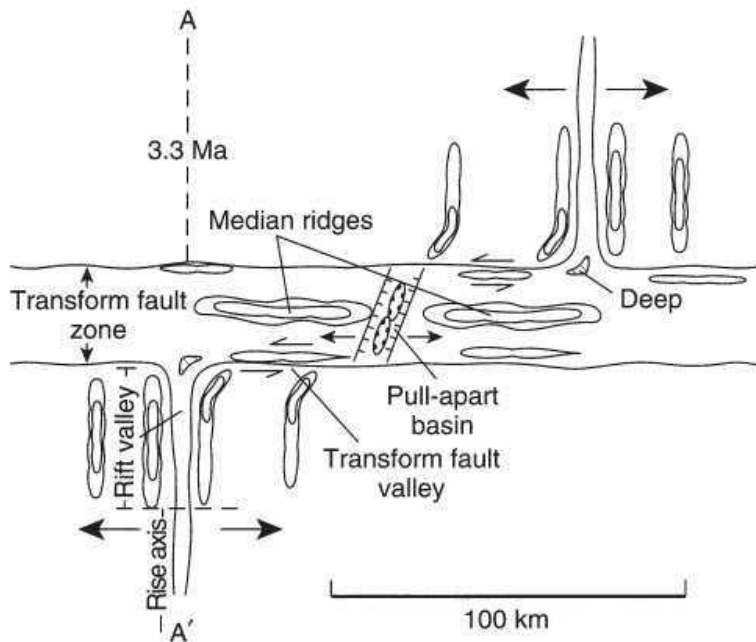
trench-trench

# Transformsysteme



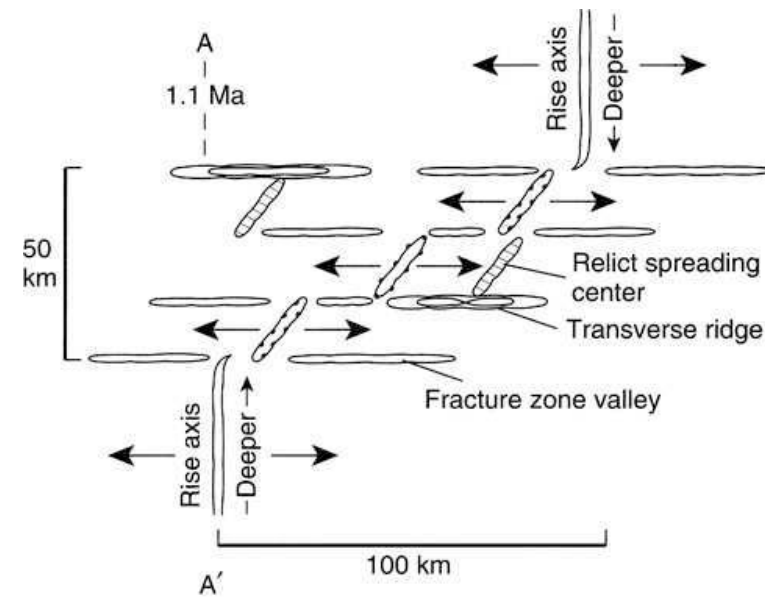
slow

< 5 cm / a



intermediate

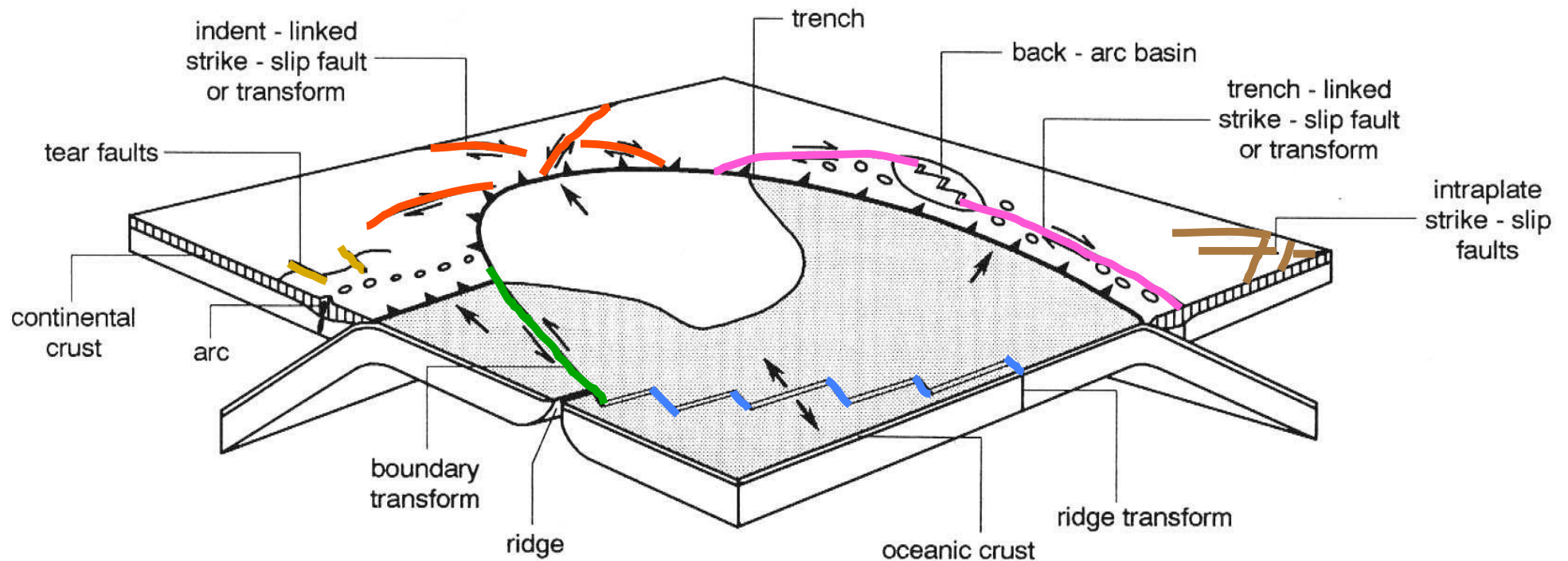
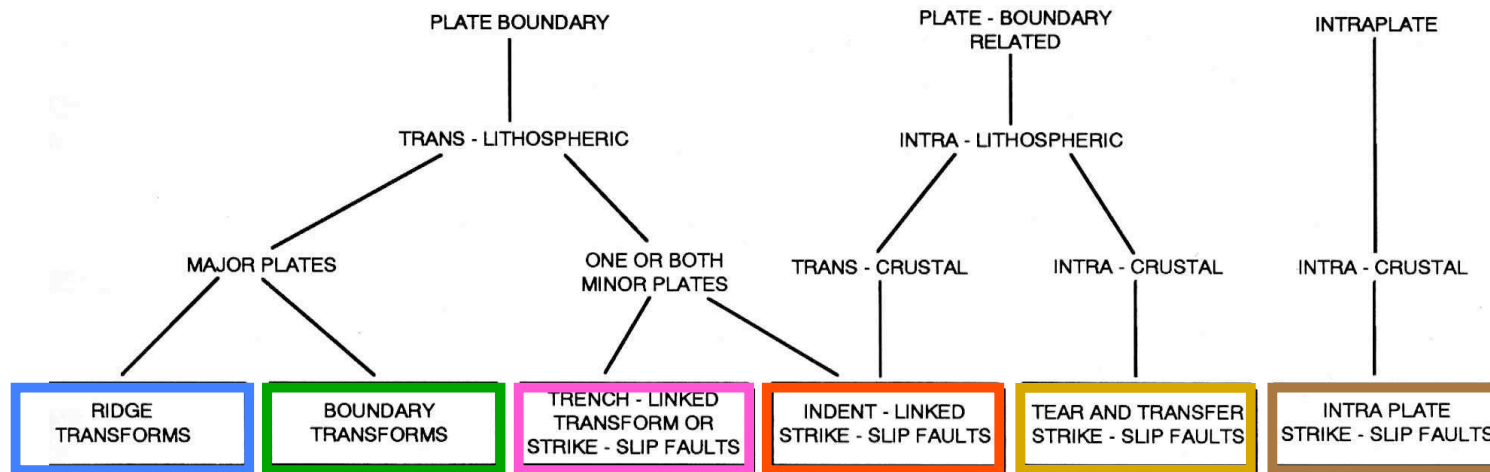
5 - 9 cm / a



fast

9 - 18 cm / a

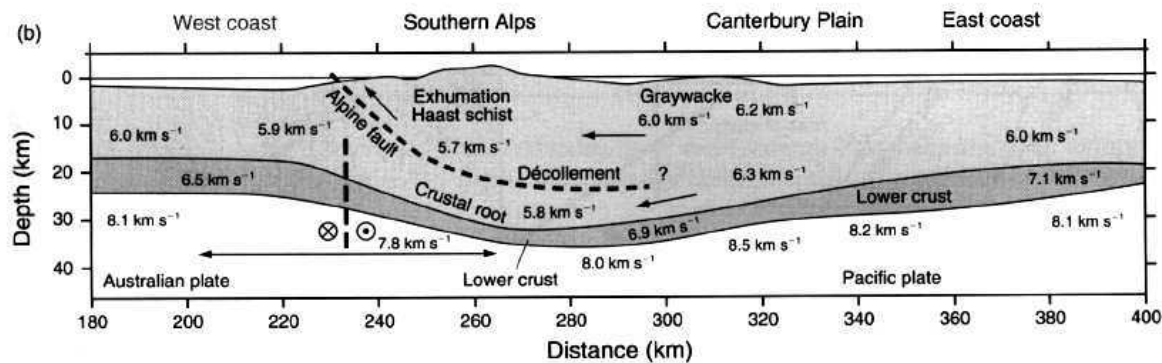
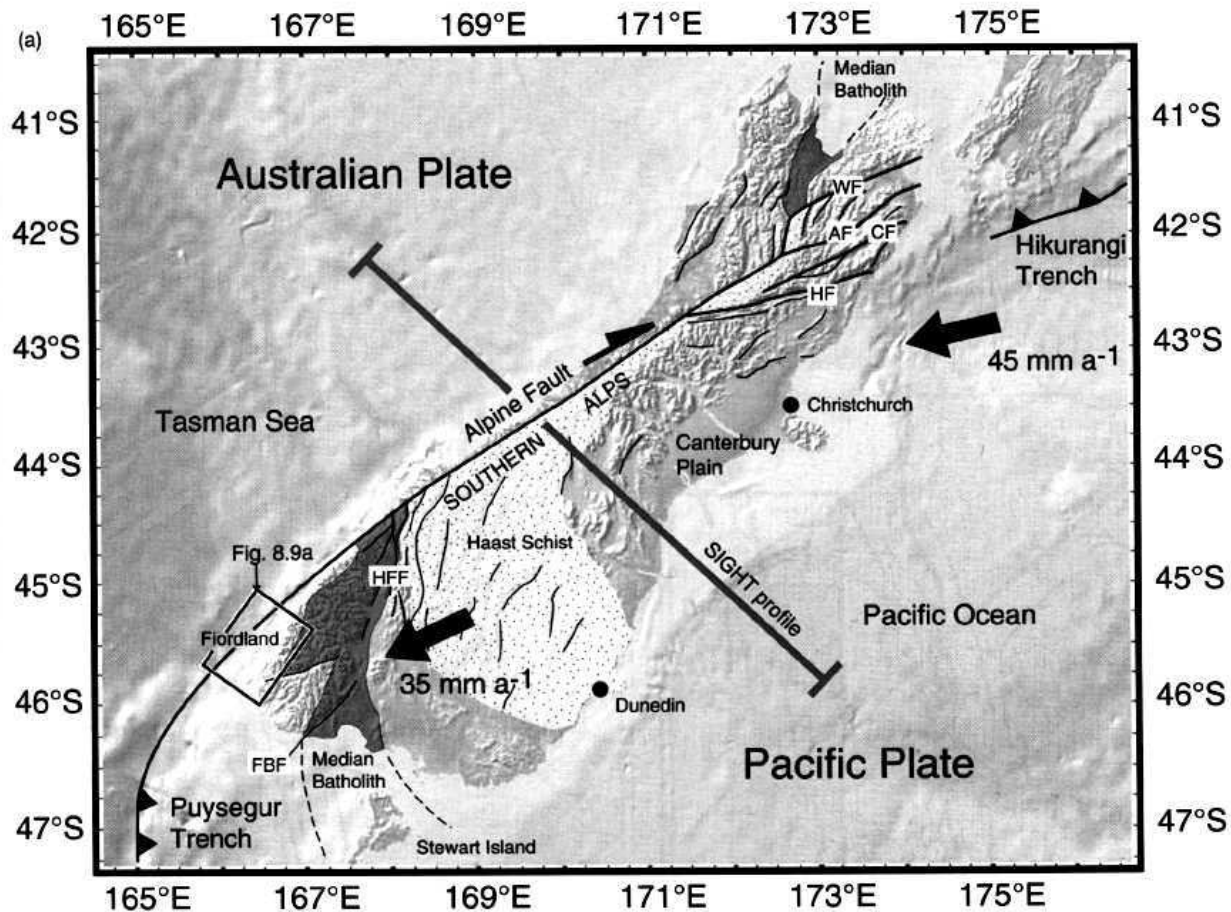
# Typen von Transformsystemen



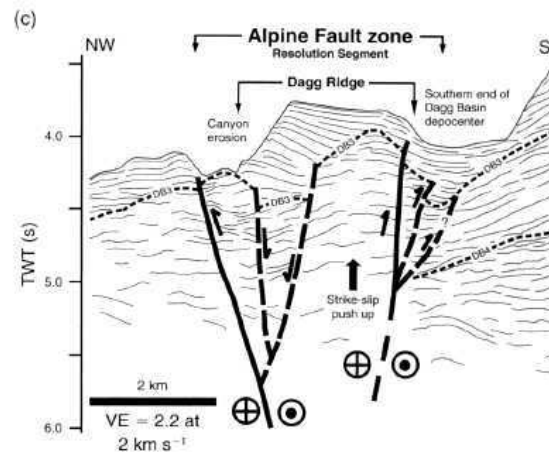
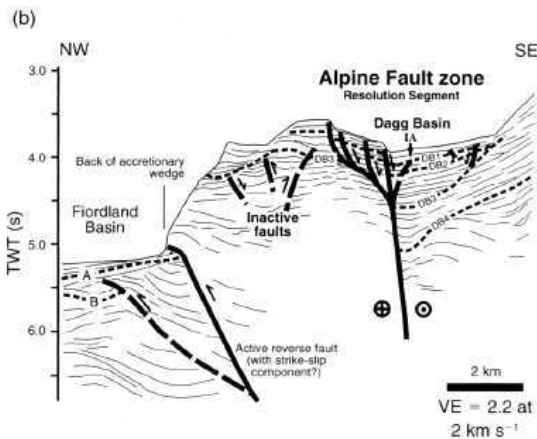
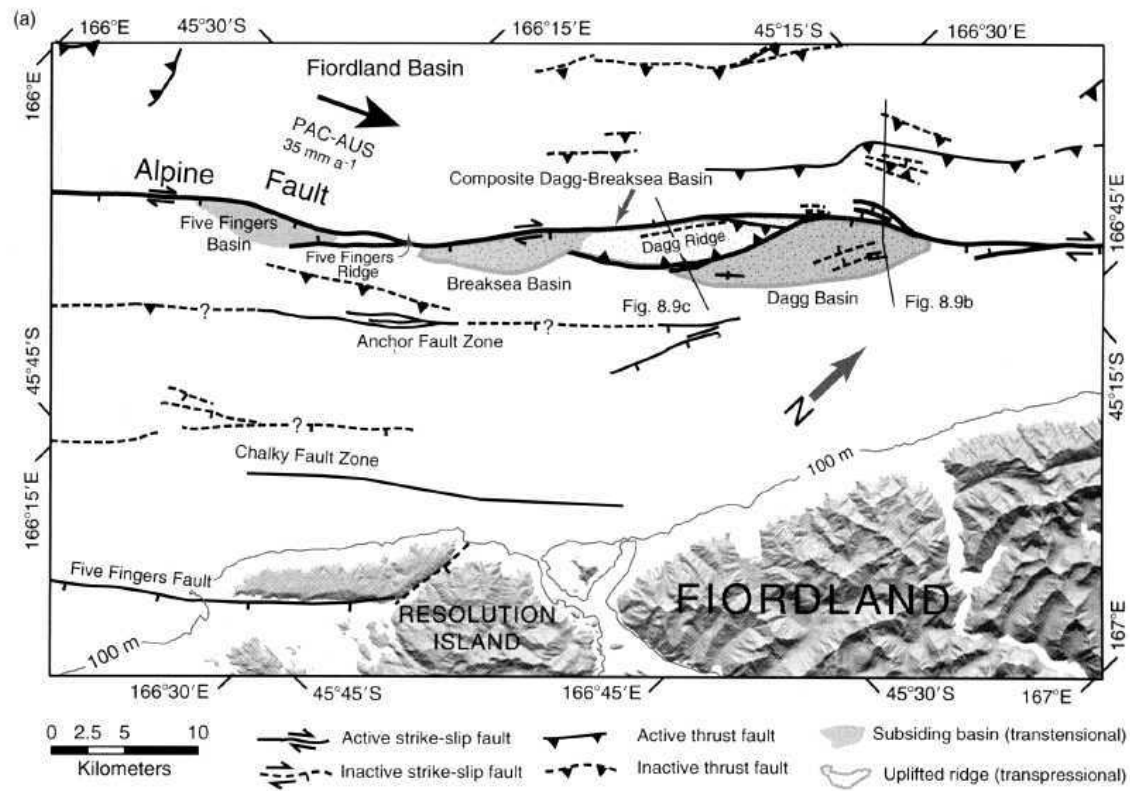
examples



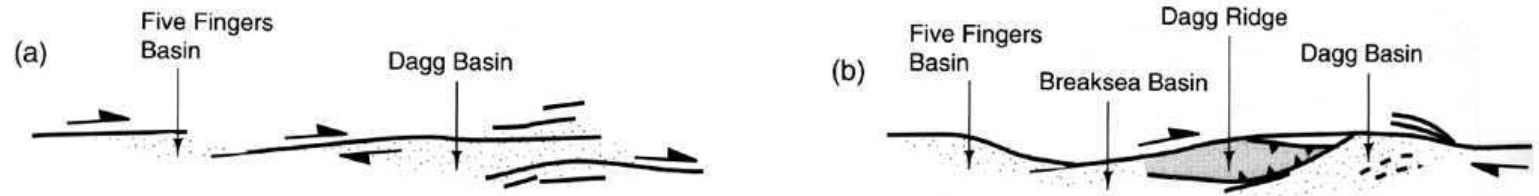
# Alpine Fault, New Zealand



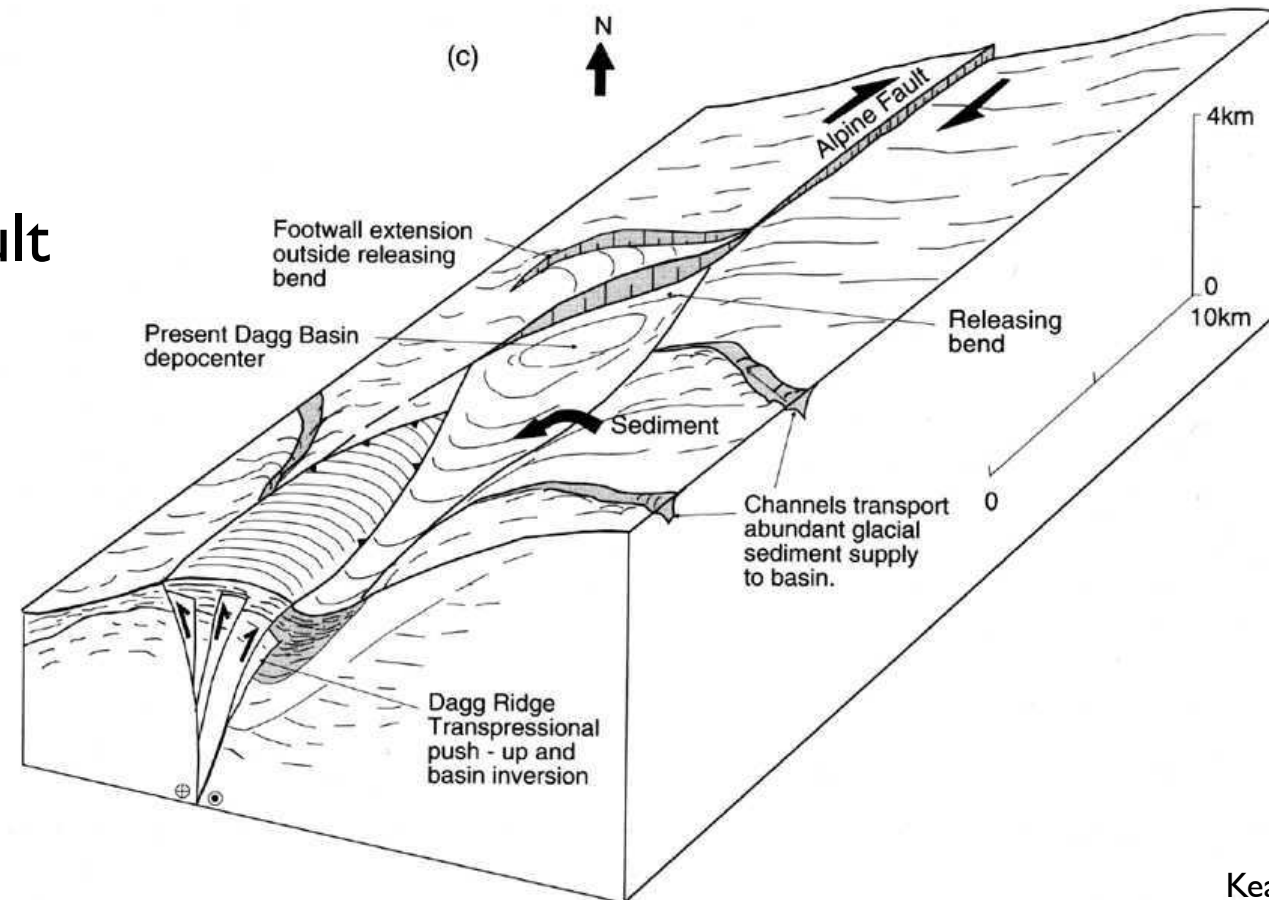
# Alpine Fault, New Zealand



# Alpine Fault, New Zealand

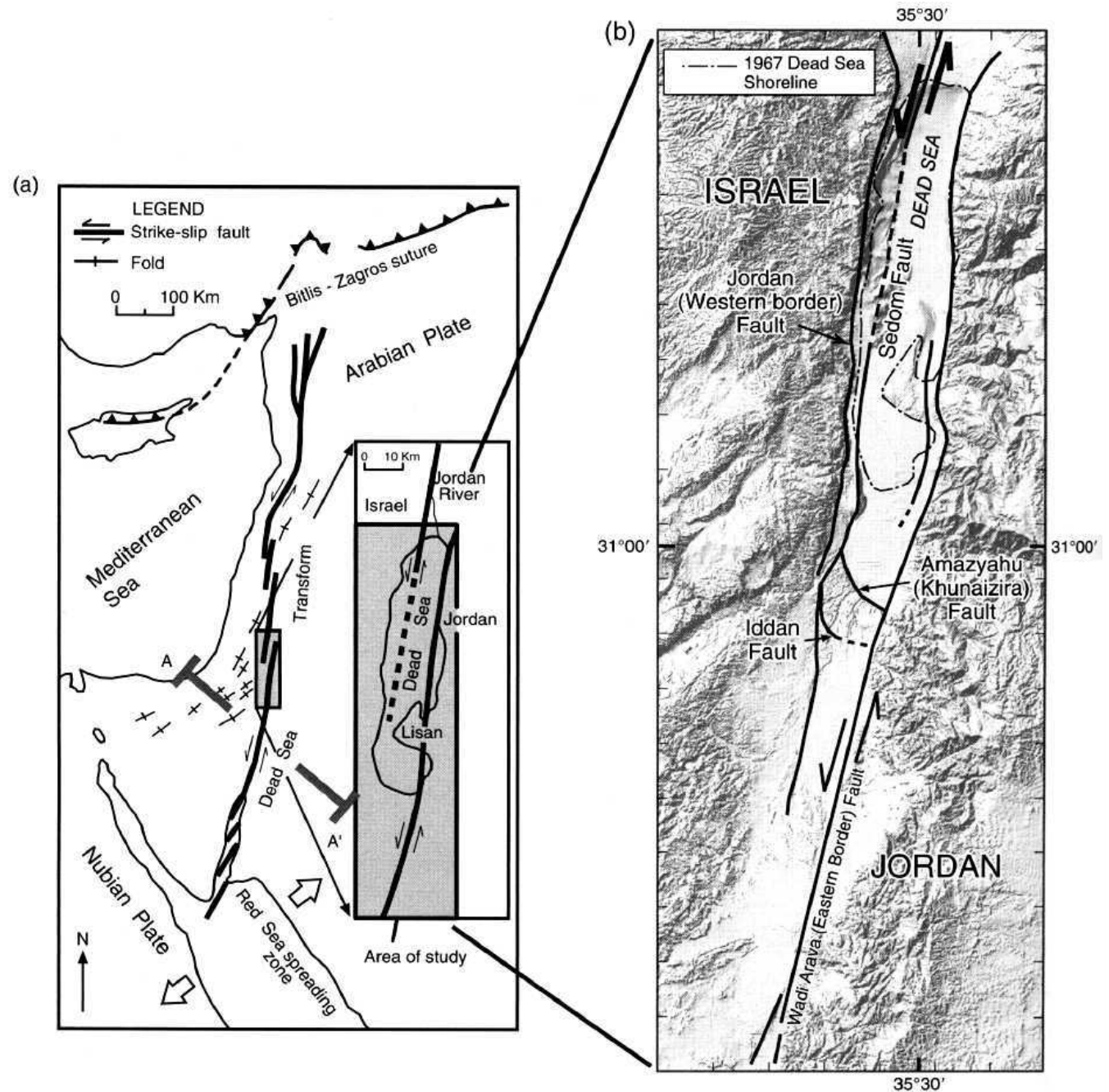


Restraining and releasing bends change along length of the fault



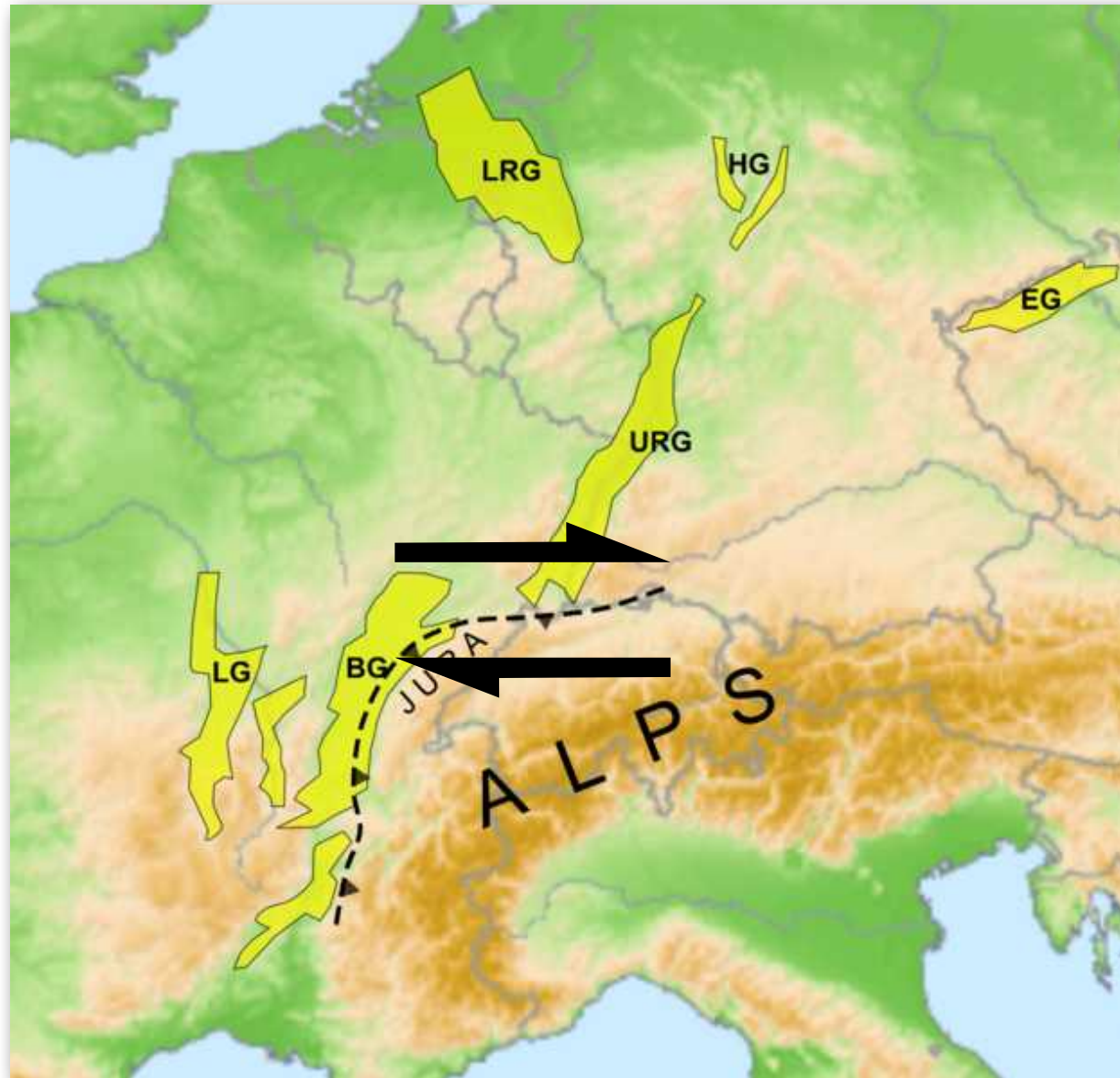
# Dead Sea Transform

Dead Sea Transform,  
produces large  
depression  
(= Dead Sea) as a  
pull-apart-basin





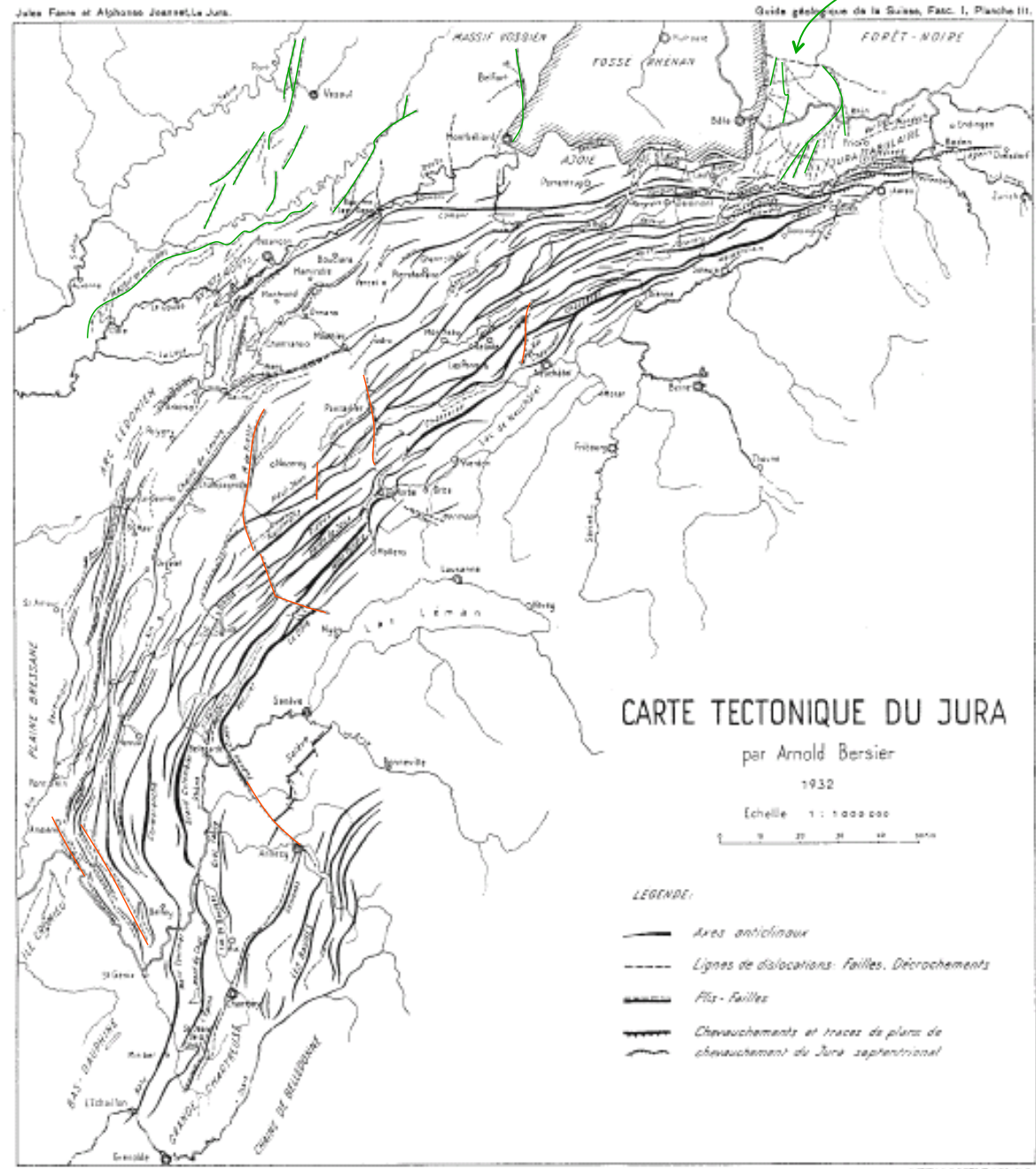
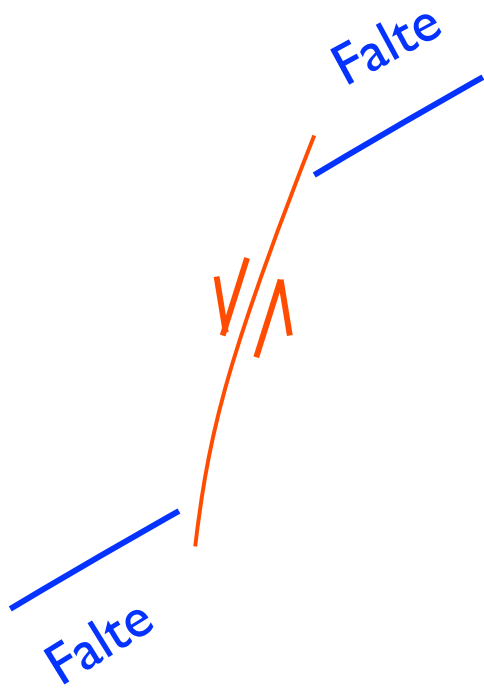
# Rhein - Bressegraben Transferzone



transfer zone

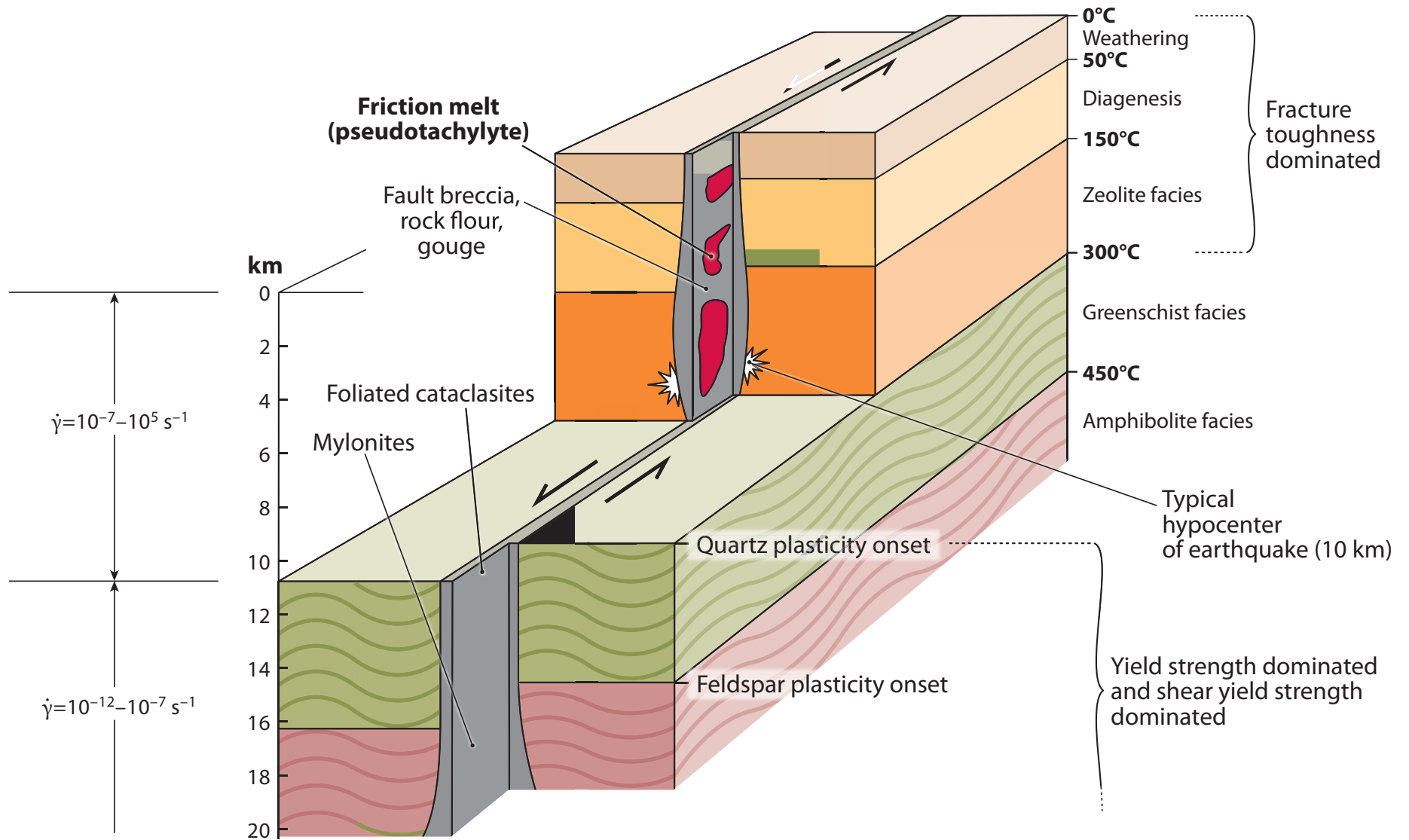
# Jura

Rheingraben



**active faults**

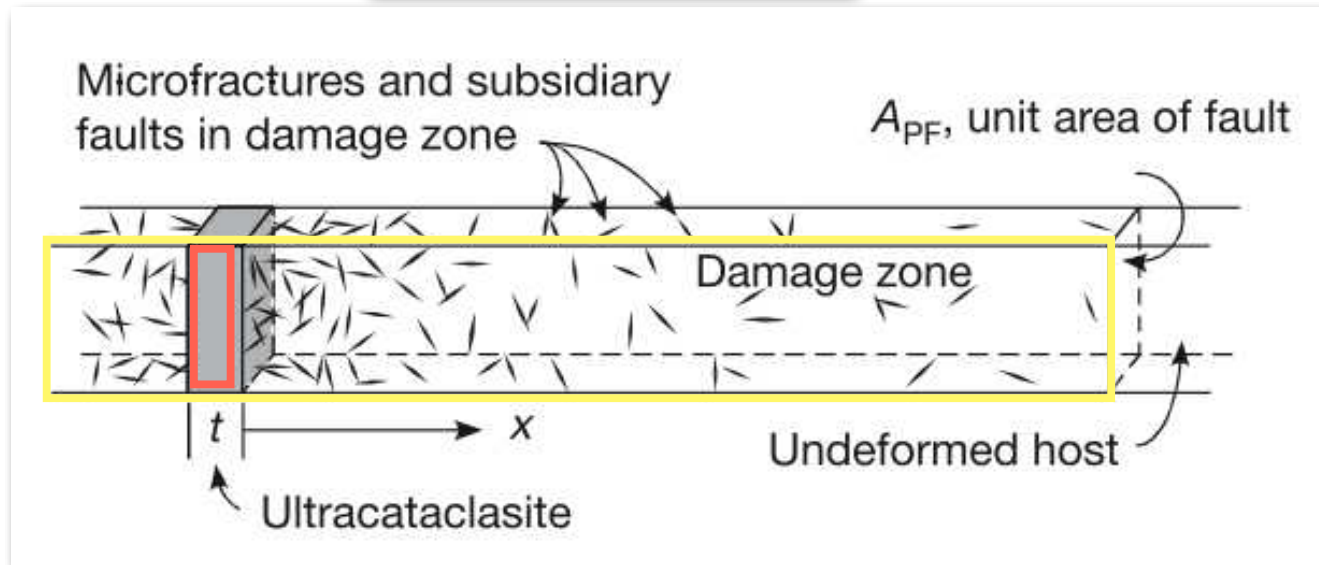
# active faults





# fault architecture & spatial localization

$$\dot{\gamma} \approx 10^{-14} \text{ s}^{-1}$$



Chester et al. 2005, Nature 437, p.133

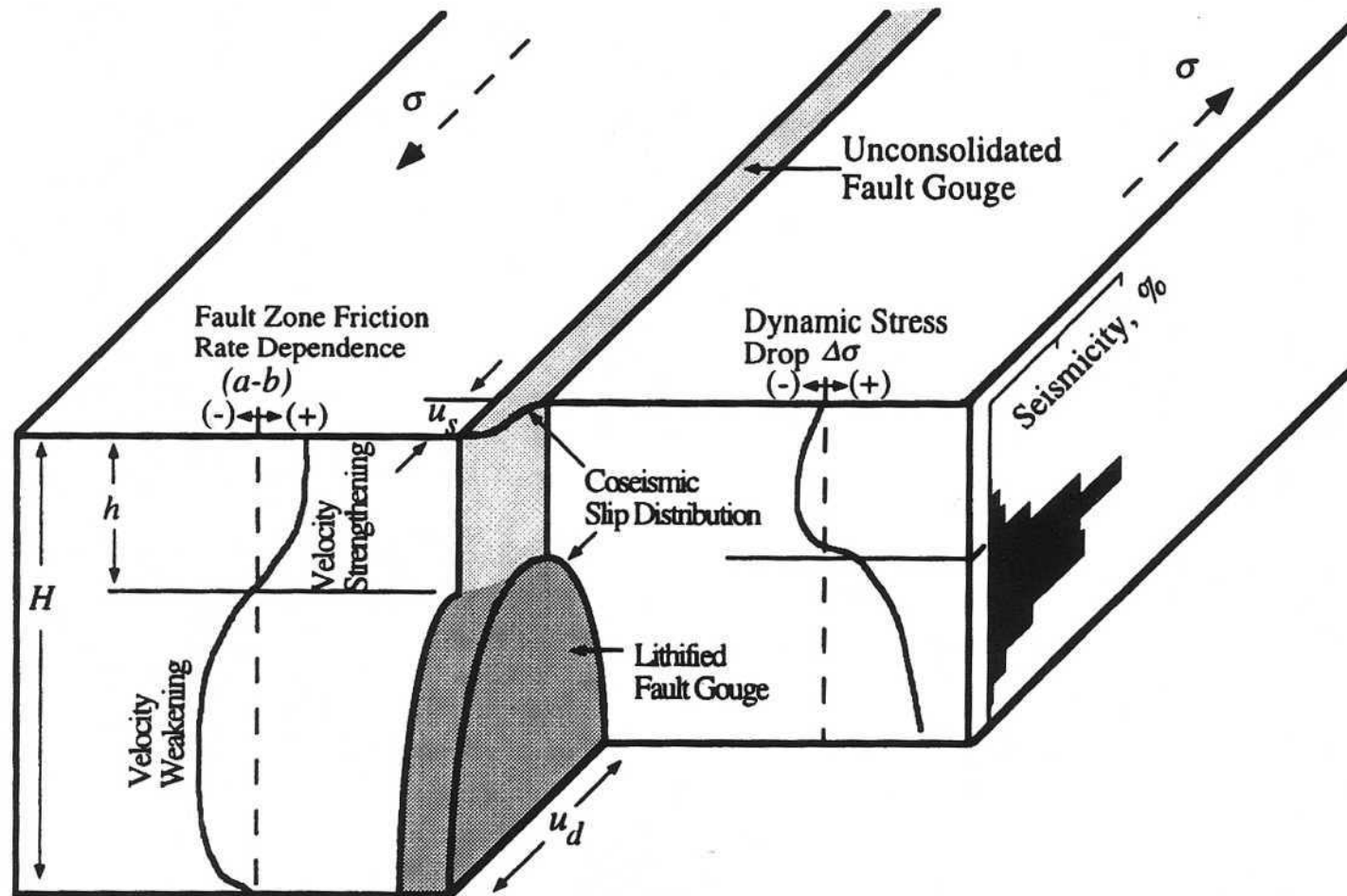
100km  $\rightarrow$  100m  
(factor  $10^3$ )

$$\Rightarrow \dot{\gamma} = 10^{-11} \text{ s}^{-1}$$

100km  $\rightarrow$  1mm  
(factor  $10^8$ )

$$\Rightarrow \dot{\gamma} = 10^{-6} \text{ s}^{-1}$$

# seismic fault model

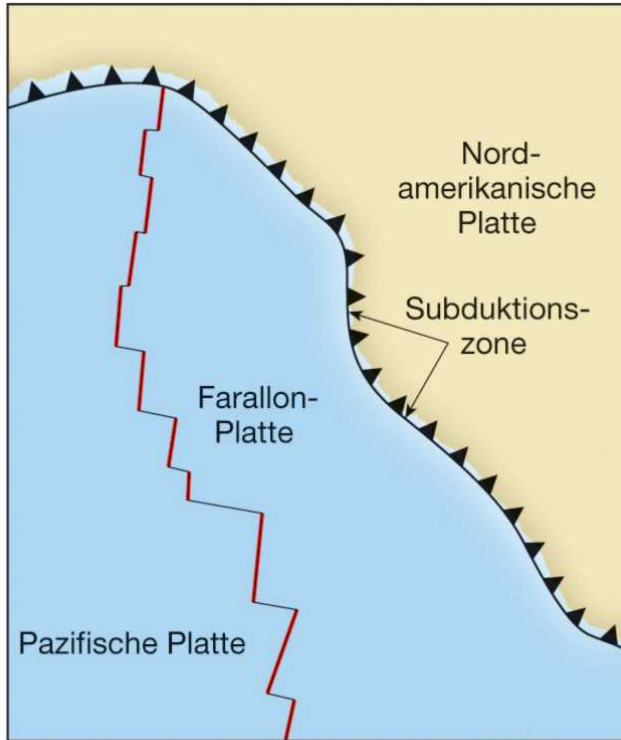


# San Andreas Fault

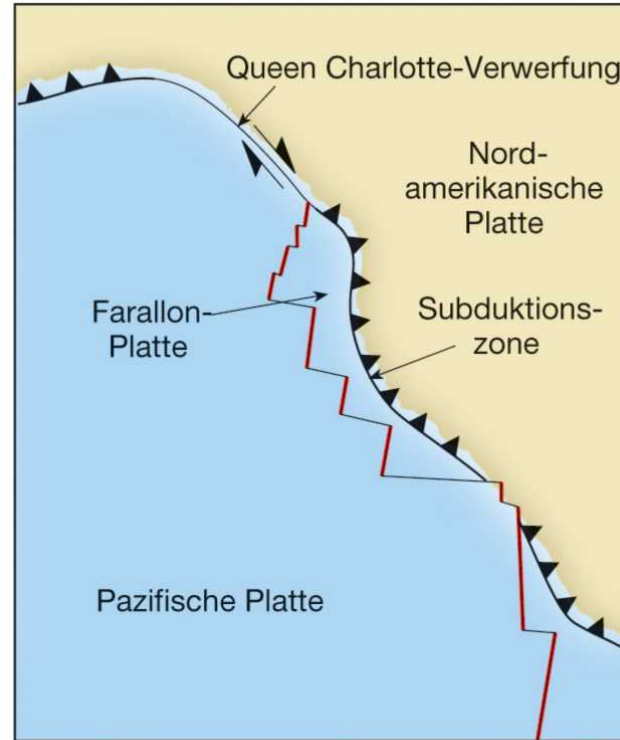




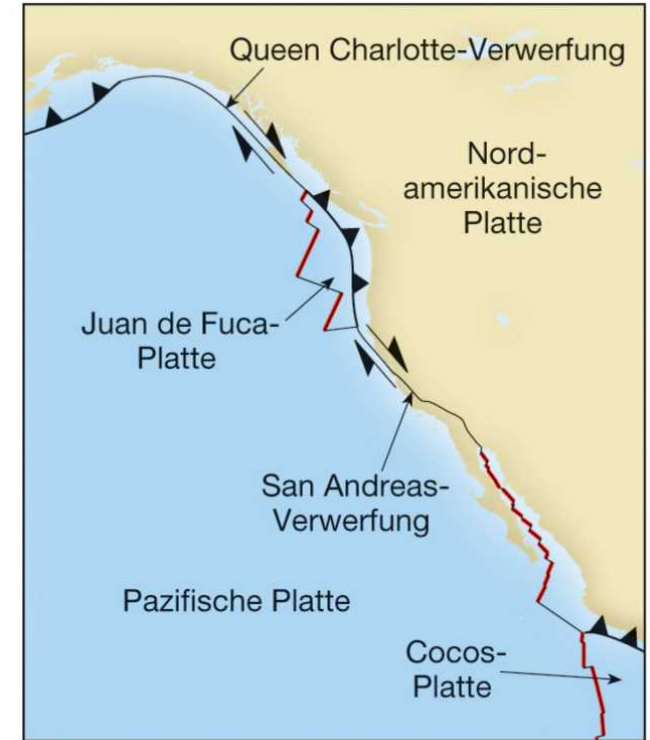
# San Andreas Fault



A. Vor 56 Millionen Jahren



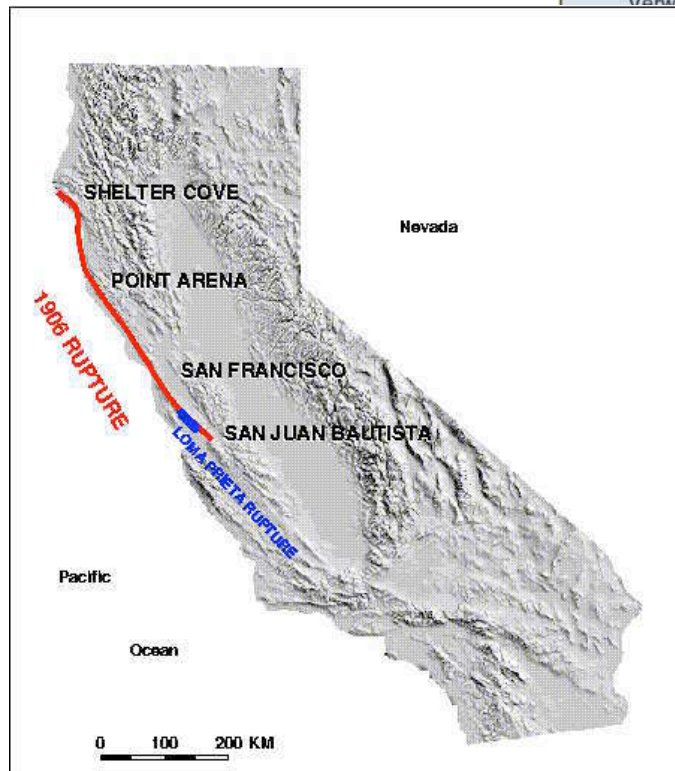
B. Vor 37 Millionen Jahren



C. Heute

Average relative velocity between North American and Pacific plate = 48-50 mm/a





# San Andreas Fault

## Heat flow

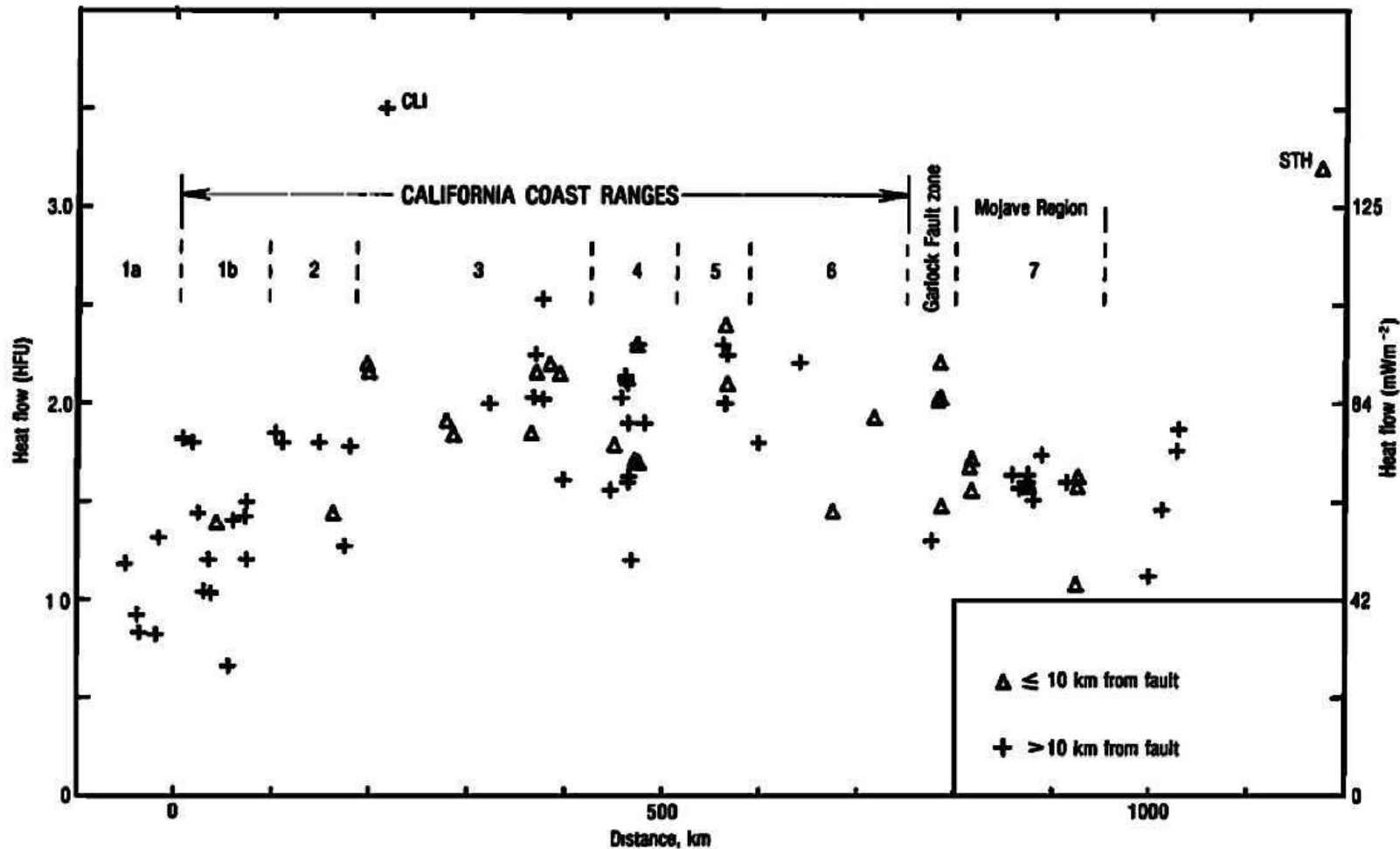


Fig. 12. Heat flow, projected on to the main trace of the San Andreas fault, as a function of distance from Cape Mendocino (CM, Figure 8). Regions are as defined in Figure 8. Points in Great Valley (stippled, Figure 8) were excluded.

# San Andreas Fault

## Heat flow

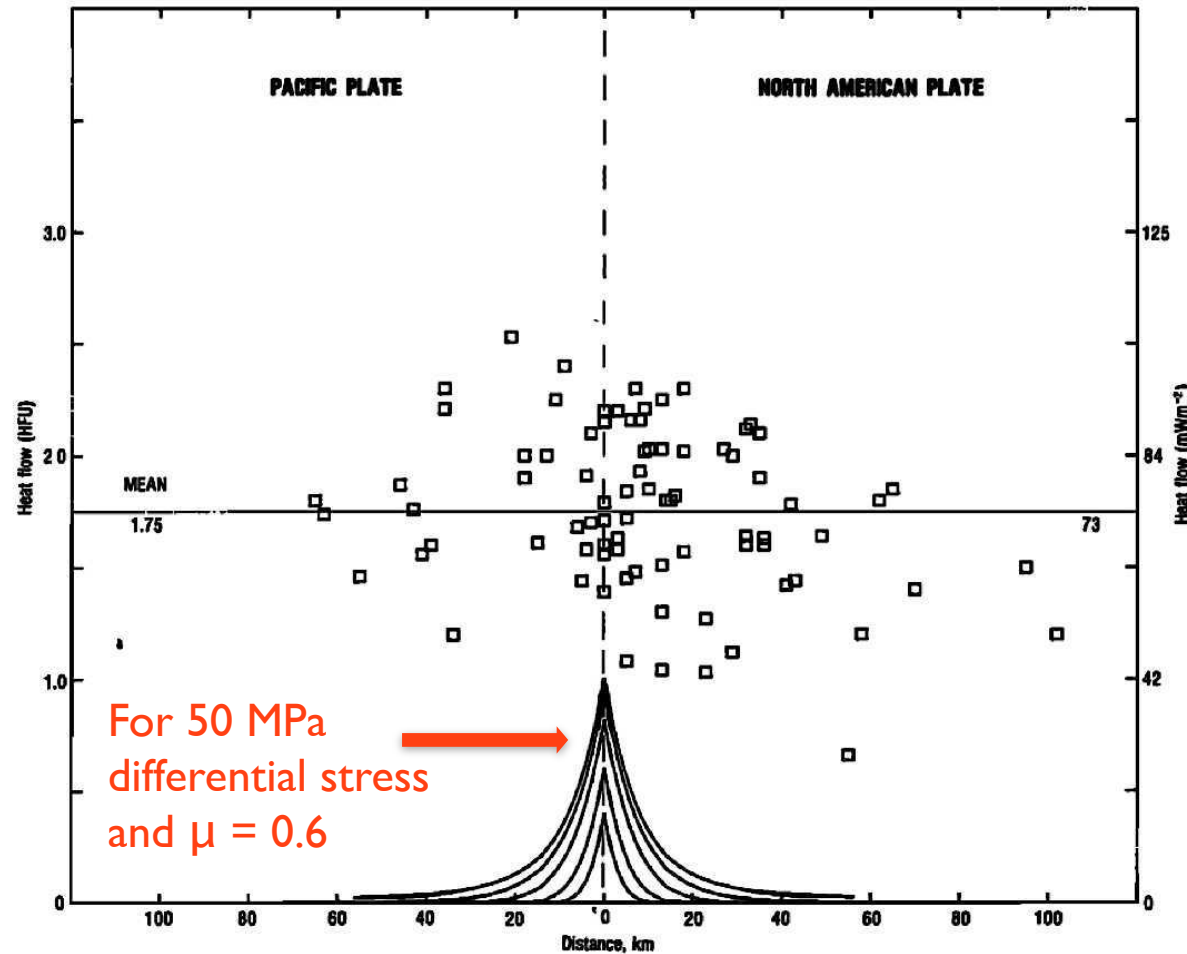


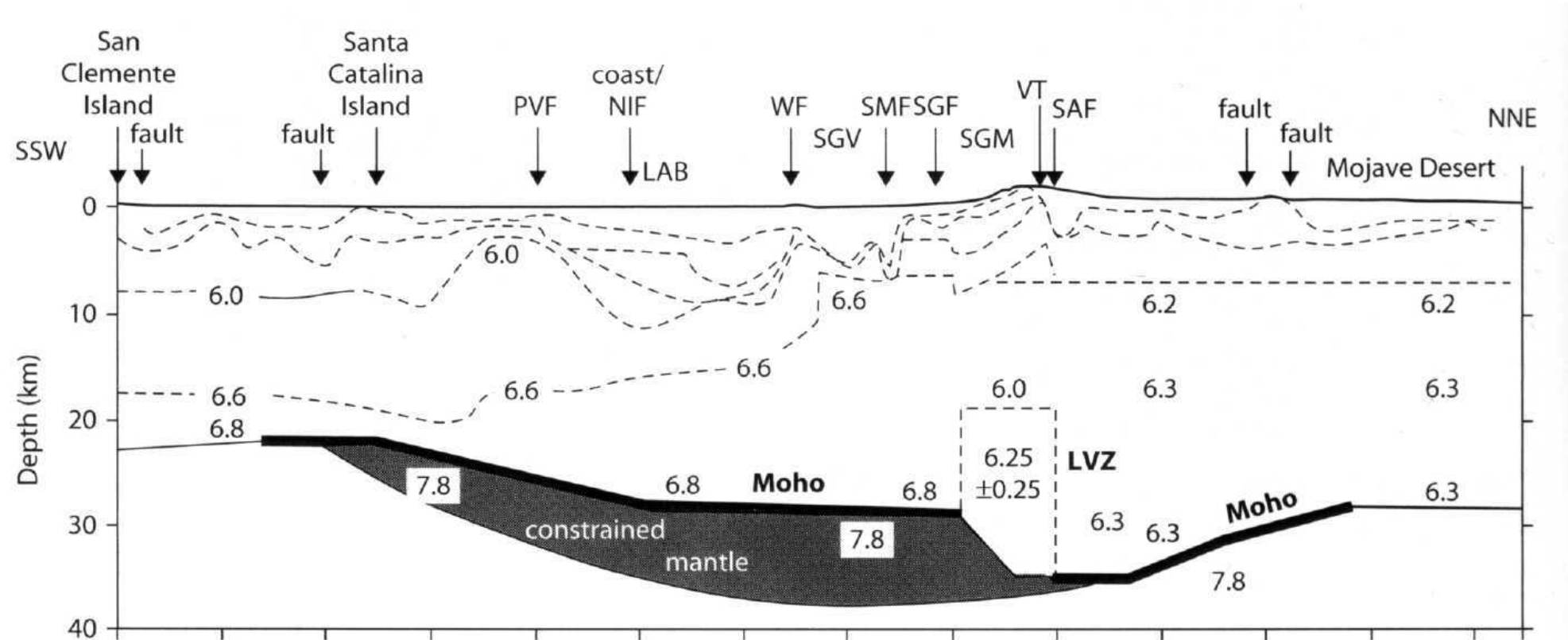
Fig. 11. Heat flow as a function of the distance from the main fault trace for 81 points of Figure 9. Pattern of curves is reference anomaly from Figure 2a (see (11) and (12)).

calculated anomaly  
for heat production  
by frictional heating,  
i.e., weak fault

# San Andreas Fault

## Seismic Velocities:

- Show weak crust on top
- Moho offset of several kms<sup>-1</sup>
- Fault reaches into mantle

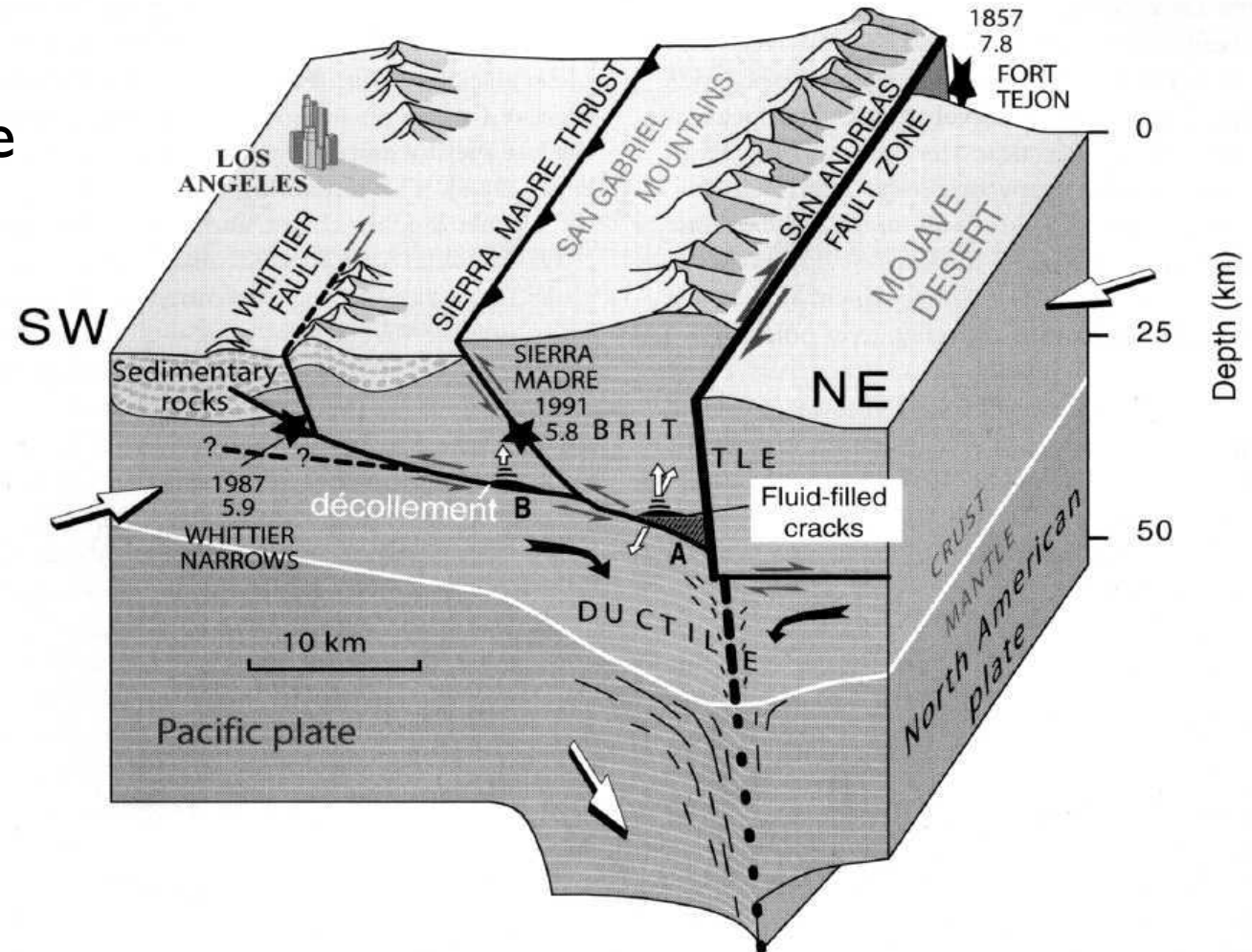




# San Andreas Fault

Geologic interpretation:

- Decollement on the basis of weak crust constraints and seismic reflectors
- Deformation mechanisms must change with depth
- Earthquakes in upper part above decollement



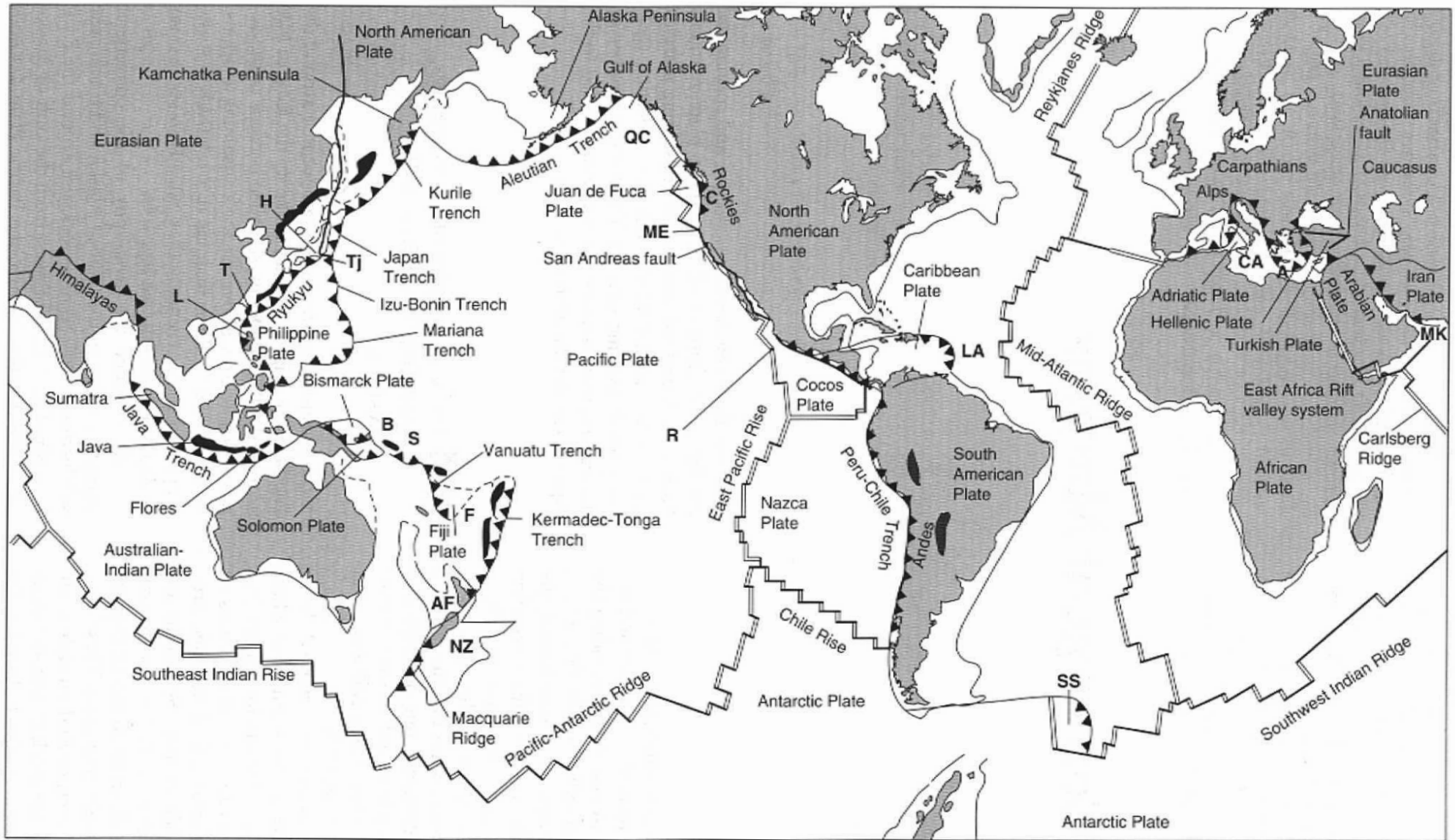
9

# 9 Extensionstektonik - rifting - MCCs - LANFs

VL-Themen:

- Extensionsregimes
- Extensionsgeometrie
- Morphologie
- Krustenextension
  
- Ozeanische Rücken
- Graben Grabenbildung (rift - rifting)
- metamorphic core complexes MCC
- low angle normal faults LANF

# extensional tectonics

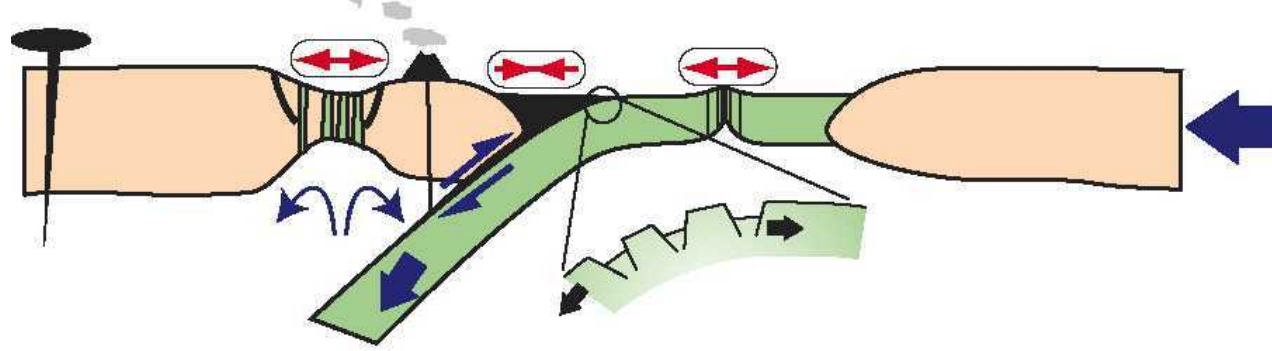


Ridge  
 Transform  
 Subduction zone  
 Areas of Deep Focus Earthquakes

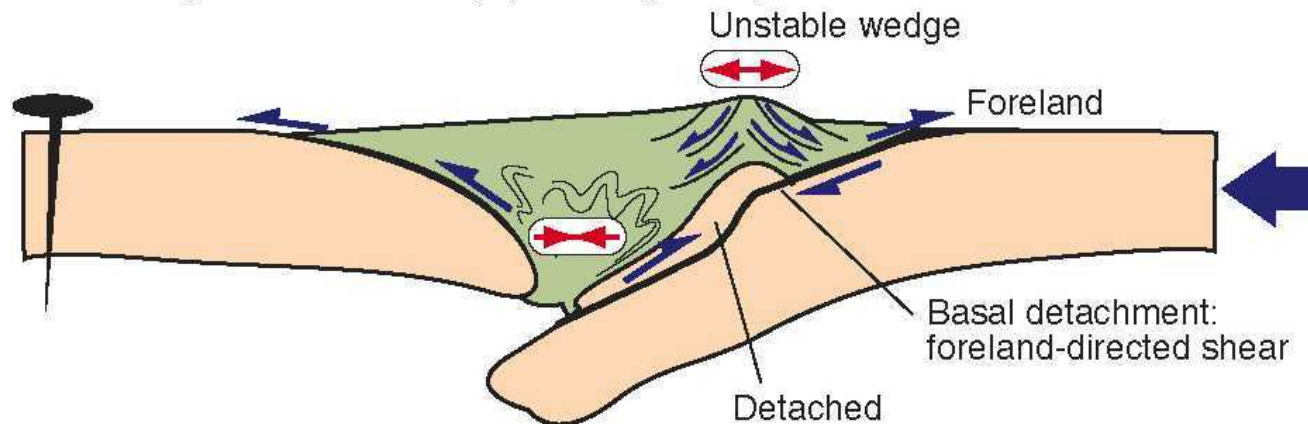


# extensional settings

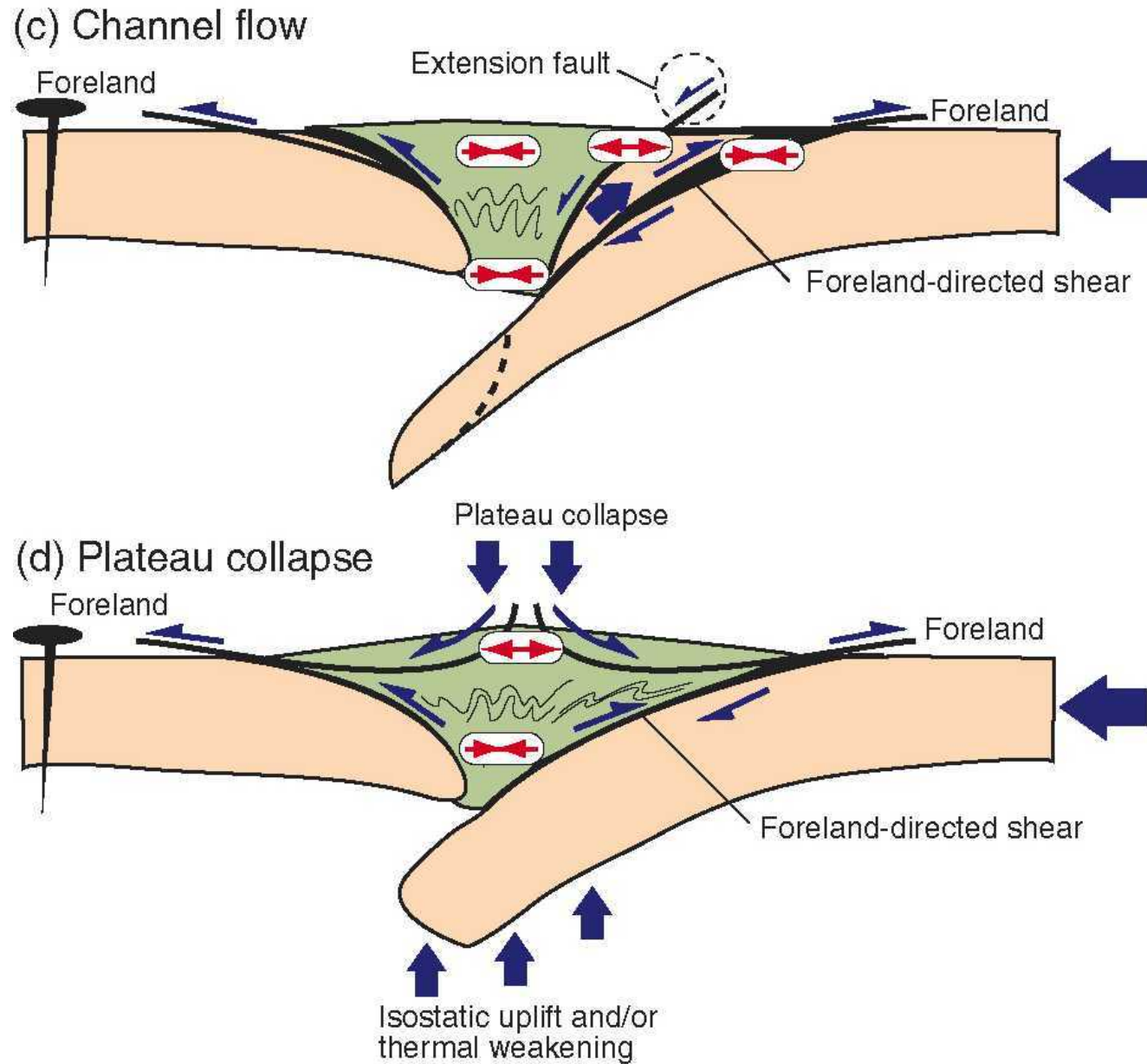
(a) Island-arc splitting, subduction and sea-floor spreading  
Pre-collisional



(b) Unstable orogenic wedge  
Syn-collisional (syn-orogenic)

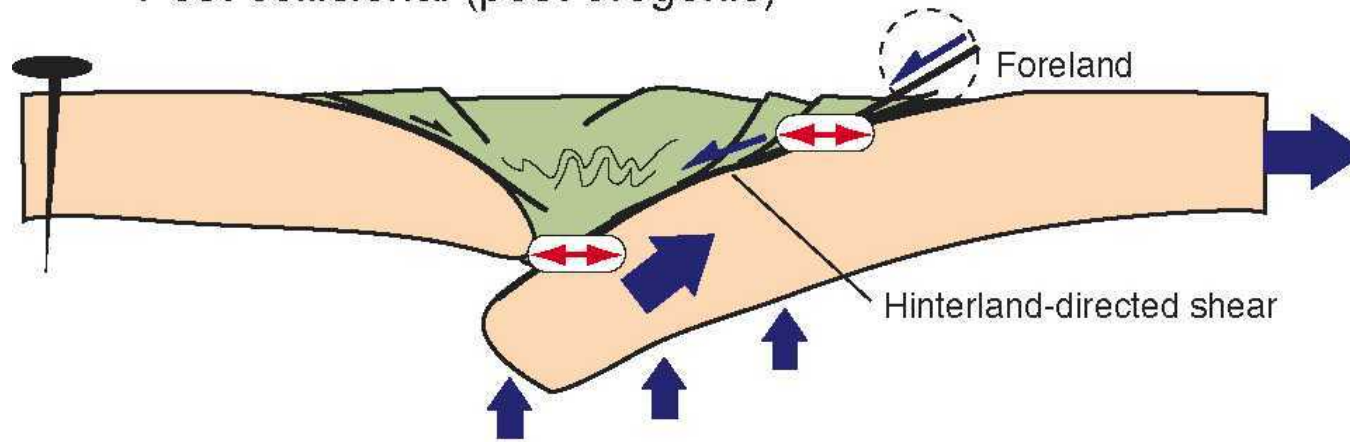


# extensional settings

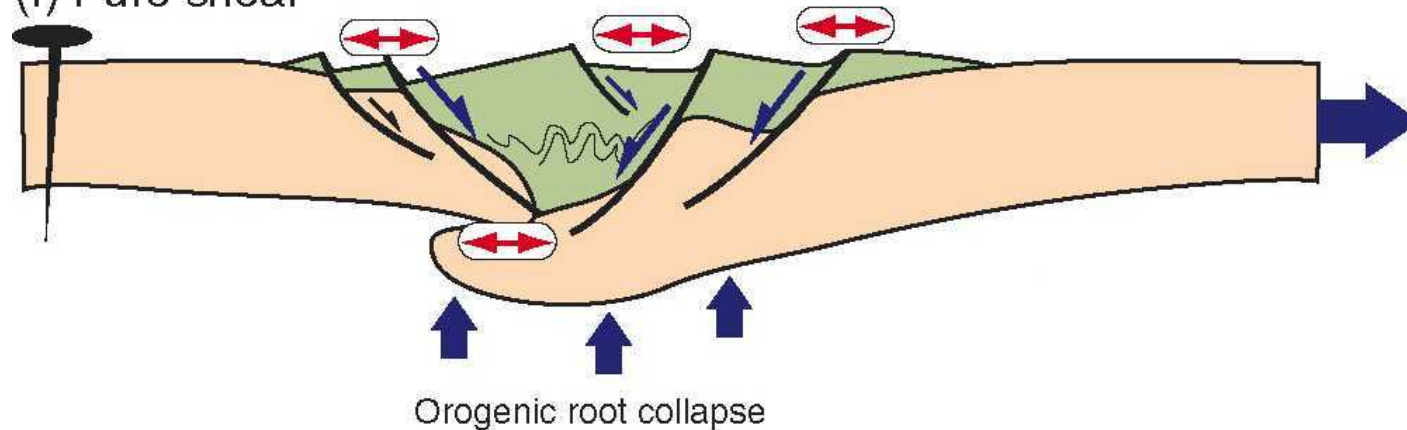


# extensional settings

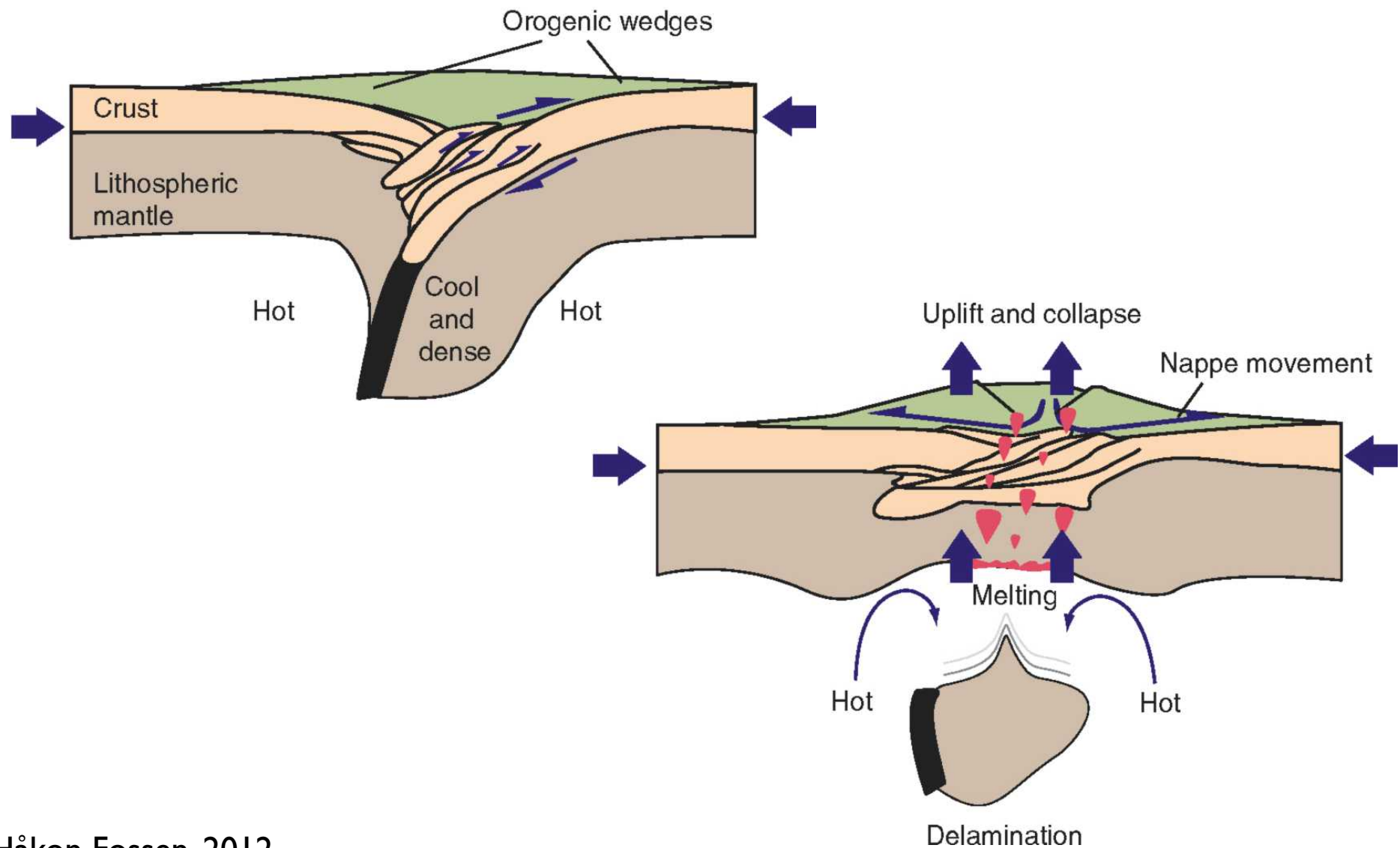
(e) Simple shear  
Post-collisional (post-orogenic)



(f) Pure shear



# extensional settings

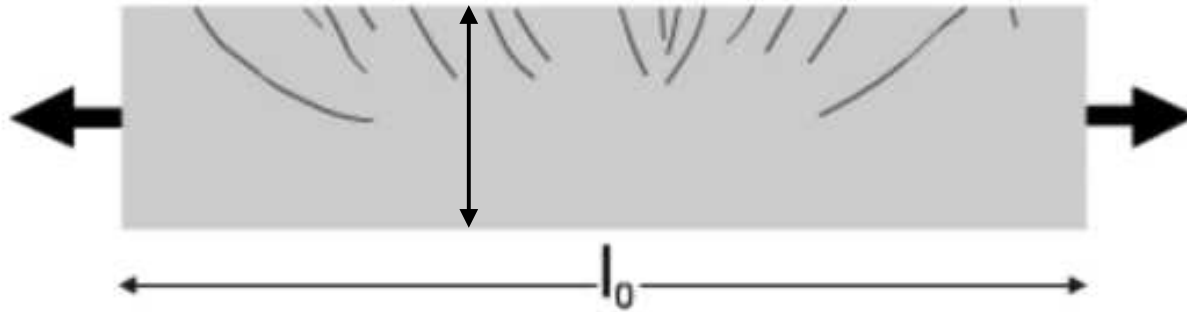




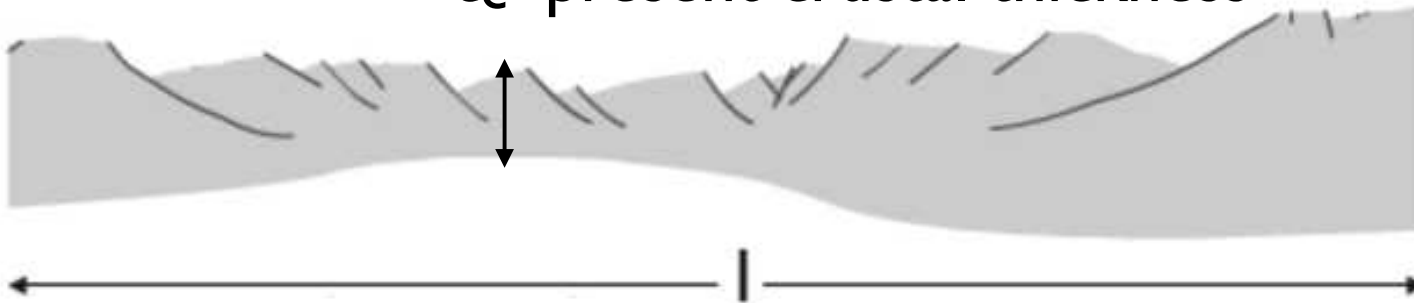
geometry of extension

# geometry of extension

$t_0$  initial crustal thickness



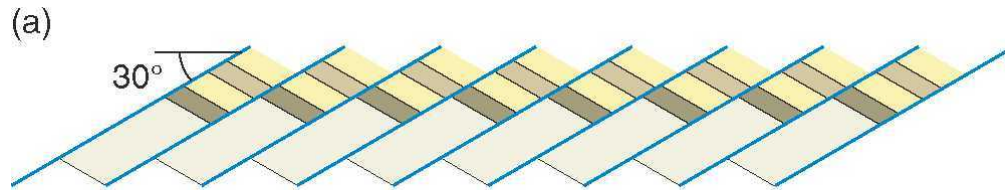
$t_c$  present crustal thickness



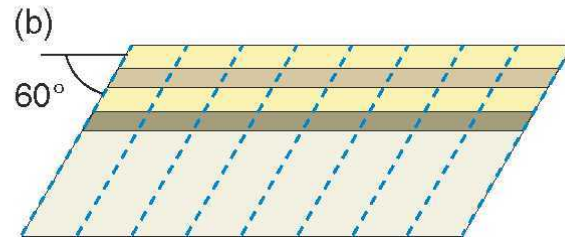
$$\beta = \frac{t_0}{t_c}$$

$$s = l / l_0 = (l + e) = \text{stretching} = \text{extension}$$

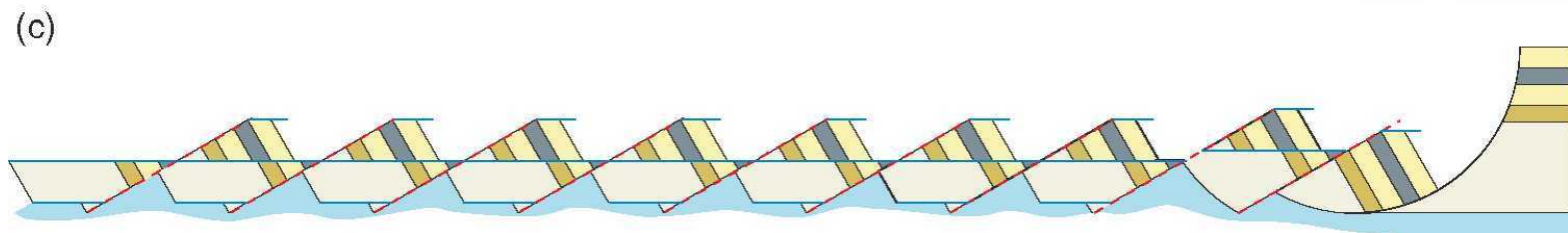
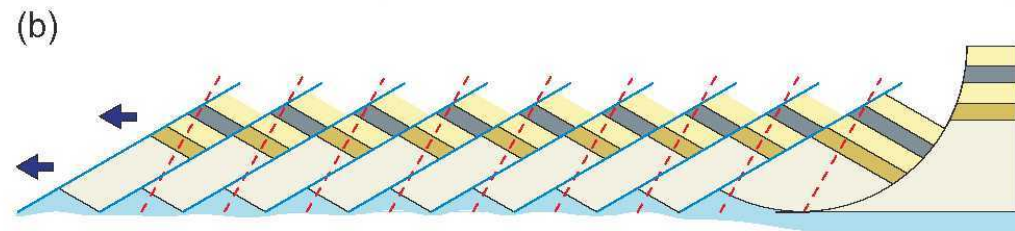
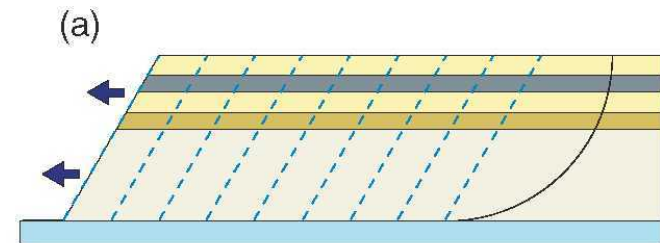
# extensional faults



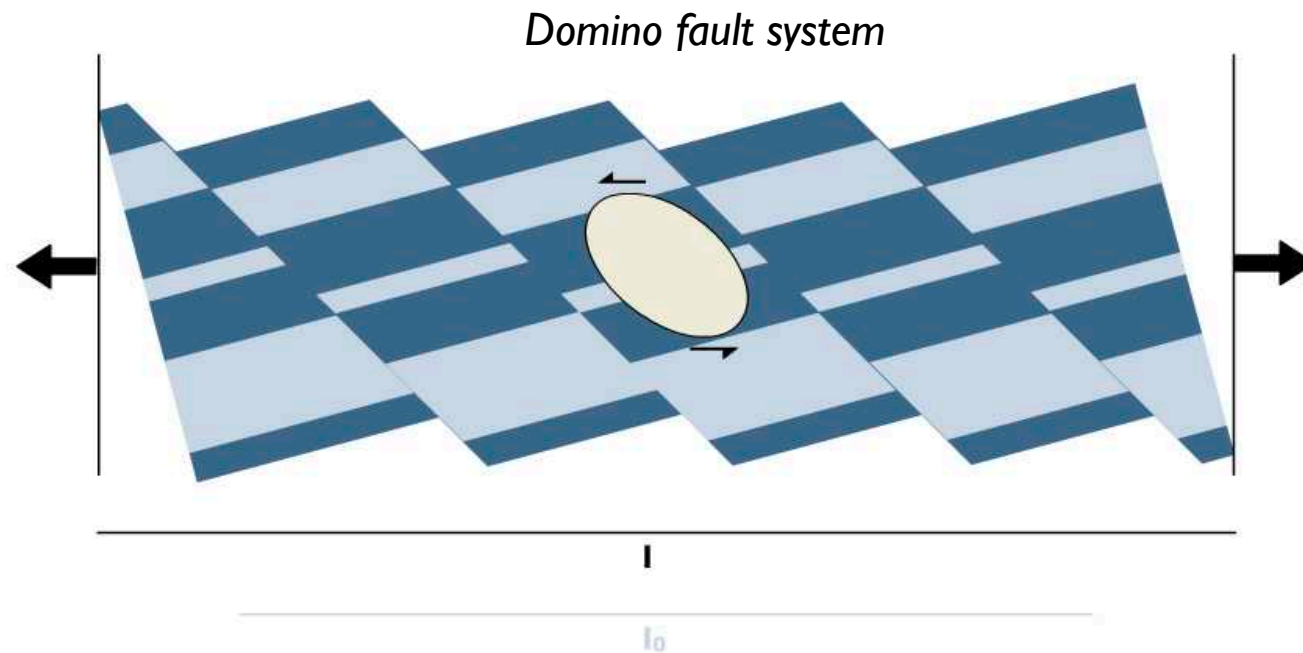
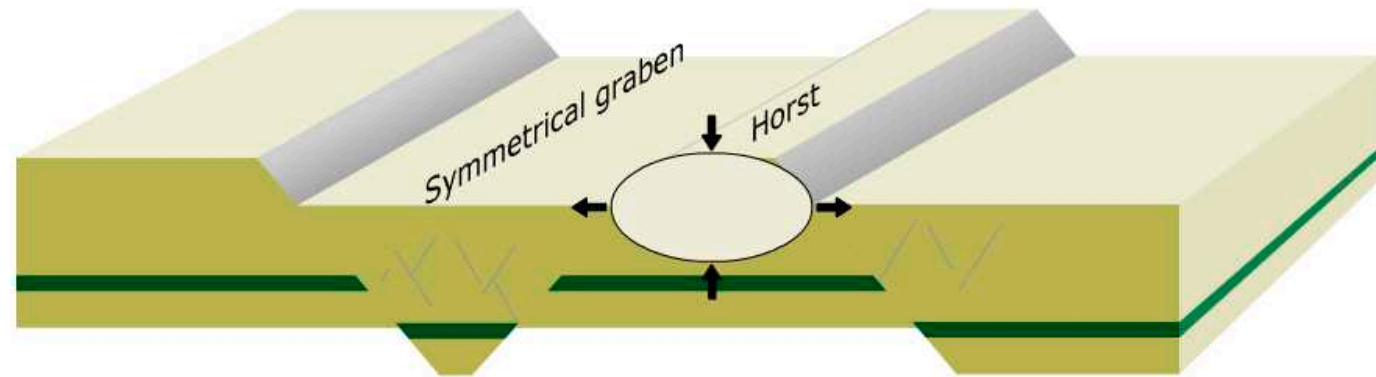
domino



listric

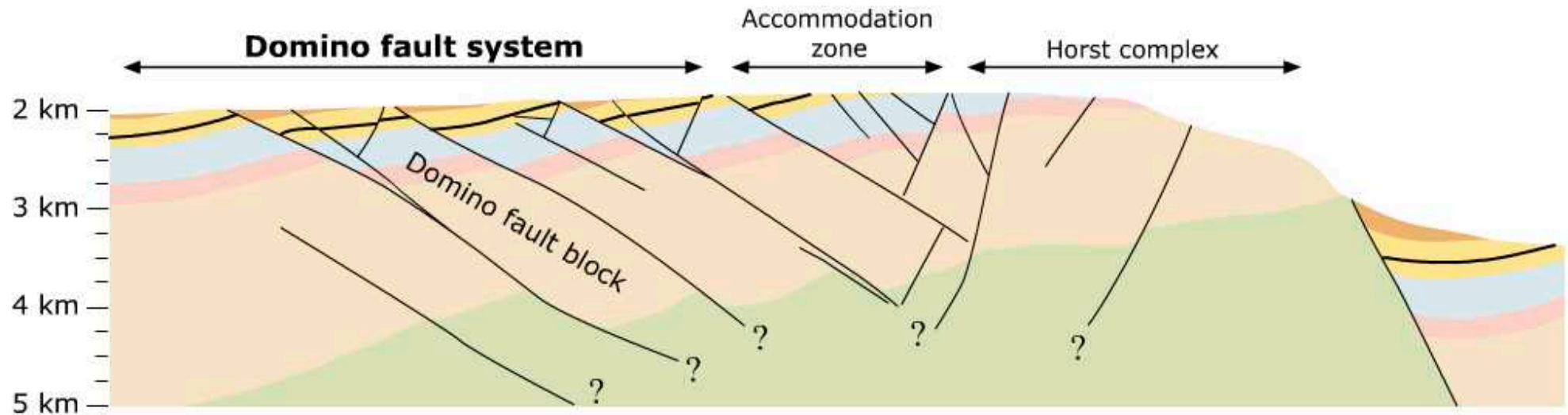


# pure shear - simple shear extension

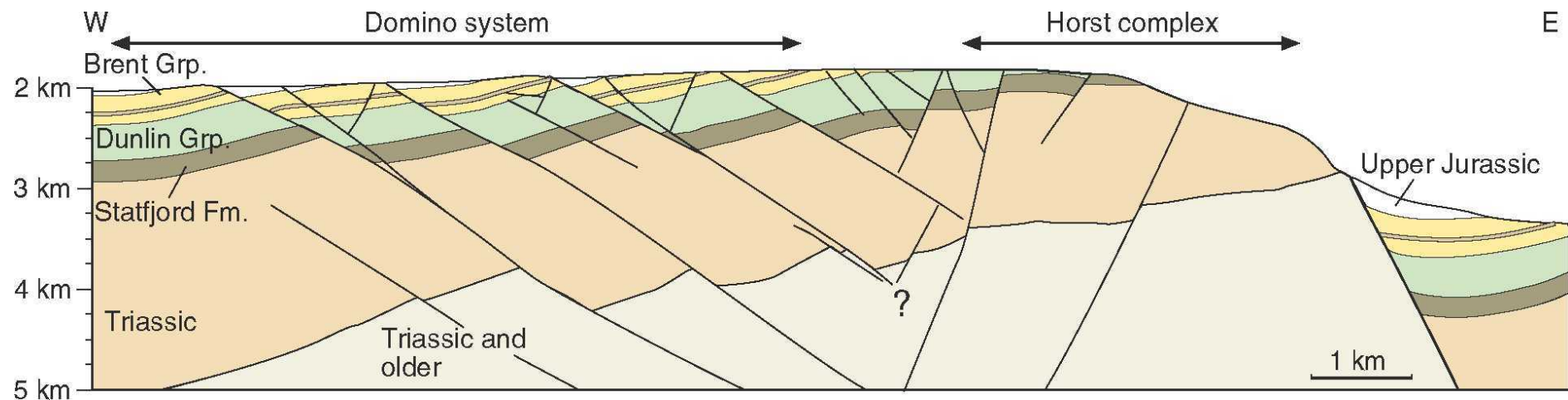




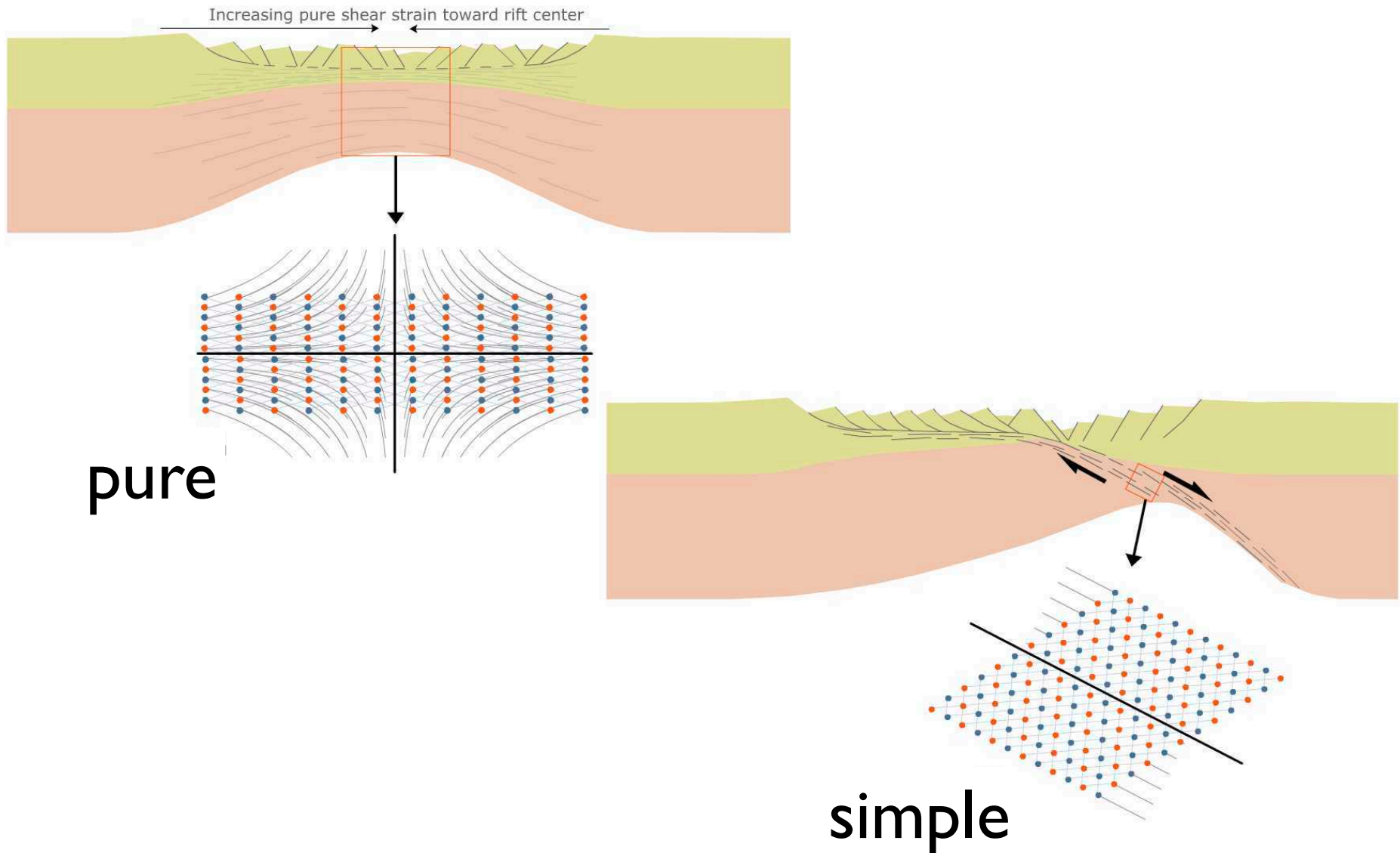
# Beispiel: Gullfaks Field, North Sea



*Domino faults on the Gullfaks Field, northern North Sea, based on seismic interpretation.*

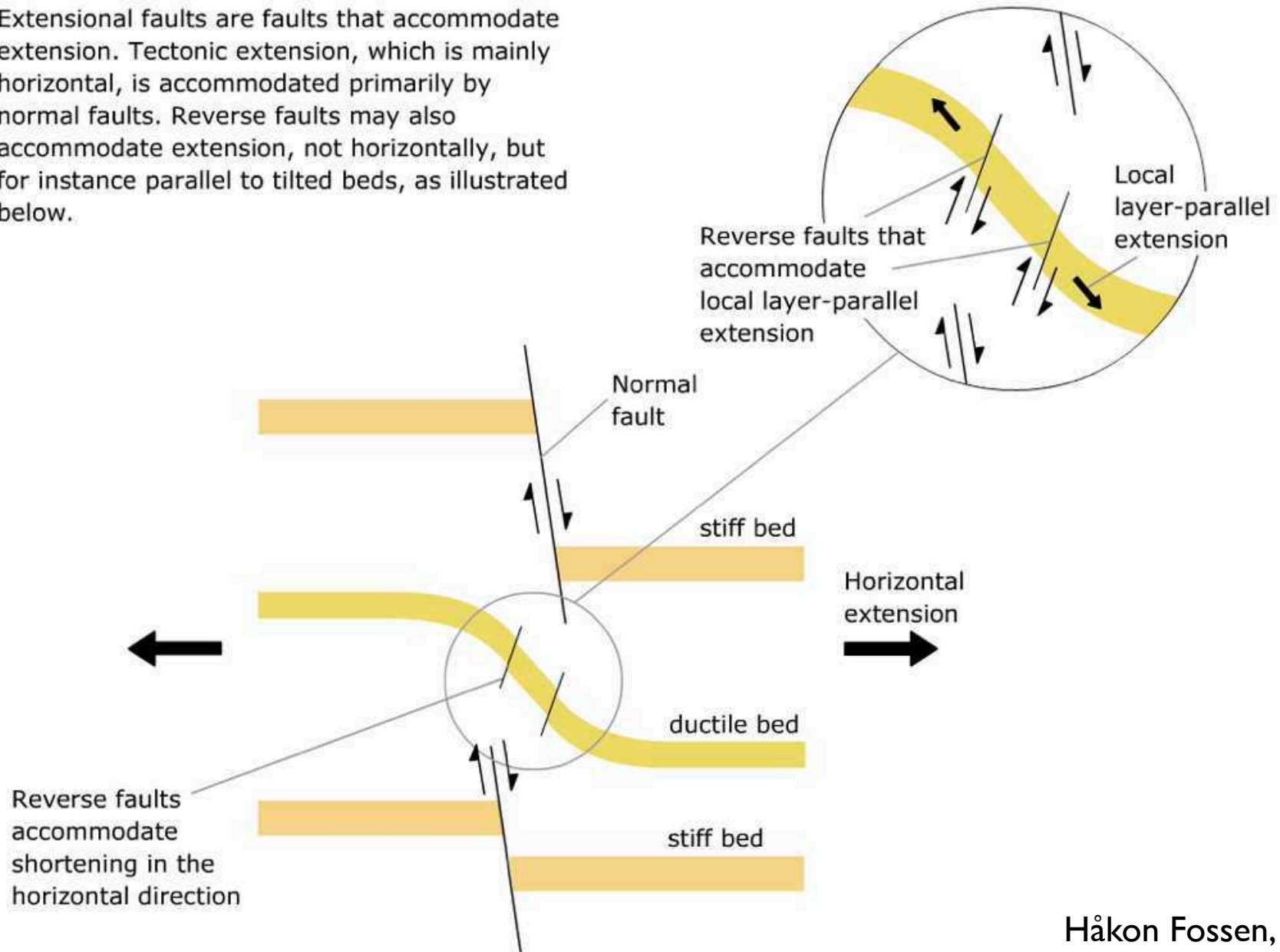


# strain during rifting

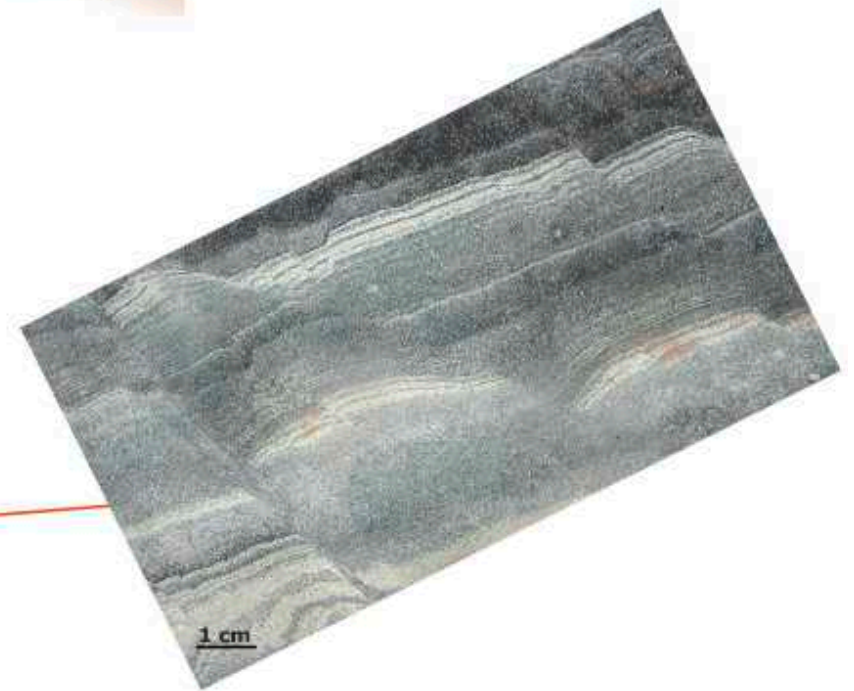
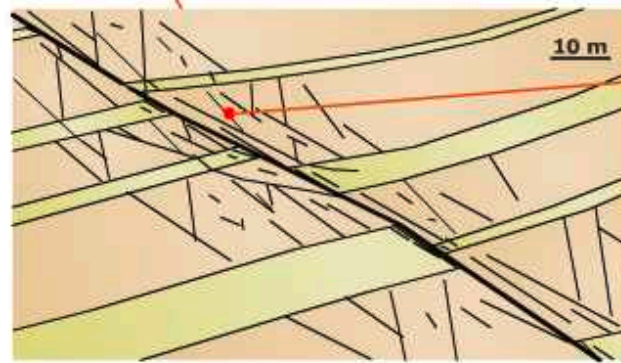
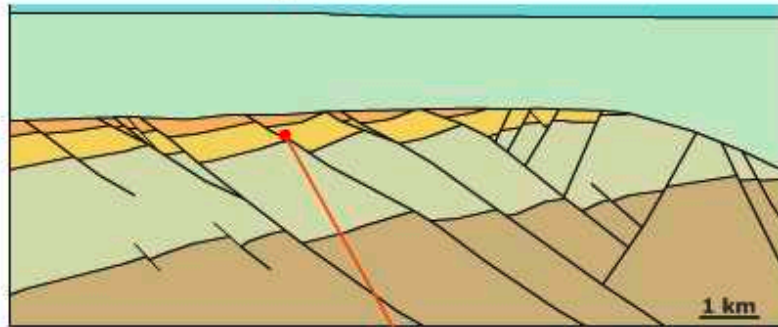
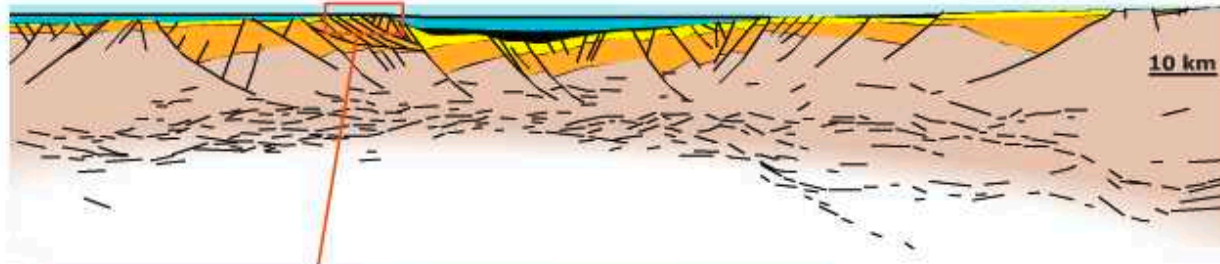


# extensional faults

Extensional faults are faults that accommodate extension. Tectonic extension, which is mainly horizontal, is accommodated primarily by normal faults. Reverse faults may also accommodate extension, not horizontally, but for instance parallel to tilted beds, as illustrated below.



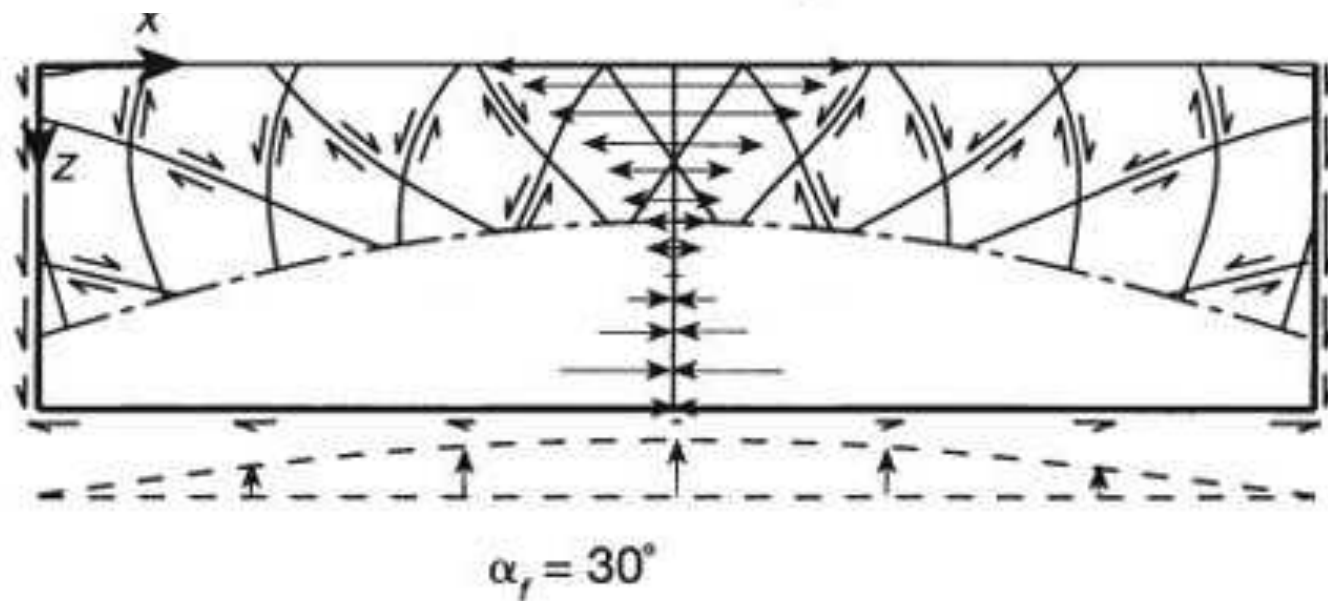
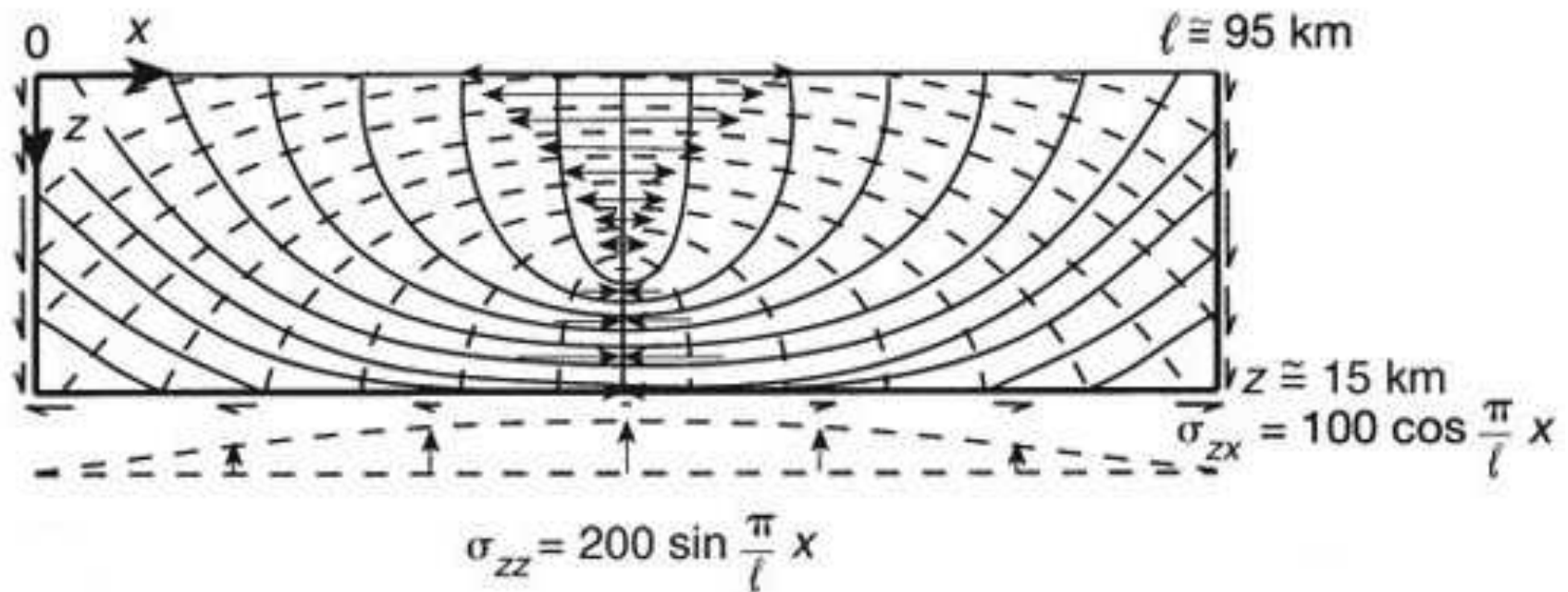
# fractal aspects



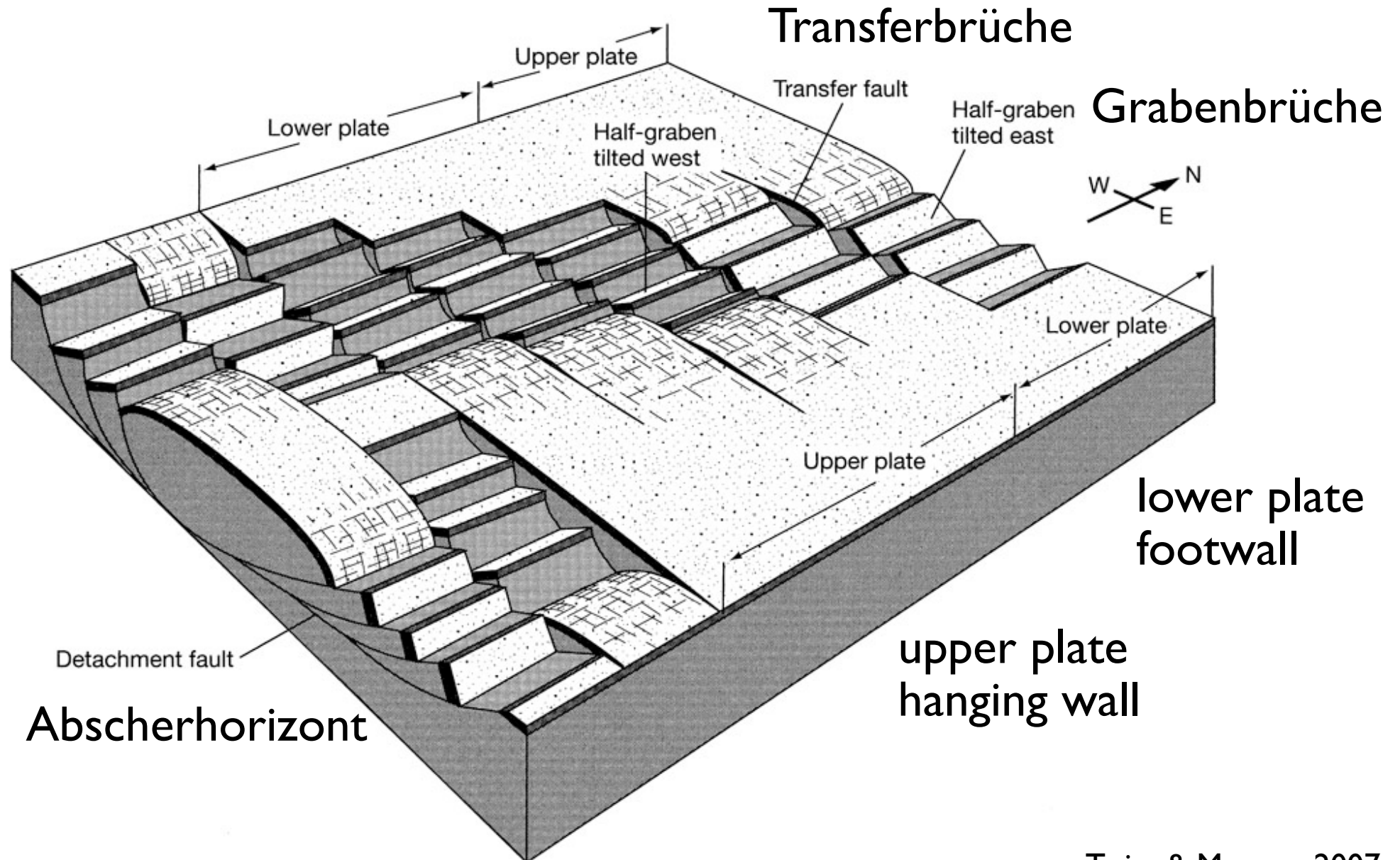


morphology of extension

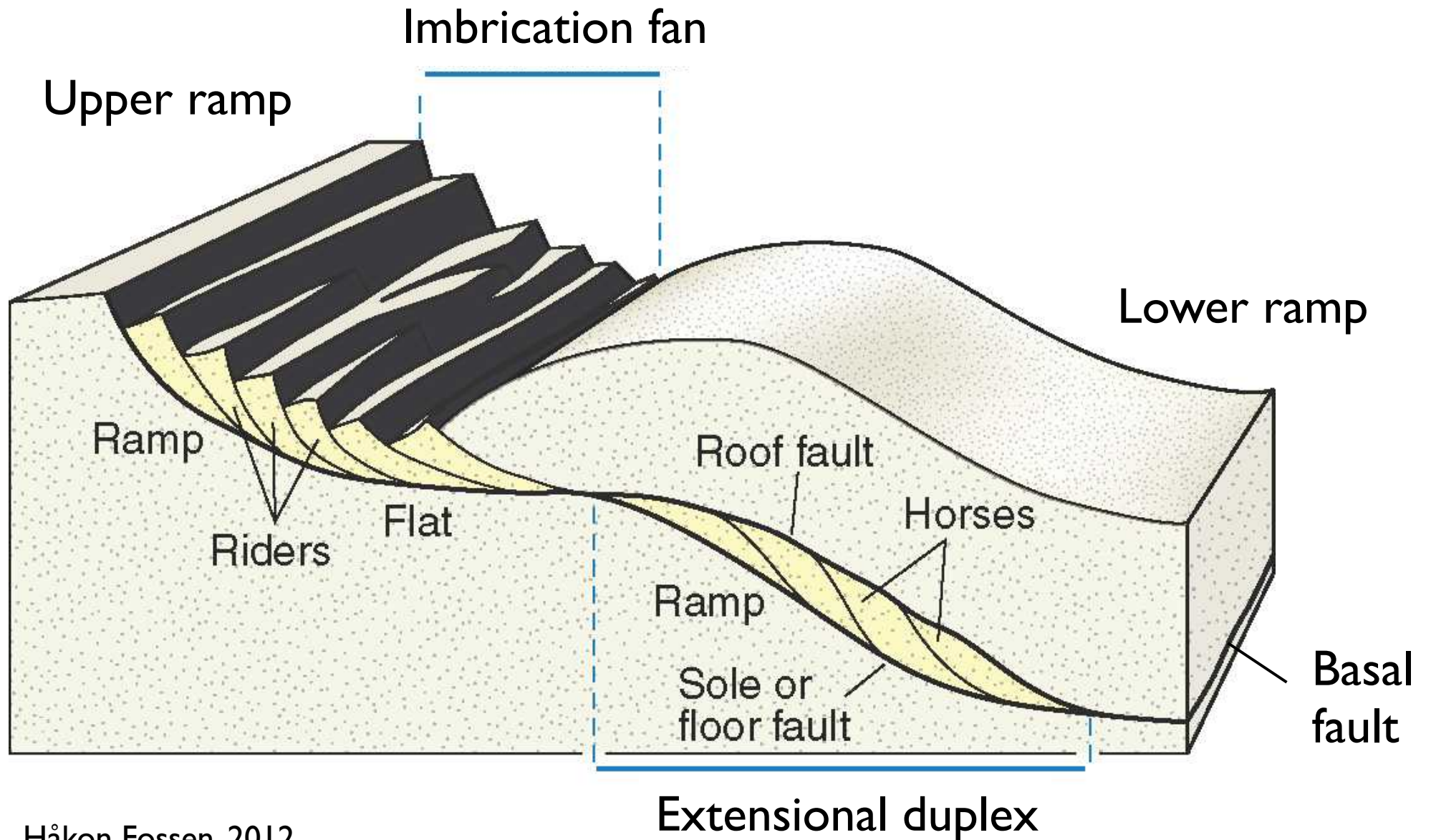
# Hafner: extension



# crustal extension



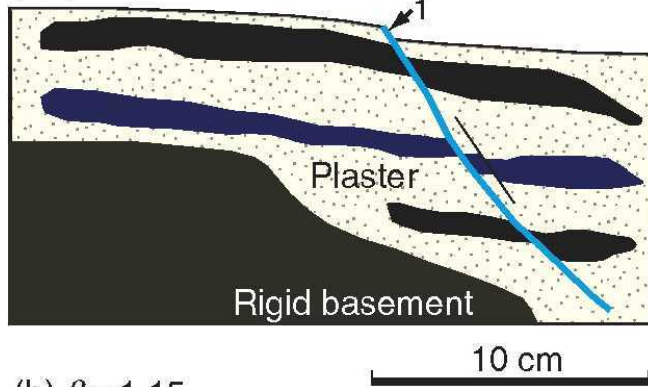
# ramp-flat-ramp faults



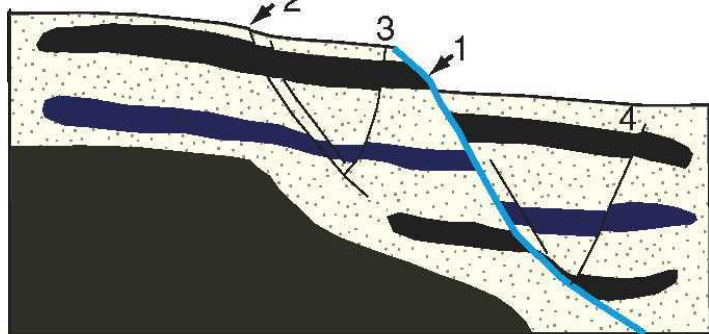


# ramp-flat-ramp fault evolution

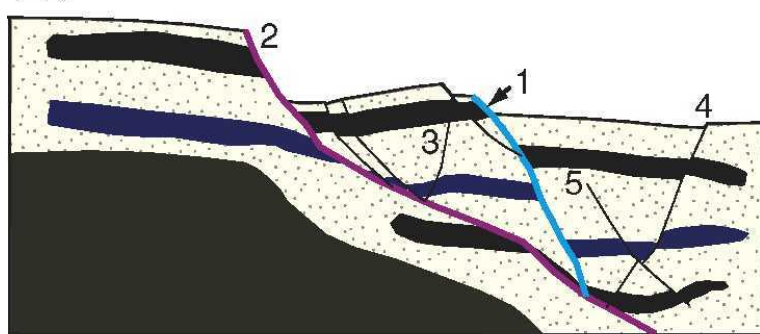
(a)  $\beta = 1.08$



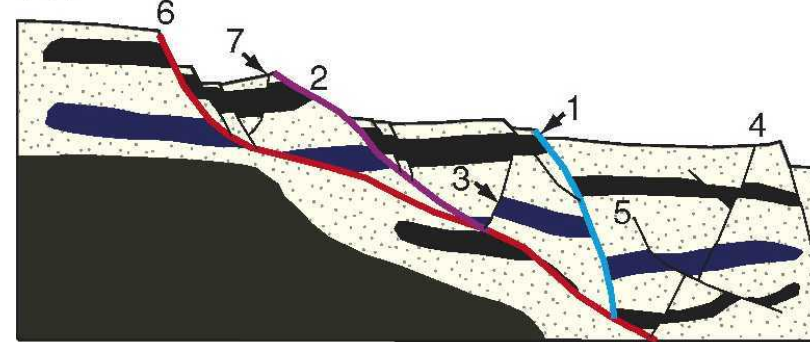
(b)  $\beta = 1.15$



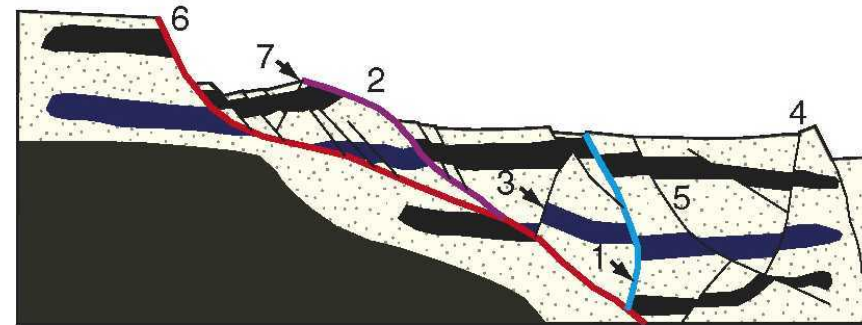
(c)  $\beta = 1.23$



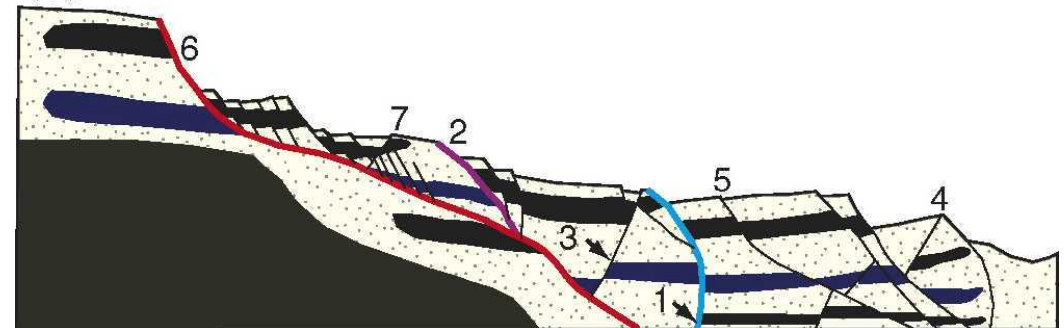
(d)  $\beta = 1.33$



(e)  $\beta = 1.40$

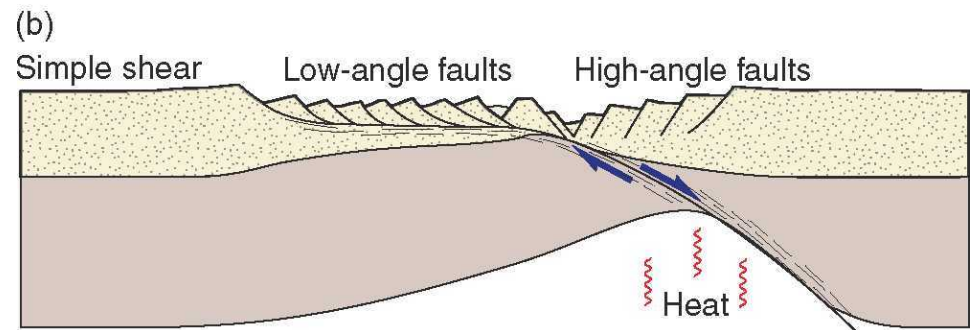
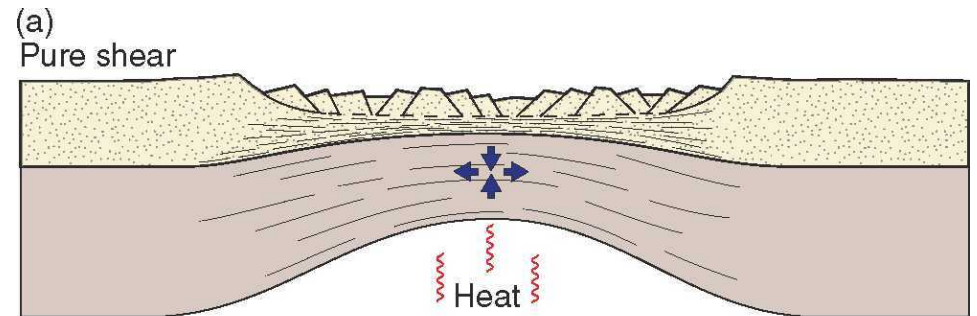
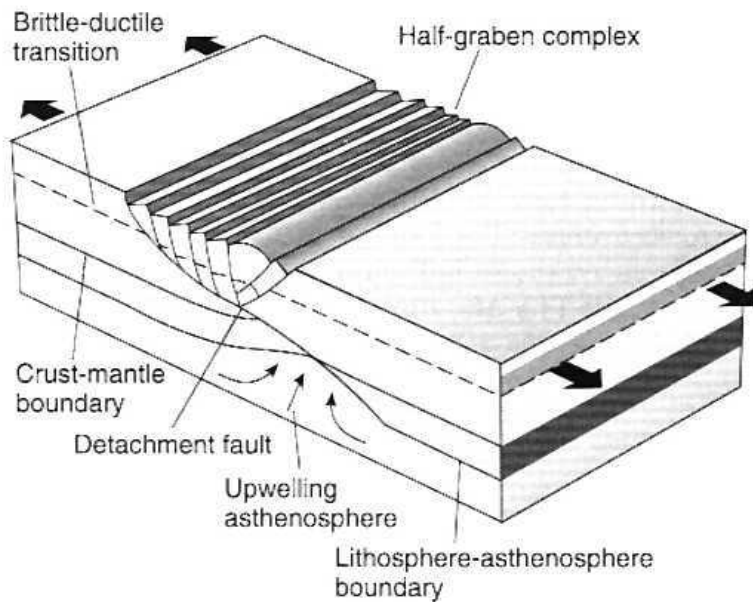
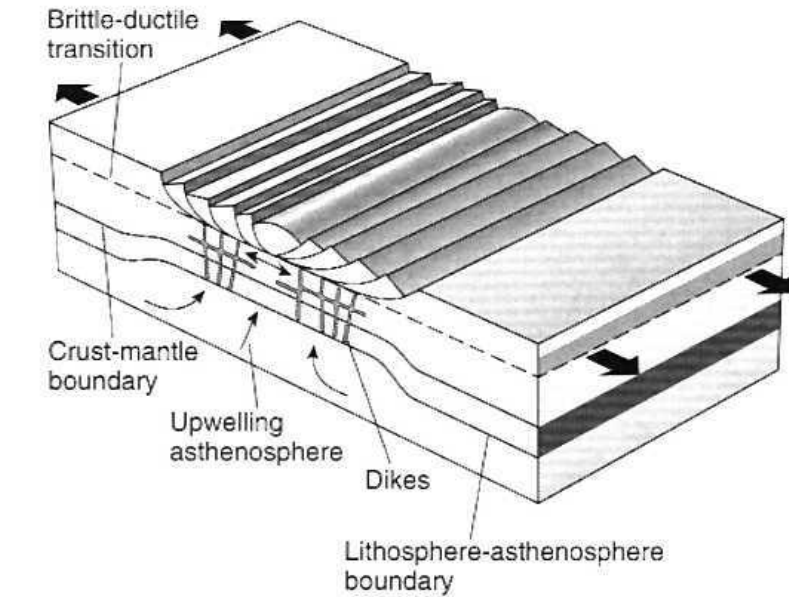


(f)  $\beta = 1.74$

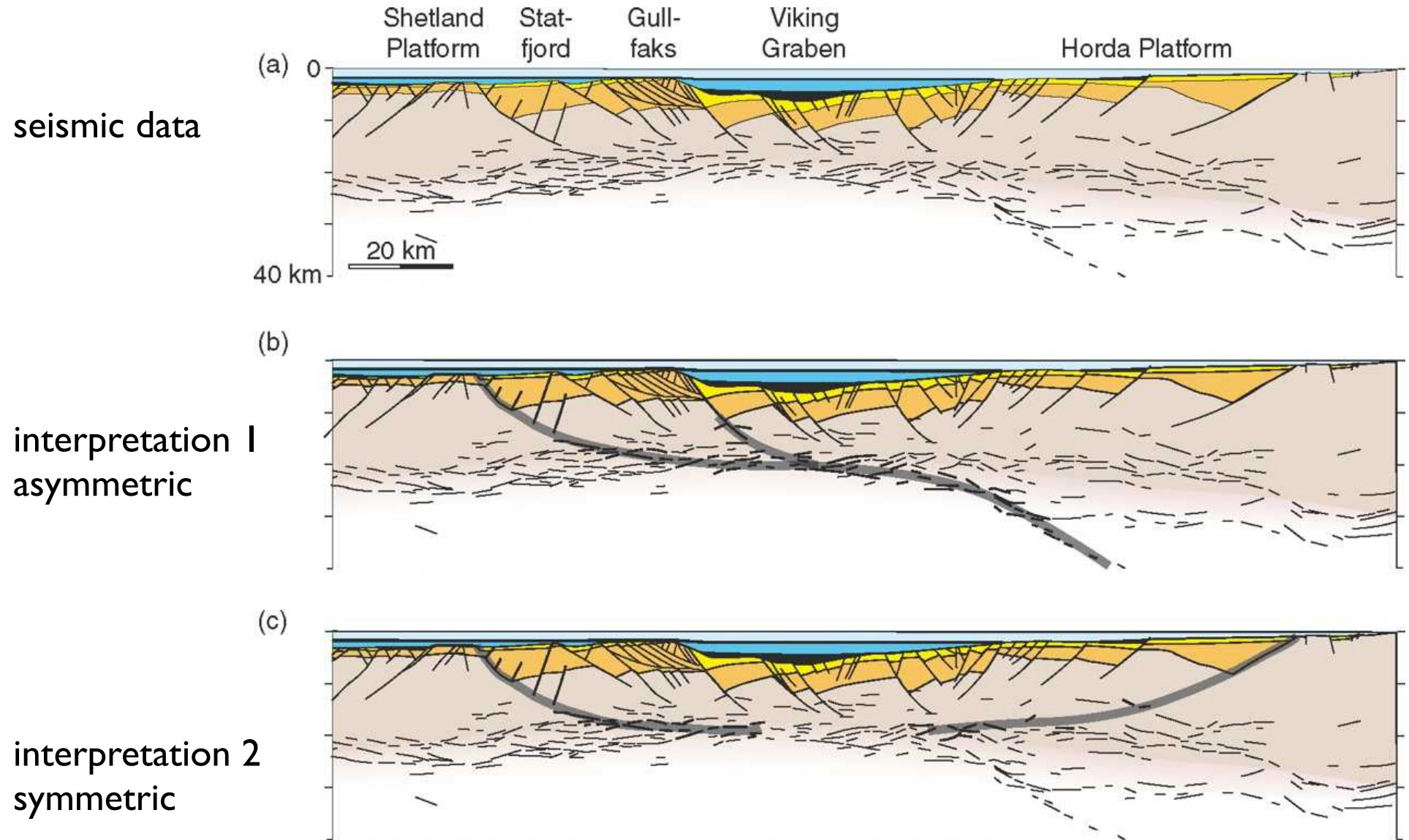


**crustal extension models**

# symmetry of extension

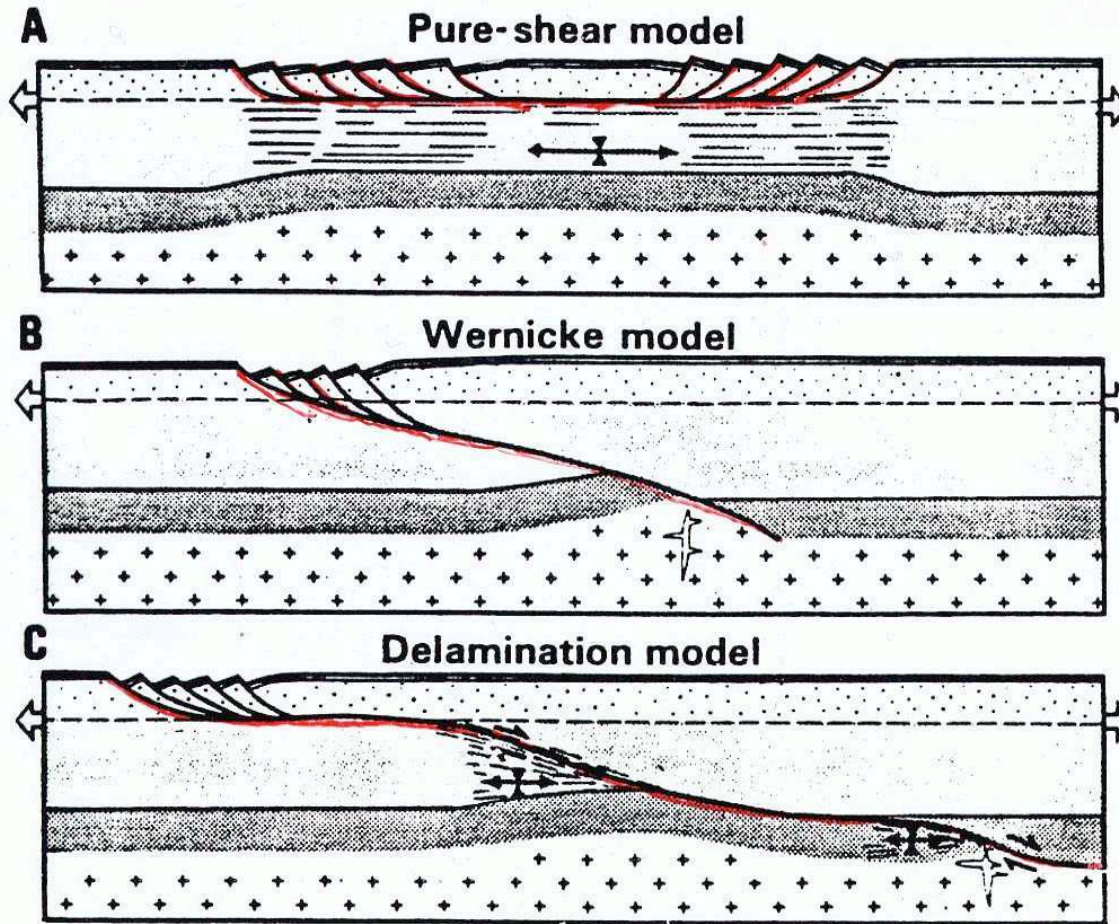


# symmetry (?) of extension

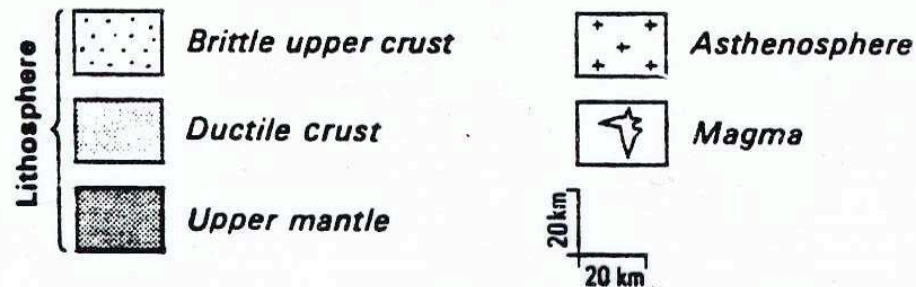




# models for stretched lithosphere



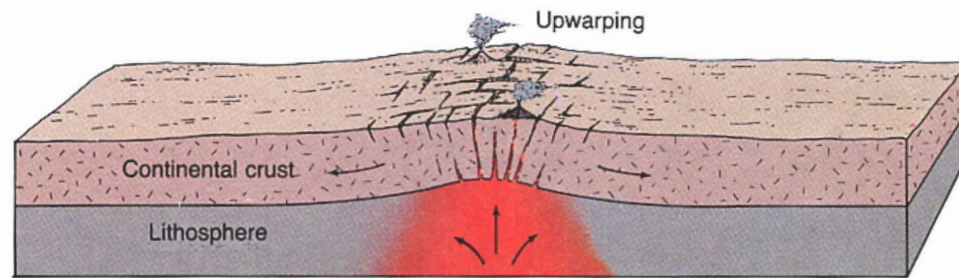
- symmetric extension and graben
  - listric normal faults
  - detachment between upper and lower crust
  - brittle extension (upper part)
  - ductile extension (lower part)
- 
- asymmetric extension (also in graben)
  - low-angle listric detachment cutting into the asthenosphere
- 
- Low-angle detachment that flattens in different crustal levels
  - regional flat-ramp geometry



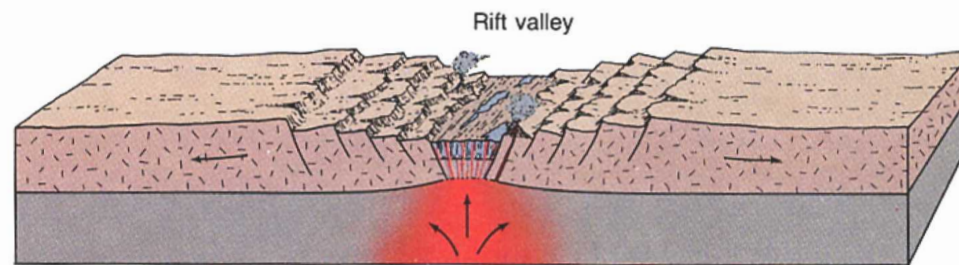
# mid ocean ridges

(Beilagen z.T. modifiziert nach Claudio Rosenberg)

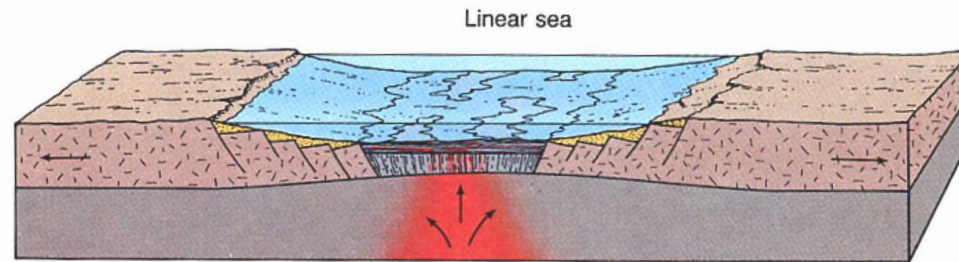
# extensional regimes



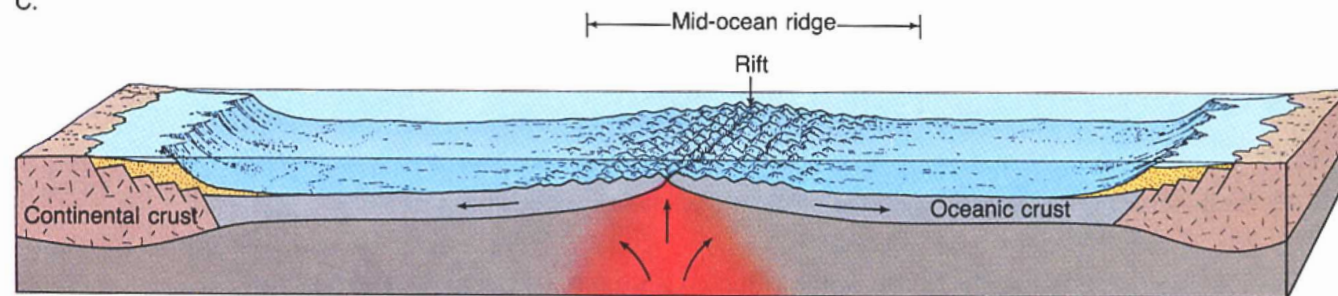
A.



B.

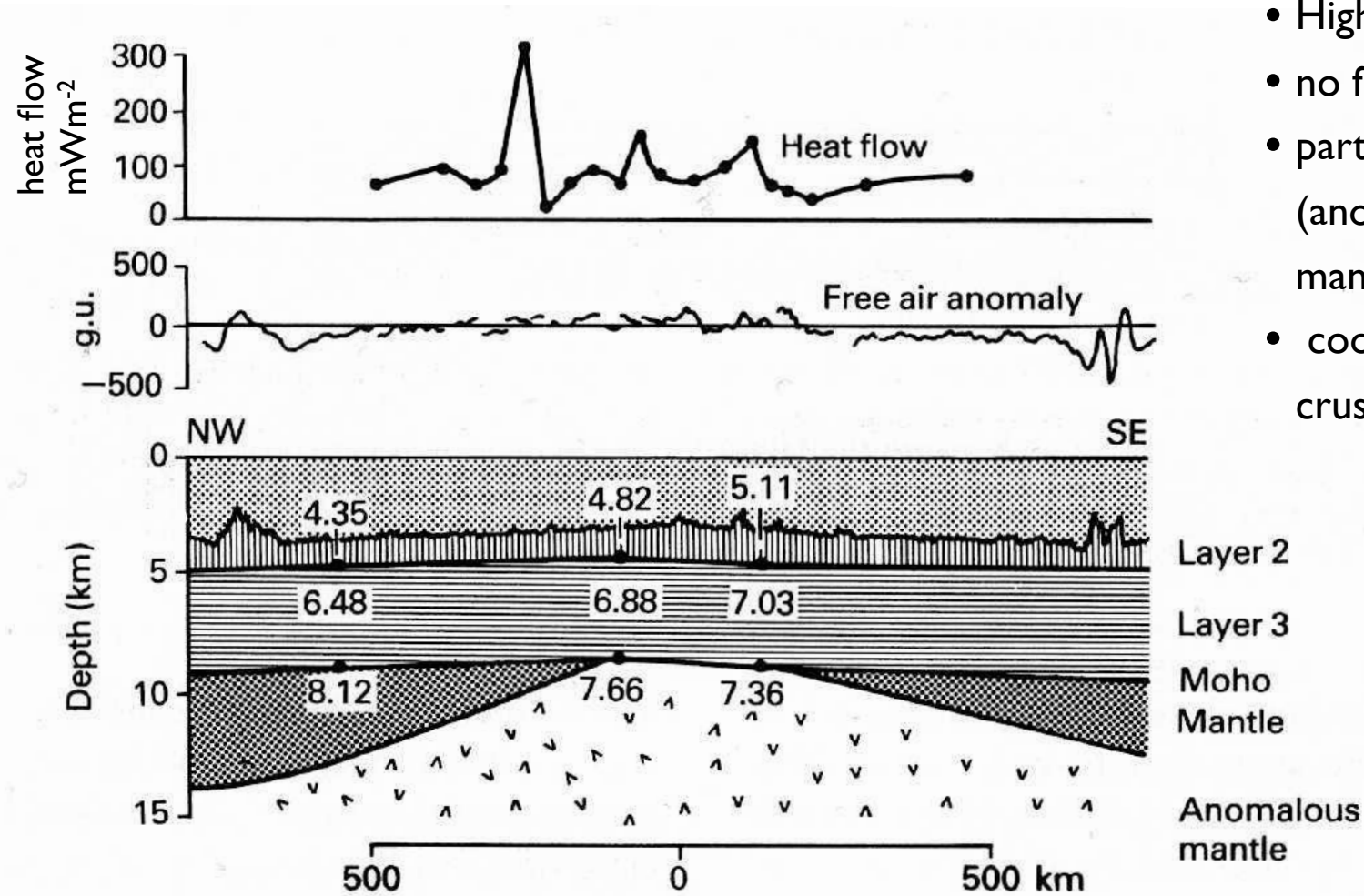


C.



D.

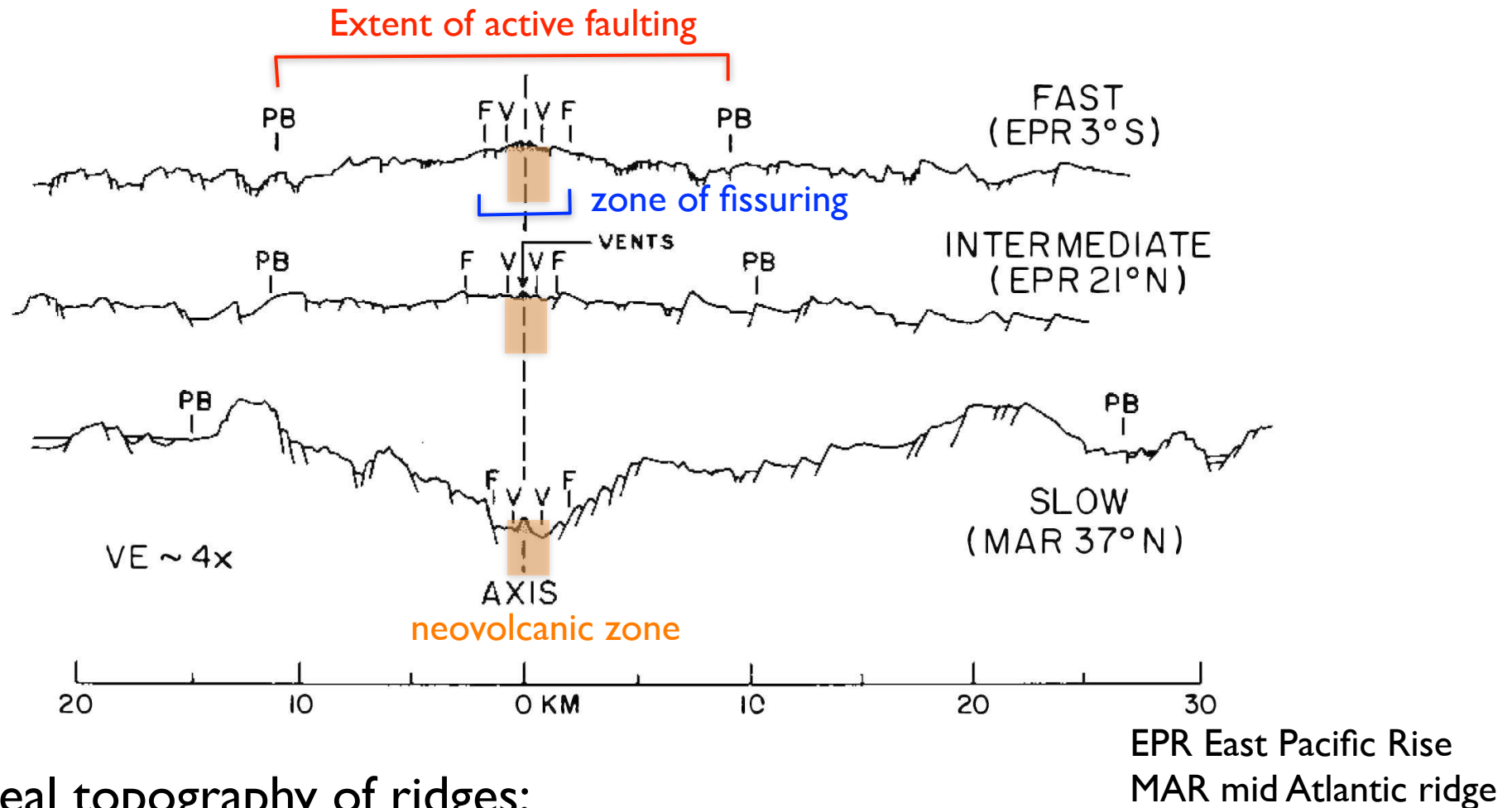
# heat flow and gravity at ridges



- High heat flow under ridge
- no free air anomaly
- partial melt under ridge (anomalous mantle) supports elevation
- cooling leads to sinking of crust away from ridge



# fast- and slow-spreading ridges



Real topography of ridges:

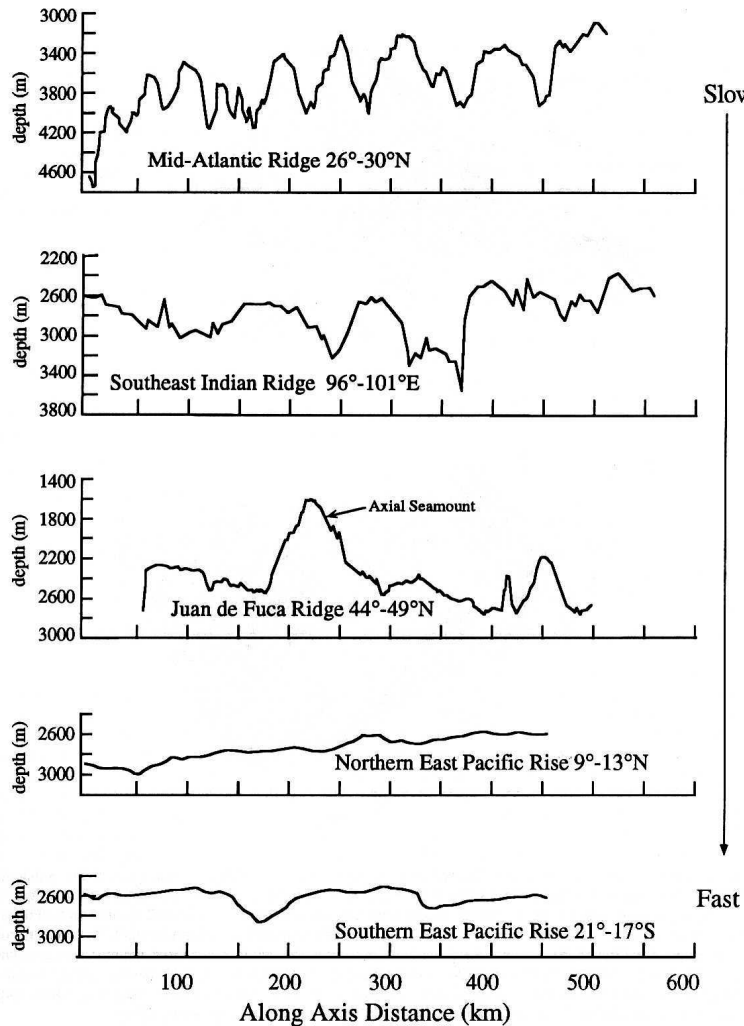
Fast Ridges not much relief at ridge

Slow Ridges 30-50 km wide, much deeper

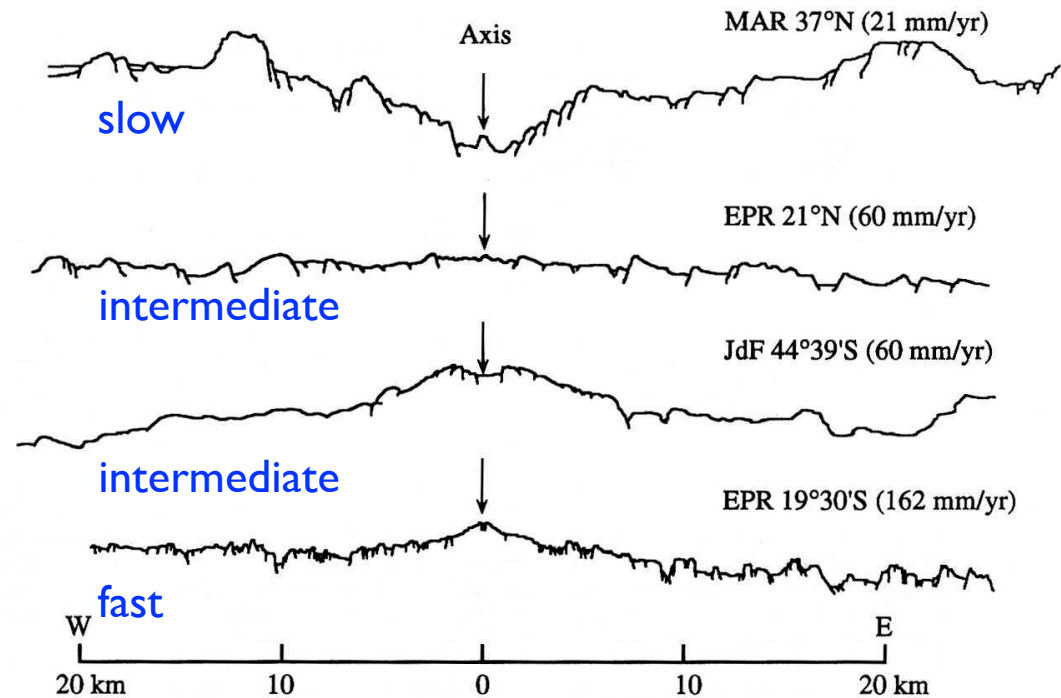
V = Neovolcanic activity, F = fissures, P = zone of active faulting

# fast - slow ridges topography

Profile parallel zum Rücken

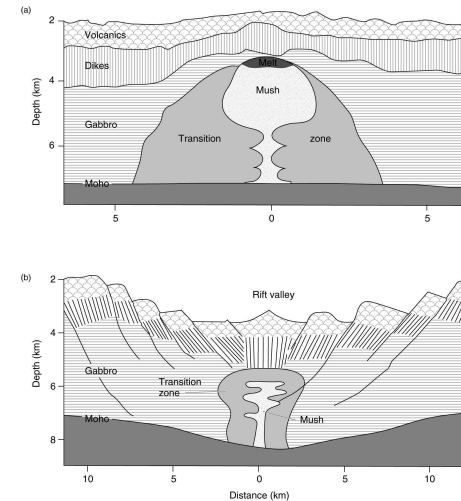
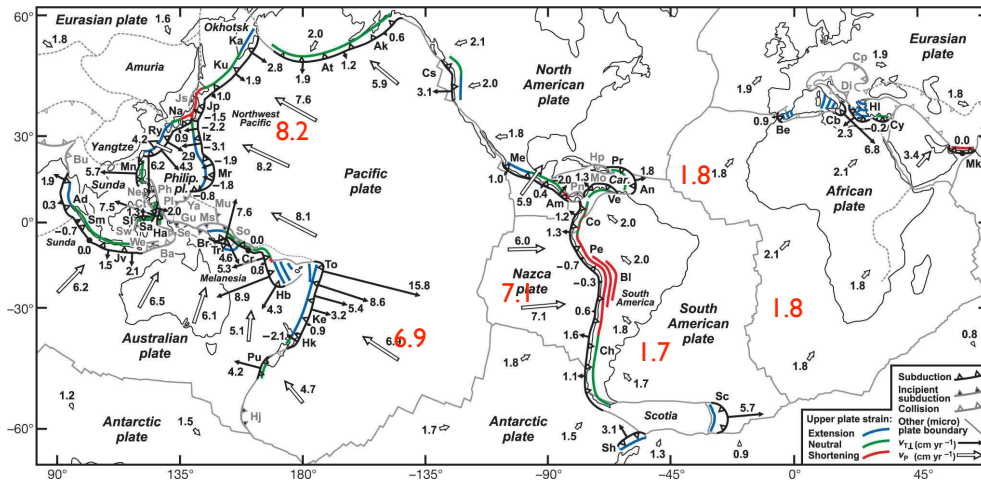


Profile senkrecht zum Rücken



**Figure 1.** Cross-axis bathymetric profiles of selected mid-ocean ridges with different spreading rates. Profiles across fast-spreading (Southern East Pacific Rise) and slow-spreading (Northern Mid-Atlantic Ridge) ridges show the morphologic contrast between an axial high and a rift valley whereas intermediate spreading rate ridges (Juan de Fuca Ridge) have transitional features. Profiles are modified from Macdonald [1986].

# fast- and slow-spreading ridges



## EAST PACIFIC RISE, fast spreading

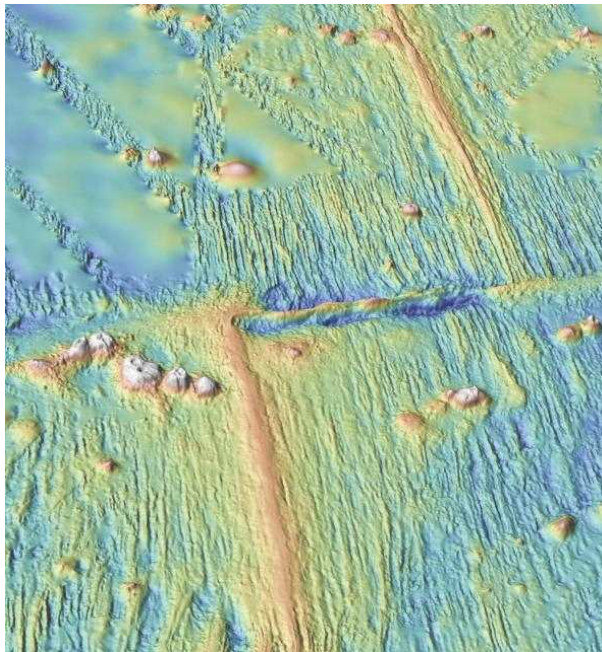
- presence of axial topographic high of up to 400 m height and 1-2 km width
- within this high, small graben < 100m wide and 10 m deep. High may result from buoyancy of hot rocks at shallow depth.
- faulting is more prevalent than on SSR and it accounts for the vast majority of relief
- low seismic velocities in a 4-8 km wide region in the lower crust, 1-2 km below sea-floor, interpreted as the top of magma chamber

## MID-ATLANTIC RIDGE, slow spreading

- median valley, 30-50 km width and 500 to 2500 m depth.
- Inner valley bounded by normal fault scarps, ca. 100 m height
- Axial topographic high, 1-5 km width with 100's m relief, extending only for 10's of km along axis. Formed by the coalescence of volcanoes.
- low seismic velocities the lower crust, but no convincing evidence for magma chambers => probably magma chambers are transient below SSR

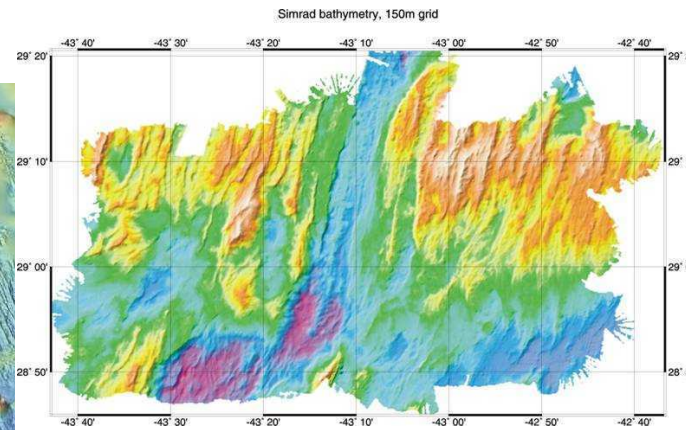
# fast- and slow-spreading ridges

Perspective view looking north along the East Pacific Rise at  $9^\circ$  to  $11^\circ$ . Prominent (dark blue = deeper) transform fault running to the east.



<http://media.marine-geo.org/image/east-pacific-rise-9-to-11-n-3d-view-2008>

Mid-Atlantic Ridge axis near  $29^\circ\text{N}$ , illuminated from the NW.



Searle et al., 1998

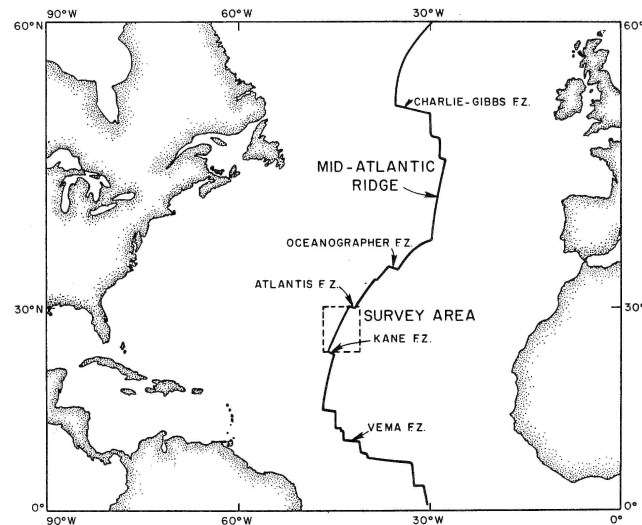
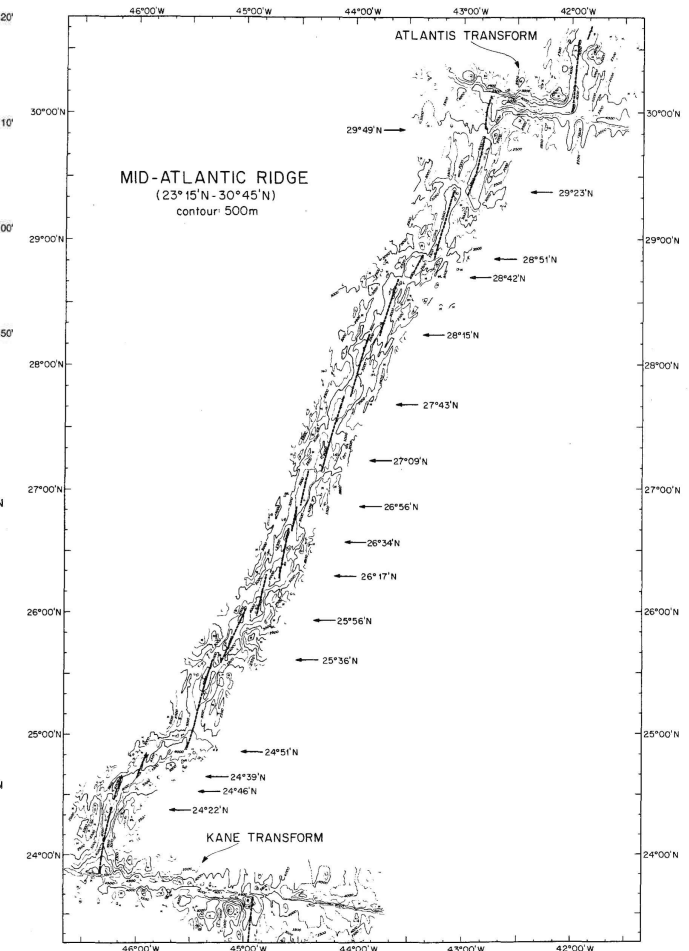


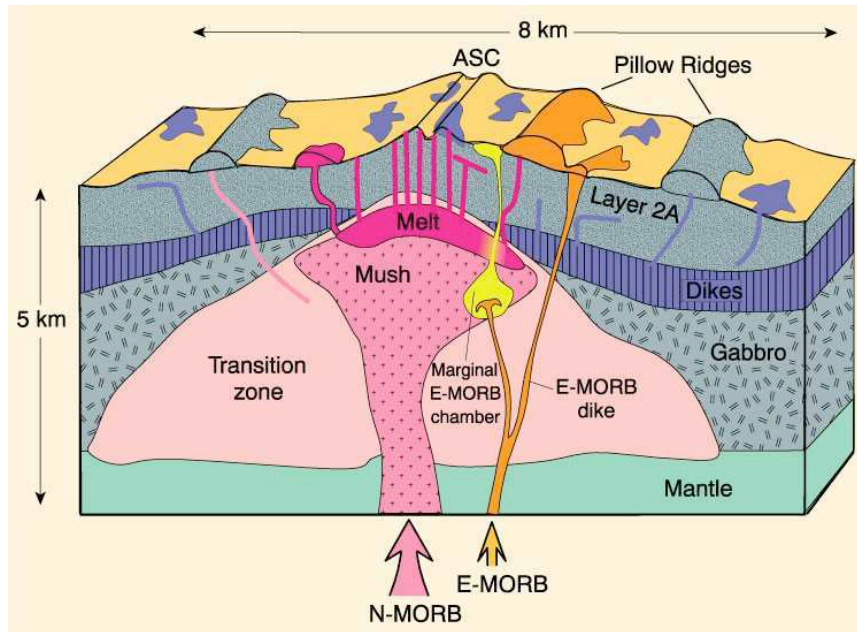
Fig. 1a. Location of the survey area.



Sempéré et al., 1993, Marine Geophysical Researches

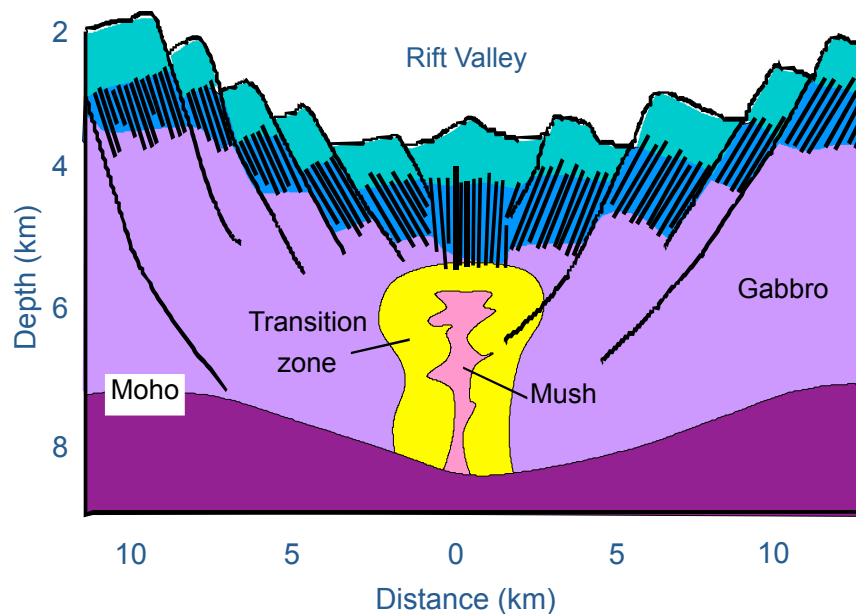


# magma chambers



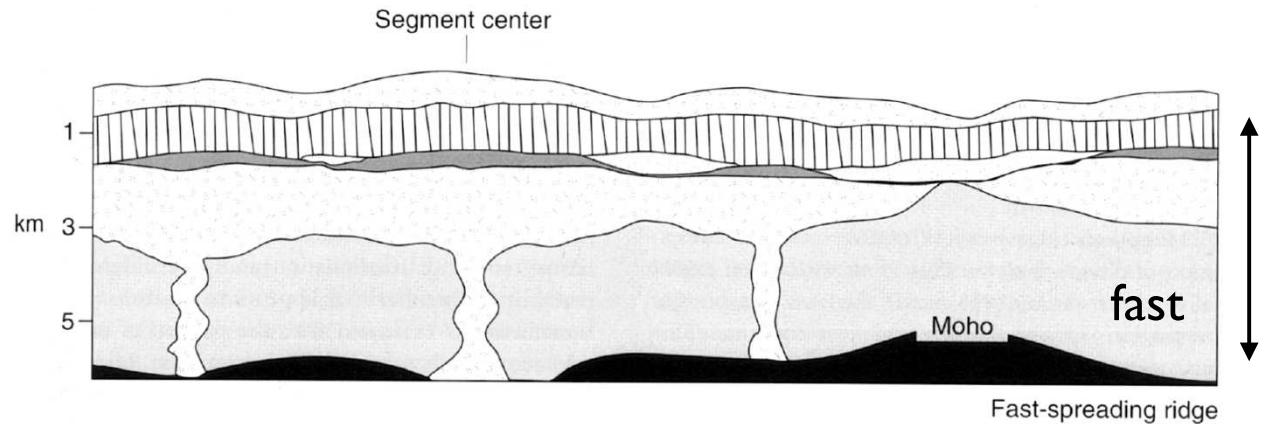
axial magma chamber  
beneath fast-spreading ridge

After Perfit et al. (1994) *Geology*, 22, 375-379.  
After Sinton and Detrick (1992) *J. Geophys. Res.*,  
97, 197-216.

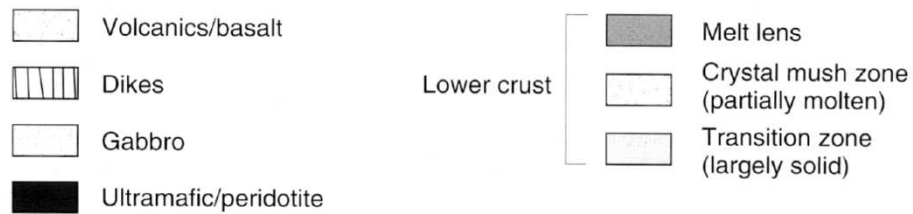


axial magma chamber  
beneath slow-spreading  
ridge

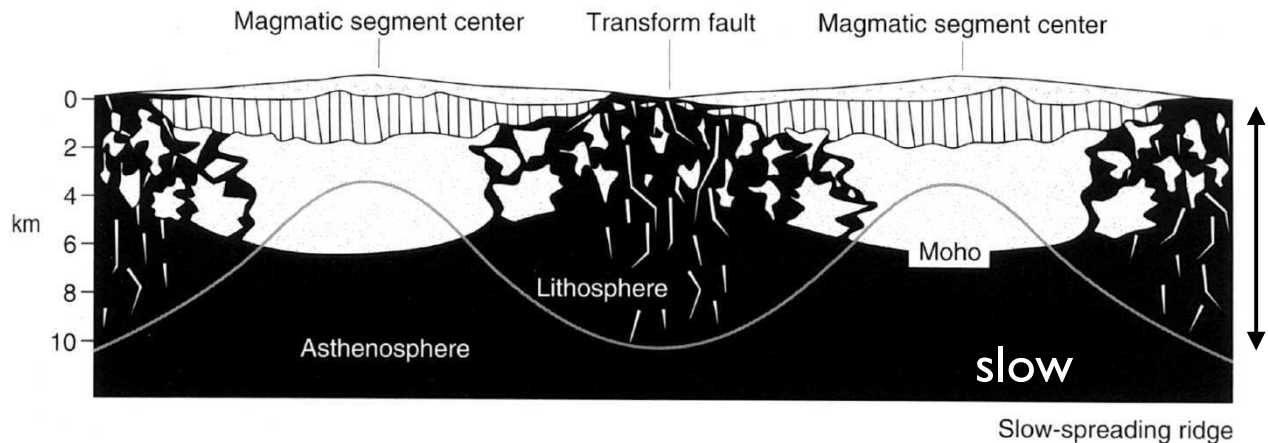
# profiles along ridges



5 km



Thickness of lithosphere is controlled by balance between heat input and heat removal



10 km

# ridge morphology

Two processes control ridge morphology:

1. Stretching of mechanically strong lithosphere makes median valley at spreading center
2. Thickness of lithosphere is controlled by balance between heat input and heat removal

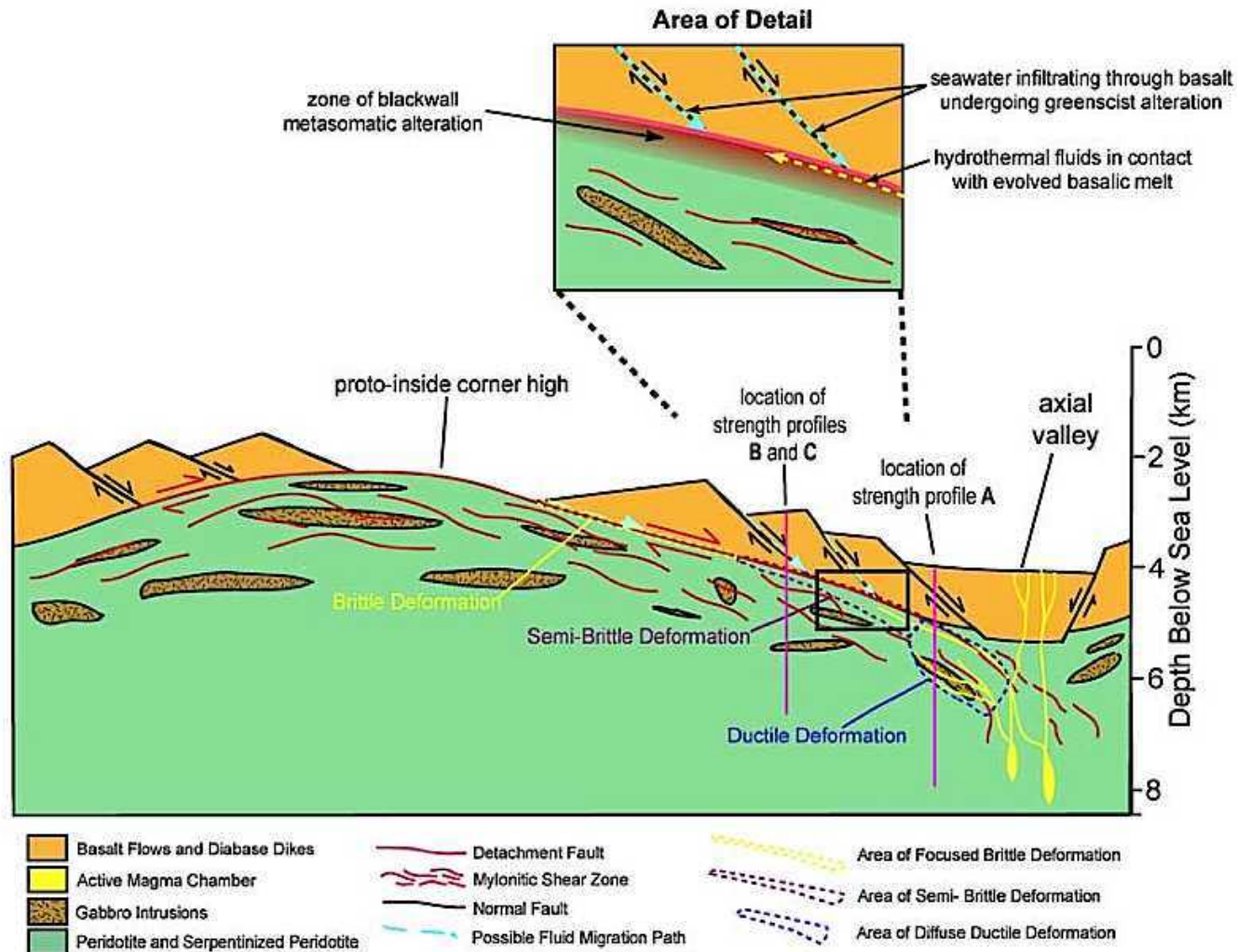
⇒ Ridge morphology is a consequence of thermal structure

Thermal structure is determined by two factors:

1. Rate and geometry of magma supply
2. Efficiency of heat removal by hydrothermal circulation (cracking / normal faulting at  $T < 600^{\circ}\text{C}$ ).

Magma ascent by buoyancy – stopped by freezing at top of magma chamber (solidus at  $1200^{\circ}\text{C}$ )

# low angles faults at ridges



Asymmetric ridges because of low angle normal faults denuding mantle at ridges

- low angle normal faults at ocean ridges
- similar to metamorphic core complexes
- deformation localized through reactions from viscous to semi-brittle

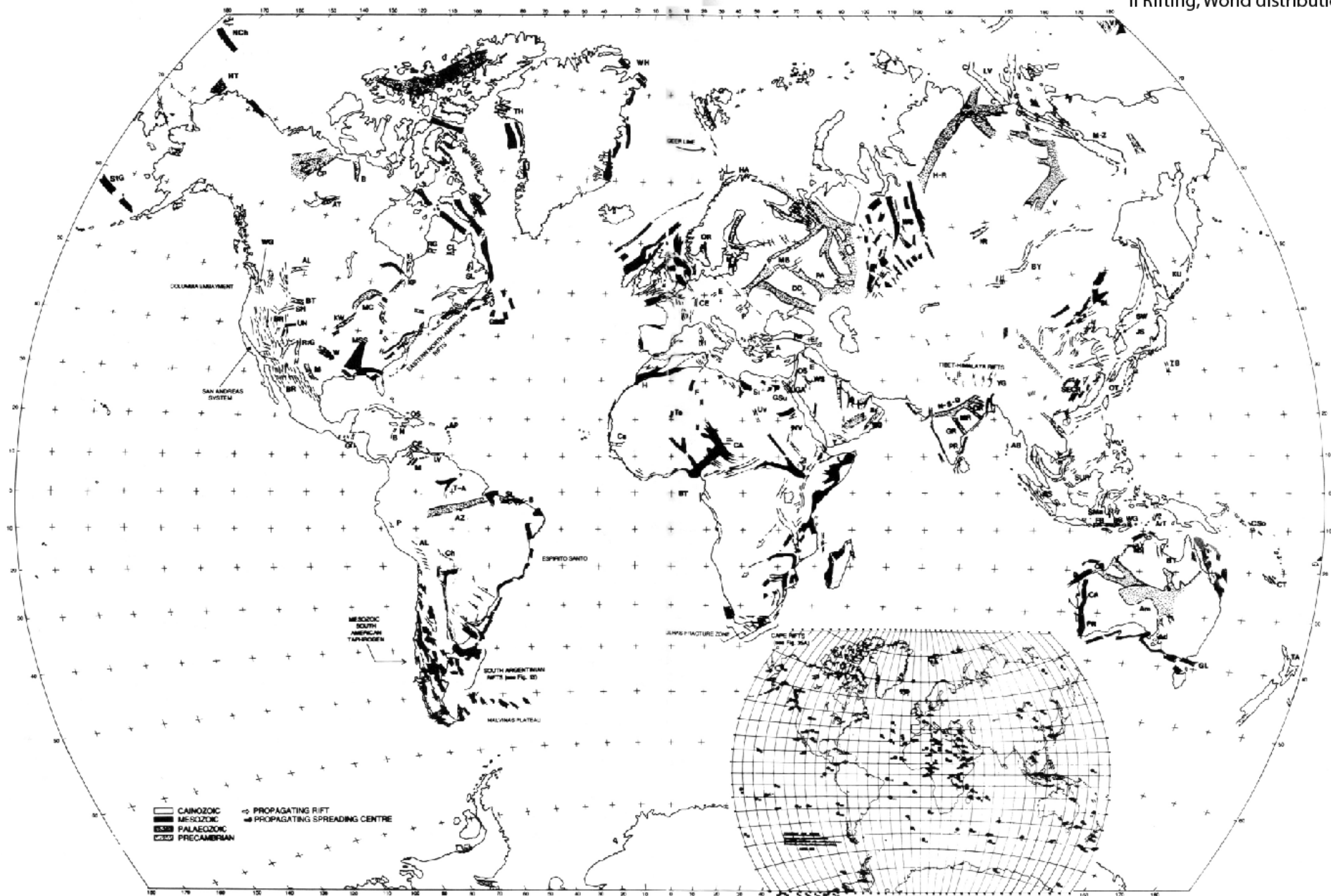


rifts

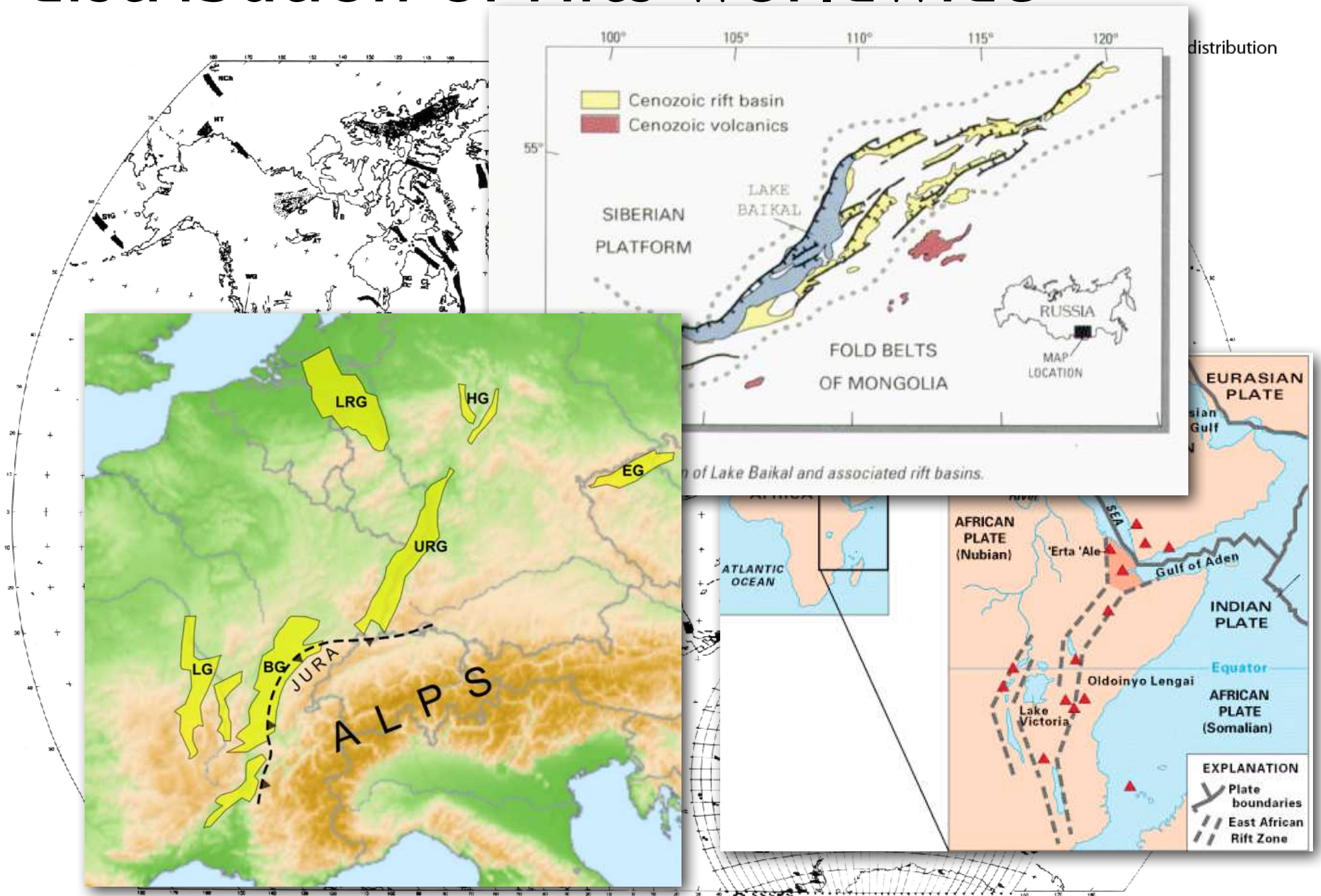
(Beilagen z.T. modifiziert nach Claudio Rosenberg)

# distribution of rifts worldwide

II Rifting, World distribution



# distribution of rifts worldwide

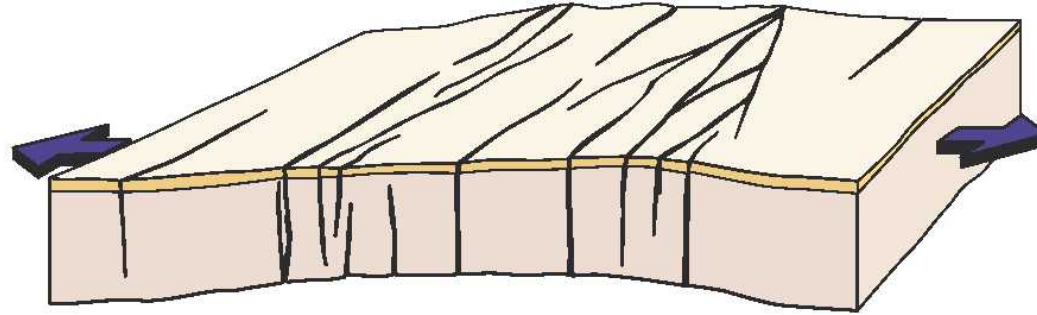


distribution

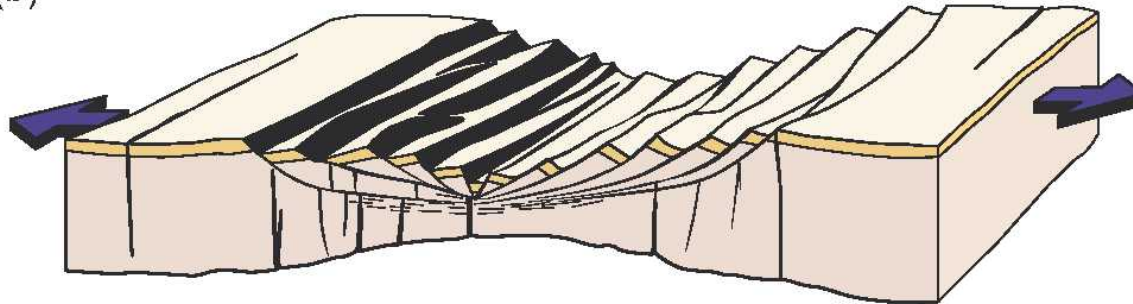
... of Lake Baikal and associated rift basins.

# rifting

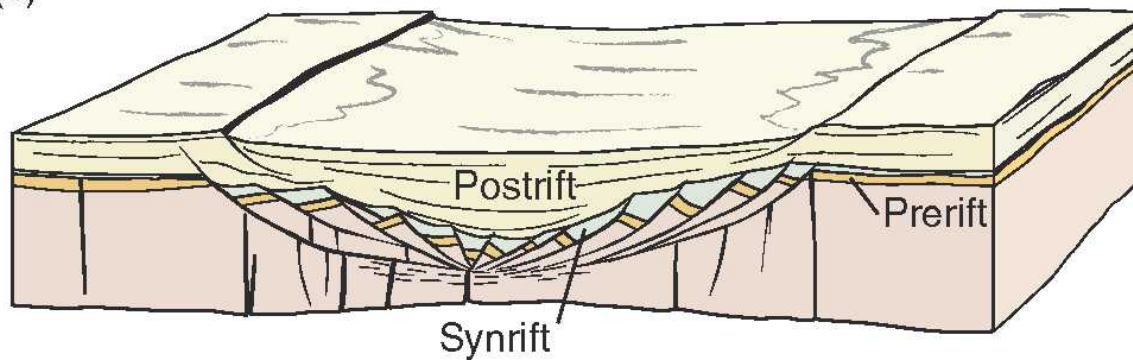
(a)



(b)



(c)





# rifts - definition

RIFT: region where the crust has split apart.

GRABEN: depression or trough, which is much longer than it is wide.

"Rift" comes from the root "reve", meaning to tear apart, or to pull asunder.

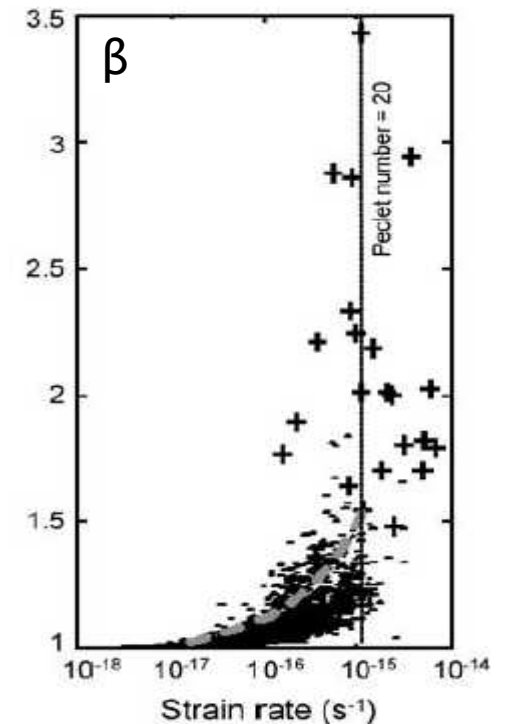
"Graben" is purely descriptive, rift is genetic (extensional rupture).  
(A.M. Sengör, 1995)

Commonly, if the stretching factor  $\beta$  exceeds 3, sea-floor spreading starts, opening an ocean and destroying the rift.

$$\beta = t_0/t_c$$

$t_0$ : initial crustal thickness  
 $t_c$ : present crustal thickness

Rifts, which do not attain the oceanic stage are termed "failed rifts". This term should better be replaced with "fossil rifts", because these structures are not failed rifts, but rather failed oceans.



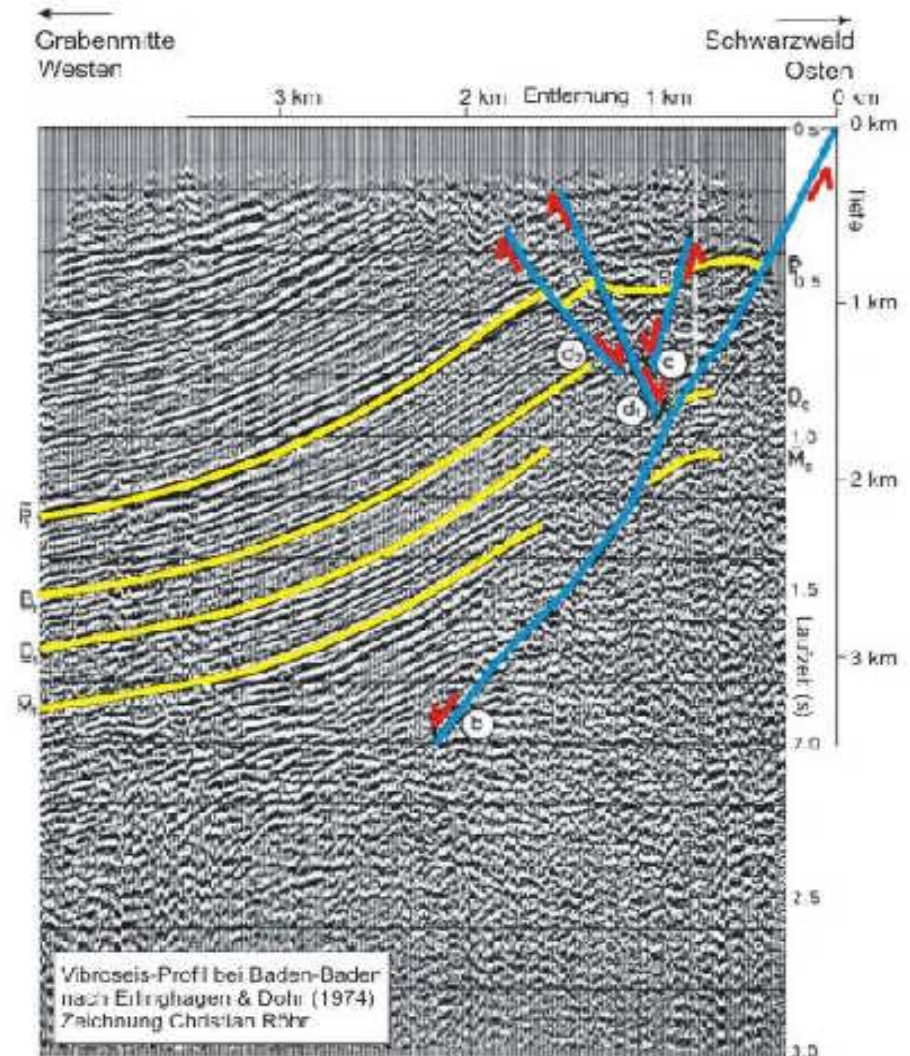
- + Rift basins of the Atlantic margins
- sedimentary basins

# common characteristics of rifts

1. A rift or Graben structure with a rift valley flanked by normal faults

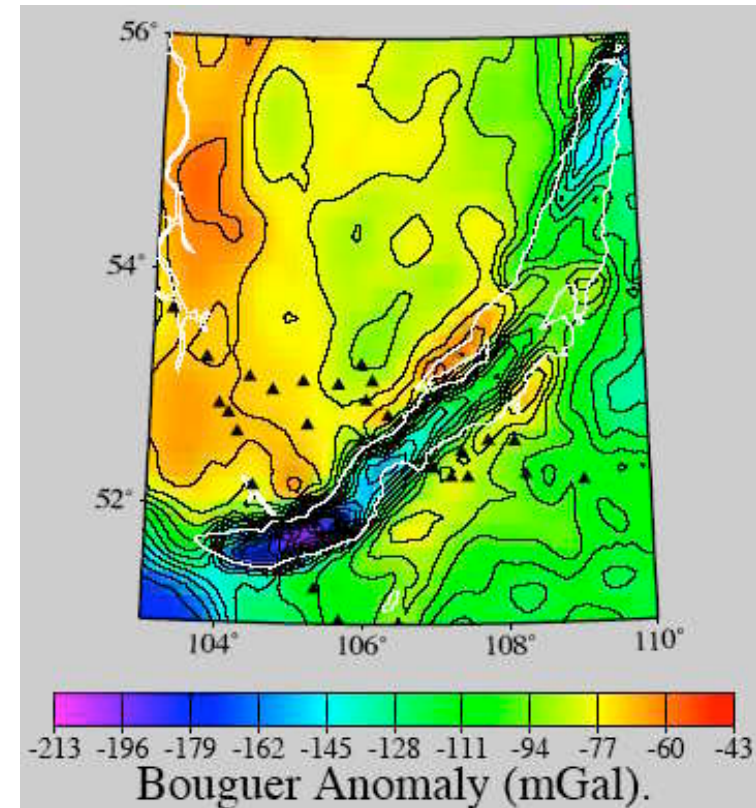
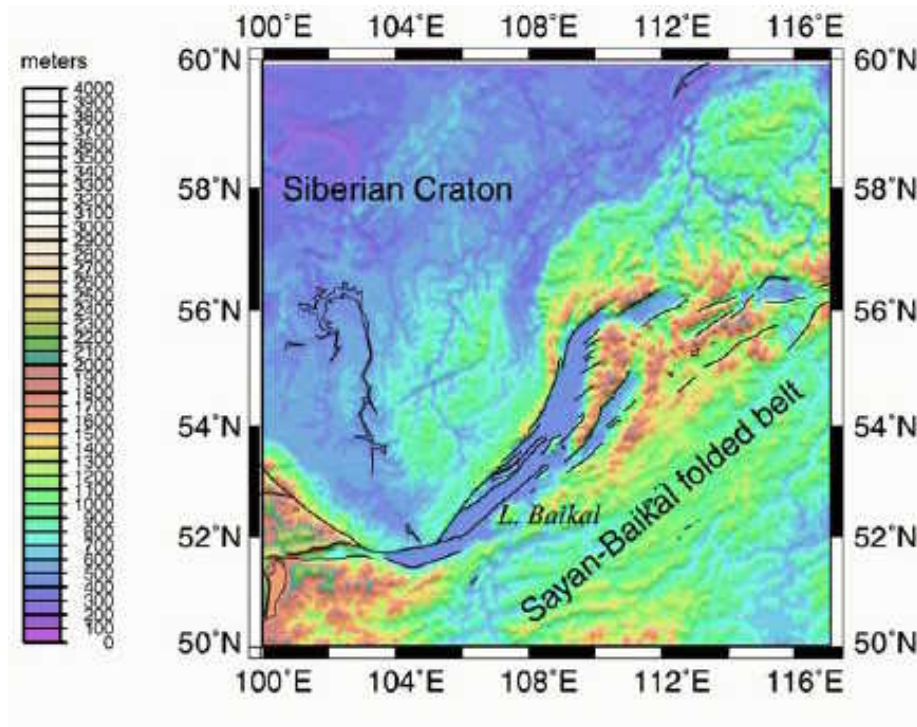


View of the Dabbahu rift, Afar region of Ethiopia. Recent lava flows are cut by subvertical normal faults.



# common characteristics of rifts

## 2. Negative Bouguer gravity anomalies (mass deficit)

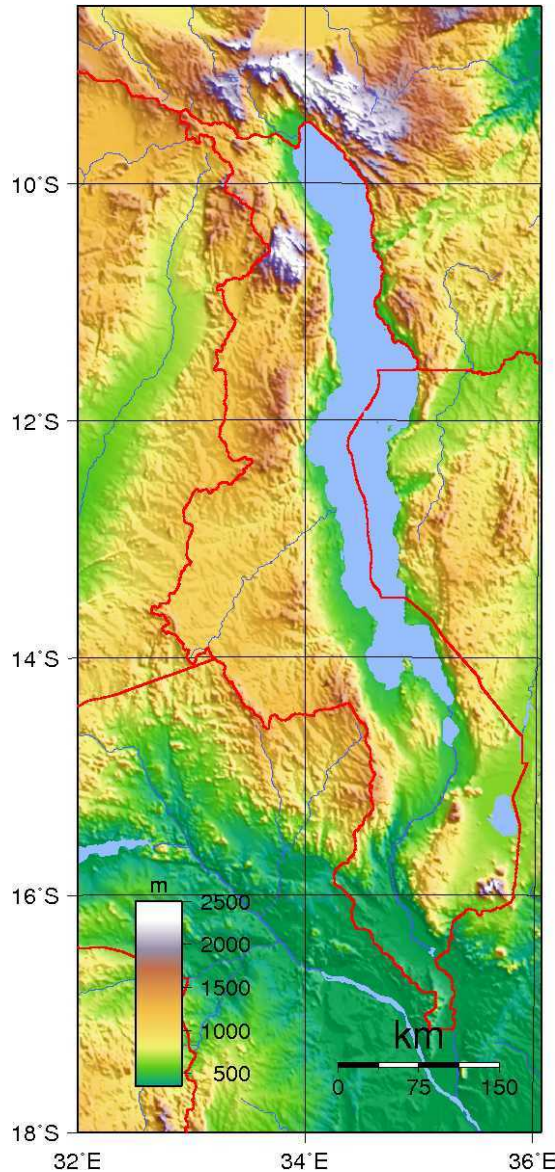


[www.ipgp.jussieu.fr/files\\_lib/307\\_2001\\_AGU\\_insights%20into%20baikal.pdf](http://www.ipgp.jussieu.fr/files_lib/307_2001_AGU_insights%20into%20baikal.pdf)



# common characteristics of rifts

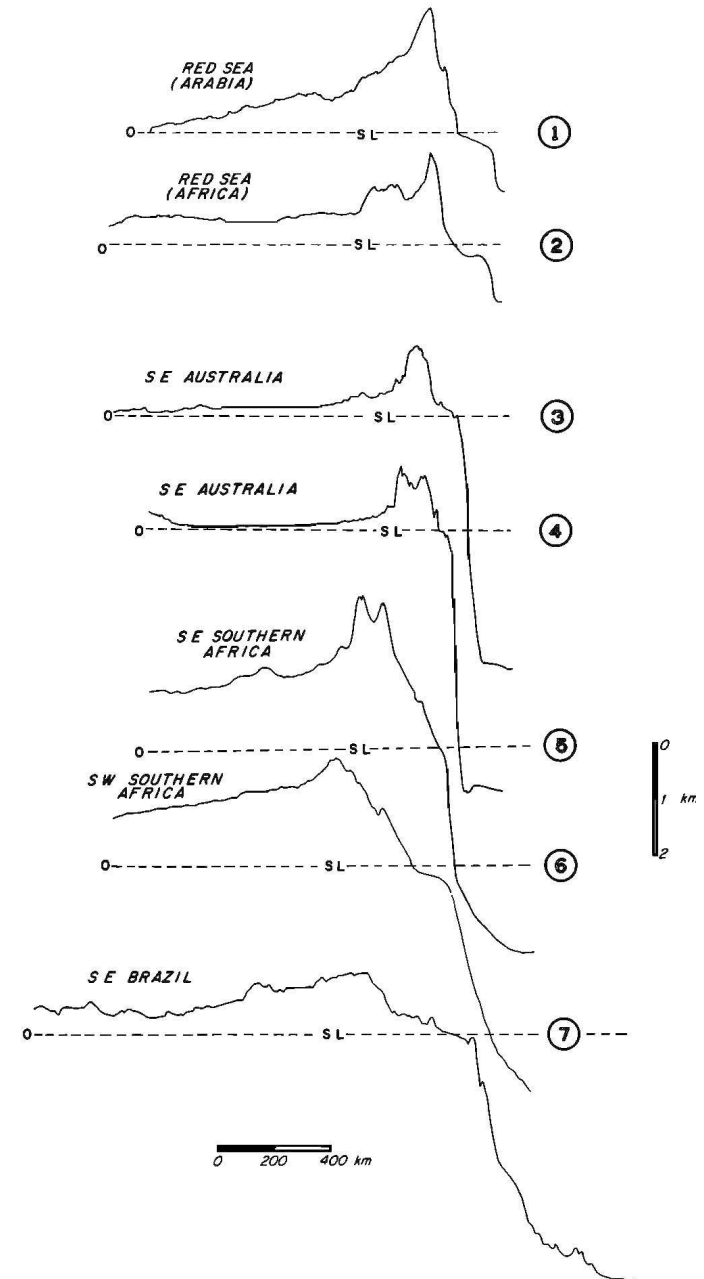
## 3. Uplifted rift shoulders



Rift flank uplifts are permanent structures. In SE-Brazil and S-Africa extension terminated in the Late Jurassic/early Cretaceous. Therefore, thermal support should have ended long time ago.

These structures can be explained by mechanical unloading during extension and consequent isostatic rebound, provided the lithosphere retains flexural rigidity, i.e. no local, but flexural (regional) isostatic response takes place.

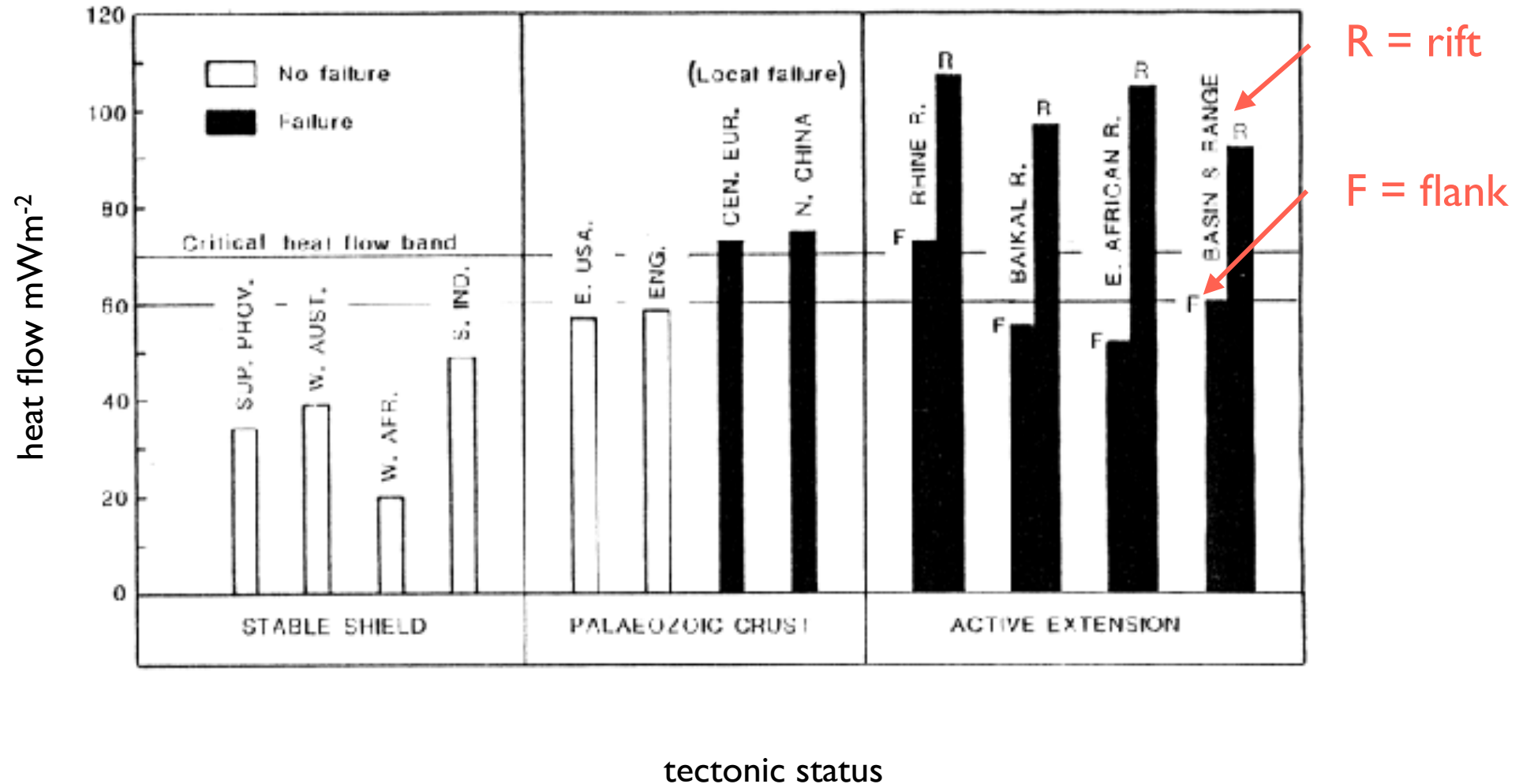
Lake Malawi





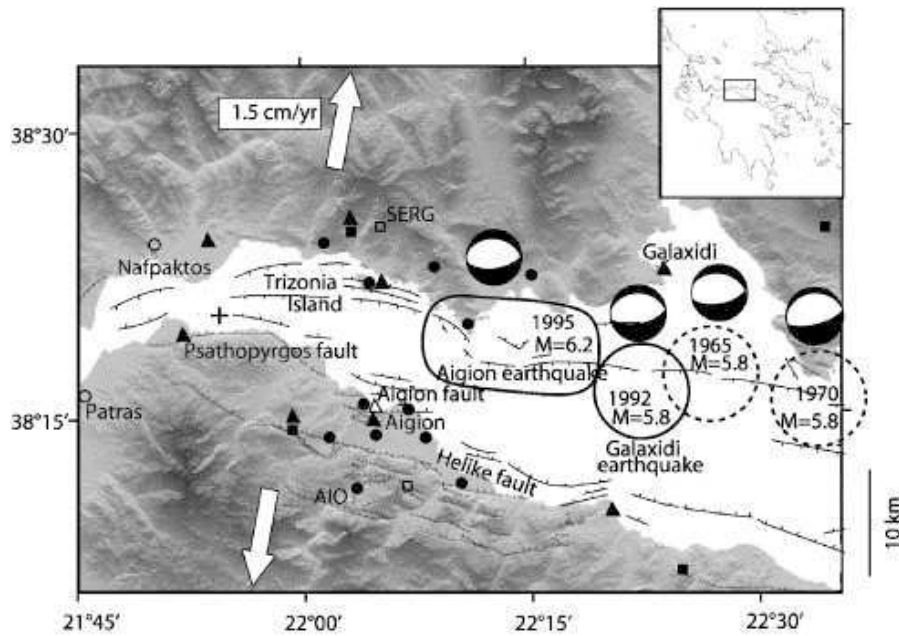
# common characteristics of rifts

## 4. Higher than normal surface heat flow



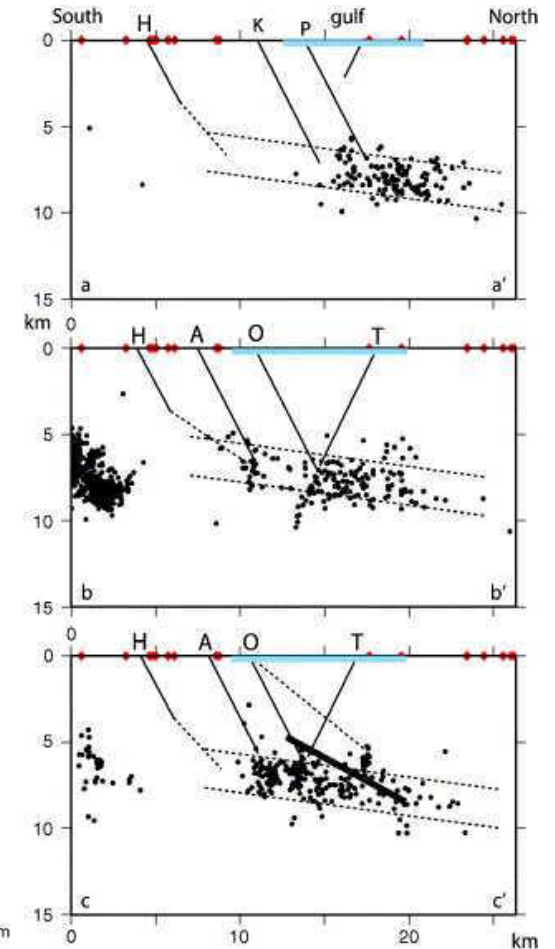
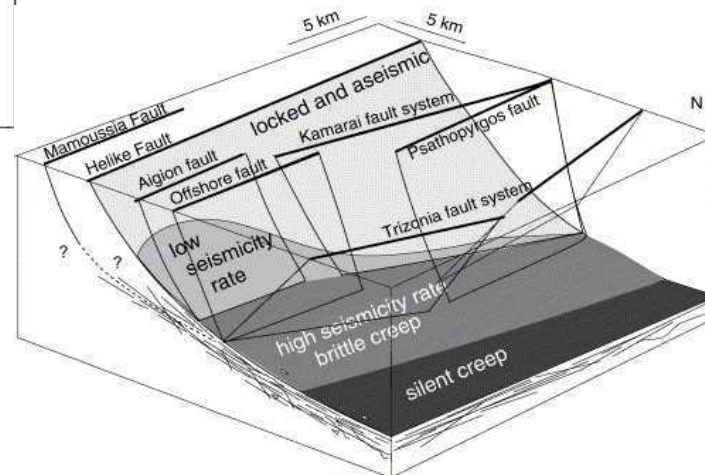
# common characteristics of rifts

## 5. Shallow, tensional seismicity



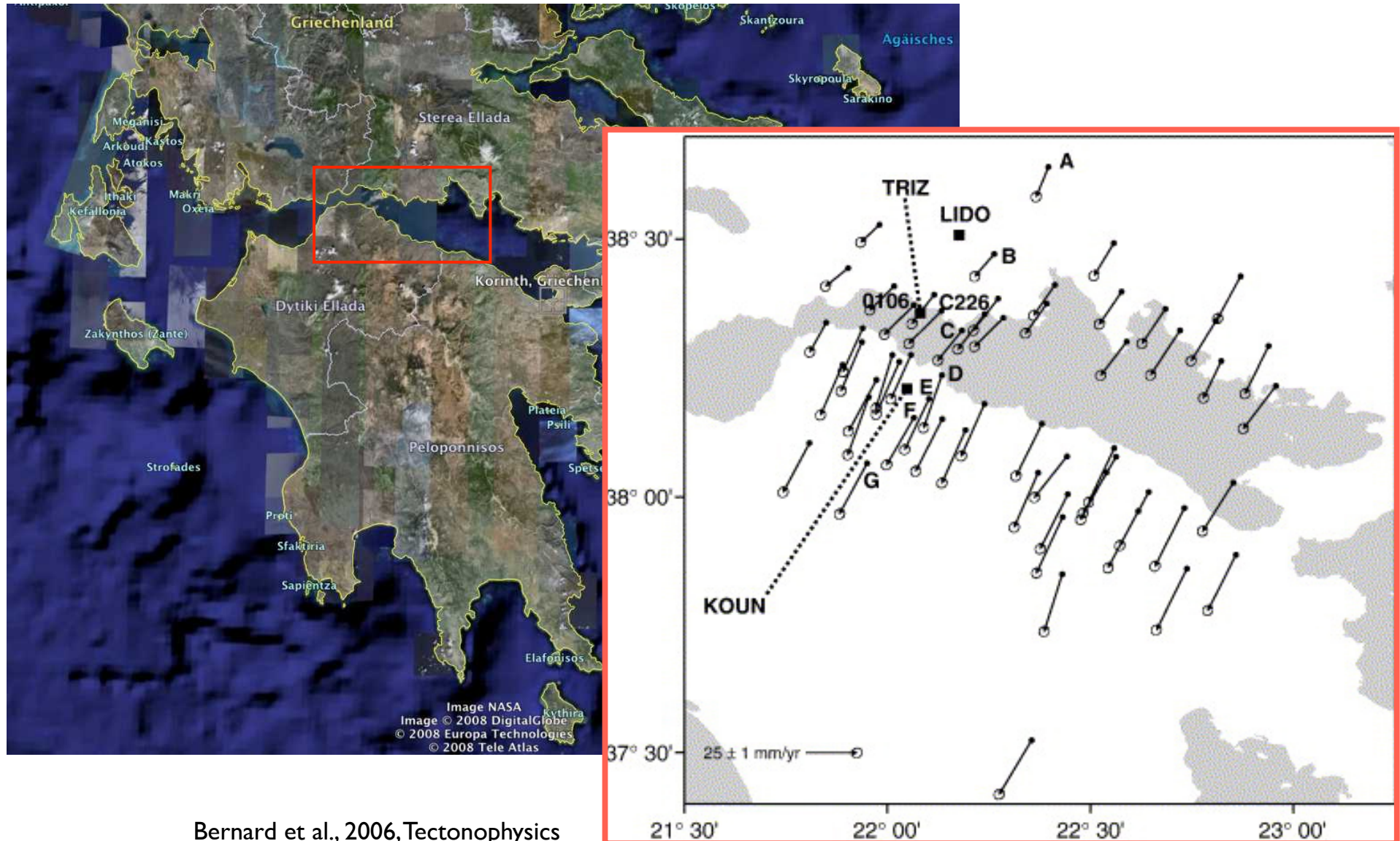
- |          |                 |
|----------|-----------------|
| ● CRLNET | □ CHARLES UNIV. |
| ○ PATNET | △ CORSSA        |
| ■ ATHNET | ▲ RASMON        |

Gulf of Corinth



# common characteristics of rifts

## 6. Differential motion of both rift flanks during activity

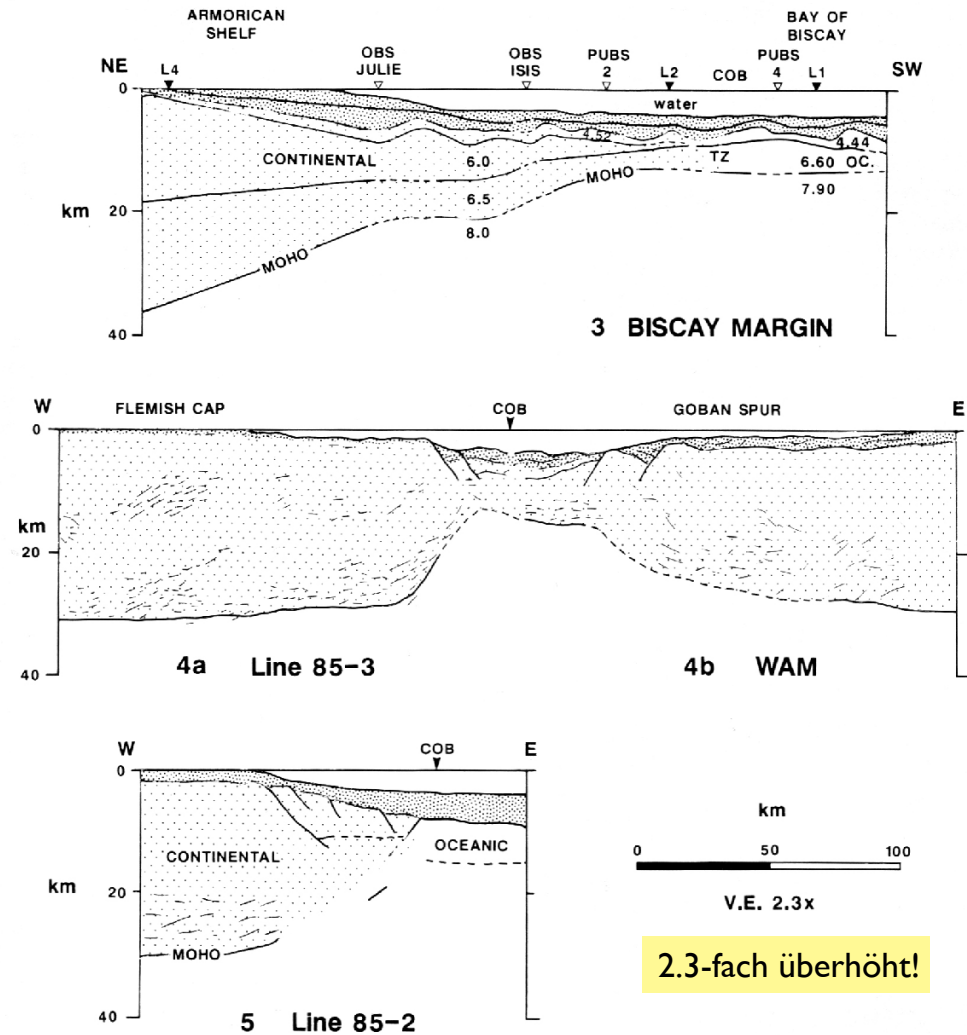
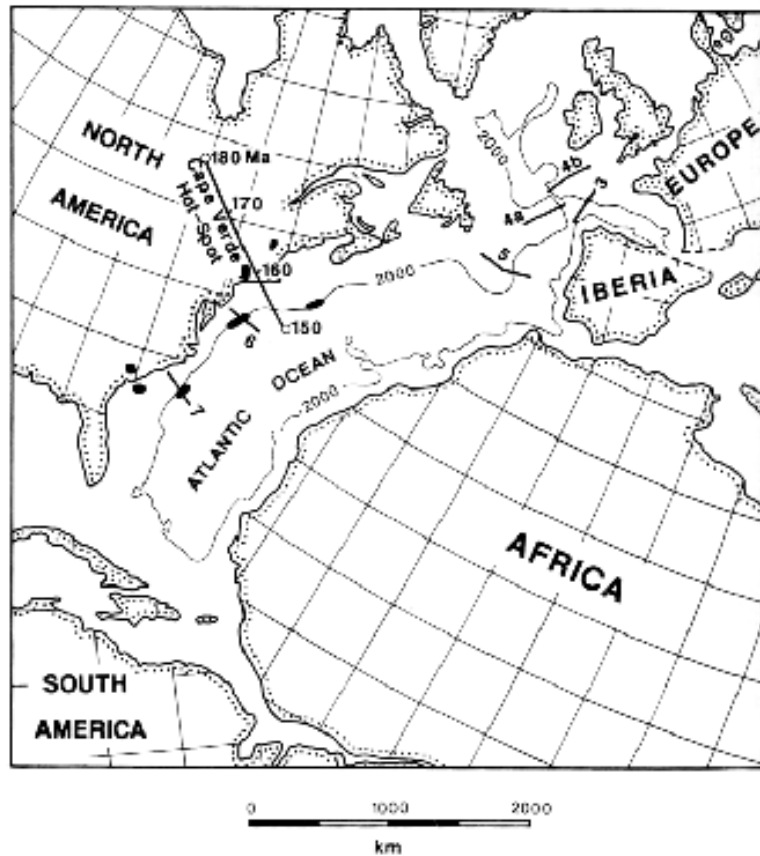


Bernard et al., 2006, Tectonophysics



# common characteristics of rifts

## 7. Thinning of the crust beneath the rift valley



White and McKenzie, 1989, J. Geophys. Res

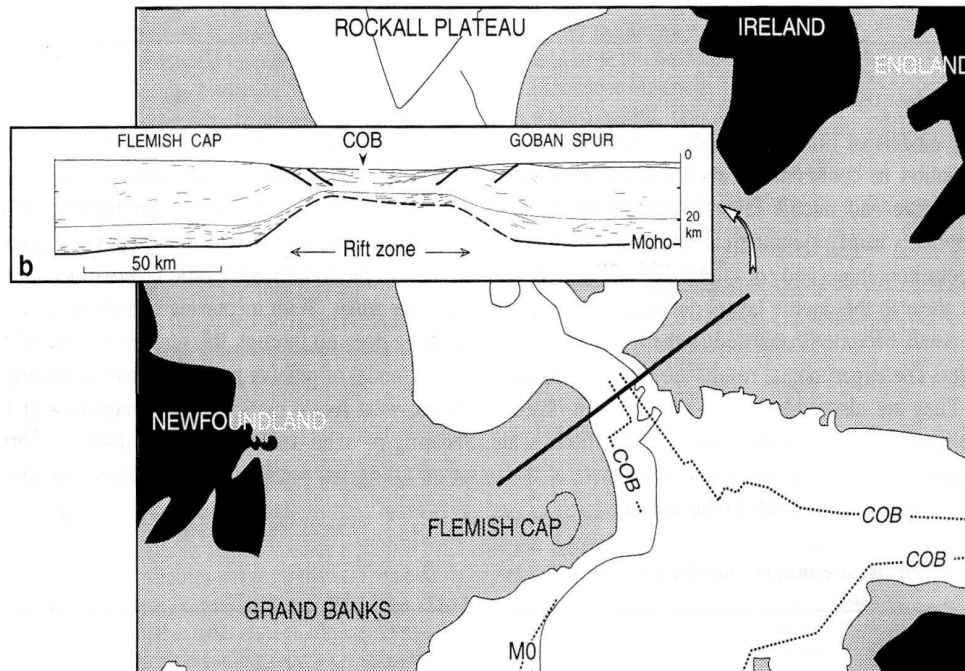
Fig. 12. Cross sections showing deep structure of the Biscay margin, the Western Approaches margin and Newfoundland margins. For locations see Figure 13. Biscay margin (profile 3) is redrawn from *Ginzburg et al.* [1985]. Western Approaches-Flemish Cap composite line (profile 4) is redrawn from *Keen et al.* [1989]. Newfoundland margin (profile 5) is from *Keen and de Voogd* [1988] line 85-2. Key to symbols and scales are the same as for Figure 9.



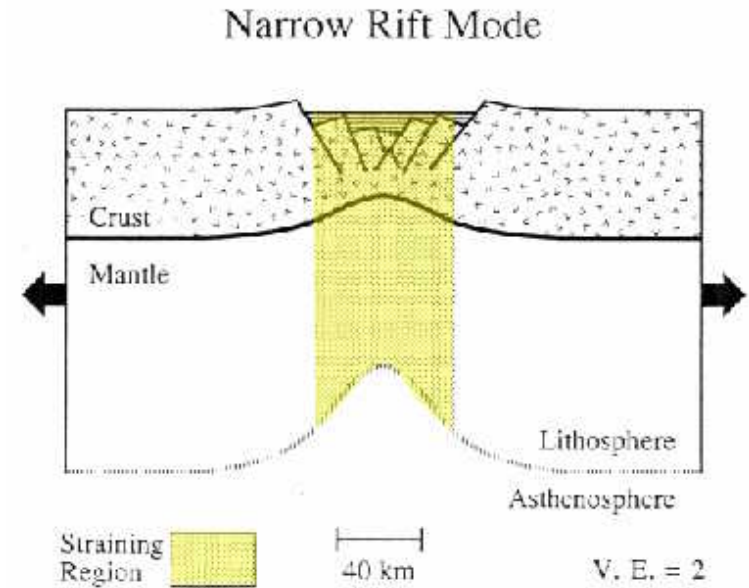
# rifting

(Beilagen z.T. modifiziert nach Claudio Rosenberg)

# narrow rifts

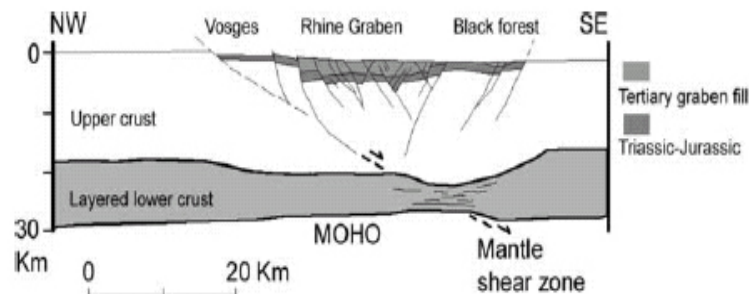


Brun and Beslier, 1996, Tectonophysics



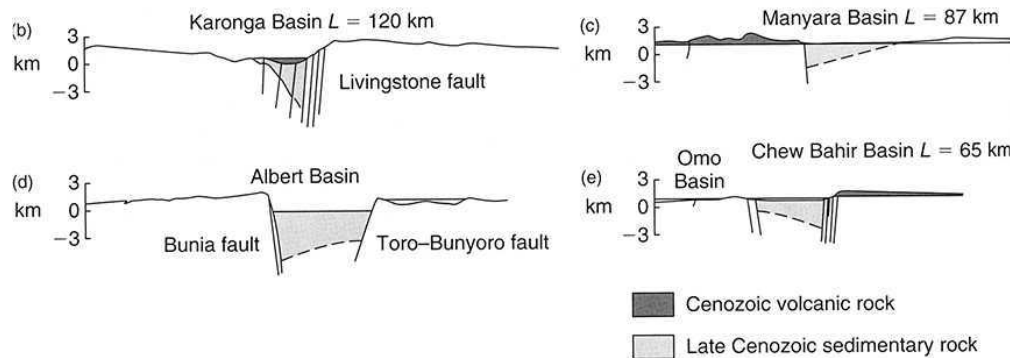
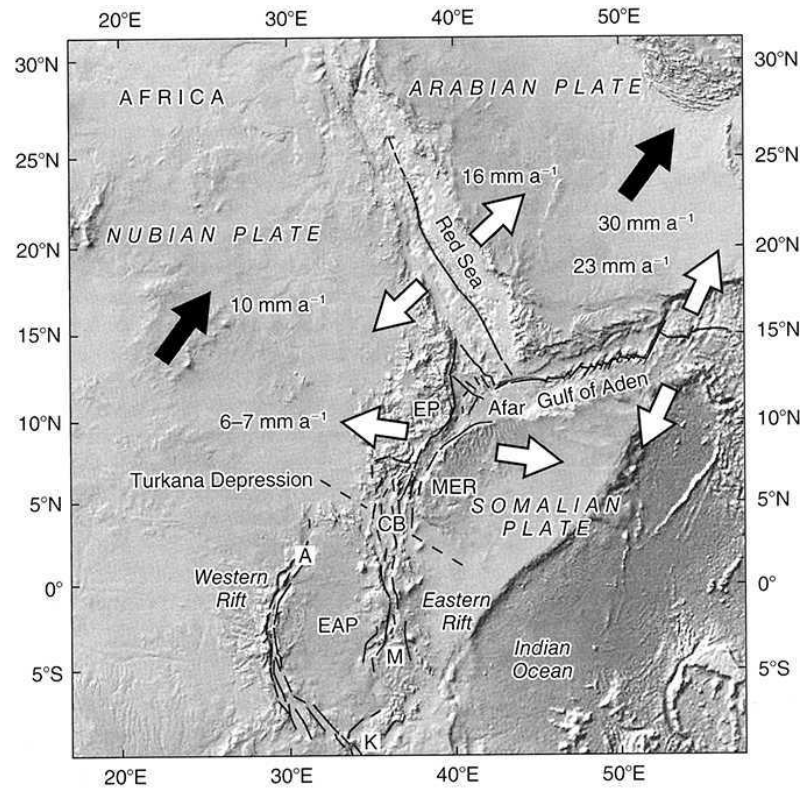
Die Verformung (gelbe Fläche) ist stark lokalisiert und reicht bis in den Mantel hinein. Die Moho und die Basis der Lithosphäre bilden eine „Antiform“

Buck, 1991, J. Geophys. Res.

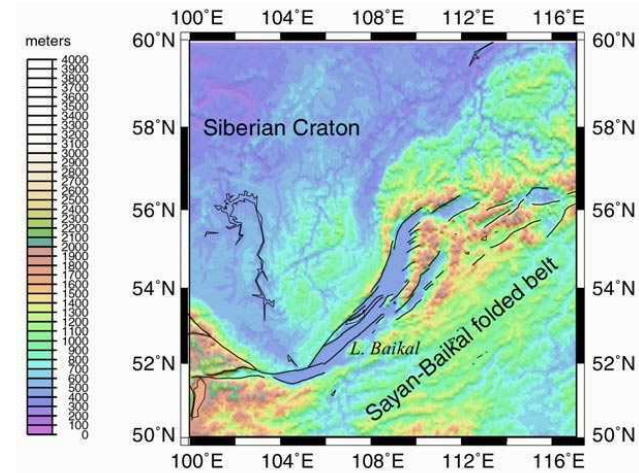


DECORP-ECORS deep seismic profile modified after Brun et al., 1992

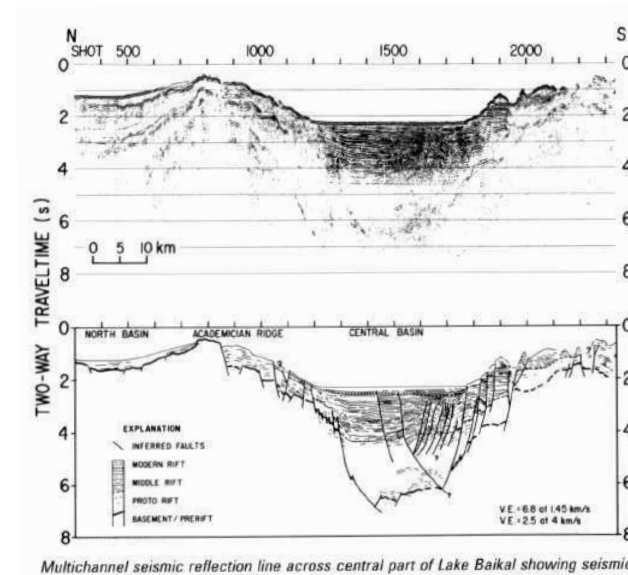
# Red Sea Lake Baikal



Kearey, Klepeis and Vine, 2009, Global Tectonics, Wiley-Blackwell



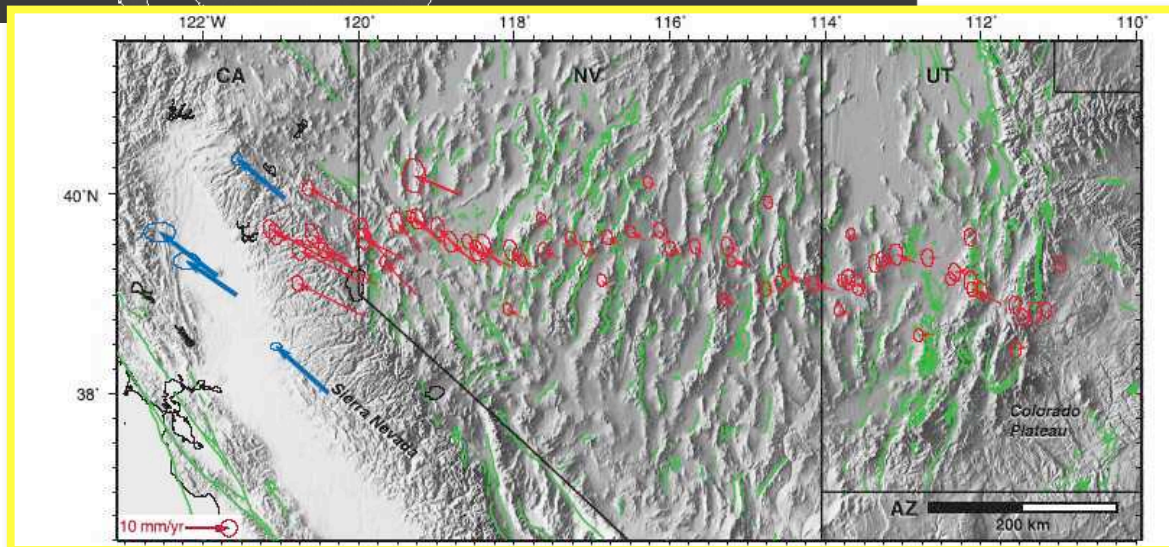
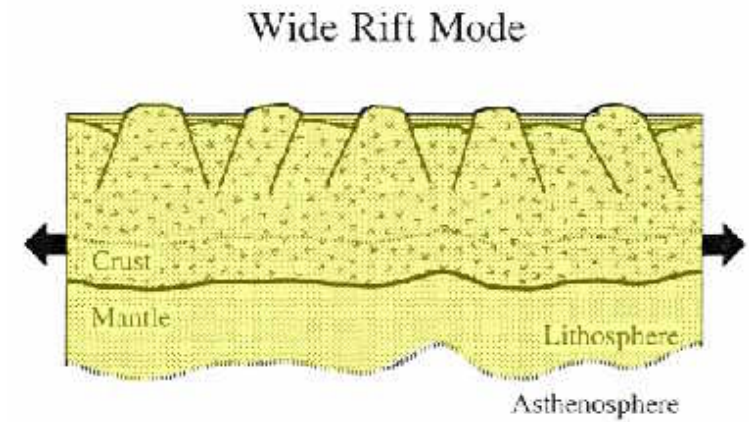
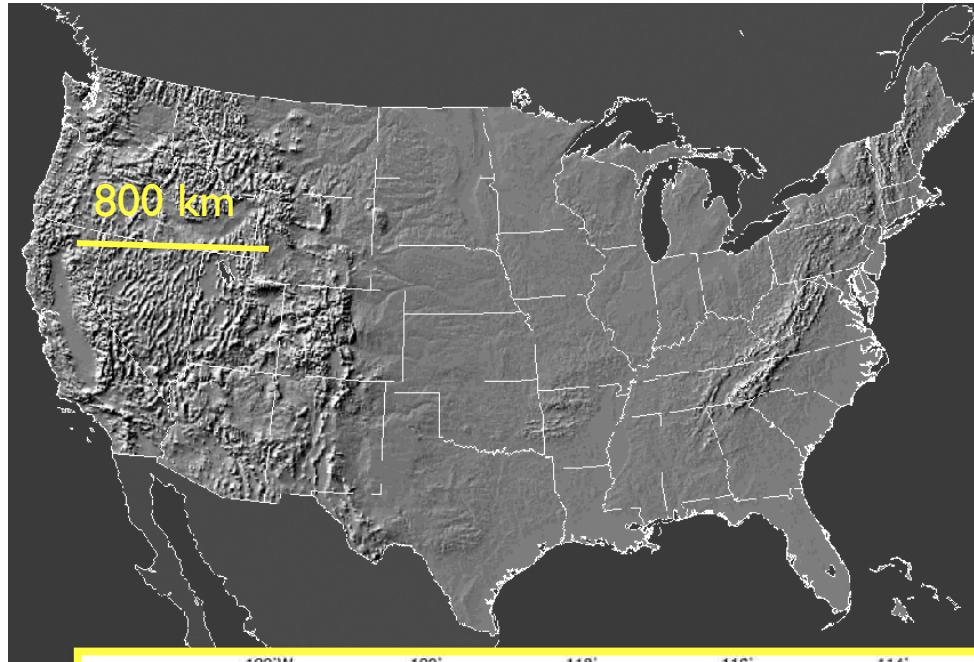
[www.ipgp.jussieu.fr/files\\_lib/307\\_2001\\_AGU\\_insights%20into%20baikal.pdf](http://www.ipgp.jussieu.fr/files_lib/307_2001_AGU_insights%20into%20baikal.pdf)



<http://marine.usgs.gov/fact-sheets/baikal/baikal-2.gif>



# wider rifts



Thatcher et al., 1999, Science

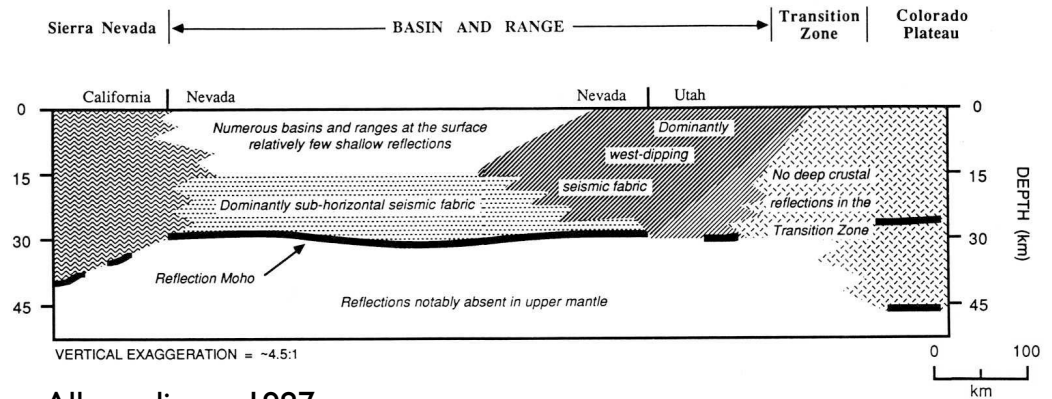
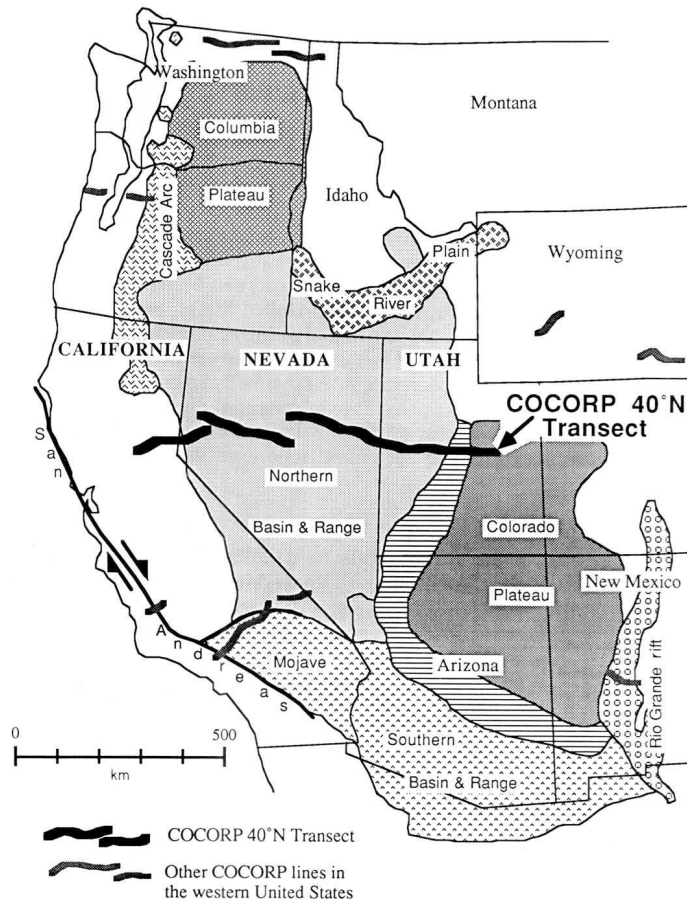
Die Verformung (gelbe Fläche) ist „delokalisiert“ (homogen verteilt) und reicht bis in den Mantel hinein

Buck, 1991, J. Geophys. Res.

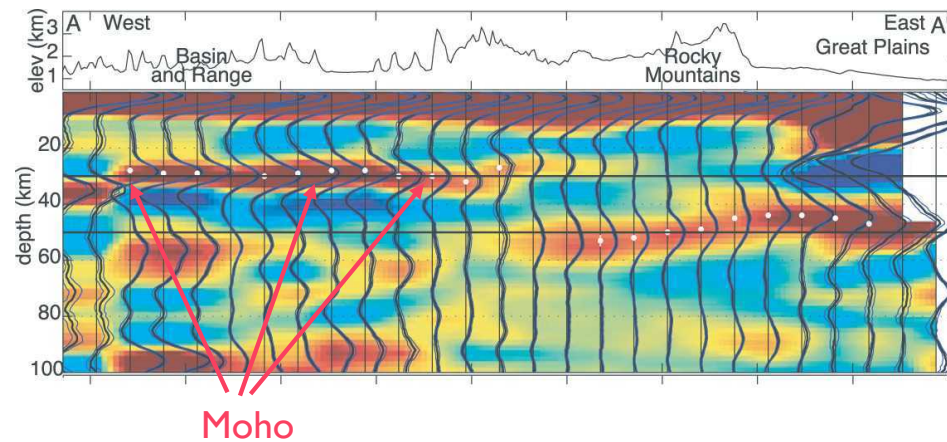


# Flachliegende Moho

unterhalb der Basin and Range



Allmendinger, 1987

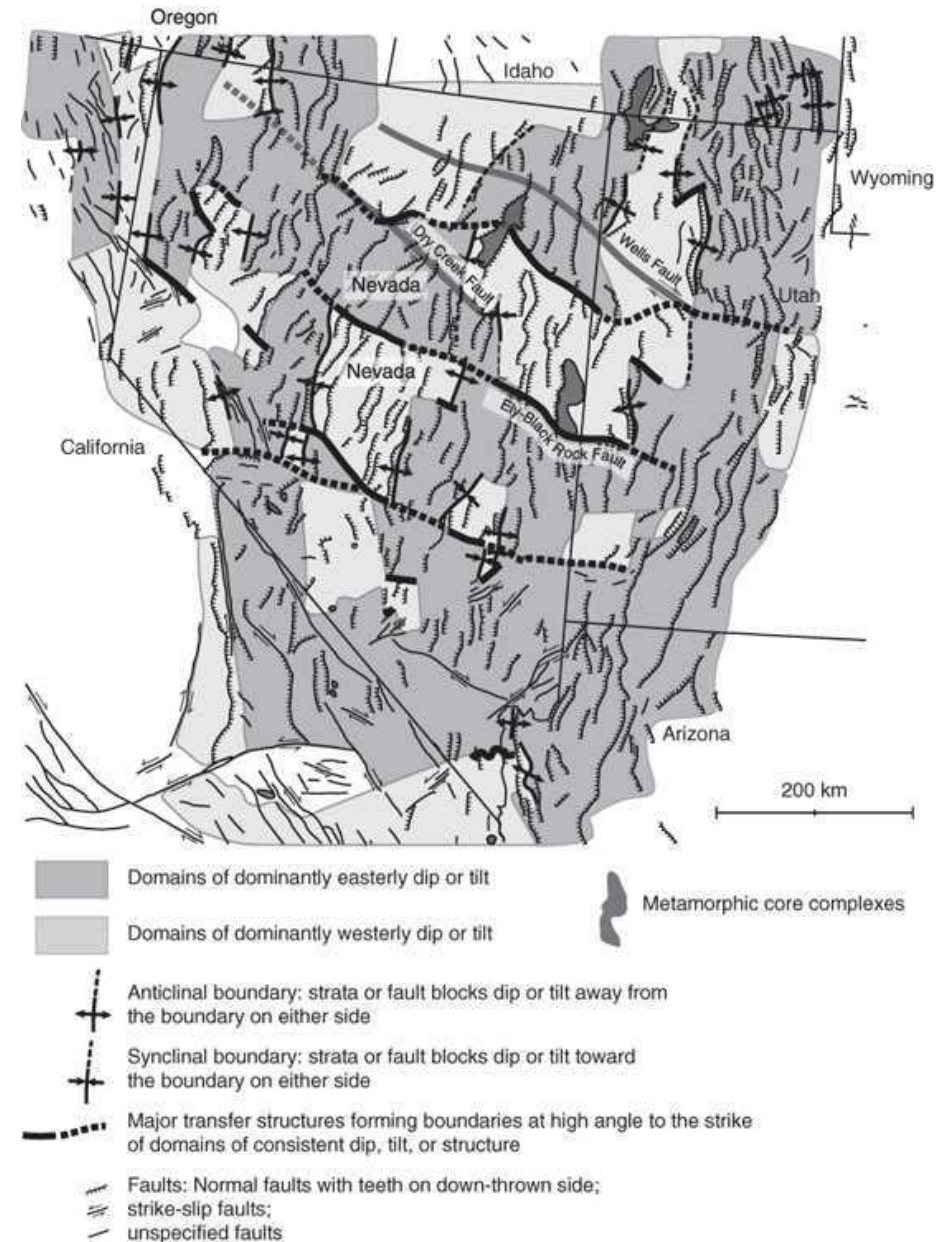
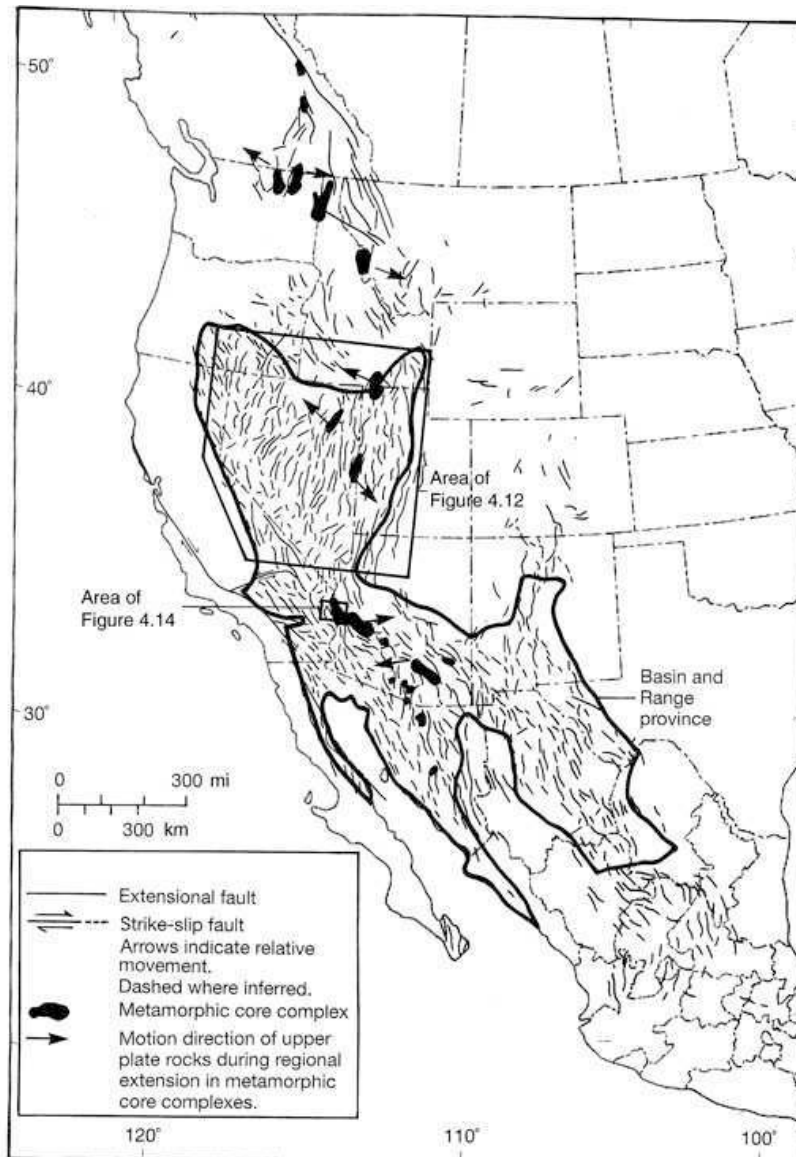


Gilbert and Shehan, 2004, J. Geophys. Res.

# metamorphic core complexes (MCC)

(Beilagen z.T. modifiziert nach Claudio Rosenberg)

# Beispiel: Western USA





# Geschichte und Definition

Mitte des 20. Jahrhunderts wurden hochmetamorphe Gebiete westlich der N-Amerikanische Kordillere, mit Durchmesser von bis > 100 km auskartiert.

Typisch:

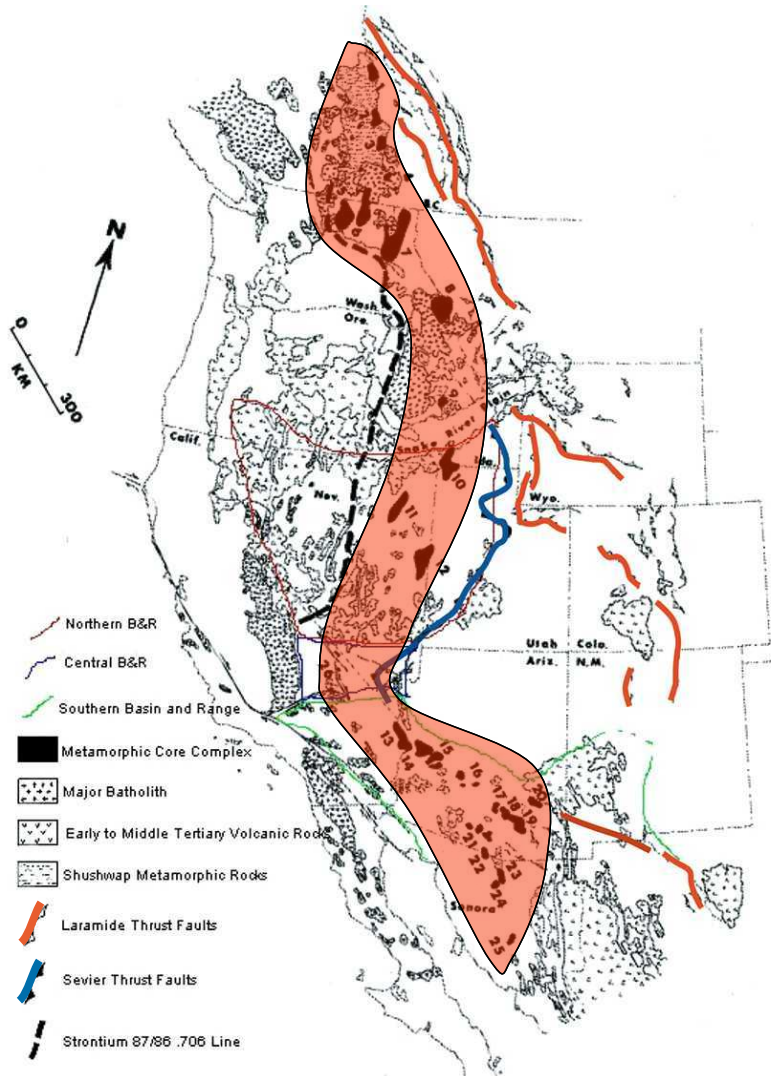
- Domartige Aufwölbung der Hochmet. Einheit
- Scharfe Grenze zur darüberliegende unmet. Einheit
- Grenze fällt zusammen mit flachliegende Störung (Detachment, Décollment)

→ Allgemeiner Begriff: Metamorphic Core Complex.

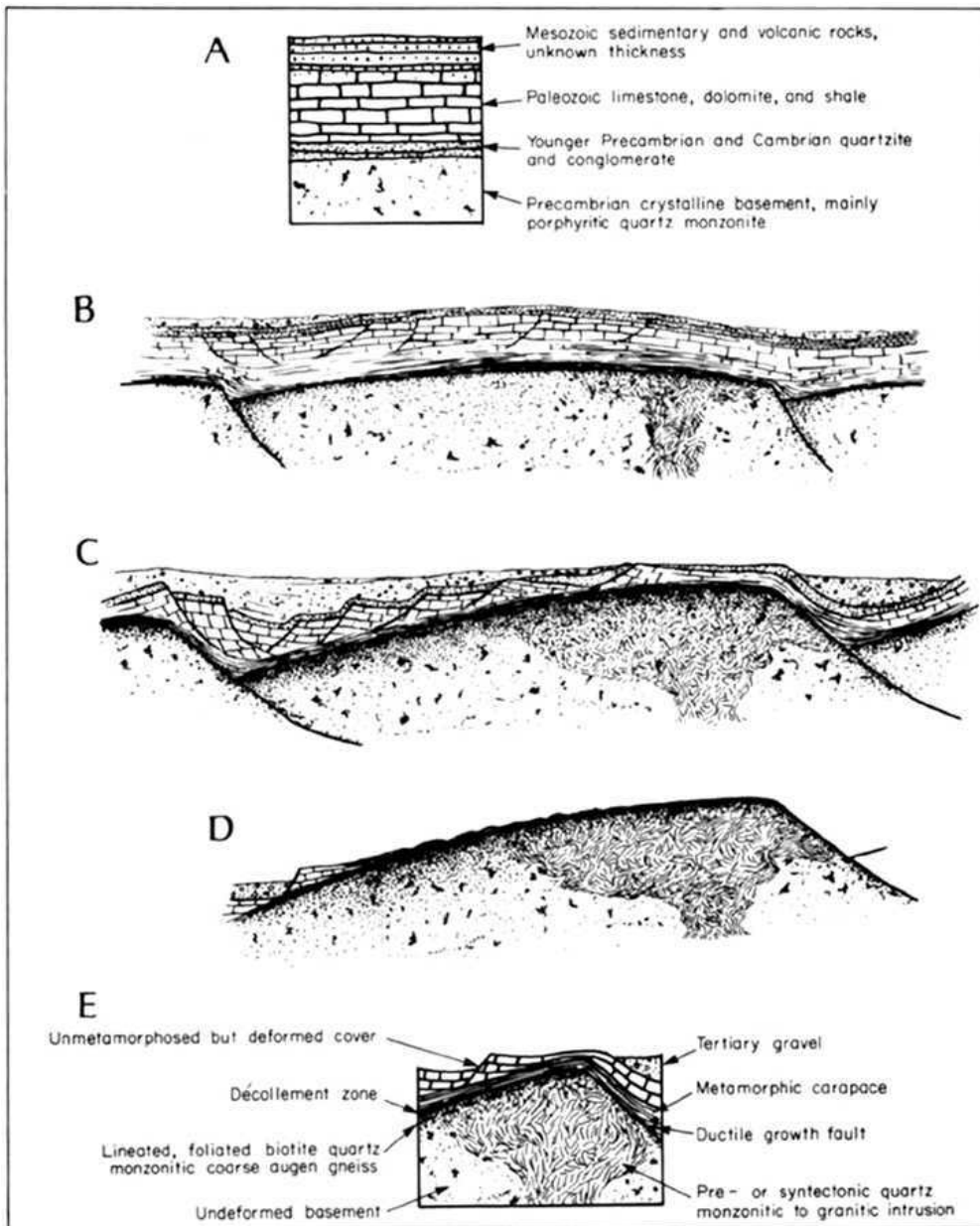
- von Canada bis Mexico, westlich der Vorlandsüberschiebungen der Laramiden/Sevier Orogenese
- tiefste strukturelle Niveaus sind in der Axialzone aufgeschlossen, manchmal als Gneissdome

Frage: MCC Gürtel = Axialzone des Laramiden/Sevier Orogens ?

→ man versuchte EIN tektonisches Bild zu entwerfen der die Core Complexes UND die Vorlandüberschiebungen miteinschliesst





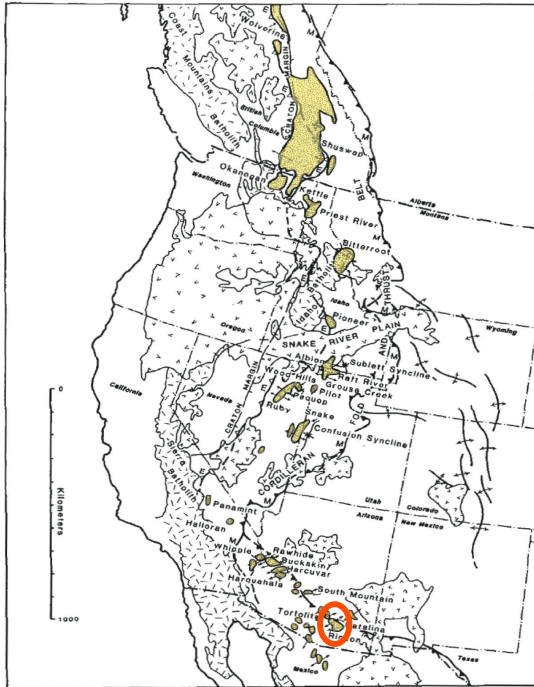


Ende der `70er Jahre konnten Entwicklungsmodelle gezeichnet werden, die sich kaum von den heutigen unterscheiden.

Die obere Einheit wird durch Abschiebungen progressiv ausgedünnt.

Dabei findet die Hebung und Exhumation der unteren, hoch metamorphen Einheit statt.

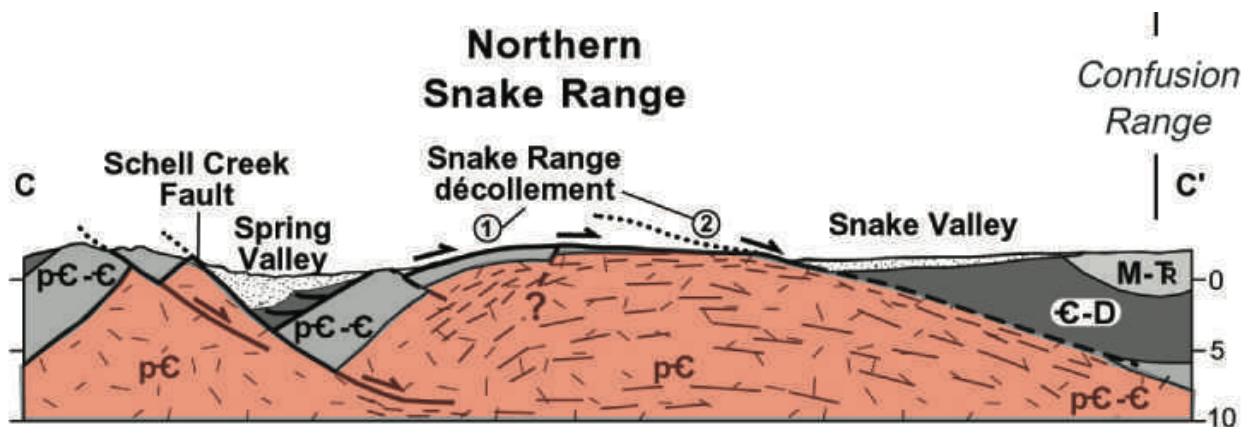
# Geometrie, Struktur, Metamorphose



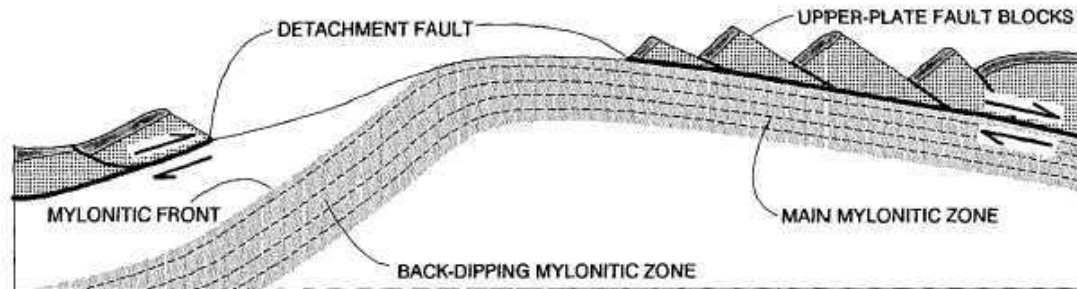
Asymmetrischer Dom der hochmetamorphen unteren Einheit.

Ein Schenkel ist flacher und länger. Dieser ist vom Detachment überprägt.

Der zweite Schenkel ist kürzer, steiler, nicht vom Detachment überprägt.



# Geometrie, Struktur, Metamorphose



South Mountain, Arizona, U.S.A., Reynolds and Lister, 1990, Geology

Wenn beide Seiten des Doms durch Scherzonen begrenzt sind kann die eine Seite eine scheinbare Überschiebung darstellen.

→ ursprüngliche Abschiebung wurde während der Hebung der unteren Einheit verfault

→ scheinbare Überschiebung

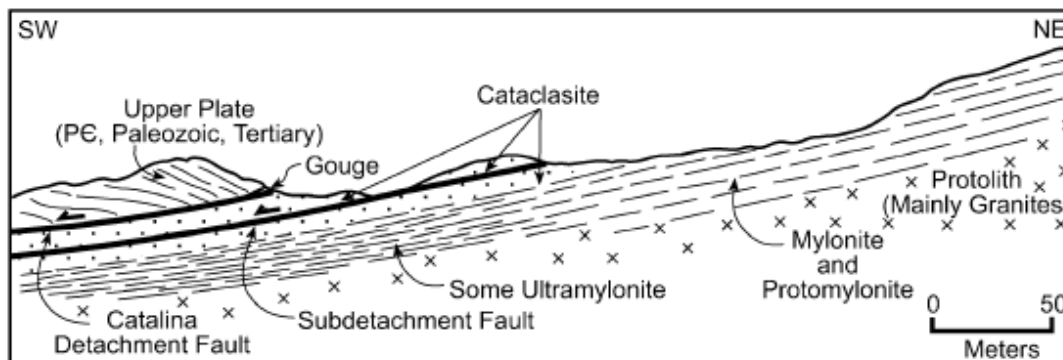
## Detachment

Zunahme der Verformungsintensität

- Kaum verformter Granitoid
- Mylonite
- Ultramylonite

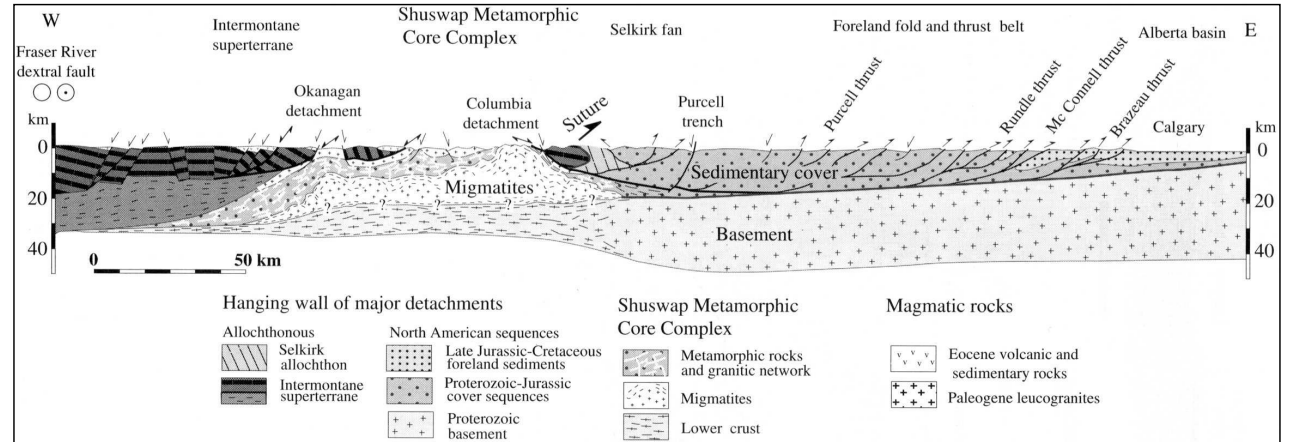
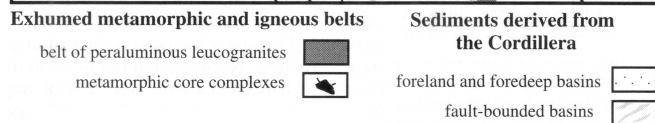
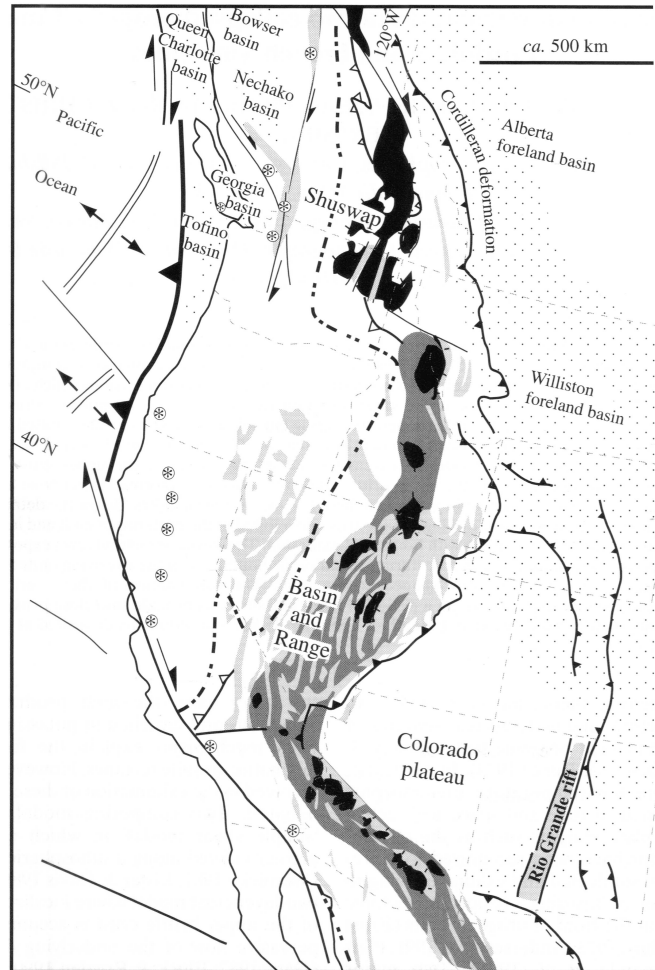
Spröd-duktiler Übergang

- Mylonite
- Kataklasite



Davies et al. 2004, GSA Bull.

# Beispiel Nordamerikanische Kordilliere



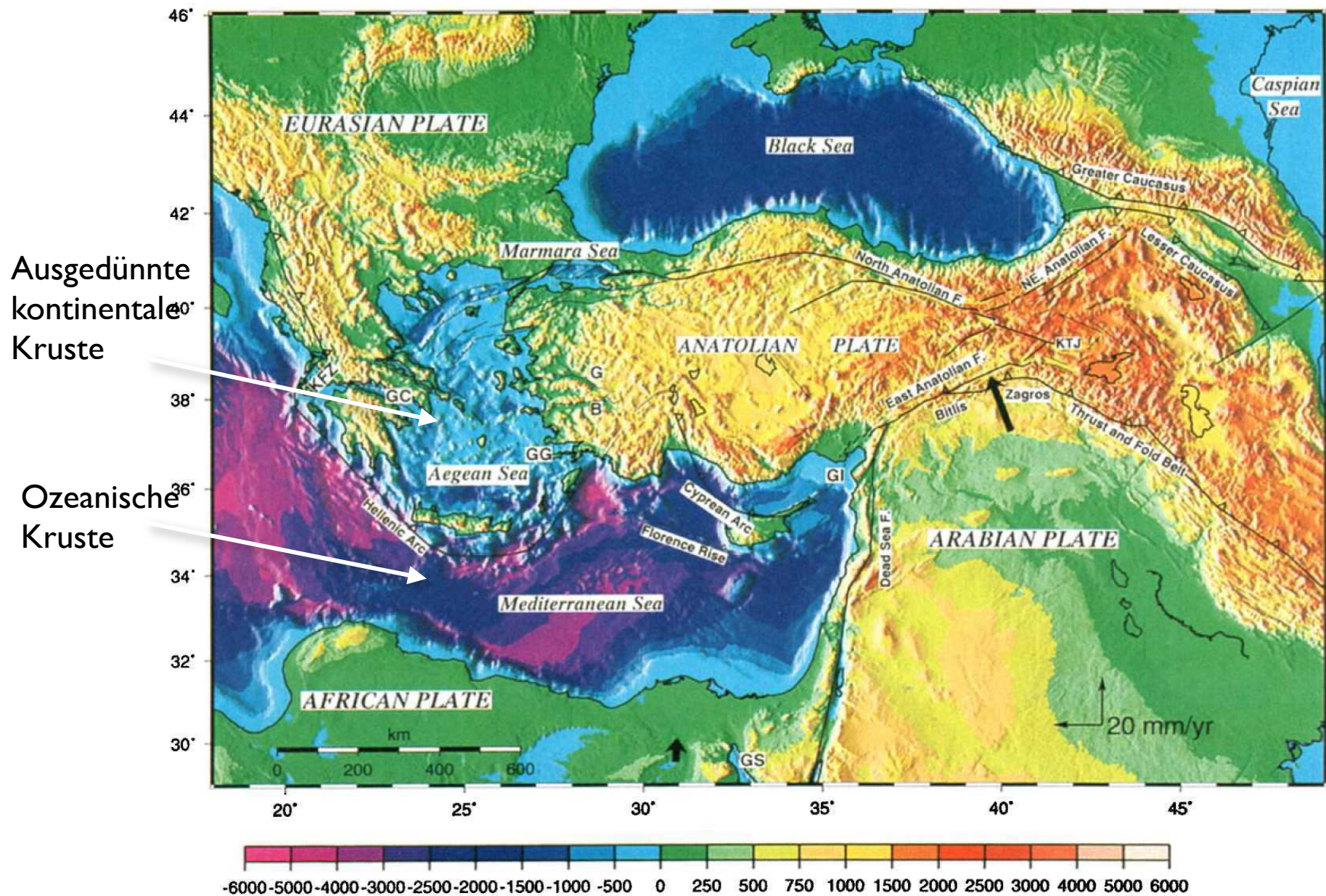
Verformung ist stark lokalisiert, reicht aber nicht bis in den Mantel

die entstehenden Mächtigkeitsunterschiede der oberen Kruste werden durch das Fließen der unteren Kruste komplett ausgeglichen

→ MOHO ist flach

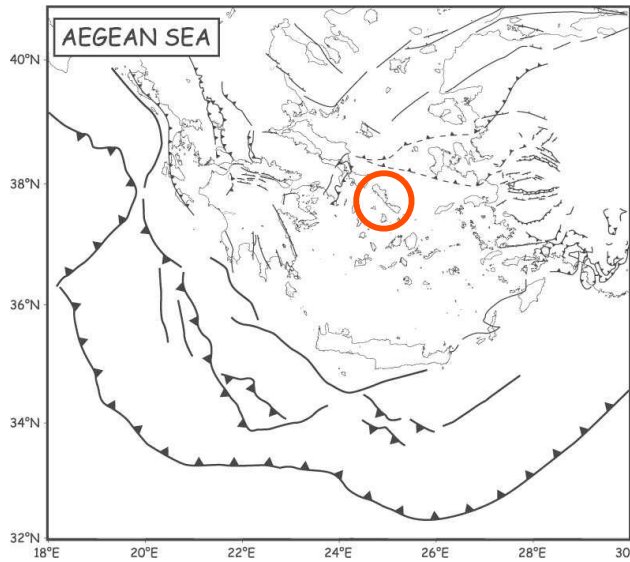


# Beispiel Ägäis



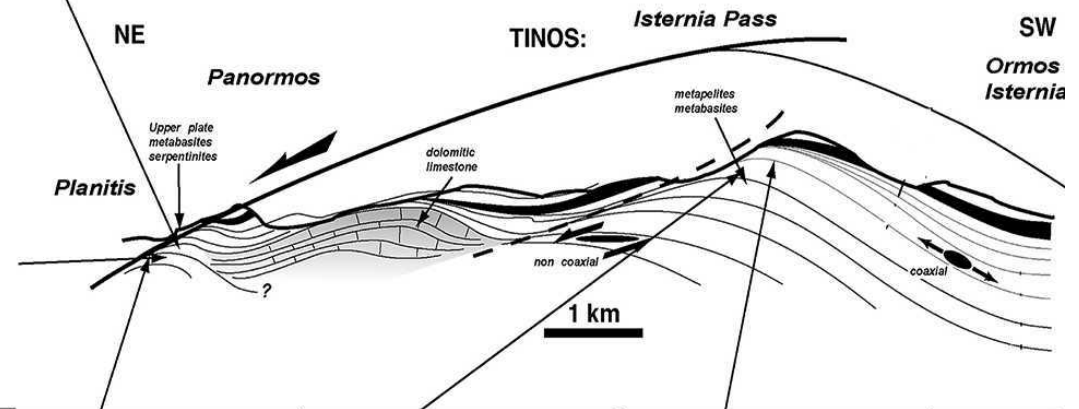
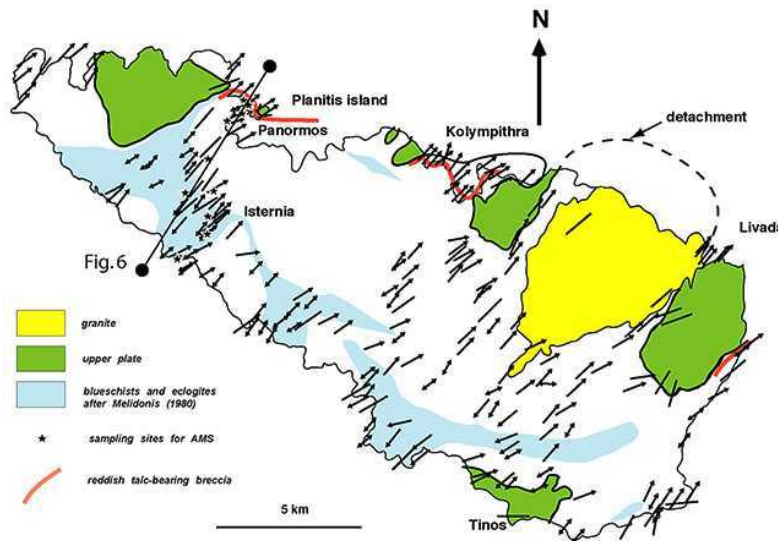
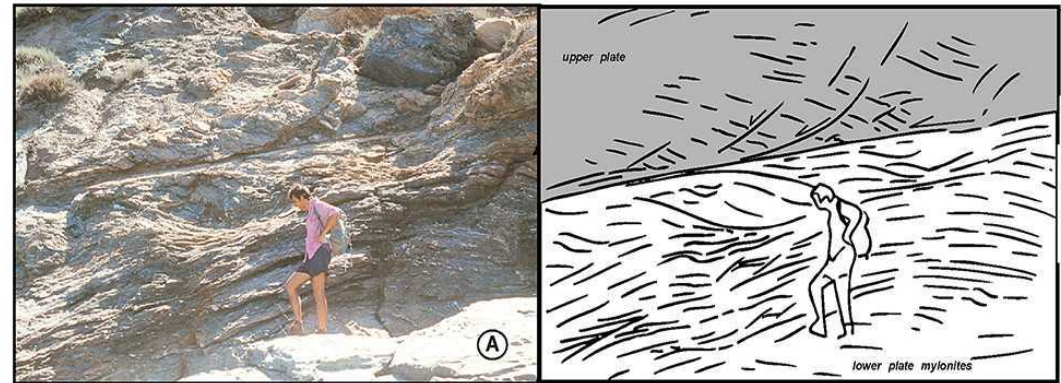


# Beispiel Tinos

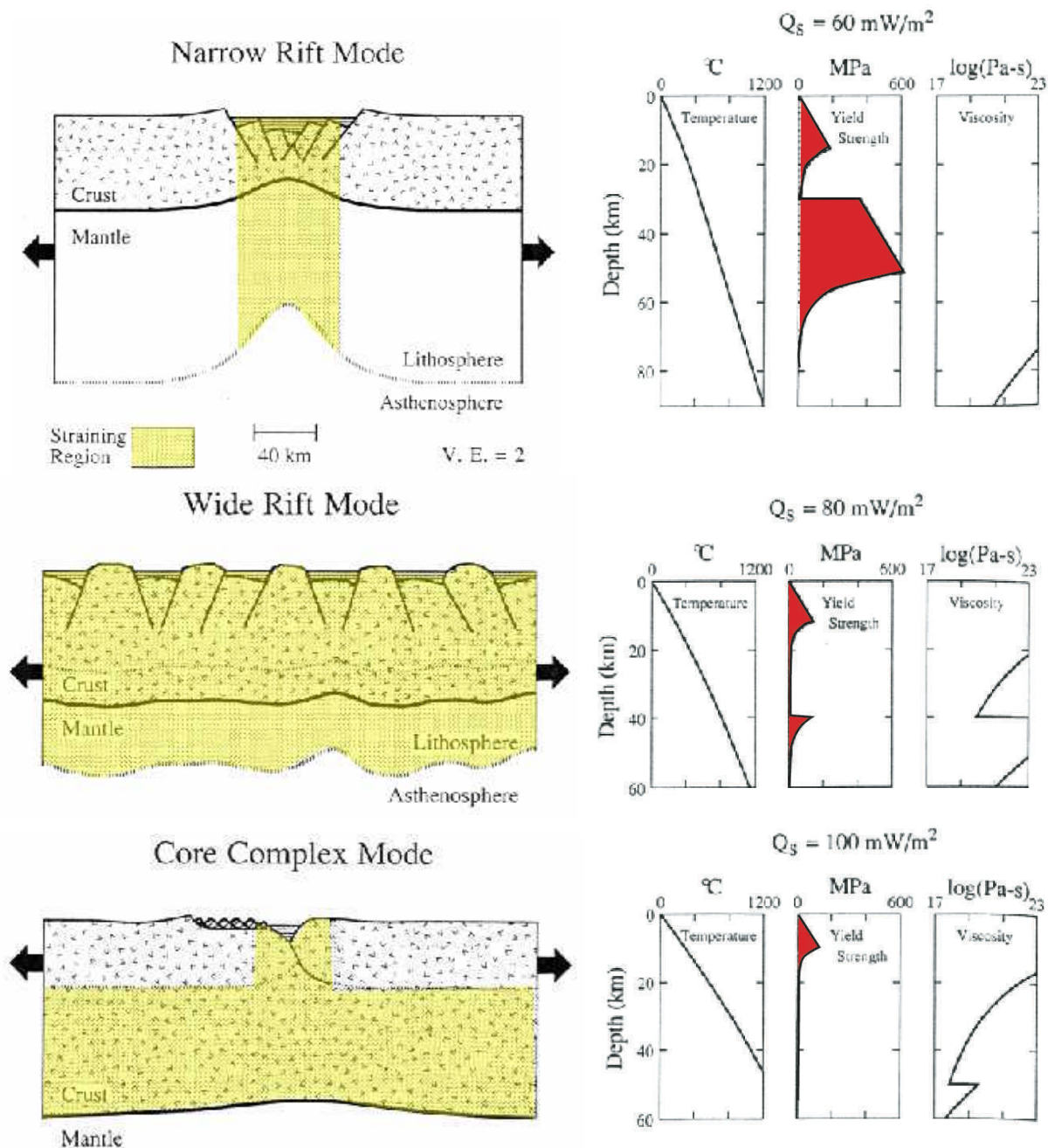


Jolivet et al., 2004, GSA Spec. Paper

Die Brekzien und die Mylonite zeigen den selben Schersinn:  
 → EINE Deformationsgeschichte, die sowohl im duktilen als auch im spröden Bereich der Kruste aktiv ist



# Entstehung eines MCC



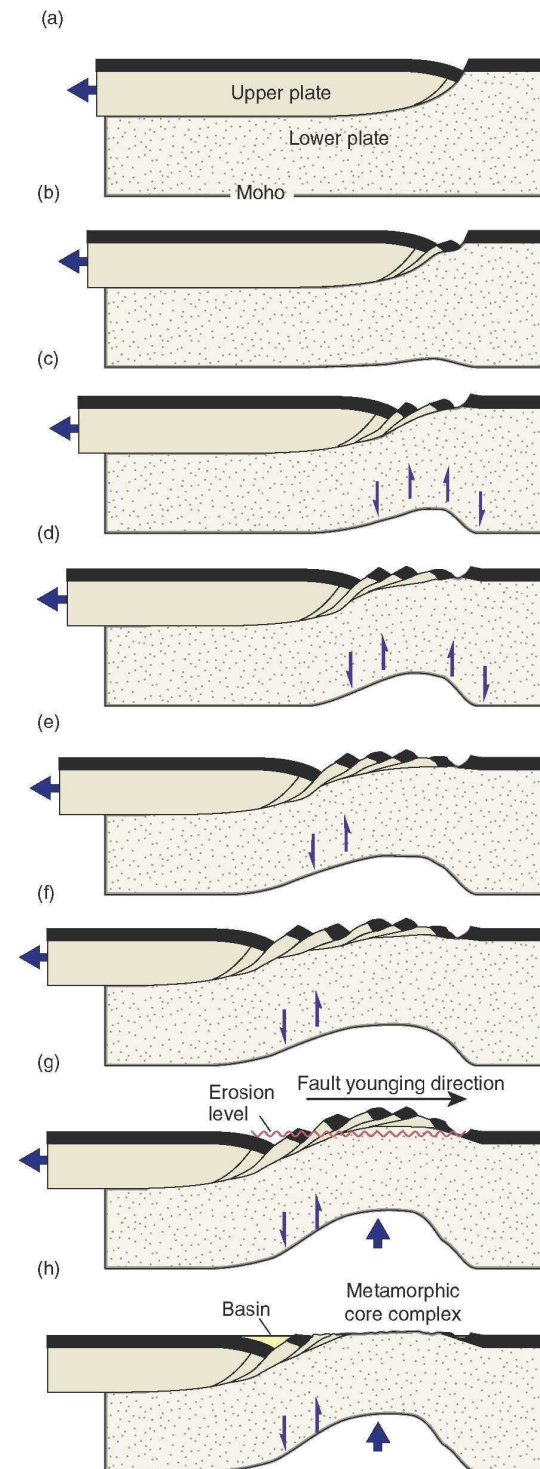
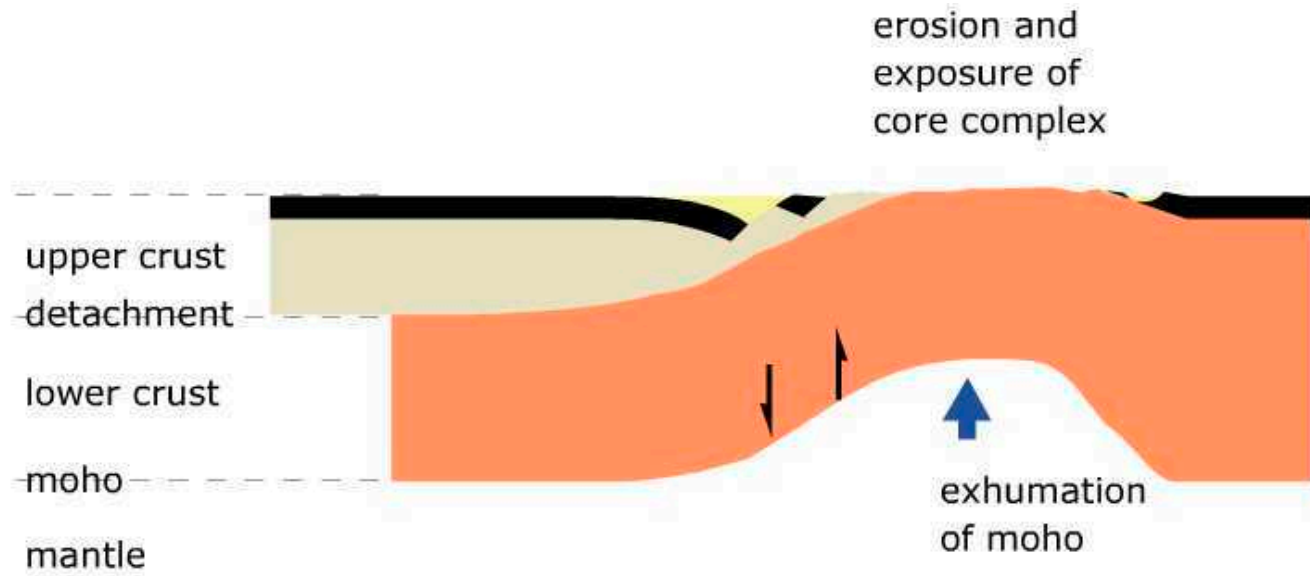
Niedriger geothermischer Gradient: die Festigkeit der Kruste dominiert über die gravitativen Gradienten  
→ narrow rift

Höherer geothermischer Gradienten: gravitative Kräfte nehmen relativ zur Festigkeit zu  
→ wide rift

Sehr hoher geothermischer Gradienten: schnelles Fließen der unteren Kruste reduziert die Gradienten der gravitativen Kräfte, in der oberen Kruste kann die Extension lokalisiert bleiben, während die untere Kruste homogen ausgedünnt wird  
→ core complex



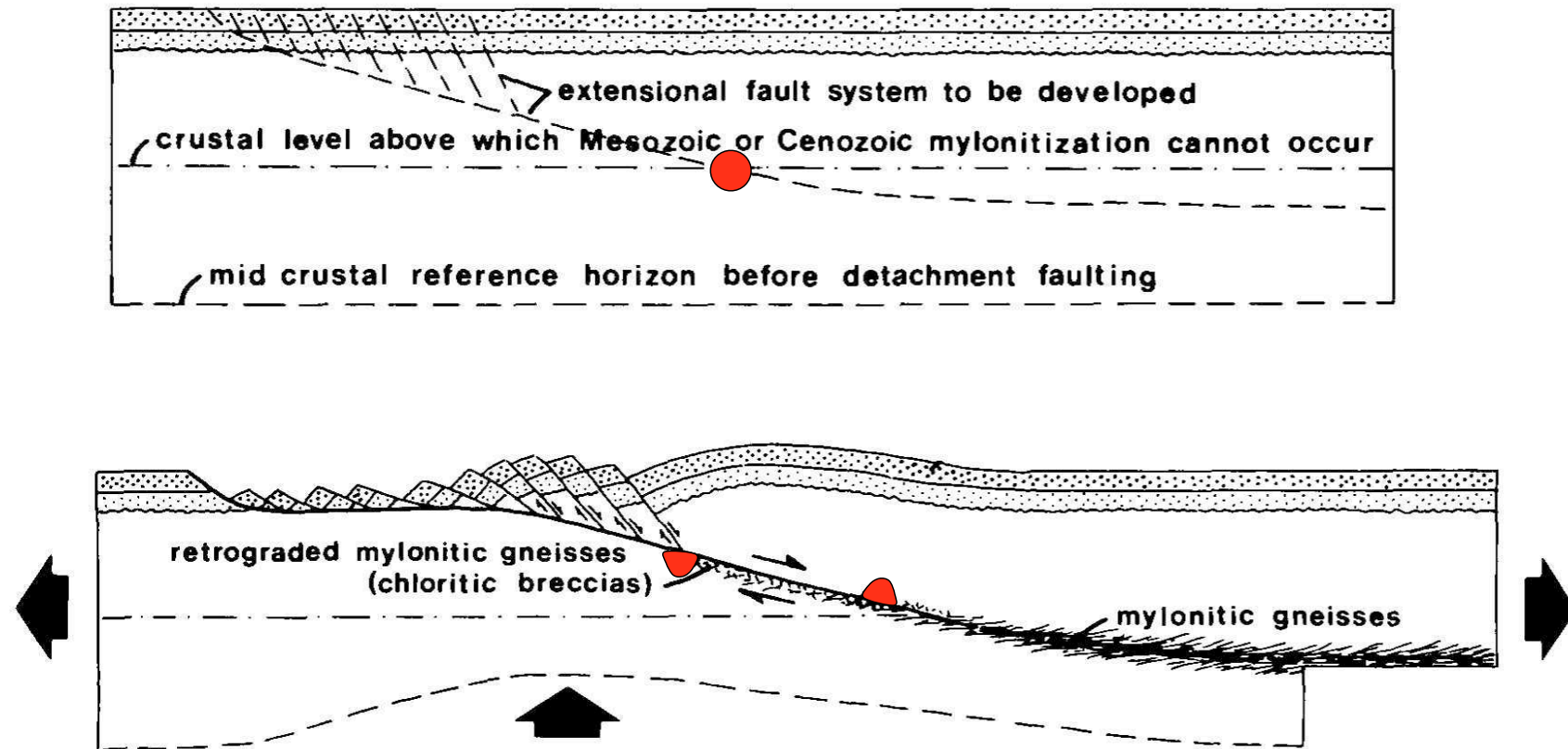
# development of MCC



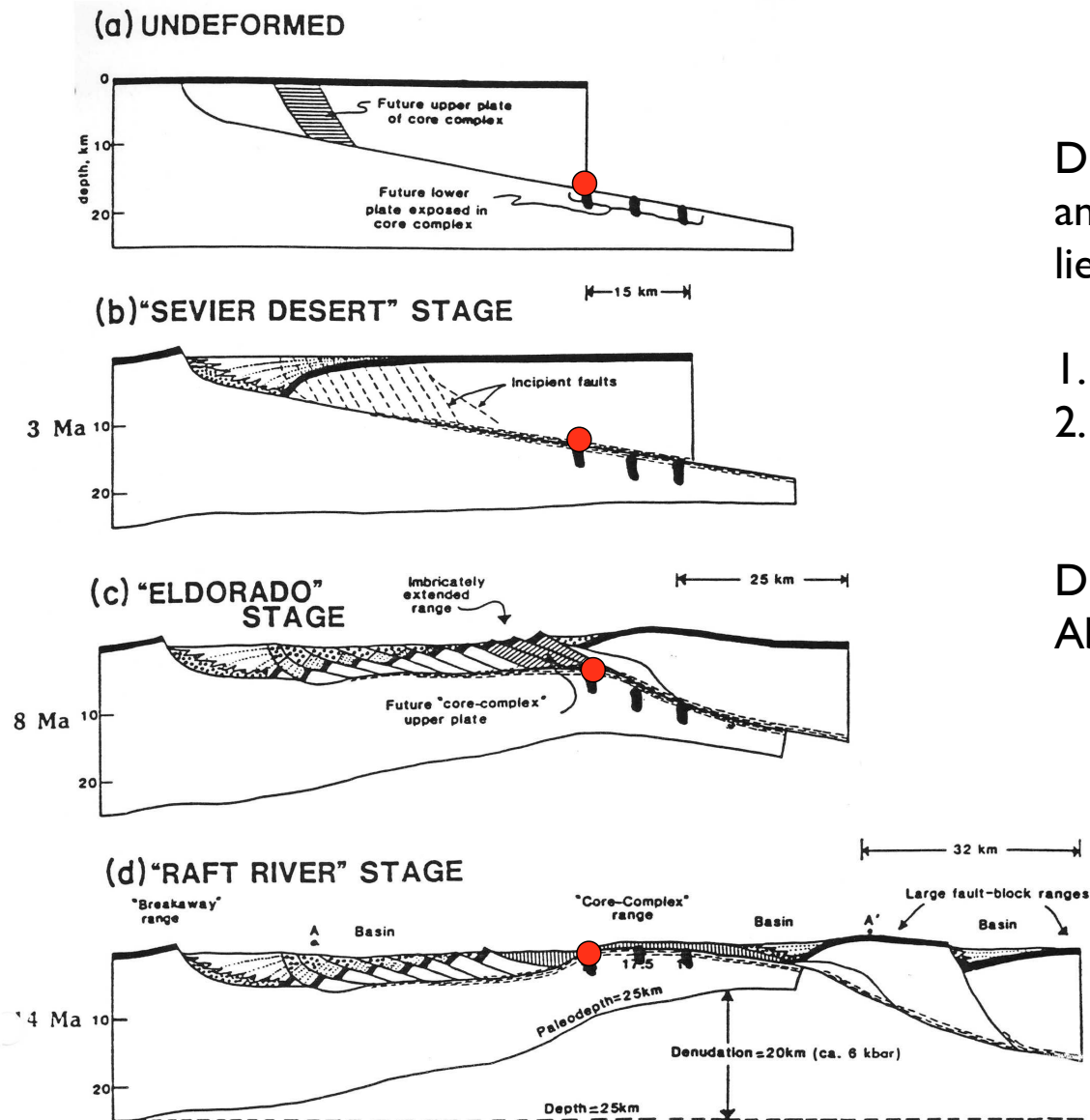


# development of MCC

Unterhalb der spröd-duktilen Grenze bilden sich Mylonite. Ein Teil dieser Mylonite wird mit der unteren Platte exhumiert und kataklastisch überprägt.



# development of MCC



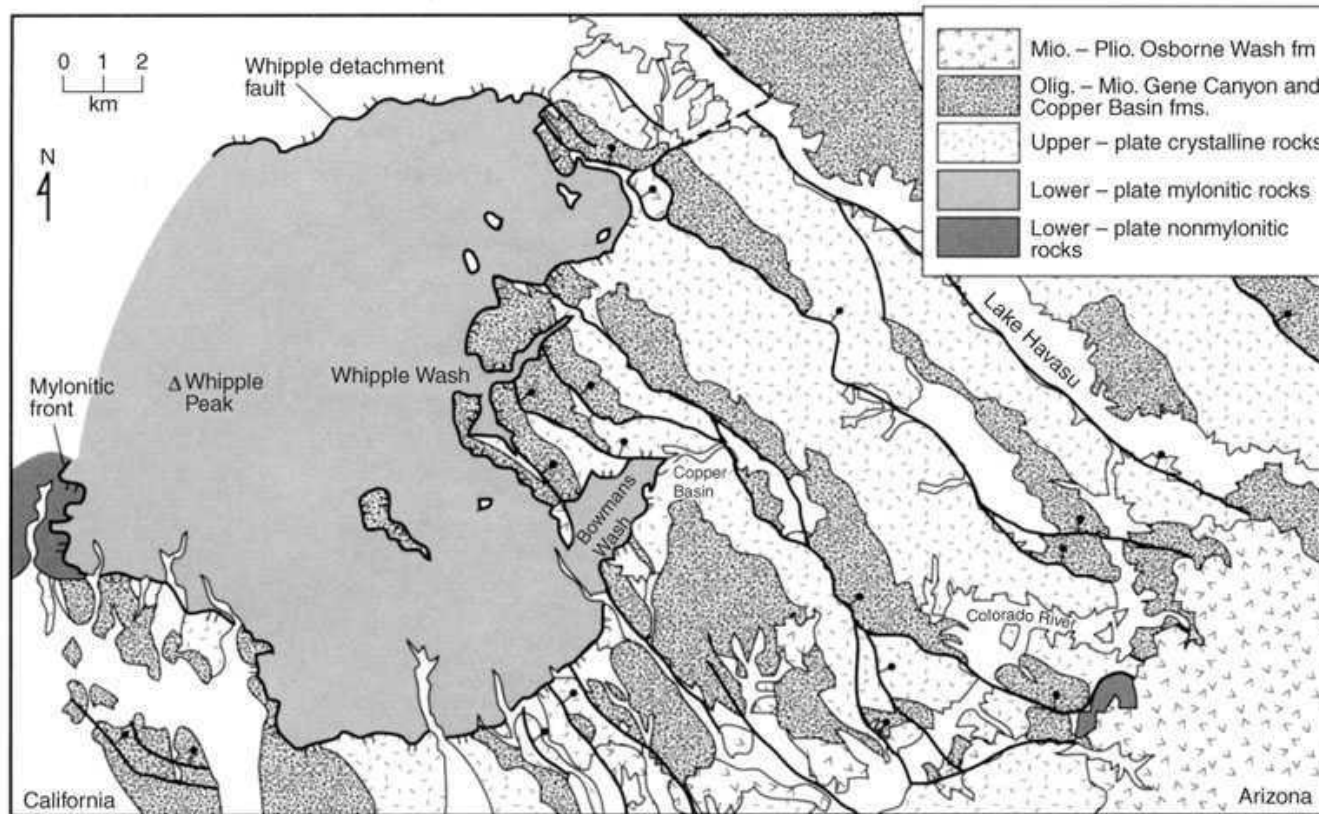
Die Materialpunkte, die an der Oberfläche am nächsten zur Abschiebungsfläche liegen, sind diejenigen die

1. zuletzt exhumiert worden sind
2. aus dem tiefsten Strukturniveau stammen (roter Punkt)

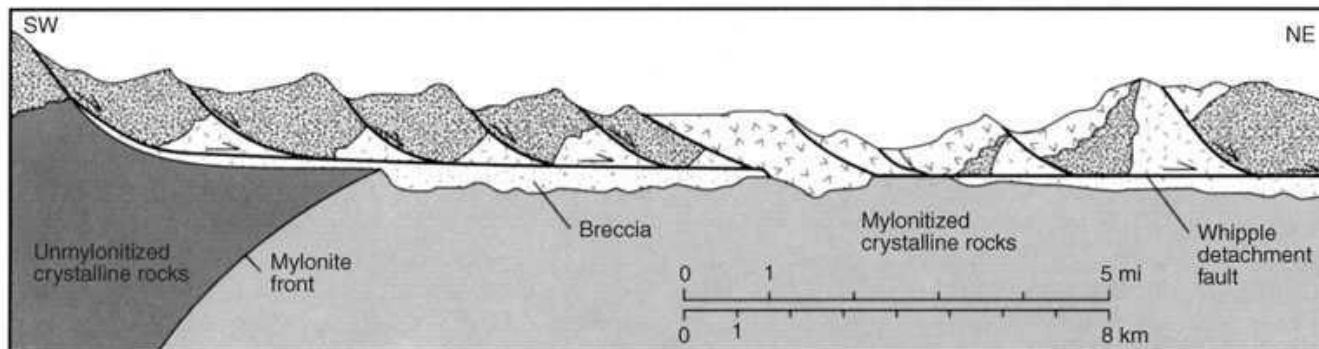
Diese Interpretation lässt sich anhand von Abkühlungsalter erhärten

**low angle detachment  
faults (LANF)**

# low angle detachment faults



A.



B.



# low angle detachment faults

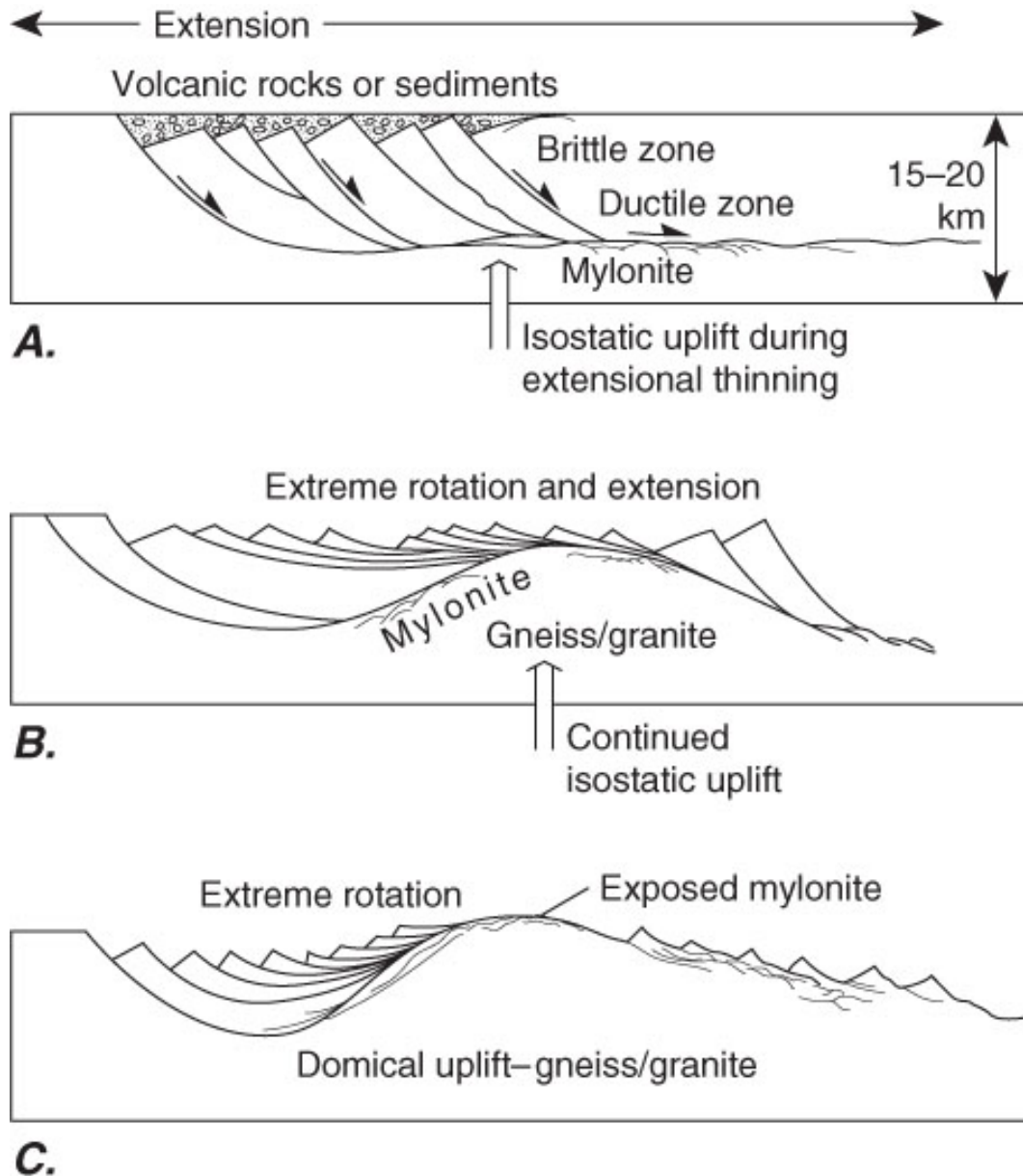


Example: Whip



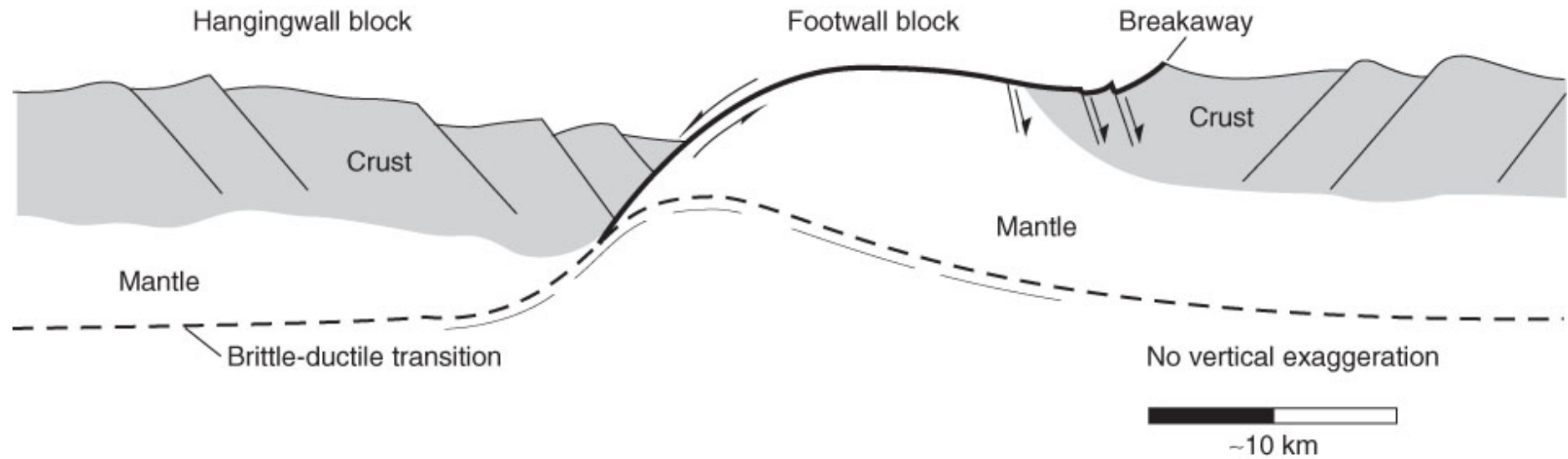
Example: Western Chemihuevi Mountains

# low angle detachment faults

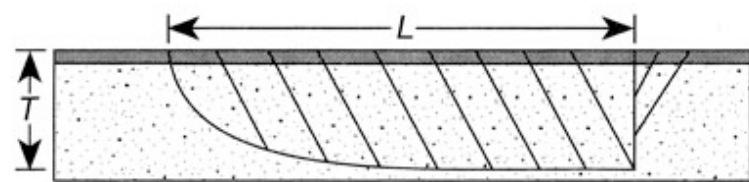


may unroof metamorphic core complexes  
due to isostatic uplift  
→  
cataclasites and mylonites may form

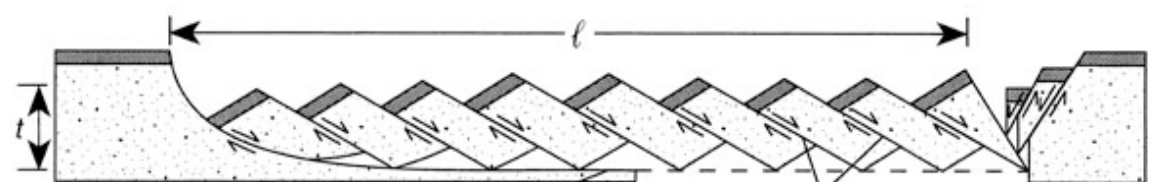
# low angle detachment faults



**B.**



**A.**



**B.**